Y 1.1/7:110-129/V.1
Financial Disclosure Reports of

FINANCIAL DISCLOSURE REPORTS OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2007 and December 31, 2007

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 1 of 4)

SUPERINTENDENT OF DOCUMENTS
DEPOSITORY
SEP 25 2008
BOSTON PUBLIC LIBRARY
GOVERNMENT DOCUMENTS DEPT.

JULY 8, 2008.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

110th Congress, 2d Session - - - - - - - - - - - - House Document 110–129

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2007 and December 31, 2007

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 1 of 4)

JULY 8, 2008.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

43–317 WASHINGTON : 2008

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, June 30, 2008.

Hon. NANCY PELOSI,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MADAM SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2008. The compilation includes reported financial transactions which occurred between January 1, 2007 and December 31, 2007.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

LORRAINE C. MILLER,
Clerk, House of Representatives.

Enclosures.

HAND DELIVER

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

2008 MAY 15 PM 5:02

MC

Neil Abercrombie
(Full Name)

(202) 225-2726
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: HI — District: 1 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Neil Abercrombie

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Hawaii	Legislative Pension	$29,000
Research Corporation of the University of Hawaii	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Neil Abercrombie

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
University of Hawaii Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Neil Abercrombie

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Maritime Trades Dept.	Mar. 1 - 2	DC-Las Vegas-Honolulu	Y	Y	N	1 Day
Int'l Chain Salon Association	May 20-21	DC-Jacksonville, FL-DC	Y	Y	N	1 Day
Int'l Longshoremen's Assn.	July 22-23	DC-Fort Lauderdale-DC	Y	Y	N	1 Day
American Sugar Alliance	August 5-7	DC-Napa Valley-Honolulu	Y	Y	N	2 Days

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 3:48

HC

(Office Use Only) CLERK U.S. HOUSE OF REPRESENTATIVES

Gary L. Ackerman
(Full Name)

202-225-2601
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: NY District: 05 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

5

SCHEDULE I - EARNED INCOME

Name Gary L. Ackerman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Pride of Judea Mental Health Center/Jewish Board of Family and Children's Services	Spouse Compensation	N/A
Spouse Private Practice/Counseling	Spouse Compensation	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary L. Ackerman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Tribco, LLC (newspaper company), Flushing, NY	$250,001 - $500,000	CAPITAL GAINS	$15,001 - $50,000	
IRA--Nationwide Annuity: Best of America IV Fixed Account	$15,001 - $50,000	INTEREST	$201 - $1,000	
IRA--Family Management Corp.	$50,001 - $100,000	See below for specific assets	See below	See below
IRA--Nationwide Annuity: Best of America IV Fixed Account (part of Family Management Corp. IRA)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Pershing/Alliance Cash/Money Market Government Account (part of Family Management Corp. IRA)	$1,001 - $15,000	INTEREST	$1 - $200	

Sonus Networks, Inc. Stock (part of Family Management Corp. IRA)	$1,001 - $15,000	None	NONE	
Fairholme Fund (part of Family Management Corp. IRA)	$1,001 - $15,000	INTEREST/CAPITAL GAINS	$1 - $200	P
Melrose Credit Union	$1 - $1,000	INTEREST	$1 - $200	
Citibank (checking/savings/Money Market accounts)	$1,001 - $15,000	INTEREST	$1 - $200	
SWN (stock)	$15,001 - $50,000	None	NONE	P
IRA Sterling Trust/Esquire Bank	$15,001 - $50,000	None	NONE	
Fidelity Investments, Magellan Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Congressional Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200	
Pershing/Alliance Cash/Money Market Government Account	None	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Gary L. Ackerman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fairholme Fund (part of Family Management Corp. IRA)	P	12/21/07	$60
	SWN (stock)	P	6/30/07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Gary L. Ackerman

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Selig Zises	Loan secured by stock	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gary L. Ackerman

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
AIPAC	March 10-11	Washington, DC (no travel provided)	Y	Y	N	None
Saban Center for Middle East Policy	November 1-6	Washington, DC-Israel-New York	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Gary L. Ackerman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors (unpaid)	Tribco LLC

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 5:27
MC
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

ROBERT BROWN ADERHOLT
(Full Name)

202-225-4876
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: AL District: 4TH	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT BROWN ADERHOLT

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	60 Acres Land - Hoggleridge Haleyville AL	$15,001 - $50,000	Other: Coal Royalties	NONE	
JT	6207 30th Street North Arlington, VA 22207	$500,001 - $1,000,000	Rent	$1,001 - $2,500	
SP	65 Acres Land - Greenbrier Farms/McDonald Farms Partnership	$100,001 - $250,000	Rent	$2,501 - $5,000	
SP	Beaver Dam Farm Inc	$1,001 - $15,000	Other: Farm Income	$5,001 - $15,000	
	Com Stk - Ivax Corp/TEVA Pharmaceuticals	None	Capital Gains	$2,501 - $5,000	S
	Commercial Bldg - Hwy 5 South Haleyville AL	$250,001 - $500,000	Rent	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT BROWN ADERHOLT

	Common Stock - Alliance Capital	$1,001 - $15,000	Dividends	NONE	
	Common Stock - American Movil S A	$1 - $1,000	Dividends	$201 - $1,000	
	Common Stock - Glaxosmith Kline	$1,001 - $15,000	Dividends	$201 - $1,000	
	Common Stock - H & Q Healthcare	$1,001 - $15,000	Capital Gains	$201 - $1,000	
JT	Common Stock - Navistar International	$1,001 - $15,000	Dividends	NONE	
	Common Stock - Oppenheimer & Co	$1 - $1,000	Dividends	$1 - $200	
	Common Stock - Prime Cash Series	$1,001 - $15,000	Dividends	NONE	
	Common Stock - Telefonos De Mexico	$1 - $1,000	Dividends	$1 - $200	
SP	Congressional Federal Credit Union	$1 - $1,000	Interest	NONE	
	Congressional Federal Credit Union	$1 - $1,000	Interest	NONE	
SP, DC	Greenbrier Enterprises LLC	$50,001 - $100,000	Rent	$5,001 - $15,000	
	IRA - New York Life Insurance	$1,001 - $15,000	Intererst	N/A	
	IRA - NY Life Securities Inc	$50,001 - $100,000	Dividends	N/A	
	IRA - Traders & Farmers Bank	$1,001 - $15,000	Interest	N/A	

.SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT BROWN ADERHOLT

JT	Superior Bank	$1,001 - $15,000	Interest	$1 - $200	
SP	Traders & Farmers Bank	$1 - $1,000	Interest	NONE	
DC	Traders & Farmers Bank	$1 - $1,000	Interest	$1 - $200	
	Traders & Farmers Bank	$1,001 - $15,000	Interest	NONE	

SCHEDULE IV - TRANSACTIONS

Name ROBERT BROWN ADERHOLT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Com Stk - Ivax Corp/TEVA Pharmaceuticals	S	04-01-2007	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT BROWN ADERHOLT

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Heritage Foundation	Feb 1 - 3	Washington DC - Baltimore - Washington DC	Y	Y	N	None
International Foundation	May 25 - 30	Washington DC - Sofia, Bulgaria - Belgrade, Serbia - Washington DC	Y	Y	N	None

2 of 6

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:56
U.S. HOUSE OF REPRESENTATIVES

MC

WILLIAM TODD AKIN
(Full Name)

202 225 2561
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: MO District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	Grace Presbyterian Church Men's Group	Speech	Mar. 3, 2007	$200

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P
S
E

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC	*Examples:* Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
JT	MERRILL LYNCH RETIREMENT ANNUITY – QUALITY EQUITY				X															ANNUITY	X											
	IRA AIM WEINGARTEN			X											X						X											
SP	IRA AIM WEINGARTEN			X											X						X											
	ROTH IRA DUS DREMAN HENN			X											X							X										
SP	ROTH IRA			X											X							X										
JT	FORD MOTOR BONDS			X											X								X									

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name W. TODD AKIN

Page 5 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	AT + T				X										X								X									
JT	BRISTOL - MYERS SQUIBB			X											X								X									
JT	DU PONT			X											X								X									
JT	GENERAL ELECTRIC			X											X								X									
JT	GENERAL MOTORS			X											X								X									
JT	GOODYEAR TIRE				X									X							X											
JT	IDEARC		X												X							X										
JT	INTERNATIONAL PAPER			X											X								X									
JT	JP MORGAN			X											X								X									
JT	LSI LOGIC			X										X							X											
JT	MERCK				X										X								X									
JT	NOKIA				X										X								X									
JT	VERIZON			X											X								X									

This page may be copied if more space is required.

Name W. Todd Akin | Page 6 of 6

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Heritage Foundation	Feb 1-2	DC - Baltimore	Y	Y	N	None
	Club for Growth	Mar 31-Apr 1	St. Louis - Palm Beach, FL - St. Louis	Y	Y	Y	None

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 3:00
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Rep. Rodney M. Alexander
(Full Name)

202-225-8490
(Daytime Telephone)

Filer Status	[X] Member of the U.S House of Representatives	State: LA District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? ***If yes, complete and attach Schedule VI.***	Yes [✓] No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [✓] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [✓] No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? ***If yes, complete and attach Schedule IV.***	Yes [ ]	No [✓]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [✓]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

Name Alexander	Page ___ of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Teachers Retirement System, State of Louisiana	Spouse Retirement	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Alexander | Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500

This page may be copied if more space is required.

Name Alexander | Page 1 of 1

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Owner	Asset	Block B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	Block E: Transaction
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples: Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY Accounts						X									X									X							
JT	Anadarko Oil lease on home property					Indefinite														Royalties						✓						
JT	4XCO purchase the land leased away from Anadarko					indefinite														Royalties						✓						
JT	Jonesboro State Bank			✓																		✓										
JT	UA Capitol Federal Credit Union			✓																		✓										

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Alexander

Page 1 of 1

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

This page may be copied if more space is required.

Name Alexander | Page 1 of 1

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Coporation Common Stock (partial sale)		X		10–12–07		X								

This page may be copied if more space is required.

Name Alexander

Page 1 of 1

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
Representative Rodney Alexander, Staff	lodging at the Middleton Inn (approved & exempt by Committee on Standards)	$387.00

Use additional sheets if more space is required.

Name Alexander | Page 1 of 1

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Center for Democracy in the Americas	May 27–June 1	DC-Miami - Havana, Cuba Miami - DC	Y	Y	N	None

This page may be copied if more space is required.

Name Alexander | Page 1 of 1

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Board Member	Food Bank of Central Louisiana

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2006 41-219 (mac)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 9:19

MC

U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

Thomas H. Allen (Full Name)

207-225-6116 (Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: ME, District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas H. Allen

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	UBS Financial Services Acct	$1,001 - $15,000	INTEREST	$1 - $200	
JT	America Funds Wolrd Growth Inc CL- A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
JT	America Funds America Balanced Fund CL- A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	America Funds America Mutual Funds CL -A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	UBS Inherited IRA: Amer Funds Capital World Growth Inc CL-A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	UBS Inherited IRA: Amer Funds Amer Balanced Fund CL-A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas H. Allen

	UBS Inherited IRA: Amer Funds Amer Mutual Fund CL-A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	UBS Inherited IRA: UBS Bank USA Dep Account	$15,001 - $50,000	INTEREST	$1 - $200	
	Drummond Woodsum PSP: Fed Interm Corp Bond	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
	Drummond Woodsum PSP: Vanguard 500 Index	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Drummond Woodsum PSP: Growth Fund of America	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	ICMA Retire Corp Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	245 Commercial St Portland, ME - Real Estate Partnership	$100,001 - $250,000	None/Partnership	NONE	

SCHEDULE IV - TRANSACTIONS

Name Thomas H Allen

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	UBS World Growth and Income Fund CL-A	S(part)	9-25-07	$1,001 - $15,000
JT	UBS World Growth and Income Fund CL-A	S(part)	8-06-07	$1,001 - $15,000
JT	UBS World Growth and Income Fund CL-A	S(part)	6-11-07	$1,001 - $15,000
JT	UBS World Growth and Income Fund CL-A	S(part)	1-08-07	$1,001 - $15,000
JT	UBS American Funds	S(part)	9-25-07	$1,001 - $15,000
JT	UBS American Balanced Fund CL-A	S(part)	8-06-07	$1,001 - $15,000
JT	UBS American Balanced Fund CL-A	S(part)	6-11-07	$1,001 - $15,000
JT	UBS American Balanced Fund CL-A	S(part)	1-08-07	$1,001 - $15,000
JT	UBS American Funds American Mutual Fund CL-A	S(part)	9-25-07	$1,001 - $15,000
JT	UBS American Funds American Mutual Fund CL-A	S(part)	8-06-07	$1,001 - $15,000
JT	UBS American Funds American Mutual Fund CL-A	S(part)	6-11-07	$15,001 - $50,000
JT	UBS American Funds American Mutual Fund CL-A	S(part)	1-08-07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Thomas H. Allen

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bangor Savings Bank	Mortgage on 245 Commercial St Portland, ME	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

[illegible] 14 PM 4:06 MO

(Office Use Only)

Jason Altmire (Full Name)

724-940-2654 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: PA District: 4	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Jason Altmire

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
AARP	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jason Altmire

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	American Funds	$1,001 - $15,000	None	NONE	
SP	Ariba Stock	$1,001 - $15,000	None	NONE	
	Artisan MidCap Fund	$15,001 - $50,000	None	$1 - $200	
SP	Baron Growth Fund	$1,001 - $15,000	None	NONE	
SP	Janus Balance Fund	$1,001 - $15,000	DIVIDENDS	NONE	
	Paragon Life Cash Value	$50,001 - $100,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jason Altmire

	Sirius Stock	$1,001 - $15,000	None	NONE	
SP	T. Rowe Price Blue Chip	$15,001 - $50,000	None	NONE	
SP	TRP MidCap Growth	$15,001 - $50,000	None	NONE	
SP	TRP Personal Strategy Growth	$15,001 - $50,000	None	NONE	
	UPMC Retirement Plan	$100,001 - $250,000	None	NONE	
	Vanguard Wellington	$50,001 - $100,000	None	NONE	
SP	Walt Disney Stock	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE IV - TRANSACTIONS

Name Jason Altmire

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Home	S	9-27-07	$100,001 - $250,000
	Home	P	10-4-07	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jason Altmire

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Aug. 11-19	DC-Tel Aviv	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Jason Altmire

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Pittsburgh Ballet Theater
Board Member	Heritage Health Foundation
Board Member	Lawrence County Social Services
Board Member	Penn State - Beaver

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 03
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Robert E. Andrews
(Full Name)

856-546-5100
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: NJ — District: 01 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Robert E. Andrews

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Rutgers Law School	Spouse Salary	N/A
Dilworth Paxson LLP	Spouse Salary	N/A
Context Balance Capitol	Spouse Salary	N/A
AYCO Charitable Foundation, New York	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert E. Andrews

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Citizens Bank Checking Account	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Morgan Stanley Money Market Fund	None	INTEREST	$201 - $1,000	
	Olive B. Andrews Testamentary Trust (50%) remainder interest in Life Estate. NOTE: This trust was not created by the reported individual and the reporting individual has no specific knowledge of the holdings of the trust	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	PNC National Bank Checking	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert E. Andrews

SP	Property-State of New Jersey Converted to Dividends from Sale	None	Sale of/Stock Dividends	$1,001 - $2,500	
SP	Stock-PEPCO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	The Hershey Company	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Robert E. Andrews

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Property-State of New Jersey Converted to Dividends from Sale	S	05-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Robert E. Andrews

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Commerce Bank Visa PO Box 2580, Cherry Hill, NJ 08034	Credit Card	$10,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:31
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Michael A. Arcuri
(Full Name)

202-225-3665
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: NY District: 24	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name Arcuri	Page 2 of 6

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Metropolitan Life Auto & Home	spouse salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Arcuri

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are *self directed* (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, *name the institution* holding the account and its value at the end of the reporting period. *For an active business that is not publicly traded*, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the *instruction booklet*.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For *retirement* plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***Including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC, *Examples:*	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah, KY Accounts						X									X									X							
	1 Hopper Street Utica, NY				X									X							X											
	COARC LLC, Route 12 Remsen, NY				X											X								X								
	COARC South Street LLC Utica, NY				X											X								X								
	Bishop Rosen & company (last years deferred comp and its life rolled into this)				X										X		X								X							S (partial)/ exchange

For additional assets and *unearned income*, use next page.

Name Arcuri | Page 4 of 6

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
	US Life Insurance Company			X	Q2	X									
	Deferred compensation NYS		X	X	Q2		X								

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Adirondack Bank	mortage 2017 Crestway				X						
	Adirondack Bank	unsecured loan		X								
	Discover card	credit card	X									
	Student loan - Sallie Mae	student loan		X								
	Citizen's Auto Finance	car loan	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Director/Treasurer	COARC LLC
Director/Treasurer	COARC South Street LLC
Partner	COARC Management

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2009 MAY 15 PM 12:48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

JOE BARA (Full Name)

202-225-6161 (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 43rd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name	JOE BACA	Page 2 of 2

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	RIALTO Unified SCHOOL DISTRICT	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name JOE BACA

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

		Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction
SP		SP Mega Corp Stock					X									X			X						X								S (partial)
DC	Examples:	Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X									X									X							
		GTE/UCLEM			X											X																	
		PERS Retirement		X																	PENSION	N/A											
		STRS - State Ret.			X																PENSION	N/A											
		American Nat'l Ins Co			X																IRA	N/A											

For additional assets and unearned income, use next page.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 1:11

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Spencer T. Bachus III
(Full Name)

(202) 225-4921
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: AL — District: Six ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Spencer T. Bachus III

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the Instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent ch.®. (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Congressional Federal Cr Un	$1 - $1,000	INTEREST	$1 - $200	
	Fidelity Investments	$1 - $1,000	INTEREST/CAPITAL GAINS	$1 - $200	PS&E
	2351 Rocky Ridge Rd., Birmingham,al	$100,001 - $250,000	RENT	$15,001 - $50,000	
SP	CitiBank NA	$1,001 - $15,000	INTEREST	$1 - $200	
SP	CG Capital Markets- MM Invests	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS&E
SP	CG Capital markets-Core Fixed Inc Fd	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS&E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Spencer T. Bachus III

SP	CG Capital Markets-Large Cap Value Invest	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	PS&E
SP	CG Capital Markets-Small Cap Growth Invest	$1 - $1,000	CAPITAL GAINS	$1,001 - $2,500	
SP	CG Capital Markets-Small Cap Value Invest	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS&E
SP	CG Capital Markets-Emerging Markets Invest	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS&E
SP	CG Capital markets-Interna'l Fixed Income	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS&E
SP	CG Capital Markets -Interna'l Equity Invest	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS&E
SP	CG Capital Markets-Large Cap Growth Invest	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS&E
SP	Pacific Life Annuity	$250,001 - $500,000	INTEREST	NONE	
SP	Southwood Properties	$100,001 - $250,000	RENT	$15,001 - $50,000	
SP	Regions Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Regions Bank	$1 - $1,000	INTEREST	$1 - $200	
SP	Smith Barney IRA	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	
	Put Apple inc. JAN 95	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Call Carmax Inc JAN20	None	CAPITAL GAINS	$1,001 - $2,500	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Spencer T. Bachus III

	Call Conocophillips MAR 65	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Put Exxon Mobil Corp APR 721/2	None	CAPITAL GAINS	$201 - $1,000	PS
	Put WXOExxon Mobil JAN 75	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Call Focus Media Hldg JAN 55	None	CAPITAL GAINS	$5,001 - $15,000	PS
	Call Ishares TR FTSE JUL 137	None	CAPITAL GAINS	$201 - $1,000	PS
	Call Ishares TR Russell AUG 94	None	CAPITAL GAINS	$201 - $1,000	PS
	Put Ishares TR Russell May 125	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Put Ishares TR S&P MAR 100	None	CAPITAL GAINS	$15,001 - $50,000	PS
	Put Ishares TR FRSE DEC 190	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Put Ishares TR FTSE APR 112	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Put Ishares TR FTSE Dec 156	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Call Kansas City Dec 35	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Call Palm Inc New FEB 121/2	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Put Petrochina Co DEC 230	None	CAPITAL GAINS	$2,501 - $5,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Spencer T. Bachus III

	Put Powershares Exchange SEP 22	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Call Proshares TR Ultr JAN 35	None	CAPITAL GAINS	$5,001 - $15,000	PS
	Call Proshares TR Ultra JAN 36	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Put Research In Motion FEB 130	None	CAPITAL GAINS	$201 - $1,000	PS
	Put S&P 500 Depository FEB 145	None	CAPITAL GAINS	$5,001 - $15,000	PS
	Put S&P 500 Depository SEP 155	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Put Sony Corp ADR New JAN 55	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Put Sony Corp ADR New NOV 50	None	CAPITAL GAINS	$201 - $1,000	PS
	Put Sony Corp ADR New DEC 45	None	CAPITAL GAINS	$2,501 - $5,000	PS

SCHEDULE IV - TRANSACTIONS

Name Spencer T. Bachus III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Smith Barney Security Transactions-Attached Sch	PS&E	Various	$100,001 - $250,000
	CALL APPLE JAN 95	PS	01/16/07 01/18/07	$1,001 - $15,000
	CALL BURLINGTON NORTHERN JAN 80	PS	11/19/07 11/26/07	$15,001 - $50,000
	CALL BURLINGTON NORTHERN JAN 80	PS	11/21/07 11/26/07	$1,001 - $15,000
	CALL CARMAX JAN 20	PS	11/27/07 12/12/07	$1,001 - $15,000
	CALL CONOCOPHILLIPS MAR 65	PS	03/05/07 03/0707	$1,001 - $15,000
	PUT EXXON MOBIL FEB 75	PS	02/07/07 02/20/07	$1,001 - $15,000
	PUT EXXON MOBIL APR 72 1/2	PS	03/14/07 03/16/07	$1,001 - $15,000
	PUT EXXON MOBIL JUN 80	PS	04/30/07 05/07/07	$1,001 - $15,000
	PUT (LEAP 2010) WXOEXXON MOBIL JAN 75	PS	11/30/06 01/04/07	$1,001 - $15,000
	CALL FOCUS MEDIA HLDG JAN 55	PS	11/27/07 12/10/07	$15,001 - $50,000
	PUT ISHARES TR FTSE SEP 152	PS	09/04/07 09/24/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Spencer T. Bachus III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	CALL ISHARES TR FTSE JUL 137	PS	06/29/07 07/09/07	$1,001 - $15,000
	PUT ISHARES TR DOW JONES JUL 171/2	PS	05/24/07 06/14/07	$1,001 - $15,000
	PUT ISHARES INC MSCI APR 22	PS	03/20/07 04/23/07	$1,001 - $15,000
	PUT ISHARES TR S&P MAY 89	PS	05/15/07 05/18/07	$1,001 - $15,000
	CALL ISHARES TR RUSSELL AUG 94	PS	08/16/07 08/16/07	$1,001 - $15,000
	PUT ISHARES TR RUSSELL MAY 125	PS	04/20/07 04/30/07	$1,001 - $15,000
	PUT ISHARES TR RUSSELL MAY 59	PS	05/07/07 05/21/07	$1,001 - $15,000
	PUT ISHARES TR FTSE FEB 162	PS	01/16/07 02/16/07	$1,001 - $15,000
	PUT ISHARES TR S&P MAR 100	PS	02/16/07 03/14/07	$15,001 - $50,000
	PUT ISHARES S&P GSCI APR 44	PS	03/07/07 04/23/07	$1,001 - $15,000
	PUT ISHARES TR RUSSELL JUN 58	PS	06/04/07 06/18/07	$1,001 - $15,000
	PUT ISHARES TR FTSE NOV 160	PS	11/13/07 11/19/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Spencer T. Bachus III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PUT ISHARES TR FTSE DEC 190	PS	11/15/07 11/16/07	$15,001 - $50,000
	PUT ISHARES TR FTSE SEP 136	PS	09/11/07 09/24/07	$1,001 - $15,000
	PUT ISHARES TR FTSE APR 112	PS	04/17/07 04/20/07	$1,001 - $15,000
	PUT ISHARES TR FTSE SEP 125	PS	08/20/07 09/24/07	$1,001 - $15,000
	PUT ISHARES TR FTSE NOV 170	PS	11/13/07 11/19/07	$1,001 - $15,000
	PUT ISHARES TR FTSE NOV 167	PS	11/13/07 11/19/07	$1,001 - $15,000
	PUT ISHARES TR FTSE SEP 130	PS	09/11/07 09/24/07	$1,001 - $15,000
	PUT ISHARES TR FTSE NOV 159	PS	10/15/07 11/12/07	$15,001 - $50,000
	PUT ISHARES TR JUN 40	PS	06/14/07 06/18/07	$1,001 - $15,000
	PUT ISHARES TR FTSE SEP 151	PS	09/14/07 09/24/07	$1,001 - $15,000
	PUT ISHARES TR FTSE APR 107	PS	04/05/07 04/23/07	$1,001 - $15,000
	PUT ISHARES TR FTSE OCT 165	PS	09/20/07 10/22/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Spencer T. Bachus III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PUT ISHARES TR FTSE OCT 170	PS	10/09/07 10/22/07	$1,001 - $15,000
	PUT ISHARES TR FTSE DEC 156	PS	11/05/07 11/19/07	$1,001 - $15,000
	CALL KANSAS CITY DEC 35	PS	11/20/07 12/06/07	$1,001 - $15,000
	CALL PALM INC NEW FEB 15	PS	12/13/06 02/15/07	$1,001 - $15,000
	CALL PALM INC NEW FEB 121/2	PS	12/26/06 01/04/07	$1,001 - $15,000
	CALL PALM INC NEW JAN 12	PS	01/09/07 01/16/07	$1,001 - $15,000
	PUT PETRCHINA CO DEC 230	PS	10/31/07 11/05/07	$1,001 - $15,000
	PUT POWERSHARES EXCHANGE SEP 22	PS	07/09/07 08/06/07	$1,001 - $15,000
	CALL PROSHARES TR ULTRA JAN 35	PS	11/05/07 11/09/07	$1,001 - $15,000
	CALL PROSHARES TR ULTRA JAN 36	PS	12/12/07 12/19/07	$1,001 - $15,000
	PUT RESEARCH IN MOTION FEB 130	PS	01/04/07 01/16/07	$1,001 - $15,000
	PUT S&P 500 DEPOSITORY FEB 145	PS	12/11/07 12/19/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Spencer T. Bachus III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PUT S&P 500 DEPOSITORY SEP 155	PS	09/19/07 09/20/07	$15,001 - $50,000
	PUT SONY CORP ADR NEW JAN 55	PS	12/11/07 12/14/07	$15,001 - $50,000
	PUT SONY CORP ADR NEW NOV 50	PS	11/01/07 11/05/07	$1,001 - $15,000
	PUT SONY CORP ADR NEW DEC 45	PS	10/31/07 11/09/07	$1,001 - $15,000
	PUT XILINX INC APR 25	PS	03/21/07 04/23/07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Spencer T. Bachus III

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Compass Bank	Mortgage 2351 Rocky Ridge Rd., Birmingham,al	$100,001 - $250,000

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	68.154	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	04/23/04	01/26/07	$ 550.00	$ 558.18	($ 8.18)	
125000200	70.422	CG CAPITAL MARKETS CORE FIXED INCOME FUND	04/23/04	02/23/07	573.94	576.76	(2.82)	
	4.495		04/30/04		36.63	36.68	(.05)	
	4.505		05/28/04		36.72	36.49		.23
	3.043		06/25/04		24.80	24.68		.12
	2.998		07/30/04		24.43	24.52	(.09)	
	2.943		08/27/04		23.99	24.37	(.38)	
	4.44		09/24/04		36.19	37.03	(.84)	
	4.4		10/29/04		35.86	36.83	(.97)	
	4.403		11/26/04		35.88	36.63	(.75)	
	5.818		12/17/04		47.42	48.35	(.93)	
	4.308		01/28/05		35.11	35.93	(.82)	
	1,059.623		02/01/05		8,635.92	8,837.25	(201.33)	
125000300	19.514	CG CAPITAL MARKETS CORE FIXED INCOME FUND	02/01/05	02/23/07	159.04	162.75	(3.71)	
	372.287		02/18/05		3,034.14	3,101.15	(67.01)	
125000400	203.943	CG CAPITAL MARKETS CORE FIXED INCOME FUND	02/18/05	02/23/07	1,662.13	1,698.85	(36.72)	
	9.49		02/25/05		77.34	78.77	(1.43)	
	9.51		03/31/05		77.51	78.08	(.57)	
	9.322		04/29/05		75.97	77.28	(1.31)	
	9.204		05/27/05		75.01	76.58	(1.57)	
	9.078		06/24/05		73.99	75.89	(1.90)	
	84.712		06/27/05		690.41	709.04	(18.63)	
125000500	234.655	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	02/23/07	1,912.44	1,964.06	(51.62)	
125000600	36.541	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	02/27/07	300.00	305.85	(5.85)	
125000700	14.652	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	03/14/07	120.00	122.64	(2.64)	
125000800	36.63	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	03/20/07	300.00	308.59	(8.59)	

[redacted]

2007 YEAR END SUMMARY

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000900	36.675	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	03/23/07	$ 300.00	$ 306.97	($ 6.97)	
125001000	36.675	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	03/27/07	300.00	306.97	(6.97)	
125001100	36.81	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	04/27/07	300.00	308.10	(8.10)	
125001200	36.9	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	05/04/07	300.00	308.85	(8.85)	
125001300	37.175	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	05/25/07	300.00	311.16	(11.16)	
125001400	16.984	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	06/21/07	134.85	142.16	(7.31)	
125001500	37.594	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	06/27/07	300.00	314.66	(14.66)	
125001600	37.406	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	07/27/07	300.00	313.09	(13.09)	
125001700	44.39	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	08/27/07	360.00	371.54	(11.54)	
125001800	44.064	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	09/27/07	360.00	368.82	(8.82)	
125001900	29.484	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	10/01/07	240.00	246.78	(6.78)	
125002000	80.292	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	10/26/07	660.00	672.04	(12.04)	
125002100	79.614	CG CAPITAL MARKETS CORE FIXED INCOME FUND SYSTEMATIC REDEMPTION	06/27/05	11/27/07	660.00	666.37	(6.37)	
125002200	.553	CG CAPITAL MARKETS CORE FIXED INCOME FUND	06/27/05	12/21/07	4.56	4.63	(.07)	
	12.064		07/28/05		99.53	99.89	(.36)	
	11.936		08/28/05		98.47	98.95	(.48)	

[redacted]

2007 YEAR END SUMMARY

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	12.032		09/30/05		$ 99.26	$ 99.26		
	7.051		10/28/05		58.16	57.47		.71
125002300	5.166	CG CAPITAL MARKETS CORE	10/28/05	12/27/07	42.57	42.10		.47
	12.211	FIXED INCOME FUND	11/25/05		100.62	99.89		.73
	28.876	SYSTEMATIC REDEMPTION	12/30/05		237.94	235.63		2.31
	12.063		01/31/06		99.40	98.31		1.09
	21.781		02/09/06		179.47	177.30		2.17
125002400	44.131	CG CAPITAL MARKETS-	02/27/04	01/28/07	550.31	454.11		96.20
	44.081	LARGE CAP VALUE INVESTMENTS	04/23/04		549.69	448.74		100.95
		SYSTEMATIC REDEMPTION						
125002500	109.429	CG CAPITAL MARKETS-	04/23/04	02/23/07	1,394.13	1,113.99		280.14
		LARGE CAP VALUE INVESTMENTS						
125002600	21.61	CG CAPITAL MARKETS-	04/23/04	02/23/07	275.31	219.99		55.32
		LARGE CAP VALUE INVESTMENTS						
125002700	88.997	CG CAPITAL MARKETS-	04/23/04	02/27/07	1,100.00	905.99		194.01
		LARGE CAP VALUE INVESTMENTS						
		SYSTEMATIC REDEMPTION						
125002800	36.007	CG CAPITAL MARKETS-	04/23/04	03/14/07	440.00	366.55		73.45
		LARGE CAP VALUE INVESTMENTS						
125002900	88.424	CG CAPITAL MARKETS-	04/23/04	03/20/07	1,100.00	900.16		199.84
		LARGE CAP VALUE INVESTMENTS						
125003000	72.53	CG CAPITAL MARKETS-	04/23/04	03/23/07	918.23	738.35		179.88
	14.358	LARGE CAP VALUE INVESTMENTS	12/17/04		181.77	156.36		25.41
125003100	38.368	CG CAPITAL MARKETS-	12/17/04	03/27/07	483.43	417.83		65.60
	48.934	LARGE CAP VALUE INVESTMENTS	02/01/05		615.57	534.85		81.72
		SYSTEMATIC REDEMPTION						
125003200	89.523	CG CAPITAL MARKETS-	02/01/05	04/27/07	1,100.00	912.91		187.09
		LARGE CAP VALUE INVESTMENTS						
		SYSTEMATIC REDEMPTION						
125003300	82.831	CG CAPITAL MARKETS-	02/01/05	05/04/07	1,100.00	905.34		194.66
		LARGE CAP VALUE INVESTMENTS						
125003400	82.09	CG CAPITAL MARKETS-	02/01/05	05/25/07	1,100.00	897.24		202.76
		LARGE CAP VALUE INVESTMENTS						
		SYSTEMATIC REDEMPTION						
125003500	36.655	CG CAPITAL MARKETS-	02/01/05	06/21/07	494.48	400.64		93.84
		LARGE CAP VALUE INVESTMENTS						

[redacted]

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003600	82.459	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	06/27/07	$ 1,100.00	$ 901.28		$ 198.72
125003700	86.072	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	07/27/07	1,100.00	940.77		159.23
125003800	102.964	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	08/27/07	1,320.00	1,125.40		194.60
125003900	106.38	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS	02/01/05	09/21/07	1,411.66	1,162.73		248.93
125004000	94.88	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	09/27/07	1,260.00	1,037.04		222.96
125004100	62.78	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS	02/01/05	10/01/07	840.00	686.19		153.81
125004200	95.745	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	10/26/07	1,260.00	1,046.49		213.51
125004300	103.789	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	11/27/07	1,260.00	1,134.41		125.58
125004400	55.703	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS	02/01/05	12/21/07	630.00	608.83		21.17
125004500	111.801	CG CAPITAL MARKETS- LARGE CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	12/27/07	1,260.00	1,221.99		38.01
125004600	7.663	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	01/26/07	100.00	120.85	(20.85)	
125004700	15.474	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	02/23/07	211.37	244.03	(32.66)	
125004800	7.57	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	02/27/07	100.00	119.38	(19.38)	
125004900	3.079	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	03/14/07	40.00	48.58	(8.58)	

[redacted]

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005000	7.508	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	03/20/07	$ 100.00	$ 118.40	($ 18.40)	
125005100	7.375	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	03/23/07	100.00	118.30	(18.30)	
125005200	7.435	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	03/27/07	100.00	117.25	(17.25)	
125005300	7.174	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	04/27/07	100.00	113.13	(13.13)	
125005400	7.123	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	05/04/07	100.00	112.33	(12.33)	
125005500	6.998	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	05/25/07	100.00	110.36	(10.36)	
125005600	3.102	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	06/21/07	44.95	48.92	(3.97)	
125005700	6.935	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	06/27/07	100.00	109.37	(9.37)	
125005800	7.44	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	07/27/07	100.00	117.33	(17.33)	
125005900	8.902	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	08/27/07	120.00	140.38	(20.38)	
125006000	8.895	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	09/27/07	120.00	140.27	(20.27)	
125006100	5.848	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	10/01/07	80.00	92.22	(12.22)	
125006200	8.876	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	10/26/07	120.00	139.98	(19.98)	
125006300	9.812	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	11/27/07	120.00	154.74	(34.74)	

[redacted]

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006400	5.571	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS	02/18/05	12/21/07	$ 60.00	$ 87.86	($ 27.86)	
125006500	11.299	CG CAPITAL MARKETS- SMALL CAP VALUE INVESTMENTS SYSTEMATIC REDEMPTION	02/18/05	12/27/07	120.00	178.19	(58.19)	
125006600	15.944	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/27/04	01/26/07	250.00	131.86		118.14
125006700	25.494	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/27/04	02/27/07	400.00	210.84		189.16
125006800	10.471	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/27/04	03/14/07	160.00	86.60		73.40
125006900	25.445	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/27/04	03/20/07	400.00	210.43		189.57
125007000	24.722	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/27/04	03/23/07	400.00	204.45		195.55
125007100	24.814	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/27/04	03/27/07	400.00	205.21		194.79
125007200	11.295 1.356 1.819 9.032	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/27/04 04/23/04 04/23/04 12/17/04	04/27/07	192.24 23.08 30.96 153.72	93.41 11.27 15.12 80.48		98.83 11.81 15.84 73.24
125007300	3.082 19.92	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	12/17/04 02/01/05	05/04/07	53.60 346.40	27.46 186.85		26.14 159.55
125007400	22.701	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	05/25/07	400.00	212.94		187.06
125007500	10.703	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	06/21/07	202.28	100.39		101.89
125007600	21.645	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	06/27/07	400.00	203.03		196.97
125007700	20.942	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	07/27/07	400.00	196.44		203.56

[redacted]

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007800	25.71	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	08/27/07	$ 480.00	$ 241.16		$ 238.84
125007900	160.731	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	09/21/07	3,298.60	1,507.65		1,788.95
125008000	35.646	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	09/21/07	731.10	334.36		396.74
125008100	28.342	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	09/21/07	581.30	265.85		315.45
125008200	16.198	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	09/21/07	332.19	151.92		180.27
125008300	6.784	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	09/21/07	139.15	63.63		75.52
125008400	22.588	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	09/27/07	480.00	211.88		268.12
125008500	14.692	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	10/01/07	320.00	137.81		182.19
125008600	92.125	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	10/19/07	2,032.28	864.13		1,168.15
125008700	9.73	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	10/19/07	214.65	91.27		123.38
125008800	9.254	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	10/19/07	204.15	86.80		117.35
125008900	1.893	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	10/19/07	41.75	17.76		23.99
125009000	20.601	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	10/26/07	480.00	193.24		286.76
125009100	22.857	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	11/27/07	480.00	214.40		265.60
125009200	12.08	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS	02/01/05	12/21/07	240.00	113.12		126.88
125009300	23.876	CG CAPITAL MARKETS- EMERGING MARKETS INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	12/27/07	480.00	224.89		255.11

[redacted]

2007 YEAR END SUMMARY

77

LINDA H BACHUS
[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009500	12.887	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	02/27/07	$ 100.00	$ 100.52	($ .52)	
125009600	5.128	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	03/14/07	40.00	40.00		
125009700	12.853	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	03/20/07	100.00	100.25	(.25)	
125009800	12.903	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	03/23/07	100.00	100.64	(.64)	
125009900	12.92	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	03/27/07	100.00	100.78	(.78)	
125010000	12.987	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	04/27/07	100.00	101.30	(1.30)	
125010100	13.038	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	05/04/07	100.00	101.70	(1.70)	
125010200	13.089	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	05/25/07	100.00	102.09	(2.09)	
125010300	5.977	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	06/21/07	44.85	46.62	(1.67)	
125010400	13.228	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	06/27/07	100.00	103.18	(3.18)	
125010500	13.055	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	07/27/07	100.00	101.83	(1.83)	
125010600	15.484	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	08/27/07	120.00	120.78	(.78)	
125010700	15.524	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	09/27/07	120.00	121.09	(1.09)	
125010800	10.323	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	10/01/07	80.00	80.52	(.52)	

[redacted]

2007 YEAR END SUMMARY

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010900	15.306	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	10/26/07	$ 120.00	$ 119.39		$ .61
125011000	15.228	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	11/27/07	120.00	118.78		1.22
125011100	7.802	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS	02/09/06	12/21/07	60.00	60.86	(.86)	
125011200	15.605	CG CAPITAL MARKETS- INTERNAT'L FIXED INCOME INVTS SYSTEMATIC REDEMPTION	02/09/06	12/27/07	120.00	121.72	(1.72)	
125011300	68.353	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	01/26/07	1,000.00	706.77		293.23
125011400	71.575	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	02/27/07	1,050.00	740.09		309.91
125011500	29.557	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	03/14/07	420.00	305.62		114.38
125011600	71.526	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	03/20/07	1,050.00	739.58		310.42
125011700	70.047	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	03/23/07	1,050.00	724.29		325.71
125011800	70.517	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	03/27/07	1,050.00	729.14		320.86
125011900	67.568	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS *SYSTEMATIC REDEMPTION*	02/01/05	04/27/07	1,050.00	698.65		351.35
125012000	88.624	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	05/04/07	1,050.00	688.89		361.11
125012100	68.498	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	05/25/07	1,050.00	687.59		362.41
125012200	29.5	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	06/21/07	472.00	305.03		166.97

[redacted]

2007 YEAR END SUMMARY

citi smith barney

Smith Barney Reserved Client
FMA PLUS Statement
2007 Year End Summary

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125012300	66.667	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	06/27/07	$ 1,050.00	$ 689.34		$ 360.66
125012400	68.005	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	07/27/07	1,050.00	703.17		346.83
125012500	82.192	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	08/27/07	1,260.00	849.86		410.14
125012600	428.627	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	09/21/07	6,840.88	4,432.00		2,408.88
125012700	70.24	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	09/27/07	1,140.00	726.28		413.72
125012800	48.033	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	10/01/07	760.00	475.98		284.02
125012900	68.841	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	10/26/07	1,140.00	711.82		428.18
125013000	72.152	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	11/27/07	1,140.00	746.05		393.95
125013100	39.419	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS	02/01/05	12/21/07	570.00	407.59		162.41
125013200	78.297	CG CAPITAL MARKETS- INTERNAT'L EQUITY INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	12/27/07	1,140.00	809.59		330.41
125013300	82.329 34.279	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	04/23/04 02/01/05	01/26/07	1,164.95 465.05	960.78 403.46		204.17 61.59
125013400	121.429	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	02/27/07	1,700.00	1,429.22		270.78
125013500	48.921	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	03/14/07	680.00	575.80		104.20
125013600	120.311	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	03/20/07	1,700.00	1,416.06		283.94

[redacted]

2007 YEAR END SUMMARY

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 -continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125013700	116.302	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	03/23/07	$ 1,700.00	$ 1,392.41		$ 307.59
125013800	118.881	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	03/27/07	1,700.00	1,399.23		300.77
125013900	114.478	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	04/27/07	1,700.00	1,347.41		352.59
125014000	114.171	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	05/04/07	1,700.00	1,343.79		356.21
125014100	112.434	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	05/25/07	1,700.00	1,323.35		376.65
125014200	49.591	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	06/21/07	764.20	583.69		180.51
125014300	111.111	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	06/27/07	1,700.00	1,307.78		392.22
125014400	111.916	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	07/27/07	1,700.00	1,317.25		382.75
125014500	134.034	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	08/27/07	2,040.00	1,577.58		462.42
125014600	660.413	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	09/21/07	10,573.21	7,773.06		2,800.15
125014700	118.009	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	09/27/07	1,920.00	1,388.97		531.03
125014800	78.049	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	10/01/07	1,280.00	918.64		361.36
125014900	82.983	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	10/19/07	1,349.30	976.71		372.59
125015000	39.677	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	10/19/07	645.14	467.00		178.14

[redacted]

2007 YEAR END SUMMARY

citi smith barney

Smith Barney Reserved Client
FMA PLUS Statement
2007 Year End Summary

LINDA H BACHUS
[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125015100	115.663	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	10/26/07	$ 1,920.00	$ 1,361.35		$ 558.65
125015200	121.519	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	11/27/07	1,920.00	1,430.27		489.73
125015300	59.259	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS	02/01/05	12/21/07	960.00	697.48		262.52
125015400	90.666 28.019	CG CAPITAL MARKETS- LARGE CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05 02/18/05	12/27/07	1,487.49 452.51	1,089.49 328.66		398.00 123.85
125015500	8.902	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	01/26/07	150.00	120.71		29.29
125015600	18.891	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	02/23/07	302.18	229.04		73.14
125015700	8.726	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	02/27/07	150.00	118.32		31.68
125015800	3.593	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	03/14/07	60.00	48.72		11.28
125015900	8.772	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	03/20/07	150.00	118.95		31.05
125016000	8.562	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	03/23/07	150.00	116.10		33.90
125016100	8.615	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	03/27/07	150.00	116.83		33.17
125016200	8.264	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	04/27/07	150.00	112.06		37.94
125016300	8.246	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	05/04/07	150.00	111.82		38.18
125016400	8.161	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	05/25/07	150.00	110.66		39.34

2007 YEAR END SUMMARY

[redacted]

citi smith barney

Smith Barney Reserved Client
FMA PLUS Statement
2007 Year End Summary

LINDA H BACHUS

[redacted]

Details of Long Term Gain (Loss) 2007 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125016500	3.605	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	06/21/07	$ 67.42	$ 48.88		$ 18.54
125016600	8.099	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	06/27/07	150.00	109.82		40.18
125016700	8.329	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	07/27/07	150.00	112.94		37.06
125016800	9.956	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	08/27/07	180.00	135.00		45.00
125016900	9.39	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	09/27/07	180.00	127.33		52.67
125017000	6.202	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	10/01/07	120.00	84.10		35.90
125017100	4.599	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	10/19/07	88.25	62.36		25.89
125017200	9.231	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	10/26/07	180.00	125.17		54.83
125017300	10.169	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	11/27/07	180.00	137.89		42.11
125017400	3.166	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS	02/01/05	12/21/07	60.00	42.93		17.07
125017500	9.626	CG CAPITAL MARKETS SMALL CAP GROWTH INVESTMENTS SYSTEMATIC REDEMPTION	02/01/05	12/27/07	180.00	130.53		49.47
Total					$ 136,684.60	$ 107,015.83	($ 952.74)	$ 30,831.51

2007 YEAR END SUMMARY

[redacted]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

RESOURCE CENTER
2008 MAY 15 PM 3:35
U.S. HOUSE OF REPRESENTATIVES

HC

Brian Norton Baird
(Full Name)

202-225-3536
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: WA, District: 3	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Brian Norton Baird

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Center for Global Development	Spouse Salry	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Brian Norton Baird

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Congressional Credit Union Checking Account	$1 - $1,000	None	$1 - $1,000	
	Congressional Credit Union Savings Account	$1 - $1,000	None	$1 - $1,000	
	Congressional Credit Union Money Market	$1,001 - $15,000	Dividends	$1- $1,000	
SP	Hartford HAFCX	None	Dividends	$1 - $200	
SP	TIAA - CRF	$100,001 - $250,000	Dividends	$2,501 - $5,000	
	Davis NRVCX	None	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Brian Norton Baird

SP	AIMFDS - Group FD, CL, L	$1,001 - $15,000	Dividends	$1 - $200	
SP	Wachovia Securities Money market CLA	$1,000 - $15,000	Interest	$1 - $200	
SP	Prentice Hall Royalties	Indefinate	Royalties	$15,001 - $50,000	
SP	508 Seward Square, SE Washington DC 20003 (Value of Entire Property)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
SP	INTEL CORP (IRA)	$15,001 - $50,000	Dividends	$1 - $200	
SP	First INDL Realty Trust INC (IRA)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Donaldson Company INC (IRA)	$15,001 - $50,000	Dividends	$1 - $200	
SP	Autozone INC (IRA)	$15,001 - $50,000	Dividends	$1 - $200	
SP	Allied Capitol Corp NEW (IRA)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Bank of America	$1,001 - $15,000	NONE	None	
SP	POLARIS INDUSTRIES (IRA)	$1 - $1,000	None	NONE	
SP	82 Salmon Beach Tacoma Washington	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
SP	SEI INVESTMENTS (IRA)	$15,001 - $50,000	Dividends	$1 - $200	
SP	TIAA-CREF	$100,001 - $250,000	Dividends	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Brian Norton Baird

SP	NVR (UBS INVESTMENT)	$1,001 - $15,000	None	None	
SP	UBS Investment	$1,001 - $15,000	Dividends	$1 - $200	
SP	Guaranteed Education Tuition Account #1	$15,001 - $50,000	None	NONE	
SP	UBS Global Account	$100,001 - $250,000	Dividends	$2,501 - $5,000	
SP	Municipal LLC Growth (UBS INVESTMENT)	$15,001 - $50,000	Dividends	$1 - $200	
SP	CharterMac (UBS INVESTMENT)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Universal Forest Products (UBS INVESTMENT)	$15,001 - $50,000	Dividends	$1 - $200	
SP	Staples (UBS INVESTMENT)	$1,001 - $15,000	None	NONE	
SP	NVR INC (IRA)	$1 - $1,000	None	None	
SP	Polaris (Investment)	$1 - $1,000	Dividends	NONE	
SP	Guaranteed Education Tuition Account #2	$15,001 - $50,000	None	None	
SP	Microsoft (UBS INVESTMENT)	$15,001 - $50,000	Dividends	$1 - $200	
SP	Donaldson Co INC (UBS INVESTMENT)	$15,001 - $50,000	Dividends	$1 - $200	
SP	UBS BANK USA MONEY FUND	$1,001 - $15,000	Interest	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Brian Norton Baird

SP	Autozone (UBS Investment Account)	$1,001 - $15,000	Dividends/None	NONE	
SP	WINNEBAGO INUSTRIES INC (IRA)	$1,001 - $15,000	None	None	
SP	UNIVERSAL FOREST PRODUCT INC (UBS Investment Account)	$1,001 - $15,000	Dividends	$1 - $200	
SP	STARBUCKS CORP (IRA)	$15,001 - $50,000	Dividends	$1 - $200	
SP	STAPLES (IRA)	$1,001 - $15,000	NONE	NONE	
SP	SEI Investments (UBS INVESTMENT)	$15,001 - $50,000	Dividends	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Brian Norton Baird

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Guarateed Education Tutition Account	Purchase	2007	$15,001-50,000
SP	Guaranteed Education Tuition Account	Puchase	2007	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Brian Norton Baird

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by *you, your spouse, or dependent child*. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "*revolving charge accounts*" (i.e., credit cards) only if the balance at the close of the *preceding calendar* year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Homecomings Financial (formely called Capitol Title)	Mortagage on 208 Seward Square DE Washington DC	$500,000-$1,000,000
JT	CFCU Home Equity	Home Equity Loan	$100,001-$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Brian Norton Baird

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. *Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude:* Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	March 31-April 8	DC - Bejing- Shanghai- DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 4:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
MC

Tammy Suzanne Green Baldwin
(Full Name)

202 225-2906
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: Wisconsin District: 2	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☒	No ☐
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

Name Tammy Baldwin | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

Name Tammy Baldwin | Page 3 of 9

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
None				

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P. S. E.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,000 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP		SP	Mega Corp Stock				X									X			X						X								S (partial)
DC	*Examples*		Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY Accounts					X									X									X							
D. Green Irrevocable Trust for Tammy Baldwin:								X																		X							
1) First American Prime Oblig Fund					X													X															P, S (partial)
2) First American Intermediate Term Bond Fund						X										X																	P (partial)
3) First American Short Term Bond Fund						X										X																	S (partial)
4) First American Equity Index Fund					X											X			X														S (partial)
5) First American Mid Cap Growth Opp Fund					X														X														S (partial)

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Tammy Baldwin

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	6) First American Small Cap Stock Fund			X											X			X													P (partial)
	7) Eaton Vance Large Cap Value			X											X			X													P
	8) Laudus International Market Index Fund			X											X			X													P
	9) T. Rowe Price Growth Stock			X											X			X													P
	10) First American Real Estate Securities Fund														X																S
	11) First American Equity Index Fund														X																S
	WI Retirement System				X									X							N/A										
	Qualified Blind Trust							X											X							X					
	Summit CU Checking		X														X					X									
	Summit CU Savings			X													X					X									
JT	Vanguard Money Market Acct			X											X								X								
JT	Home Savings Checking			X													X					X									
JT	Rental Property: 201 Spencer Madison WI								X							X									X						

This page may be copied if more space is required.

Name Tammy Baldwin

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock (partial sale)		X		10–12–07		X								
	D. Green Irrev. Trust for Tammy Baldwin														
	• First American Intermediate Term Bond Fund	X			10-9-07		X								
	• Eaton Vance Large Cap Value	X			10-9-07	X									
	• First American Small Cap Select Fund	X			10-9-07	X									
	• Laudus Int'l Marketmasters Inv Fund	X			10-9-07	X									
	• T Rowe Price Growth Stock	X			10-9-07	X									
	• First American Short Term Bond Fund (partial sale)		X		10-9-07		X								
	• First American Equity Income		X		10-9-07		X								
	• First American Mid Cap Growth Opp Fund (partial sale)		X		10-9-07	X									
	• First American Real Estate Securities Fund		X		10-9-07	X									

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	GMAC Mortgage Corporation Appleton, WI	1st Mortgage on 809 Spaight St Madison, WI - Rental Property				X						
JT	GMAC Mortgage Corporation Appleton, WI	2nd Mortgage on 809 Spaight St Madison, WI - Rental Property			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Commonwealth Fund and the Alliance for Health Care Reform	Jan 12-14	DC - Miami - Madison, WI	Y	Y	Y, partner Lauren Azar	None

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
None	

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 10: 33

U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

James Gresham Barrett (Full Name)

202-225-5301 (Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: SC, District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate *schedule attached for each "Yes" response.*

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name James Gresham Barrett

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
School District Of Oconee County, SC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Gresham Barrett

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Building, 101 W. Main St Westminster, SC	None	RENT/CAPITAL GAINS	$50,001 - $100,000	S
	Building/15.12 Acres Westminster, SC	$15,001 - $50,000	None	NONE	
	Building/Land 220 Rufus Land Rd. Westminster, SC	$50,001 - $100,000	RENT	$2,501 - $5,000	
SP	Certificates of Deposit/Community First Bank	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Condo/4514 36th st., A-2 Arlington, VA/Unit 1397	None	CAPITAL GAINS/RENT	$100,001 - $1,000,000	S
DC	House/Lot 104 Earl Holcombe St Westminster, SC	None	CAPITAL GAINS	$15,001 - $50,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Gresham Barrett

	House/Lot 105 West Oak Hwy Westminster, SC	$15,001 - $50,000	RENT	$2,501 - $5,000	
	House/Lot 106 Frank St. Westminster, SC	$15,001 - $50,000	RENT	$2,501 - $5,000	
	House/Lot 2151 West Oak Hwy Westminster, SC	$50,001 - $100,000	RENT	$2,501 - $5,000	
SP	House/Lot 241 S Retreat St Westminster, SC	$1,001 - $15,000	None	NONE	
SP	House/Lot, 191 S Retreat St Westminster, SC	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Joint Rental/Family Property , Grant Rd. Oakway, SC	$50,001 - $100,000	RENT	$1,001 - $2,500	
	Land, Westminster SC Map #298-00-01-018	$1,001 - $15,000	None	NONE	
JT	Oppenheimer Equity Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	SC Retirement System	$15,001 - $50,000	None	NONE	
	Trailer Space, S. Retreat Rd. Westminster, SC	$1 - $1,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name James Gresham Barrett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Building, 101 W Main St Westminster, SC	S	01-27-07	$50,001 - $100,000
	Condo, 4514 36th St, A-2 Arlington, VA/Unit 1397	S	8-27-07	$250,001 - $500,000
DC	House/Lot 104 Earl Holcombe St Westminster, SC	S	01-29-07	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James Gresham Barrett

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Heritage Foundation	Feb 01, 2007-Feb 02, 2007	Washington, DC-Baltimore, MD	Y	Y	N	none

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
HOUSE OF REPRESENTATIVES
2008 APR 29
OFFICE OF THE CLERK
(Office Use Only)

MC

JOHN JENKINS BARROW
(Full Name)

202-225-2823
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: GA District: 12	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN JENKINS BARROW

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	158 Acres, Oglethorpe County, GA	$1,000,001 - $5,000,000	Rent	$1,001 - $2,500	
	First American Bank & Trust Co. (C.D.)	$250,001 - $500,000	INTEREST	NONE	
	First American Bank & Trust Co. (Int. Bearing Checking Acct.)	$250,001 - $500,000	INTEREST	$1 - $200	
	TSP International Stock Index	$100,001 - $250,000	DIVIDENDS	NA	
	Winburn, Lewis, Barrow & Stolz, P.C. (30%)	$500,001 - $1,000,000	CAPITAL GAINS	$100,001 - $1,000,000	S (partial payment)

SCHEDULE IV - TRANSACTIONS

Name JOHN JENKINS BARROW

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. *Provide a brief*

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TSP International Stock Index	P	Monthly Contributions	$100,001 - $250,000
	Winburn, Lewis, Barrow & Stolz, P.C.	S(part)	12/31/2007	$500,001 - $1,000,000

SCHEDULE VIII - POSITIONS

Name JOHN JENKINS BARROW

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Pope Barrow Cemetary Trust

SCHEDULE IX - AGREEMENTS

Name JOHN JENKINS BARROW

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/2007	John Barrow, Lamar Lewis, Gene Mac Winburn (by POA), Winburn Lewis Barrow & Stolz PC, Winburn Lewis & Stolz LLP	Buyout agreement (disposition of proceeds of future sale of real estate)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Congressman Roscoe Bartlett
(Full Name)

301-662-6284
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives State: MD District: 06 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exceptad trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Congressman Roscoe Bartlett

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
MD State Retirement System	Pension	$15,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Roscoe Bartlett

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	153 acres and 2 houses 2 Spruce Knob Lake Forest Service Rd Pocahontas County, WV	$100,001 - $250,000	None	NONE	
DC	Frederick County Bank	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
JT	House 256 Knoxville Rd Knoxville, MD	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	House 4317 Buckeystown Pike Frederick, MD	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
JT	House 4219 Lime Kiln Rd Frederick, MD	$100,001 - $250,000	RENT	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Roscoe Bartlett

DC	Precious Metals	$250,001 - $500,000	None	NONE
JT	Putnam Bonds	$1,001 - $15,000	None	NONE

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:16
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Joe Linus Barton
(Full Name)

2109 RHOB Washington, DC 20515
(Mailing Address)

202.225.2002
Daytime Telephone

Filer Status	✔ Member of the U.S. House of Representatives — State: TX District: 06	Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Joe Barton	5-15-2008

SCHEDULE I - EARNED INCOME

Name Joe Linus Barton

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
The Congressman Joe Barton Committee	Spouse Salary	N/A
John Peter Smith Hospital	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joe Linus Barton

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is *that of your spouse (SP) or dependent child (DC) or is jointly held (JT)*, in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Ennis Business Forms	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Reliant Energy	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SBC Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TXU Corporation	None	DIVIDENDS	$201 - $1,000	S
Wal Mart	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Joe Linus Barton

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TXU Corporation	S	12-07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Joe Linus Barton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Prosperity Bank, Ennis, TX	Personal Loan	Less than $10,000

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2009 MAY 15 PM 5:21

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Melissa L. Bean
(Full Name)

202-225-3711
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 08	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts—	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions—	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Melissa L. Bean

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Search Resources	Spouse Salary	$130,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Melissa L. Bean

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), *provide the value and income information on each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	ABN AMRO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Alliance	$1,001 - $15,000	None	NONE	
	Bank of America	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Cisco Systems	$1,001 - $15,000	None	NONE	
SP	Cisco Systems	$1,001 - $15,000	None	NONE	
SP	Discover Financial	$1 - $1,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Melissa L. Bean

SP	Evergreen	$15,001 - $50,000	INTEREST	$1 - $200	
	Flagstar Bancorp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Idearc	$1 - $1,000	DIVIDENDS	$1 - $200	
	Idearc Inc.	$1 - $1,000	DIVIDENDS	$1 - $200	
	Income Fund Amer.	$1,001 - $15,000	INTEREST	$201 - $1,000	
	JP Morgan Chase	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Kohls	$1,001 - $15,000	None	NONE	
	Kohls Corp.	$1,001 - $15,000	None	NONE	
SP	Merck	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Microsoft	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Microsoft	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Morgan Stanley	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	New York Community	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Melissa L. Bean

	New York Community	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Nokia	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Nokia	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Verizon	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Verizon	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Walgreens	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Walgreens	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Melissa L. Bean

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Evergreen Money Market	P	12-13-07	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Melissa L. Bean

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Sales Resources

2 of 119

HAND DELIVERED

RESOURCE CENTER

2009 MAY -9 PM 2:04

CLERK
HOUSE OF REPRESENTATIVES

(Office Use Only) HC

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

Shelley Berkley (Full Name)

202-225-5965 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: Nevada, District: 01	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

Name	Page 3 of 19
Shelley Berkley	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Kidney Specialists of Southern NV	**Spouse Salary**	**NA**
Amgen	**Spouse Consulting**	**NA**
Kindred Healthcare	**Spouse Consulting**	**NA**
Advanced Health Media	Spouse Consulting	NA
Leerink Swann & Co. Inc	Spouse Consulting	NA
Pharmerica Corp	Spouse Consulting	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: None	A $1,001 – $15,000	C $15,001 – $50,000	E $50,001 – $100,000	G $100,001 – $250,000	I $250,001 – $500,000	K $500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	Over $25,000,000	Block C: DIVIDENDS	RENT	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I $201 – $1,000	III $1,001 – $2,500	V $2,501 – $5,000	VII $5,001 – $15,000	IX $15,001 – $50,000	XI $50,001 – $100,000	$100,001 – $1,000,000	Over $1,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock				X							X														P
	Simon & Schuster		Indefinite													Royalties						X				
	1st Bank of Paducah, KY accounts													X												
SP	Bank of Nevada NV				X									X					X							
SP	Pentagon Fed Credit Union													X												
	Pentagon Fed Credit Union													X												
	Congressional Fed Credit Union			X										X												
	Nevada State Bank			X													X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

Page 5 of 19

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Morgan Stanely Dean Witt																	
	IRA account- for year end																	
	value, income and detail																	
	transactions see attachment																	
SP	NCA- Nephrology																	
	Management Company											X						
SP	Quail Park V										artnership							
	office complex																	
	Rancho and Alta																	
	Las Vegas NV																	
	500 S. Rancho LV NV																	
SP	The Gin Mill									P	tnership							
	Tavern																	
	2561 Windmill																	
	Henderson NV 89014																	
SP	Metalast International			X						P	tnership	X						
	anodizing aluminum																	
	2241 Park Place Minden NV																	

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

Page 6 of 19

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	RRT, LLC					X					rtnership					X		
	provides dialysis services at 4 dialysis unit in the Las Vegas, NV area																	
SP	Kidney Specialists of Southern Nevada- medical practice Las Vegas, NV										salary							
SP	Salomon Smith Barney for year end value, income and detailed transactions see attachment																	
SP	Charles Schwab Trust One Account for year end value income and detailed transactions see attachment																	
SP	Charles Schwab IRA for year end value, income and detailed transactions see attachment																	

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Nephrology & Endocrine																	
	Associates 401(K) Plan																	
	held by Fidelity Investman																	
	for year end values, incom																	
	and detailed transactions																	
	see attachment																	
	Morgan Stanley Dean Witt																	
	IRA Account- for year end																	
	value, income and detailed																	
	transactions see attachment																	
	Morgan Stanley Dean Witt																	
	Roth IRA- for year end																	
	value, income and detailed																	
	transactions see attachment																	
SP	ITT Industries		X					X										P< 1000
SP	Anapath (prior envirosystems)											X						
SP	City National Corp												X					E
SP	IBM		X					X										P< 1000
SP	Pfizer							X					X					P< 1000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

Page 8 of 119

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $201 – $1,000	III $2,501 – $5,000	V $15,001 – $50,000	VII $100,001 – $1,000,000	IX Over $5,000,000	XI	BLOCK E Transaction: P, S, E
SP	Centennial-Zim LLC			X								X							P
	medical office building																		
	8775 Deer Spring Way																		
	Las Vegas, NV																		
SP	Radio GOLF											X							P
	Broadway play																		
SP	parcel 78 Green Valley		X									X							P
	Ranchettes Pahrump NV																		
SP	Centennial HD LLC		X									X							P
	dialysis unit																		
	8775 Deer Spring Way																		
	Las Vegas, NV																		
SP	2400 Tech Center LLC											X							P
	medical office building																		
	Tanaya & Smoke Ranch area																		
	Las Vegas, NV																		
SP	11932 Orense Dr Las Vegas, NV				X							X							P

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

Page 9 of 19

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	3466 Legendary LV NV			X														
SP	Morgan Stanley Dean Witt																	
	Active Assets Account																	
	for year end value, income																	
	and detailed transactions																	
	see attachment																	
JT	208 D St SE Washington DC					X									X			
JT	150 D St SE Washington DC														X			
SP	SHDC, LLC				X						Partnership							
	Dialysis services																	
	9220 W Sunset Rd																	
	Las Vegas, NV																	
SP	Emigrant Bank		X						X				X					
SP	NetBank	X							X				X					
SP	Huntington (prior Sky Bank)		X						X				X					
SP	Nephrology & Endocrine				X													Co-mingled
	Associates Defined Benefit																	account
	Pension Plan all assets held																	I do not
	in fund symbol VASIX																	have info
																		on individual
																		trades

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	VAC, LLC- land and building			X														
	3150 Charleston LV, NV																	
	Liquid Assets		X						X									
SP	1660 Honeysuckle		X									X						P
	Pahrump NV																	
SP	2630 E. Harris Farm Rd			X								X						
	Pahrump NV																	
SP	Pahrump land- assessor's			X								X						
	# 27-211-03																	
JT	1281 Laura Pahrump NV		X									X						
JT	1241 Pascoe Pahrump NV											X						
SP	Henderson Dialysis LLC																	
	dialysis unit Henderson N				X						LLC			X				

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Shelley Berkley

Page 11 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction (SALE)	Date (MO/DAY/YR)	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10–12–00	X				
SP	**See Attachments**							
SP	Centennial-Zim LLC		01/04/07	X				
SP	Radio GOLF		03/23/07					
SP	1660 Honeysuckle Pahrump NV		04/05/07					
SP	parcel 78 Green Valley Ranchettes Pahrump NV		08/24/07					
SP	Centennial HD LLC		09/26/2007					
SP	2400 Tech Center LLC		10/22/07	X				
SP	11932 Orense Dr		12/27/07					
	See Attachments							
SP	City National Corp for LV Business Bank		03/01/2007					

This page may be copied if more space is required.

Name **Shelley Berkley** Page 12 of 119

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001 – $50,000	E $100,001 – $250,000	G $500,001 – $1,000,000	I $5,000,001 – $25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	**Central National Bank**	**Mortgage on 3466 Legendary LV NV**	X				
SP	Countrywide	Mortgage 11932 Orense St		X			
JT	**Pentagon Federal Credit Union**	**Mortgage on 150 D St SE**					
JT	Pentagon Federal Credit Union	Mortgage on 208 D St SE DC			X		

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

Name Shelley Berkley | Page 13 of 19

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Osteoporsis Foundation	22-23 May	DC-NYC-DC	Y	Y	N	N
	Jewish Congress of Kazakhstan	10-16 Jul	LV-Almaty Kazakhstan-DC	Y	Y	N	N
	American Israel Education Foundation	11-19 Aug	LV-Tel Aviv Israel-LV	Y	Y	Y	2 days
	Cyprus Federation of American	12 Aug	Tel Aviv Israel-Larnaca Cyprus-Tel Aviv	Y	Y	Y	N
	Zionist Organization of America	18-19 Nov	DC-NYC-DC	Y	Y	N	N

This page may be copied if more space is required.

All Account Activity for Shelley Berkley IRA from 1/1/2007 to 12/31/2007

Date	Activity	Quantity	Description	Symbol	Price	Amount
12/31/2007	Dividend		MS LIQUID ASSET FUND	ILAF		12.16
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		0.02
12/21/2007	S/T Capital Gain		MS INTERNATL VALUE EQUITY FD B	IVQBX		22.65
12/21/2007	L/T Capital Gain		MS INTERNATL VALUE EQUITY FD B	IVQBX		233.89
12/21/2007	Dividend		MS INTERNATL VALUE EQUITY FD B	IVQBX		5.66
12/21/2007	S/T Capital Gain		MS INTERNATL VALUE EQUITY FD A	IVQAX		57.28
12/21/2007	L/T Capital Gain		MS INTERNATL VALUE EQUITY FD A	IVQAX		591.46
12/21/2007	Dividend		MS INTERNATL VALUE EQUITY FD A	IVQAX		41.23
12/21/2007	Dividend		MS PACIFIC GROWTH FUND A	TGRAX		13.93
12/21/2007	S/T Capital Gain		MS EUROPEAN EQUITY FUND B	EUGBX		221.91
12/21/2007	L/T Capital Gain		MS EUROPEAN EQUITY FUND B	EUGBX		598.03
12/21/2007	Dividend		MS EUROPEAN EQUITY FUND B	EUGBX		120.62
12/14/2007	L/T Capital Gain		MS DIVIDEND GRWTH SECURITIES B	DIVBX		1,553.78
12/14/2007	Dividend		MS DIVIDEND GRWTH SECURITIES B	DIVBX		55.15
11/30/2007	Dividend		MS LIQUID ASSET FUND	ILAF		12
11/29/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		0.01
10/31/2007	Dividend		MS LIQUID ASSET FUND	ILAF		13.59
10/30/2007	Interest		MORGAN STANLEY BANK (Period 09/28-10/30)	MSBNK		0.02
9/28/2007	Dividend		MS LIQUID ASSET FUND	ILAF		11.77
9/27/2007	Interest		MORGAN STANLEY BANK (Period 08/31-09/27)	MSBNK		0.02
9/21/2007	Dividend		MS DIVIDEND GRWTH SECURITIES B	DIVBX		55.01
8/31/2007	Dividend		MS LIQUID ASSET FUND	ILAF		12.98
8/23/2007	Miscellaneous		MS DIVIDEND GRWTH SECURITIES B IRA/MAINT MSIM A TO B SETTLEMENT	DIVBX		4.62
8/23/2007	Miscellaneous		MS EUROPEAN EQUITY FUND B IRA/MAINT MSIM A TO B SETTLEMENT	EUGBX		2.81
7/31/2007	Dividend		LIQUID ASSET FUND	ILAF		13.15
6/29/2007	Dividend		LIQUID ASSET FUND	ILAF		11.87
6/22/2007	S/T Capital Gain		DIVIDEND GRWTH SECURITIES B	DIVBX		108.31
6/22/2007	L/T Capital Gain		DIVIDEND GRWTH SECURITIES B	DIVBX		725.43
6/22/2007	Dividend		DIVIDEND GRWTH SECURITIES B	DIVBX		74.24
5/31/2007	Dividend		LIQUID ASSET FUND	ILAF		12.54
4/30/2007	Dividend		LIQUID ASSET FUND	ILAF		12.57
4/30/2007	Class Exchange	471.64		DIVBX		
4/30/2007	Class Exchange	352.14		EUGBX		
4/30/2007	Class Exchange	340.42		EUGAX		
4/30/2007	Class Exchange	474.85		DIVAX		
3/30/2007	Dividend		LIQUID ASSET FUND	ILAF		12.06
3/23/2007	Dividend		DIVIDEND GRWTH SECURITIES B	DIVBX		37.55
3/23/2007	Dividend		DIVIDEND GRWTH SECURITIES A	DIVAX		35.06
3/13/2007	Fnd Merg NonTax	553.42		PHGRX		
3/13/2007	Fund Merge Exmp	463.35		PANFX		
2/28/2007	Dividend		LIQUID ASSET FUND	ILAF		11.24
1/31/2007	Dividend		LIQUID ASSET FUND	ILAF		11.73

Berkley

14 of 19

[redacted]

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account [redacted]

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 41 - 45 of 45 << Previous | 1 | 2

Activities

Date	Activity	Quantity	Description	Symbol	Price	Amount	Details
2/27/2007	Interest		MORGAN STANLEY BANK (Period 01/31-02/27)	MSBNK		0.82	
2/14/2007	Account Fee		AAA ANNUAL SERVICE CHG			-150.00	
1/31/2007	Dividend		STANDARD & POORS DEP RECPTS	SPY		40.43	
1/30/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.92	
1/30/2007	Interest		MORGAN STANLEY BANK (Period 12/29-01/30)	MSBNK		0.85	

<< Previous | 1 | 2

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC

Deadline 15 of 119

Morgan Stanley

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account [redacted]

Search by Type or Symbol: All activity types

Enter Symbol: Symbol Lookup

Time period: 30 Day View

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 40 of 45 — 1 | 2 | Next >>

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		0.01	
10/4/2007	Interest		MORGAN STANLEY BANK	MSBNK		0.23	
10/3/2007	Transfer(Long)	105.00	ISHARES DJ US HLTHCARE SCTR PER VERBAL INSTRUCTIONS TO [redacted] A/O 10/03/0	IYH			
10/3/2007	Transfer(Long)	68.00	ISHARES DOW JNS US FINCL SECTR PER VERBAL INSTRUCTIONS TO [redacted] A/O 10/03/0	IYF			
10/3/2007	Transfer(Long)	119.00	ISHARES DJ US TECH INDEX FUND PER VERBAL INSTRUCTIONS TO [redacted] A/O 10/03/0	IYW			
10/3/2007	Acct Transfer		FUNDS TRANSFERRED PER VERBAL INSTRUCTION TO [redacted] A/O 10/03/0			-1,413.33	
10/2/2007	Dividend		MS ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		0.67	
10/2/2007	Dividend		ISHARES DJ US HLTHCARE SCTR	IYH		19.16	
10/2/2007	Dividend		ISHARES DOW JNS US FINCL SECTR	IYF		38.75	
10/2/2007	Dividend		ISHARES DJ US TECH INDEX FUND	IYW		3.22	
9/27/2007	Dividend		MS ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.12	
9/27/2007	Interest		MORGAN STANLEY BANK (Period 08/31-09/27)	MSBNK		1.15	

Bentley 16 A 19

9/20/2007	Bought	100.00	ISHARES DJ US HLTHCARE SCTR	IYH	70.60	-7,241.73
9/20/2007	Bought	5.00	ISHARES DJ US HLTHCARE SCTR	IYH	70.60	-361.82
9/20/2007	Bought	66.00	ISHARES DOW JNS US FINCL SECTR	IYF	110.70	-7,481.45
9/20/2007	Sold	100.00	ISHARES SP SMALLCAP 600 INDEX	IJR	70.57	6,868.39
9/20/2007	Sold	8.00	ISHARES SP SMALLCAP 600 INDEX	IJR	70.57	549.90
9/20/2007	Sold	88.00	ISHARES S&P MIDCAP 400 INDEX	IJH	87.77	7,568.39
9/20/2007	Bought	100.00	ISHARES DJ US TECH INDEX FUND	IYW	61.48	-6,332.92
9/20/2007	Bought	19.00	ISHARES DJ US TECH INDEX FUND	IYW	61.48	-1,202.26
9/20/2007	Sold	51.00	STANDARD & POORS DEP RECPTS	SPY	152.54	7,624.17
8/30/2007	Dividend		MS ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.41
8/30/2007	Interest		MORGAN STANLEY BANK (Period 07/31-08/30)	MSBNK		1.31
7/31/2007	Dividend		STANDARD & POORS DEP RECPTS	SPY		33.44
7/30/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.52
7/30/2007	Interest		MORGAN STANLEY BANK (Period 06/29-07/30)	MSBNK		1.23
7/6/2007	Dividend		ISHARES SP SMALLCAP 600 INDEX	IJR		28.47
7/6/2007	Dividend		ISHARES S&P MIDCAP 400 INDEX	IJH		18.12
6/28/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.17
6/28/2007	Interest		MORGAN STANLEY BANK (Period 05/31-06/28)	MSBNK		1.04
5/30/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.58
5/30/2007	Interest		MORGAN STANLEY BANK (Period 04/28-05/30)	MSBNK		1.17
4/30/2007	Dividend		STANDARD & POORS DEP RECPTS	SPY		28.11
4/27/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.01
4/27/2007	Interest		MORGAN STANLEY BANK (Period 03/30-04/27)	MSBNK		0.95
3/30/2007	Dividend		ISHARES SP SMALLCAP 600 INDEX	IJR		12.40
3/30/2007	Dividend		ISHARES S&P MIDCAP 400 INDEX	IJH		36.08
3/29/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.10

Dudley 17 2/16

3/29/2007	Interest	MORGAN STANLEY BANK (Period 02/28-03/29)	MSBNK	0.87
2/27/2007	Dividend	ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT	3.20

1 | 2 | Next >>

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services *and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions* in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley & Co. Incorporated Financial Statement

Account [redacted]
Page Retrieved On 5/4/2008 10:54:53 PM Eastern Time

Beckley 18 #19

[redacted]

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements

Select an account [redacted]

Search by Type or Symbol All activity types

Enter Symbol Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 11 of 11

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		4.67	
11/29/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		5.13	
11/19/2007	Sold	35.00	ISHARES DJ US HLTHCARE SCTR	IYH	70.32	2,461.16	
11/19/2007	Sold	41.00	ISHARES DJ US TECH INDEX FUND	IYW	60.78	2,491.94	
11/19/2007	Bought	35.00	STANDARD & POORS DEP RECPTS	SPY	143.51	-5,022.85	
10/30/2007	Interest		MORGAN STANLEY BANK (Period 10/04-10/30)	MSBNK		4.68	
10/4/2007	Managed AcctFee		MSA INCP FEE			-87.11	
10/3/2007	Transfer(Long)	105.00	ISHARES DJ US HLTHCARE SCTR PER VERBAL INSTRUCTIONS FR [redacted] A/O 10/03/0	IYH			
10/3/2007	Transfer(Long)	68.00	ISHARES DOW JNS US FINCL SECTR PER VERBAL INSTRUCTIONS FR [redacted] A/O 10/03/0	IYF			
10/3/2007	Transfer(Long)	119.00	ISHARES DJ US TECH INDEX FUND PER VERBAL INSTRUCTIONS FR [redacted] A/O 10/03/0	IYW			
10/3/2007	Acct Transfer		FUNDS TRANSFERRED PER VERBAL INSTRUCTION FR [redacted] A/O 10/03/0			1,413.33	

Berkley 14 7/19

[redacted]

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account [redacted]

Search by Type or Symbol All activity types

[redacted] Symbol Lookup

Time period [redacted]

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 26 of 26

[redacted]

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		39.40	
12/21/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG		30.80	
12/17/2007	Interest		UNITED STATES TREASURY NOTE RATE:AAA/AAA 3.625% DUE:2010-06-15			108.75	
12/17/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA3/AA(-) 5.000% DUE:2014-08-15			83.33	
12/17/2007	Interest		CATERPILLAR FIN SERV CORP RATE:A2/A 4.450% DUE:2012-06-15			311.50	
11/29/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		57.08	
11/20/2007	Automatic Investment	6,000.00	UNITED STATES TREASURY NOTE RATE:AAA/AAA 3.625% DUE:2010-06-15		101.18	-6,165.27	
11/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA2/AA(-) 5.000% DUE:2014-08-15			83.33	
11/2/2007	Rebate		1281 FEE PASS THROUGH			0.24	
10/30/2007	Interest		MORGAN STANLEY BANK (Period 10/04-10/30)	MSBNK		54.49	
10/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33	

Berkley 20 of 119

10/9/2007	Managed AcctFee		MSA INCP FEE IRA/653				-673.51
10/4/2007	Transfer(Out)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.43		-36,346.29
10/4/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT T O [redacted] A/O 10/04/0 RATE:N/A/N/A DUE:2009-03-30	TPA			
10/3/2007	Transfer(Long)	154.00	AMERICAN INTERNATIONAL GP IRA/MAINT FR [redacted] A/O 10/03/0	AIG			
10/3/2007	Transfer(Long)	46,000.00	LASALLE NATL BANK NA S&P 500 IDX CTF IRA/MAINT FR[redacted] -000 A/O 10/03/0 RATE:N/A/N/A DUE:2012-07-13				
10/3/2007	Transfer(Long)	20,000.00	CITIGROUP GLOBAL MARKETS IRA/MAINT FR [redacted] A/O 10/ 03/0 RATE:AA1/AA 5.000% DUE:2014-08-15				
10/3/2007	Transfer(Long)	18,000.00	MORGAN STANLEY SPN (LINKED) INTEL CORPORATION IRA/MAINT FR 1 [redacted] A/O 10/03/0 RATE:AA3/N.A. DUE:2010-12-30	ITM A			
10/3/2007	Transfer(Long)	14,000.00	CATERPILLAR FIN SERV CORP IRA/MAINT FR [redacted] A/O 10 /03/0 RATE:A2/A 4.450% DUE:2012-06-15				
10/3/2007	Transfer(Long)	5,200.00	BUFFERED BASKET PLUS LINKED TOEQUITY INDICES/ISHARES BY MS I RA/MAINT [redacted] A/O RATE:AA3e/AA-e DUE:2011-06-20	MSEB			
10/3/2007	Transfer(Long)	200.00	PHOENIX COMPANIES INC IRA/MAINT FR [redacted] A/O 10/03/ 0 RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX			
10/3/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT F R[redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2009-03-30	TPA			
10/3/2007	Transfer(Long)	155.00	STARWOOD HTLS & RSTS WW INC IRA/MAINT FR [redacted] A/O 10/03/0	HOT			
10/3/2007	Transfer(Long)	84.00	HOST HOTEL & RESORTS INC IRA/MAINT FR [redacted] A/O 10/03/0	HST			
10/3/2007	Acct Transfer		FUNDS TRANSFERRED IRA/MAINT FR [redacted] A/O 10/03/0				17,356.32
10/3/2007	Transfer(In)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.37		36,170.84

Berkley 21 A 119

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

[redacted]

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account [redacted]

Search by Type or Symbol: All activity types

Enter Symbol: Symbol Lookup

Time period: 30 Day View

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 40 of 91 1 | 2 | 3 | Next >>

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		0.40	
12/26/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		64.39	
12/14/2007	S/T Capital Gain		MS FINANCIAL SERVICES TRUST A	FSVAX		760.90	
12/14/2007	L/T Capital Gain		MS FINANCIAL SERVICES TRUST A	FSVAX		6,100.49	
12/14/2007	Dividend		MS FINANCIAL SERVICES TRUST A	FSVAX		238.32	
11/29/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		5.60	
11/26/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071		91.45	
11/25/2007	Automatic Investment	9.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MS IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.50	-85.47	
11/23/2007	Bought	2,625.00	PLUS ON DOW JONES INDUSTRIAL AVERAGE INDEX (INDU) BY MS RATE:N/A/N/A DUE:2008-12-20	MSDI	10.00	-26,250.00	
11/19/2007	Sold	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 MS IS MARKE T MAKER	TPA	10.57	26,332.83	

Berkley 22 A 119

			RATE:N/A/N/A DUE:2009-03-30			
10/30/2007	Interest		MORGAN STANLEY BANK (Period 09/28-10/30)	MSBNK		0.14
10/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		63.05
10/25/2007	Automatic Investment	8.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MS IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.27	-61.64
10/15/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX		93.12
10/15/2007	Dividend		HOST HOTEL & RESORTS INC	HST		18.80
10/4/2007	Transfer(In)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.43	36,346.29
10/4/2007	Interest		MORGAN STANLEY BANK	MSBNK		7.70
10/4/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT F R[redacted] A/O 10/04/0 RATE:N/A/N/A DUE:2009-03-30	TPA		
10/3/2007	Transfer(Long)	154.00	AMERICAN INTERNATIONAL GP IRA/MAINT TO[redacted] 10/03/0	AIG		
10/3/2007	Transfer(Long)	46,000.00	LASALLE NATL BANK NA S&P 500 IDX CTF IRA/MAINT TO[redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2012-07-13			
10/3/2007	Transfer(Long)	20,000.00	CITIGROUP GLOBAL MARKETS IRA/MAINT TO [redacted] A/O 10/ 03/0 RATE:AA1/AA 5.000% DUE:2014-08-15			
10/3/2007	Transfer(Long)	16,000.00	MORGAN STANLEY SPN (LINKED) INTEL CORPORATION IRA/MAINT TO 1 [redacted] A/O 10/03/0 RATE:AA3/N.A. DUE:2010-12-30	ITMA		
10/3/2007	Transfer(Long)	14,000.00	CATERPILLAR FIN SERV CORP IRA/MAINT TO [redacted] A/O 10 /03/0 RATE:A2/A 4.450% DUE:2012-06-15			
10/3/2007	Transfer(Long)	5,200.00	BUFFERED BASKET PLUS LINKED TOEQUITY INDICES/ISHARES BY MS I RA/MAINT TO[redacted] A/O RATE:AA3e/AA-e DUE:2011-06-20	MSEB		
10/3/2007	Transfer(Long)	200.00	PHOENIX COMPANIES INC IRA/MAINT TO [redacted] A/O 10/03/ 0 RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX		
10/3/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT T O[redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2009-03-30	TPA		
10/3/2007	Transfer(Long)	155.00	STARWOOD HTLS & RSTS WW INC IRA/MAINT TO[redacted] A/O 10/03/0	HOT		

Berkley 23 of 119

Activity Search [redacted]

10/3/2007	Transfer(Long)	94.00	HOST HOTEL & RESORTS INC IRA/MAINT TO [redacted] A/O 10/03/0	HST			
10/3/2007	Acct Transfer		FUNDS TRANSFERRED IRA/MAINT TO [redacted] A/O 10/03/0				-17,356.32
10/3/2007	Transfer(Out)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.37	-36,170.84	
9/28/2007	Class Exchange	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX			
9/28/2007	Class Exchange	3,228.44	MS FINANCIAL SERVICES TRUST B	FSVBX			
9/28/2007	Account Fee		2QCHOICE 10/01 - 10/31 IRA/853				210.18
9/27/2007	Interest		MORGAN STANLEY BANK (Period 08/31-09/27)	MSBNK			41.20
9/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071			82.05
9/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MS IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.32	-61.90	
9/21/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG			30.80
9/21/2007	Bought	5,200.00	BUFFERED BASKET PLUS LINKED TOEQUITY INDICES/ISHARES BY MS RATE:AA3e/AA-e DUE:2011-06-20	MSEB	10.00	-52,000.00	
9/20/2007	Sold	2,090.00	8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA	25.14	52,541.79	
9/17/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33

1 | 2 | 3 | Next >>

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC

Morgan Stanley & Co. Incorporated Financial Statement

Account [redacted]
Page Retrieved On 5/4/2008 11:01:07 PM Eastern Time

Exhibit 24 | 169

[redacted]

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account: IRA [redacted]

Search by Type or Symbol: All activity types

Enter Symbol — Symbol Lookup

Time period: [illegible]

01/01/2007 to 12/31/2007

Search

Results: Items 41 - 80 of 91 << Previous | 1 | 2 | 3 | Next >>

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
8/30/2007	Interest		MORGAN STANLEY BANK (Period 07/31-08/30)	MSBNK		41.83	
8/27/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		89.53	
8/25/2007	Automatic Investment	8.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.99	-79.93	
8/20/2007	Miscellaneous		8% SPARQS INTO DELL BY MS MISCELLANEOUS PAYMENT RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		318.57	
8/20/2007	Interest		8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		654.53	
8/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33	
8/14/2007	Account Fee		2QCHOICE 08/01 - 10/31 IRA/653			-623.81	
7/30/2007	Interest		MORGAN STANLEY BANK (Period 06/29-07/30)	MSBNK		43.11	
7/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		60.88	
7/25/2007	Automatic Investment	5.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.29	-51.47	

Berkley 26 of 119

7/16/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
7/16/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX			93.12
7/16/2007	Dividend		HOST HOTEL & RESORTS INC	HST			18.80
6/28/2007	Interest		MORGAN STANLEY BANK (Period 05/31-06/28)	MSBNK			38.04
6/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			62.30
6/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.37		-62.24
6/15/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG			25.41
6/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
6/15/2007	Interest		CATERPILLAR FIN SER VCORP RATE:A2/A 4.450% DUE:2012-06-15				311.50
5/30/2007	Interest		MORGAN STANLEY BANK (Period 04/28-05/30)	MSBNK			43.20
5/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			81.79
5/25/2007	Automatic Investment	7.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.53		-73.68
5/21/2007	Miscellaneous		8% SPARQS INTO DELL BY MS MISCELLANEOUS PAYMENT RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA			318.57
5/21/2007	Interest		8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA			654.53
5/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
5/14/2007	Account Fee		1QCHOICE 05/01 - 07/31 IRA/653				-607.41
4/27/2007	Interest		MORGAN STANLEY BANK (Period 03/30-04/27)	MSBNK			51.96
4/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			60.59
4/25/2007	Automatic Investment	5.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.16		-50.78

Bulley 24 F 119

4/18/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
4/18/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX			93.13
4/16/2007	Dividend		HOST HOTEL & RESORTS INC	HST			18.80
3/29/2007	Interest		MORGAN STANLEY BANK (Period 02/28-03/29)	MSBNK			53.57
3/26/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			63.10
3/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.74		-58.47
3/16/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG			25.41
3/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
2/27/2007	Interest		MORGAN STANLEY BANK (Period 01/31-02/27)	MSBNK			47.29
2/26/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			68.56
2/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.03		-60.19

<< Previous | 1 | 2 | 3 | Next >>

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley & Co. Incorporated Financial Statement

Account [redacted]

Page Retrieved On 5/4/2008 11:01:51 PM Eastern Time

Bailey 27 of 15

[redacted]

Account Activity

Search | Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account: IRA [redacted]

Search by Type or Symbol: All activity types

Enter Symbol — Symbol Lookup

Time period: 30 Day View

01/01/2007 to 12/31/2007

Search

Results: Items 81 - 91 of 91 — << Previous | 1 | 2 | 3

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
2/20/2007	Miscellaneous		8% SPARQS INTO DELL BY MS MISCELLANEOUS PAYMENT RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		389.39	
2/20/2007	Interest		8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		800.03	
2/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33	
2/14/2007	Account Fee		4QCHOICE 02/01 - 04/30 IRA/653			-582.01	
1/30/2007	Interest		MORGAN STANLEY BANK (Period 12/29-01/30)	MSBNK		54.58	
1/19/2007	Dividend		STARWOOD HTLS & RSTS WW INC	HOT		65.10	
1/16/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA-(+) 5.000% DUE:2014-08-15			83.33	
1/16/2007	Interest		PHOENIX COMPANIES INC RATE BAA3/BBB 7.450% DUE:2032-01-15	PFX		93.13	
1/16/2007	Dividend		HOST HOTEL & RESORTS INC	HST		23.50	
1/3/2007	Automatic Investment	2,454.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINV FULL MSDWI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.90	-24,294.60	

Bullary 28 D 119

1/3/2007	Automatic Redemption	2,007.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2006-1 MSDWI IS MARKET MAKER RATE N/A/N/A DUE:2007-03-02	SDOW061	12.11	24,297.95

<< Previous | 1 | 2 | 3

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley & Co. Incorporated Financial Statement.

Account [redacted]
Page Retrieved On 5/4/2008 11:02:19 PM Eastern Time

Bulleny 24 of 19

Member: Shelley Berkley NV-1

Berkley 30 of 119

SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY LIVING TRUS
U/A DTD 02/22/1996

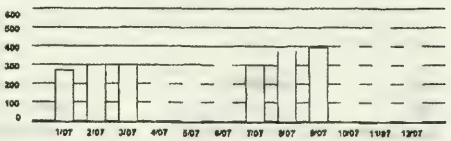
Account Number

Statement Period
December 1-31, 2007

Change in Account Value

	This Period	Year to Date
Starting Value	$ 595,824.55	$ 273,101.60
Cash Value of Purchases & Sales	(8,831.52)	(198,963.90)
Investments Purchased/Sold	8,831.52	198,963.90
Deposits & Withdrawals	(117,500.00)	181,400.00
Dividends & Interest	9,081.74	15,775.34
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(9,081.74)	(15,775.34)
Change in Value of Investments	(11,961.97)	11,860.98
Ending Value on 12/31/2007	$ 466,362.58	$ 466,362.58
Total Change in Account Value	**$ (129,461.97)**	**$ 193,260.98**
(Totals Include Deposits & Withdrawals)	**(21.73)%**	**70.77%**

Account Value ($) Over Last 12 Months [in Thousands]



Asset Composition

	Market Value	% of Account Assets
Money Market Funds [Sweep]	$ 4,280.78	<1%
Money Market Funds [Non-Sweep]	176,607.84	38%
Equities	97,368.03	21%
Mutual Funds	187,905.93	40%
Total Assets Long	$ 466,362.58	
Total Account Value	**$ 466,362.58**	**100%**

Overview

40% Mutual Funds
21% Equities
38% MMFs [Non-Sweep]

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	$0.00
Long Term	$0.00

Unrealized Gain or (Loss)

All Investments	$63,761.96

Values may not reflect all of your gains/losses

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement. [redacted]

© 2007 Charles Schwab & Co., Inc. All rights reserved. Member SIPC [redacted]

SIPC

157

Member: Shelley Berkley NV-1

Berkley 31 of 119

SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY LIVING TRUS
U/A DTD 02/22/1996

Account Number

Statement Period
December 1-31, 2007

Income Summary	This Period: Federally Tax-Exempt[1]	This Period: Federally Taxable	Year to Date: Federally Tax-Exempt[1]	Year to Date: Federally Taxable
Money Funds Dividends	250.22	0.00	938.84	0.00
Cash Dividends	381.35	2,274.53	4,700.01	3,958.80
Total Capital Gains	0.00	6,175.64	0.00	6,177.69
Total Income	**631.57**	**8,450.17**	**5,638.85**	**10,136.49**

[1]Certain income in this category may qualify for state tax exemption: consult your tax advisor.

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH MUNI MONEY FUND [redacted]	4,280.7800	1.0000	4,280.78	2.85%	<1%
Total Money Market Funds [Sweep]			**4,280.78**		**<1%**
Total Money Market Funds [Sweep]			**4,280.78**		**<1%**

Investment Detail - Money Market Funds [Non-Sweep]

Fund Name	Quantity	Market Price	Market Value	% of Account Assets
SCHWAB INVESTOR MONEY FD [redacted]	176,807.8400	1.0000	176,807.84	36%
Total Money Market Funds [Non-Sweep]			**176,807.84**	**36%**

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

[redacted]

© 2007 Charles Schwab & Co. Inc. All rights reserved. Member SIPC [redacted]

SIPC

Berkley 32 of 119

SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY LIVING TRUS
U/A DTD 02/22/1996

Account Number

Statement Period
December 1-31, 2007

Investment Detail - Equities

Accounting Method
Equities: First In First Out [FIFO]

Equities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Day	Estimated Annual Income / Holding Period
DAVITA INC	750.0000	56.3500	42,262.50	9%	40,607.55	0.00%	0.00
SYMBOL: DVA	750.0000	2.2066	1,654.95	03/09/00	40,607.55	2853	Long-Term
HARTFORD FINL SVCS GRP	97.7882	87.1900	8,526.15	2%	397.02 [i]	2.43%	207.31
SYMBOL: HIG	2.0042	96.3376 *	193.08		(18.33)		Short-Term
	14.9199	59.3509 *	885.51		415.36		Long-Term
	80.8641	N/A	please provide	05/12/97	N/A	3885	Long-Term
Cost Basis			*1,078.59* [i]				
I T T CORPORATION NEW	40.6658	66.0400	2,685.57	<1%	175.81 [i]	0.84%	22.77
INDIANA	0.3341	64.2023 *	21.45		0.61		Short-Term
SYMBOL: ITT	4.4067	26.2827 *	115.82		175.20		Long-Term
	35.9250	N/A	please provide	06/21/96	N/A	4210	Long-Term
Cost Basis			*137.27* [i]				
INTL BUSINESS MACHINES	43.3733	108.1000	4,688.65	1%	95.52 [i]	1.48%	69.40
SYMBOL: IBM	0.6086	105.9973 *	64.51		1.28		Short-Term
	3.2657	79.4168 *	260.94		94.24		Long-Term
	39.4790	N/A	please provide	06/03/96	N/A	4228	Long-Term
Cost Basis			*325.45* [i]				
PFIZER INCORPORATED	725.0399	22.7300	16,480.16	4%	(720.69) [i]	5.10%	841.05
SYMBOL: PFE	32.4320	25.1933 *	817.07		(79.89)		Short-Term
	88.6079	29.9618 *	2,654.86		(640.80)		Long-Term
	604.0000	N/A	please provide	06/21/00	N/A	2749	Long-Term
Cost Basis			*3,471.93* [i]				

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

©2007 Charles Schwab & Co., Inc. All rights reserved. Member SIPC [redacted]

SIPC

Berkley 33 of 119

SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY LIVING TRUS
U/A DTD 02/22/1996

Account Number

Statement Period
December 1-31, 2007

Investment Detail - Equities (continued)

Accounting Method
Equities: First In First Out [FIFO]

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Day	Estimated Annual Income Holding Period
SIRIUS SATELLITE RADIO	7,500.0000	3.0300	22,725.00	5%	(7,612.25)	0.00%	0.00
SYMBOL: SIRI	1,144.0000	4.0839	4,672.07	10/07/04	(1,205.75)	1180	Long-Term
	1,356.0000	4.0839	5,537.88	10/07/04	(1,429.20)	1180	Long-Term
	1,000.0000	6.0399	6,039.95	01/12/06	(3,009.95)	718	Long-Term
	1,250.0000	3.9679	4,959.95	06/20/06	(1,172.45)	559	Long-Term
	1,250.0000	3.8979	4,872.45	01/18/07	(1,084.95)	347	Short-Term
	1,500.0000	2.8366	4,254.95	04/24/07	290.05	251	Short-Term
Cost Basis			30,337.25				
Total Equities			**97,368.03**	**21%**	**32,942.98** [1]		**1,140.63**

Estimated Annual Income and yield calculations are for informational purposes only and are not a projection or guarantee of future dividends

Investment Detail - Mutual Funds

Accounting Method
Mutual Funds Average

Mutual Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DREYFUS S&P 500 INDEX SYMBOL: PEOPX	520.9160	41.3400	21,534.67	5%	38.41	20,010.71	1,523.96
DWS HIGH YIELD TAX FREE FD CL S SYMBOL: SHYTX	7,980.2510	12.7200	101,508.79	22%	12.23	97,616.24	3,892.55
WILLIAM BLAIR INTL GROWTH FUND CLASS N SYMBOL: WBIGX	2,227.4200	29.1200	64,862.47	14%	17.72	39,459.98	25,402.49
Total Mutual Funds			**187,905.93**	**40%**			**30,819.00**

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

© 2007 Charles Schwab & Co. Inc. All rights reserved. Member SIPC

SIPC

charles SCHWAB

Form 1099
with Account Summary
Retain for your Records

Tax Year 2007

Page 2
Date Prepared: January 24, 2008

Member: Shelley Berkley NV-1

Taxpayer ID Number:
Account Number: Larry Lehmer

DETAIL INFORMATION OF DIVIDENDS AND DISTRIBUTIONS

Description	*Cusip Number*	*Paid in 2007*	*Paid/Adjusted in 2008 for 2007*	*Amount*
Ordinary Dividends				
Non-Qualified Dividends				
SCHWAB INVESTOR MONEY FD	808515878	$ 1,807.84	$ 0.00	$ 1,807.84
WILLIAM BLAIR INTL	093001402	0.00	172.26	172.26
Total Non-Qualified Dividends (Included in Box 1a)		$ 1,807.84	$ 172.26	$ 1,980.10
Short Term Capital Gains				
WILLIAM BLAIR INTL	093001402	$ 34.00	$ (12.16)	$ 21.84
Total Short Term Capital Gains (Included in Box 1a)		$ 34.00	$ (12.16)	$ 21.84
Qualified Dividends				
DREYFUS S&P 500 INDEX	26200Q105	$ 343.99	$ 0.00	$ 343.99
HARTFORD FINL SVCS GRP	416515104	193.08	0.00	193.08
I T T CORPORATION NEW	450911102	21.45	0.00	21.45
INTL BUSINESS MACHINES	459200101	64.51	0.00	64.51
PFIZER INCORPORATED	717081103	817.07	0.00	817.07
WILLIAM BLAIR INTL	093001402	676.86	(160.10)	516.76
Total Qualified Dividends (Box 1b and included in Box 1a)		$ 2,116.96	$ (160.10)	$ 1,956.86
Total Ordinary Dividends (Box 1a) (Non-Qualified Dividends, Short Term Capital Gains and Qualified Dividends)		$ 3,958.80	$ 0.00	$ 3,958.80

Description	*Cusip Number*	*Paid in 2007*	*Paid/Adjusted in 2008 for 2007*	*Amount*
Capital Gain Distributions				
15% Rate Gain				
DREYFUS S&P 500 INDEX	26200Q105	$ 2.05	$ 0.00	$ 2.05
WILLIAM BLAIR INTL	093001402	6,175.64	0.00	6,175.64
Total 15% Rate Gain (Included in Box 2a)		$ 6,177.69	$ 0.00	$ 6,177.69
Total Capital Gain Distributions (Box 2a)		$ 6,177.69	$ 0.00	$ 6,177.69

Berkley 34 11 119

[redacted]

©2006 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. [redacted]

SCHWAB

Form 1099
with Account Summary
Retain for your Records

Tax Year 2007
Member: Shelley Berkley NV-1

Date Prepared: January 24, 2008

Taxpayer ID Number:
Account Number: Larry Lehmer

DETAIL INFORMATION OF INTEREST INCOME

Description	*Cusip Number*	*Paid in 2007*	*Paid/Adjusted in 2008 for 2007*	*Amount*
Tax-Exempt Interest				
Tax-Exempt Dividends				
DWS HIGH YIELD TAX FREE	23337W501	$ 4,700.01	$ 0.00	$ 4,700.01
SCH MUNI MONEY FUND	808515308	938.84	0.00	938.84
Total Tax-Exempt Dividends (Included in Box 8)		**$ 5,638.85**	**$ 0.00**	**$ 5,638.85**
Total Tax-Exempt Interest (Box 8) (Tax-Exempt Dividends)		**$ 5,638.85**	**$ 0.00**	**$ 5,638.85**

Description	*Cusip Number*	*Paid in 2007*	*Paid/Adjusted in 2008 for 2007*	*Amount*
Specified Private Activity Bond Interest				
Tax-Exempt Dividends				
SCH MUNI MONEY FUND	808515308	$ 0.00	$ 266.38	$ 266.38
Total Tax-Exempt Dividends (Box 9 and included in Box 8)		**$ 0.00**	**$ 266.38**	**$ 266.38**
Total Specified Private Activity Bond Int (Box 9) (Tax-Exempt Dividends)		**$ 0.00**	**$ 266.38**	**$ 266.38**

Berkley 35 of 119

©2008 Charles Schwab & Co., Inc. All rights reserved. Member SIPC [redacted]

charles SCHWAB

Form 1099
with Account Summary
Retain for your Records

Tax Year 2007

Page 4
Date Prepared: January 24, 2008

Member: Shelley Berkley NV-1

Taxpayer ID Number:
Account Number: Larry Lehmer

ACCOUNT SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS
The information in this and all subsequent sections is not being provided to the IRS by Charles Schwab. It is being provided to you as additional tax reporting information that you may need to complete your tax return.

SUPPLEMENTAL INFORMATION

Investment Activity for 2007 - Stocks

Description	Activity	Quantity	Trade Date	Settle Date	Price	Net Amount
HARTFORD FINL SVCS GRP	REINVEST	0.50	01/03/07		93.9190	$ (47.89)
HARTFORD FINL SVCS GRP	REINVEST	0.49	04/03/07		96.3180	(48.15)
HARTFORD FINL SVCS GRP	REINVEST	0.48	07/03/07		100.4400	(48.40)
HARTFORD FINL SVCS GRP	REINVEST	0.51	10/02/07		94.9000	(48.64)
				Security Subtotal		**(193.88)**
I T T CORPORATION NEW	REINVEST	0.07	01/03/07		56.4080	(4.44)
I T T CORPORATION NEW	REINVEST	0.09	04/03/07		61.3800	(5.66)
I T T CORPORATION NEW	REINVEST	0.08	07/03/07		69.5100	(5.67)
I T T CORPORATION NEW	REINVEST	0.08	10/02/07		69.6020	(5.68)
				Security Subtotal		**(21.45)**
INTL BUSINESS MACHINES	REINVEST	0.13	03/13/07		93.7974	(12.83)
INTL BUSINESS MACHINES	REINVEST	0.16	06/12/07		102.8709	(17.16)
INTL BUSINESS MACHINES	REINVEST	0.14	09/11/07		116.9589	(17.23)
INTL BUSINESS MACHINES	REINVEST	0.15	12/11/07		109.6126	(17.29)
				Security Subtotal		**(64.51)**
PFIZER INCORPORATED	REINVEST	7.90	03/07/07		25.4004	(200.86)
PFIZER INCORPORATED	REINVEST	7.50	06/06/07		27.0803	(203.15)
PFIZER INCORPORATED	REINVEST	8.30	09/06/07		24.7329	(205.33)
PFIZER INCORPORATED	REINVEST	8.72	12/05/07		23.8203	(207.73)
				Security Subtotal		**(817.07)**
SIRIUS SATELLITE RADIO	BUY	1,250.00	01/18/07	01/23/07	3.8900	(4,872.45)
SIRIUS SATELLITE RADIO	BUY	1,500.00	04/24/07	04/27/07	2.8300	(4,254.95)
				Security Subtotal		**(9,127.40)**

Total Purchases/Adjustments - Stocks	$	**(10,223.51)**
Total Proceeds/Adjustments - Stocks	$	**0.00**

Investment Activity for 2007 - Mutual Funds

Description	Activity	Quantity	Trade Date	Settle Date	Price	Net Amount
DREYFUS S&P 500 INDEX	REINVEST	0.05	03/29/07		40.2500	$ (2.05)
DREYFUS S&P 500 INDEX	REINVEST	8.26	12/28/07		41.6300	(343.99)

[redacted]

©2008 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. [redacted]

SCHWAB

Form 1099
with Account Summary
Retain for your Records

Tax Year 2007
Member: Shelley Berkley NV-1

Date Prepared: January 24, 2008

Taxpayer ID Number:
Account Number: Larry Lehmer

ACCOUNT SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS (continued)
The information in this and all subsequent sections is not being provided to the IRS by Charles Schwab. It is being provided to you as additional tax reporting information that you may need to complete your tax return.

SUPPLEMENTAL INFORMATION (continued)

Investment Activity for 2007 - Mutual Funds (continued)

Description	Activity	Quantity	Trade Date	Settle Date	Price	Net Amount
				Security Subtotal	$	(346.04)
DWS HIGH YIELD TAX FREE	REINVEST	29.73	01/25/07		12.9200	(384.14)
DWS HIGH YIELD TAX FREE	REINVEST	29.83	02/22/07		12.9600	(386.71)
DWS HIGH YIELD TAX FREE	REINVEST	31.53	03/26/07		12.9800	(409.27)
DWS HIGH YIELD TAX FREE	REINVEST	28.87	04/24/07		12.9400	(373.69)
DWS HIGH YIELD TAX FREE	REINVEST	28.97	05/24/07		12.8700	(372.88)
DWS HIGH YIELD TAX FREE	REINVEST	33.12	06/25/07		12.7300	(421.69)
DWS HIGH YIELD TAX FREE	REINVEST	29.95	07/25/07		12.7600	(382.24)
DWS HIGH YIELD TAX FREE	REINVEST	33.18	08/27/07		12.5600	(416.84)
DWS HIGH YIELD TAX FREE	REINVEST	27.01	09/24/07		12.7500	(344.48)
DWS HIGH YIELD TAX FREE	REINVEST	31.01	10/25/07		12.8400	(398.24)
DWS HIGH YIELD TAX FREE	REINVEST	33.52	11/26/07		12.7800	(428.48)
DWS HIGH YIELD TAX FREE	REINVEST	30.05	12/24/07		12.6900	(381.35)
				Security Subtotal		(4,700.01)
WILLIAM BLAIR INTL	REINVEST	219.85	12/19/07		28.0900	(6,175.64)
WILLIAM BLAIR INTL	REINVEST	1.21	12/19/07		28.0900	(34.00)
WILLIAM BLAIR INTL	REINVEST	24.09	12/19/07		28.0900	(676.86)
				Security Subtotal		(6,886.50)

Total Purchases/Adjustments - Mutual Funds	$	(11,932.55)
Total Proceeds/Adjustments - Mutual Funds	$	0.00

Investment Activity for 2007 - Totals

Total Purchases/Adjustments (Not Reported to the IRS):	$	(22,156.06)
Total Proceeds/Adjustments (Not Reported to the IRS):	$	0.00

© 2006 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. [redacted]

Charles Schwab Customer Center [redacted]

Member: Shelley Berkley NV-1

charles SCHWAB

The Schwab Security Gi

Customer Service | Open an Account

Log Out

At a Glance | Account | Trade | Quotes & Research | Planning & Advice | Investment Products | Banking

Overview | Balances | Positions | Gain/Loss | History | Transfers & Payments | Specialty Accounts | eDocuments

Account History

Page Help | Export | Printer-Friendly Versio

Select Account: | Show only this symbol [redacted] | Account Number

Date Range: Previous Year to: | Total Market Value

Transactions: All | Go | View Balance Data

Order Status | Al

[redacted] Transactions From 01/01/2007 To 12/31/2007 Up to 24 months (Beyond 24 months)

Date	Action	Quantity	Symbol	Description	Price	Amount
Specified Transactions						
12/31/2007			[redacted]	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$994.66
12/31/2007	Buy	994.6600	[redacted]	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND [Details]	$1.00000	-$994.66
11/15/2007			[redacted]	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$315.04
11/15/2007	Buy	315.0400	[redacted]	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND [Details]	$1.00000	-$315.04
11/14/2007	Buy	50000.0000	[redacted]	SCHWAB INVESTOR MONEY FD [Details]	$1.00000	-$50000.00
11/13/2007	Buy	50000.0000	[redacted]	SCHWAB INVESTOR MONEY FD [Details]	$1.00000	-$50000.00
10/15/2007			[redacted]	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$277.42
10/15/2007	Buy	277.4200	[redacted]	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND [Details]	$1.00000	-$277.42
09/17/2007			[redacted]	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$220.72
09/17/2007	Buy	220.7200	[redacted]	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND [Details]	$1.00000	-$220.72
08/24/2007	Buy	75000.0000	[redacted]	SCHWAB INVESTOR MONEY FD [Details]	$1.00000	-$75000.00

At a Glance | Account | Trade | Quotes & Research | Planning & Advice | Investment Products | Banking
Overview | Balances | Positions | Gain/Loss | History | Transfers & Payments | Specialty Accounts | eDocuments

Return to

Customer Service | Site Map | Help

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Today's Date: [redacted] 02/17/08 07:14 P

©2008 Charles Schwab & Co., Inc. All rights reserved. Member SIPC
Unauthorized access is prohibited. Usage will be monitored

Agreements | Fees & Commissions | Privacy | SchwabSafe | USA Patriot Act

Quick Quote Enter symbol for free real time quote.

Quote | Symb

For Extended Hours quote, ad

Member: Shelley Berkley NV-1

Fidelity INVESTMENTS

NEPHROLOGY & ENDOCRINE ASSOCIATES 401(K) PLAN

LAWRENCE LEHRNER

Retirement Savings Statement

Your Account Summary

Statement Period: 12/01/2007 to 12/31/2007

Beginning Balance	**$476,371.59**
Your Contributions	$1,354.16
Change in Market Value	-$5,523.68
Ending Balance	**$472,202.07**
Additional Information	
Vested Balance	$472,202.07
Dividends & Interest	$12,687.02

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

Your Asset Allocation

Statement Period: 12/01/2007 to 12/31/2007

Why is This Important?

e-Learning: Learn about asset allocation.

- 51.01% Stock Investments: $240,882.07
- 48.99% Bond Investments: $231,320.00

Berkley 3/9/19

Member: Shelley Berkley NV-1

Your account is allocated among the asset classes specified above as of 12/31/2007. Percentages and totals may not be exact due to rounding.

Market Value of Your Account

Statement Period: 12/01/2007 to 12/31/2007

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Investment	Shares as of 11/30/2007	Shares as of 12/31/2007	Price as of 11/30/2007	Price as of 12/31/2007	Market Value as of 11/30/2007	**Market Value as of 12/31/2007**
Stock Investments					**$246,508.26**	**$240,882.07**
Large Cap						
FID Contrafund	1,186.678	1,100.706	$77.04	$73.11	$91,421.67	$80,472.61
International						
FID Diversified Intl	2,072.707	2,042.823	$43.42	$39.90	$89,996.94	$81,508.64
Specialty						
FID Real Estate Invs	2,295.931	3,008.983	$28.35	$25.98	$65,089.65	$78,900.82
Bond Investments					**$229,863.33**	**$231,320.00**
Stable Value						
FID MGD Inc Port	149,141.870	150,239.000	$1.00	$1.00	$149,141.87	$150,239.00
Income						
Fidelity US BD Index	7,385.312	7,445.455	$10.93	$10.89	$80,721.46	$81,081.00
Account Totals					**$476,371.59**	**$472,202.07**

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other plan information, such as your SPD, for a description of your right to direct investments under the plan. For information on any plan restrictions or limitations on those rights visit NetBenefits and click on "Plan Information".

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. Visit the Department of Labor website http://www.dol.gov/ebsa/investing.html for information on individual investing and diversification.

Berkley to PR 119

Your Contribution Elections as of

As of 01/13/2008

History Period:01/01/2007 to 12/31/2007 **View by: Investment | Source**

Transaction History

Date	Investment	Transaction	$ Amount	# Shares w/ Drip
12/31/2007	FIDELITY US BD INDEX	Dividends	$451.47	41.457
12/31/2007	FID MOD INC PORT	Dividends	$565.47	565.470
12/28/2007	FID REAL ESTATE INVS	Dividends	$242.21	9.403
12/28/2007	FID CONTRAFUND	Dividends	$4,422.84	60.036
12/24/2007	FID REAL ESTATE INVS	Exchanges	$19,100.00	697.334
12/24/2007	FID DIVERSIFIED INTL	Realized Gain/Loss	$2,267.99	0.000
12/24/2007	FID DIVERSIFIED INTL	Exchanges	-$7,500.00	-189.298
12/24/2007	FID CONTRAFUND	Realized Gain/Loss	$3,531.59	0.000
12/24/2007	FID CONTRAFUND	Exchanges	-$11,600.00	-148.622
12/21/2007	FID REAL ESTATE INVS	Dividends	$714.00	27.035
12/12/2007	FIDELITY US BD INDEX	Contribution	$203.12	18.696
12/12/2007	FID REAL ESTATE INVS	Contribution	$203.12	7.230
12/12/2007	FID MOD INC PORT	Contribution	$541.66	541.660
12/12/2007	FID DIVERSIFIED INTL	Contribution	$203.13	4.977
12/12/2007	FID CONTRAFUND	Contribution	$203.13	2.614
12/07/2007	FID DIVERSIFIED INTL	Dividends	$6,301.03	154.437
11/30/2007	FIDELITY US BD INDEX	Dividends	$312.28	29.671
11/30/2007	FID MOD INC PORT	Dividends	$531.62	531.620
11/23/2007	FIDELITY US BD INDEX	Contribution	$203.12	18.635
11/23/2007	FID REAL ESTATE INVS	Contribution	$203.12	7.325
11/23/2007	FID MOD INC PORT	Contribution	$541.66	541.660
11/23/2007	FID DIVERSIFIED INTL	Contribution	$203.13	4.790
11/23/2007	FID CONTRAFUND	Contribution	$203.13	2.708
11/16/2007	FIDELITY US BD INDEX	Contribution	$203.12	18.703
11/16/2007	FID REAL ESTATE INVS	Contribution	$203.12	7.162
11/16/2007	FID MOD INC PORT	Contribution	$541.66	541.660
11/16/2007	FID DIVERSIFIED INTL	Contribution	$203.13	4.748
11/16/2007	FID CONTRAFUND	Contribution	$203.13	2.690
11/01/2007	FID MOD INC PORT	Fees	-$7.50	-7.500
10/31/2007	FIDELITY US BD INDEX	Dividends	$320.11	29.812
10/31/2007	FID MOD INC PORT	Dividends	$547.08	547.080
10/18/2007	FIDELITY US BD INDEX	Contribution	$162.50	14.991
10/18/2007	FID REAL ESTATE INVS	Contribution	$162.50	5.193
10/18/2007	FID MOD INC PORT	Contribution	$433.33	433.330
10/18/2007	FID DIVERSIFIED INTL	Contribution	$162.50	3.877
10/18/2007	FID CONTRAFUND	Contribution	$162.50	2.094
10/10/2007	FIDELITY US BD INDEX	Contribution	$74.87	6.945
10/10/2007	FID REAL ESTATE INVS	Contribution	$74.87	2.288
10/10/2007	FID MOD INC PORT	Contribution	$199.66	199.660
10/10/2007	FID DIVERSIFIED INTL	Contribution	$74.87	1.698
10/10/2007	FID CONTRAFUND	Contribution	$74.87	0.961
09/28/2007	FIDELITY US BD INDEX	Dividends	$319.33	29.667
09/28/2007	FID MOD INC PORT	Dividends	$510.44	510.440
09/10/2007	FIDELITY US BD INDEX	Contribution	$131.23	12.062
09/10/2007	FID REAL ESTATE INVS	Contribution	$131.23	4.549
09/10/2007	FID MOD INC PORT	Contribution	$349.96	349.960
09/10/2007	FID DIVERSIFIED INTL	Contribution	$131.24	3.328
09/10/2007	FID CONTRAFUND	Contribution	$131.24	1.859

Bailey 41 of 119

09/07/2007	FID REAL ESTATE INVS	Dividends			$4,974.10	170,638
09/31/2007	FIDELITY US BD INDEX	Dividends			$327.19	30,408
09/31/2007	FID MOD INC PORT	Dividends			$523.82	523,820
08/29/2007	FIDELITY US BD INDEX	Contribution	Member:		$131.23	12,207
08/29/2007	FID REAL ESTATE INVS	Contribution			$131.23	4,161
08/29/2007	FID MOD INC PORT	Contribution	Shelley Baldey		$349.98	349,960
08/29/2007	FID DIVERSIFIED INTL	Contribution			$131.24	3,309
08/29/2007	FID CONTRAFUND	Contribution	NV-1		$131.24	1,867
08/16/2007	FIDELITY US BD INDEX	Contribution			$131.23	12,284
08/16/2007	FID REAL ESTATE INVS	Contribution			$131.23	4,429
08/16/2007	FID MOD INC PORT	Contribution			$349.98	349,960
08/16/2007	FID DIVERSIFIED INTL	Contribution			$131.24	3,441
08/16/2007	FID CONTRAFUND	Contribution			$131.24	1,947
08/01/2007	FIDELITY US BD INDEX	Contribution			$131.23	12,230
08/01/2007	FID REAL ESTATE INVS	Contribution			$131.23	4,207
08/01/2007	FID MOD INC PORT	Fees			-$7.50	-7,500
08/01/2007	FID MOD INC PORT	Contribution			$349.98	349,960
08/01/2007	FID DIVERSIFIED INTL	Contribution			$131.24	3,267
08/01/2007	FID CONTRAFUND	Contribution			$131.24	1,874
07/31/2007	FIDELITY US BD INDEX	Dividends			$319.17	29,718
07/31/2007	FID MOD INC PORT	Dividends			$519.94	519,940
07/18/2007	FIDELITY US BD INDEX	Contribution			$131.23	12,276
07/18/2007	FID REAL ESTATE INVS	Contribution			$131.23	3,832
07/18/2007	FID MOD INC PORT	Contribution			$349.98	349,960
07/18/2007	FID DIVERSIFIED INTL	Contribution			$131.24	3,106
07/18/2007	FID CONTRAFUND	Contribution			$131.24	1,802
07/09/2007	FIDELITY US BD INDEX	Contribution			$131.23	12,322
07/09/2007	FID REAL ESTATE INVS	Contribution			$131.23	3,787
07/09/2007	FID MOD INC PORT	Contribution			$349.98	349,960
07/09/2007	FID DIVERSIFIED INTL	Contribution			$131.24	3,115
07/09/2007	FID CONTRAFUND	Contribution			$131.24	1,819
06/29/2007	FIDELITY US BD INDEX	Dividends			$310.19	28,963
06/29/2007	FID MOD INC PORT	Dividends			$499.43	499,430
06/18/2007	FIDELITY US BD INDEX	Contribution			$131.23	12,299
06/18/2007	FID REAL ESTATE INVS	Contribution			$131.23	3,756
06/18/2007	FID MOD INC PORT	Contribution			$349.98	349,960
06/18/2007	FID DIVERSIFIED INTL	Contribution			$131.24	3,180
06/18/2007	FID CONTRAFUND	Contribution			$131.24	1,843
06/08/2007	FIDELITY US BD INDEX	Contribution			$198.16	18,248
06/08/2007	FID REAL ESTATE INVS	Contribution			$198.16	5,372
06/08/2007	FID MOD INC PORT	Contribution			$523.08	523,080
06/08/2007	FID DIVERSIFIED INTL	Contribution			$198.14	4,908
06/08/2007	FID CONTRAFUND	Contribution			$198.16	2,706
06/01/2007	FID REAL ESTATE INVS	Dividends			$165.19	4,417
06/31/2007	FIDELITY US BD INDEX	Dividends			$310.83	28,807
06/31/2007	FID MOD INC PORT	Dividends			$504.14	504,140
06/21/2007	FIDELITY US BD INDEX	Contribution			$98.08	9,048
05/21/2007	FID REAL ESTATE INVS	Contribution			$98.08	2,744
05/21/2007	FID MOD INC PORT	Contribution			$261.54	261,540
05/21/2007	FID DIVERSIFIED INTL	Contribution			$98.07	2,410
05/21/2007	FID CONTRAFUND	Contribution			$98.08	1,403
05/01/2007	FID MOD INC PORT	Fees			-$7.50	-7,500
04/30/2007	FIDELITY US BD INDEX	Dividends			$305.74	27,668
04/30/2007	FID MOD INC PORT	Dividends			$484.27	484,270

Berkley 42 7/19

09/07/2007	FID REAL ESTATE INVS	Dividends		$4,974.10	170.838
09/31/2007	FIDELITY US BD INDEX	Dividends	Member:	$327.19	30.408
09/31/2007	FID MOD INC PORT	Dividends		$623.82	623.820
09/29/2007	FIDELITY US BD INDEX	Contribution		$131.23	12.207
09/29/2007	FID REAL ESTATE INVS	Contribution	Shelley Bedley	$131.23	4.161
09/29/2007	FID MOD INC PORT	Contribution		$349.96	349.960
09/29/2007	FID DIVERSIFIED INTL	Contribution	NV-1	$131.24	3.309
09/29/2007	FID CONTRAFUND	Contribution		$131.24	1.667
08/15/2007	FIDELITY US BD INDEX	Contribution		$131.23	12.264
08/15/2007	FID REAL ESTATE INVS	Contribution		$131.23	4.429
08/15/2007	FID MOD INC PORT	Contribution		$349.96	349.960
08/15/2007	FID DIVERSIFIED INTL	Contribution		$131.24	3.441
08/15/2007	FID CONTRAFUND	Contribution		$131.24	1.947
09/01/2007	FIDELITY US BD INDEX	Contribution		$131.23	12.230
09/01/2007	FID REAL ESTATE INVS	Contribution		$131.23	4.207
09/01/2007	FID MOD INC PORT	Fees		-$7.50	-7.500
09/01/2007	FID MOD INC PORT	Contribution		$349.96	349.960
08/01/2007	FID DIVERSIFIED INTL	Contribution		$131.24	3.267
08/01/2007	FID CONTRAFUND	Contribution		$131.24	1.874
07/31/2007	FIDELITY US BD INDEX	Dividends		$319.17	29.718
07/31/2007	FID MOD INC PORT	Dividends		$519.84	519.840
07/18/2007	FIDELITY US BD INDEX	Contribution		$131.23	12.278
07/18/2007	FID REAL ESTATE INVS	Contribution		$131.23	3.832
07/18/2007	FID MOD INC PORT	Contribution		$349.96	349.960
07/18/2007	FID DIVERSIFIED INTL	Contribution		$131.24	3.106
07/18/2007	FID CONTRAFUND	Contribution		$131.24	1.802
07/09/2007	FIDELITY US BD INDEX	Contribution		$131.23	12.322
07/09/2007	FID REAL ESTATE INVS	Contribution		$131.23	3.767
07/09/2007	FID MOD INC PORT	Contribution		$349.96	349.960
07/09/2007	FID DIVERSIFIED INTL	Contribution		$131.24	3.115
07/09/2007	FID CONTRAFUND	Contribution		$131.24	1.819
06/29/2007	FIDELITY US BD INDEX	Dividends		$310.19	28.963
06/29/2007	FID MOD INC PORT	Dividends		$489.43	489.430
06/18/2007	FIDELITY US BD INDEX	Contribution		$131.23	12.299
06/18/2007	FID REAL ESTATE INVS	Contribution		$131.23	3.759
06/18/2007	FID MOD INC PORT	Contribution		$349.96	349.960
06/18/2007	FID DIVERSIFIED INTL	Contribution		$131.24	3.180
06/18/2007	FID CONTRAFUND	Contribution		$131.24	1.843
06/06/2007	FIDELITY US BD INDEX	Contribution		$196.16	18.249
06/06/2007	FID REAL ESTATE INVS	Contribution		$196.16	5.372
06/06/2007	FID MOD INC PORT	Contribution		$523.08	523.080
06/06/2007	FID DIVERSIFIED INTL	Contribution		$196.14	4.808
06/06/2007	FID CONTRAFUND	Contribution		$196.16	2.796
05/01/2007	FID REAL ESTATE INVS	Dividends		$165.19	4.417
05/31/2007	FIDELITY US BD INDEX	Dividends		$310.93	28.907
05/31/2007	FID MOD INC PORT	Dividends		$504.14	504.140
05/21/2007	FIDELITY US BD INDEX	Contribution		$98.08	9.048
05/21/2007	FID REAL ESTATE INVS	Contribution		$98.08	2.744
05/21/2007	FID MOD INC PORT	Contribution		$261.54	261.540
05/21/2007	FID DIVERSIFIED INTL	Contribution		$98.07	2.410
05/21/2007	FID CONTRAFUND	Contribution		$98.08	1.403
05/01/2007	FID MOD INC PORT	Fees		-$7.50	-7.500
04/30/2007	FIDELITY US BD INDEX	Dividends		$305.74	27.998
04/30/2007	FID MOD INC PORT	Dividends		$484.27	484.270

Bedley 43 of 119

Member: Shelley Berkley NV-1

Berkley 44 of 119

SCHWAB

Rollover IRA of
LAWRENCE M LEHRNER
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

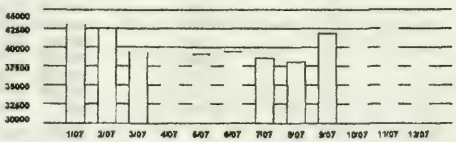
Account Number

Statement Period
December 1-31, 2007

Change in Account Value

	This Period	Year to Date
Starting Value	$ 43,302.31	$ 41,703.27
Cash Value of Purchases & Sales	(2,193.33)	(2,173.05)
Investments Purchased/Sold	2,193.33	2,173.05
Deposits & Withdrawals	0.00	(20.28)
Dividends & Interest	2,193.33	2,193.33
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(2,193.33)	(3,022.57)
Change in Value of Investments	(5,606.97)	(3,158.41)
Ending Value on 12/31/2007	$ 37,695.34	$ 37,695.34
Total Change in Account Value	**$ (5,606.97)**	**$ (4,007.93)**
(Totals include Deposits & Withdrawals)	**(12.95)%**	**(9.61)%**

Account Value ($) Over Last 12 Months



Asset Composition

	Market Value	% of Account Assets
Money Market Funds [Sweep]	$ 11.12	<1%
Equities	21,210.00	56%
Mutual Funds	16,474.22	44%
Total Assets Long	$ 37,695.34	
Total Account Value	**$ 37,695.34**	**100%**

Overview

56% Equities
44% Mutual Funds

Gain or (Loss) Summary

Gain or (Loss) on Investments Sold

This Period $0.00

Unrealized Gain or (Loss)

All Investments $(10,584.67)

Values may not reflect all of your gains/losses.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

© 2007 Charles Schwab & Co., Inc. All rights reserved. Member SIPC.

SIPC

171

Member: Shelley Berkley NV-1

Berkley 45 of 119

SCHWAB

Rollover IRA of
LAWRENCE M LEHRNER
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number

Statement Period
December 1-31, 2007

Income Summary

	This Period	Year To Date
Cash Dividends	169.25	169.25
Total Capital Gains	2,024.08	2,024.08
Total Income	**2,193.33**	**2,193.33**

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB CASH RESERVES [redacted]	11.1200	1.0000	11.12	4.43%	<1%
Total Money Market Funds [Sweep]			**11.12**		**<1%**
Total Money Market Funds [Sweep]			**11.12**		**<1%**

Investment Detail - Equities

Accounting Method
Equities: First In First Out [FIFO]

Equities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
SIRIUS SATELLITE RADIO	7,000.0000	3.0300	21,210.00	56%	(8,652.30)	0.00%	0.00
SYMBOL: SIRI	1,100.0000	4.8990	5,388.95	05/02/06	(2,055.95)		
	1,200.0000	4.0782	4,893.95	05/18/06	(1,257.95)		
	1,100.0000	4.5375	4,991.30	05/31/06	(1,658.30)		
	500.0000	4.4475	2,223.77	07/07/06	(708.77)		
	600.0000	4.4490	2,669.43	07/07/06	(851.43)		
	1,250.0000	3.8479	4,809.95	07/24/06	(1,022.45)		
	1,250.0000	3.9079	4,884.95	09/11/06	(1,097.45)		
Cost Basis			*29,862.30*				
Total Equities			**21,210.00**	**56%**	**(8,652.30)**		**0.00**

Estimated Annual Income and yield calculations are for informational purposes only and are not a projection or guarantee of future dividends.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

[redacted]

©2007 Charles Schwab & Co., Inc. All rights reserved. Member SIPC [redacted]

SIPC

Berkley 46 of 119

SCHWAB

Rollover IRA of
LAWRENCE M LEHRNER
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number

Statement Period
December 1-31, 2007

Investment Detail - Mutual Funds

Accounting Method
Mutual Funds: Average

Mutual Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DELAFIELD FUND SYMBOL: DEFIX	360.5590	24.3200	8,768.79	23%	25.43	9,169.77	(400.98)
MUHLENKAMP FUND SYMBOL: MUHLX	118.5450	65.0000	7,705.43	20%	77.92	9,236.82	(1,531.39)
Total Mutual Funds			**16,474.22**	**44%**			**(1,932.37)**
					Total Investment Detail		**37,696.34**
					Total Account Value		**37,696.34**

Transaction Detail - Purchases & Sales

Mutual Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/27/07	12/27/07	Reinvested Shares	DELAFIELD FUND: DEFIX	2.9170	24.4400	(71.30)
12/27/07	12/27/07	Reinvested Shares	DELAFIELD FUND: DEFIX	2.0560	24.4400	(50.26)
12/27/07	12/27/07	Reinvested Shares	DELAFIELD FUND: DEFIX	29.4180	24.4400	(718.97)
12/27/07	12/27/07	Reinvested Shares	MUHLENKAMP FUND: MUHLX	19.9500	65.4200	(1,305.11)
12/27/07	12/27/07	Reinvested Shares	MUHLENKAMP FUND: MUHLX	0.7290	65.4200	(47.69)
Total Mutual Funds Activity						**(2,193.33)**
Total Purchases & Sales						**(2,193.33)**

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

[redacted]

©2007 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. [redacted]

SIPC

Charles Schwab Customer Center

Member: Shelley Berkley NV-1

SCHWAB

The Schwab Security G

Customer Service Open an Account

Accounts

Account History

Page Help Export: Printer-Friendly Versio

Select Account: Show only this symbol: Account Number:

Date Range: Previous Year to: Total Market Value:

Transactions: All Go View Balance Deta

Order Status | Al

All Transactions From 01/01/2007 To 12/31/2007 Up to 24 months. (Beyond 24 months)

Date	Action	Quantity	Symbol	Description	Price	Amount
Specified Transactions						
12/27/2007			MUHLX	MUHLENKAMP FUND type: CASH DIVIDEND		$47.69
12/27/2007			MUHLX	MUHLENKAMP FUND type: LT CAP GAIN REIN		$1305.11
12/27/2007			DEFIX	DELAFIELD FUND type: CASH DIVIDEND		$50.26
12/27/2007			DEFIX	DELAFIELD FUND type: LT CAP GAIN REIN		$718.97
12/27/2007			DEFIX	DELAFIELD FUND type: ST CAP GAIN REINV.		$71.30
12/27/2007	Buy	0.7290	MUHLX	MUHLENKAMP FUND type: REINVEST DIVIDEND [Details]	$65.42000	-$47.69
12/27/2007	Buy	19.9500	MUHLX	MUHLENKAMP FUND type: REINVEST DIVIDEND [Details]	$65.42000	-$1305.11
12/27/2007	Buy	2.0560	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND [Details]	$24.44000	-$50.26
12/27/2007	Buy	2.9170	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND [Details]	$24.44000	-$71.30
12/27/2007	Buy	29.4180	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND [Details]	$24.44000	-$718.97
01/04/2007			DEFIX	DELAFIELD FUND type: S/T CAP GN		$81.94
01/04/2007	Buy	3.1780	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND [Details]	$25.78000	-$81.94
01/03/2007	Sell	2.8790	DEFIX	DELAFIELD FUND type: ADJ REINVESTMENT [Details]	$25.78000	$74.21
01/03/2007			DEFIX	DELAFIELD FUND		-$74.21
01/03/2007			DEFIX	DELAFIELD FUND type: CASH DIVIDEND		$56.01
01/03/2007			DEFIX	DELAFIELD FUND type: LT CAP GAIN		$691.29
01/03/2007	Buy	26.8150	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND [Details]	$25.78000	-$691.29
01/03/2007	Buy	2.1730	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND [Details]	$25.78000	-$56.01

Enter symbol for free real time quote. Quote Symb

For Extended Hours quote, ad

Berkley 478-119

2/17/2008 4:59 PM

Morgan Stanley

Berkley 48 Pr 119

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

Account Number

Roth Converted IRA

Householding Activ. Date:

ROCHELLE BERKLEY

Access Your Account at:

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$92.[illegible]	0.[illegible]
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	160,395.4[illegible]	100.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$160,478.0[illegible]	100.0[illegible]
Cash	0.00	
Total Asset Value	$160,478.0[illegible]	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$0.11	$3.65
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	738.71	1,498.64
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$738.[illegible]	$1,502.29

*Eligible for FDIC insurance, FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value November 30 2007 $161,[illegible]

Cash/Money Market Funds/Bank Deposits* Activity for December

Closing Balance 11/30	$[illegible]
Credits To Your Account	
Dividends and Interest	738.[illegible]
Deposits	0.00
Sales Proceeds/Redemptions	1,500.11
Other Credits	1,510.04
Total Credits	11,[illegible]
Debits To Your Account	
Withdrawals	0.00
Funds to Purchase Securities	-4,199.45
Other Debits	1,430.68
Total Debits	-11,[illegible]
Closing Balance 12/31	[illegible]

Net Change Cash/Money Market Funds/BankDeposits* Activity 0.11

Changes in Asset Value for December

Value of Priced Assets 11/30	$161,[illegible]
Securities Bought	4,194.45
Securities Received	0.00
Securities Sold/Redeemed	1,[illegible]
Securities Delivered	0.00
Transactions at Fund Company	0.00
Change in Value of Priced Assets	-5,[illegible]
Value of Priced Assets 12/31	160,395.4[illegible]

Net Change in Asset Value -919.[illegible]

Total Asset Value as of December 31 2007 $160,478.0[illegible]

Please see page 6 for your Account's Retirement Recap.

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 49 17 119

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

ROCHELLE BERKLEY

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
NS LIQUID ASSET FUND	[illegible]		4	---	4.50
Total Money Market Funds	[illegible]		4	---	---
Total Cash/MMF/Bank Deposits	$[illegible]		$4	---	---
Net Change Since Last Statement	$.11				

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds								
184.315 NSTF EMERGING MARKETS A	34.[illegible]	6,244.20	3.[illegible]	[illegible]	.[illegible]		[illegible]	Reinv/Reinv
194.037 NSTF INTERNATL SMALL CAP A	17.[illegible]	3,349.[illegible]	[illegible]	[illegible]	1.[illegible]		NSISX	Reinv/Reinv
1,100.691 DAVIS NEW YORK VENTURE A	49.[illegible]	52,080.[illegible]	32.4	[illegible]	1.0[illegible]		NYVTX	Reinv/Reinv
197.835 HOWARD/MERRILL GROWTH A	27.[illegible]	5,064.44	3.[illegible]	N/A	N/A		HGGGX	Reinv/Reinv
5,089.135 GOLDMAN SACHS STRATEGIC GRW A	13.[illegible]	54,935.37	34.[illegible]	N/A	N/A		GSGRX	Reinv/Reinv
277.215 NSTF CP US SMALL CAP VAL INST	23.1700	6,421.07	4.[illegible]	17	.26		[illegible]	Reinv/Reinv
1,546.362 PIONEER HIGH YIELD A	19.4700	30,332.[illegible]	19.[illegible]	1,[illegible]	5.18		[illegible]	Reinv/Reinv
[illegible] JP MORGAN MID CAP VALUE A	21.1600	6,[illegible]	3.[illegible]	[illegible]	.51		JAMCX	Reinv/Reinv
[illegible] DELAWARE GROWTH GROUP A	[illegible]	5,750.[illegible]	3.[illegible]	N/A	N/A		DTGTX	Reinv/Reinv
Total Mutual Funds		$160,345.47	100.0	$1,736	1.08			
Net Change Since Last Statement		-418.[illegible]						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$160,479.06	$1,740
Total Net Change In Priced Assets Since Last Statement	-418.[illegible]	

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

All Account Activity for Shelley Berkley Roth IRA from 1/1/2007 to 12/31/2007

Date	Activity	Quantity	Description	Symbol	Price	Amount
12/31/2007	Automatic Investment	9.3	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	10.47	-97.34
12/31/2007	Dividend		MS LIQUID ASSET FUND	ILAF		0.31
12/28/2007	S/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		177.3
12/28/2007	L/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		796.89
12/28/2007	Dividend		MSIF EMERGING MARKETS A DIV PAYMENT	MGEMX		18.02
12/27/2007	Dividend		MSIF EMERGING MARKETS A DIV ADJUSTMENT	MGEMX		-18.02
12/27/2007	S/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		-177.3
12/27/2007	L/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		-796.89
12/26/2007	S/T Capital Gain		MSIF TR US SMALL CAP VAL INST	MPSCX		245.26
12/26/2007	L/T Capital Gain		MSIF TR US SMALL CAP VAL INST	MPSCX		526.52
12/26/2007	Dividend		MSIF TR US SMALL CAP VAL INST DIV PAYMENT	MPSCX		15.2
12/26/2007	S/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		36.93
12/26/2007	L/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		715.9
12/26/2007	Dividend		MSIF INTERNATL SMALL CAP A DIV PAYMENT	MSISX		40.38
12/26/2007	S/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		177.3
12/26/2007	L/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		796.89
12/26/2007	Dividend		MSIF EMERGING MARKETS A DIV PAYMENT	MGEMX		18.02
12/24/2007	Dividend		MSIF TR US SMALL CAP VAL INST DIV ADJUSTMENT	MPSCX		-10.48
12/24/2007	S/T Capital Gain		MSIF TR US SMALL CAP VAL INST	MPSCX		-244.39
12/24/2007	L/T Capital Gain		MSIF TR US SMALL CAP VAL INST	MPSCX		-526.65
12/24/2007	Dividend		MSIF INTERNATL SMALL CAP A DIV ADJUSTMENT	MSISX		-45.76
12/24/2007	S/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		-34.63
12/24/2007	L/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		-671.28
12/24/2007	Dividend		MSIF EMERGING MARKETS A DIV ADJUSTMENT	MGEMX		-15.39
12/24/2007	S/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		-178.27
12/24/2007	L/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		-801.27
12/21/2007	Dividend		JP MORGAN MID CAP VALUE A DIV PAYMENT	JAMCX		31.87
12/21/2007	S/T Capital Gain		MSIF TR US SMALL CAP VAL INST	MPSCX		244.39
12/21/2007	L/T Capital Gain		MSIF TR US SMALL CAP VAL INST	MPSCX		526.65
12/21/2007	Dividend		MSIF TR US SMALL CAP VAL INST DIV PAYMENT	MPSCX		10.48
12/21/2007	S/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		34.63
12/21/2007	L/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		671.28
12/21/2007	Dividend		MSIF INTERNATL SMALL CAP A DIV PAYMENT	MSISX		45.76
12/21/2007	S/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		178.27
12/21/2007	L/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		801.27
12/21/2007	Dividend		MSIF EMERGING MARKETS A DIV PAYMENT	MGEMX		15.39
12/20/2007	Automatic Investment	24.1	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	33.07	-796.89
12/20/2007	Automatic Investment	5.36	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	33.07	-177.3
12/20/2007	Automatic Investment	0.55	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	33.07	-18.02
12/20/2007	Sold (Adjustment)	5.38	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX		177.3
12/20/2007	Sold (Adjustment)	24.2	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX		796.89

Berkley 50 of 119

12/20/2007 Sold (Adjustment)	0.55	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX		18.02
12/20/2007 Automatic Investment	0.65	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX	23.24	-15.2
12/20/2007 Automatic Investment	22.74	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX	23.24	-528.52
12/20/2007 Automatic Investment	10.55	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX	23.24	-245.26
12/20/2007 Automatic Investment	43.1	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	16.61	-715.9
12/20/2007 Automatic Investment	2.43	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	16.61	-40.38
12/20/2007 Automatic Investment	2.22	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	16.61	-36.93
12/20/2007 Automatic Investment	24.2	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	32.93	-796.89
12/20/2007 Automatic Investment	0.55	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	32.93	-18.02
12/20/2007 Automatic Investment	5.38	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	32.93	-177.3
12/20/2007 Sold (Adjustment)	10.52	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX		244.39
12/20/2007 Sold (Adjustment)	22.66	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX		526.65
12/20/2007 Sold (Adjustment)	0.45	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX		10.48
12/20/2007 Sold (Adjustment)	2.09	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX		34.63
12/20/2007 Sold (Adjustment)	40.41	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX		671.28
12/20/2007 Sold (Adjustment)	2.76	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX		45.76
12/20/2007 Sold (Adjustment)	5.41	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX		178.27
12/20/2007 Sold (Adjustment)	24.33	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX		801.27
12/20/2007 Sold (Adjustment)	0.47	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX		15.39
12/20/2007 Automatic Investment	1.32	JP MORGAN MID CAP VALUE A REINVESTMENT	JAMCX	24.16	-31.87
12/20/2007 Automatic Investment	0.45	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX	23.24	-10.48
12/20/2007 Automatic Investment	10.52	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX	23.24	-244.39
12/20/2007 Automatic Investment	22.66	MSIF TR US SMALL CAP VAL INST REINVESTMENT	MPSCX	23.24	-526.65
12/20/2007 Automatic Investment	40.41	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	16.61	-671.28
12/20/2007 Automatic Investment	2.76	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	16.61	-45.76
12/20/2007 Automatic Investment	2.09	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	16.61	-34.63
12/20/2007 Automatic Investment	24.33	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	32.93	-801.27
12/20/2007 Automatic Investment	0.47	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	32.93	-15.39
12/20/2007 Automatic Investment	5.41	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	32.93	-178.27
12/18/2007 S/T Capital Gain		JP MORGAN MID CAP VALUE A	JAMCX		21.4
12/18/2007 L/T Capital Gain		JP MORGAN MID CAP VALUE A	JAMCX		464.92
12/17/2007 Automatic Investment	19.37	JP MORGAN MID CAP VALUE A REINVESTMENT	JAMCX	24	-464.92

Bailey 51 of 119

12/17/2007	Automatic Investment	0.69	JP MORGAN MID CAP VALUE A REINVESTMENT	JAMCX	24	-21.4
12/11/2007	S/T Capital Gain		DELAWARE GROWTH OPPORT A	DFCIX		60.78
12/11/2007	L/T Capital Gain		DELAWARE GROWTH OPPORT A	DFCIX		563.18
12/10/2007	L/T Capital Gain		FORWARD/EMERALD GROWTH A	HSPGX		528.35
12/7/2007	Automatic Investment	2.61	DELAWARE GROWTH OPPORT A REINVESTMENT	DFCIX	23.25	-60.76
12/7/2007	Automatic Investment	24.22	DELAWARE GROWTH OPPORT A REINVESTMENT	DFCIX	23.25	-563.18
12/7/2007	Automatic Investment	40.52	FORWARD/EMERALD GROWTH A REINVESTMENT	HSPGX	13.04	-528.35
12/4/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		83.05
12/4/2007	Dividend		DAVIS NEW YORK VENTURE A DIV PAYMENT	NYVTX		550.19
12/3/2007	Automatic Investment	13.69	DAVIS NEW YORK VENTURE A REINVESTMENT	NYVTX	40.2	-550.19
11/30/2007	Automatic Investment	7.93	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	10.48	-83.05
11/30/2007	Dividend		MS LIQUID ASSET FUND	ILAF		0.3
11/30/2007	L/T Capital Gain		PIONEER HIGH YIELD A	TAHYX		1,052.94
11/28/2007	Automatic Investment	101.34	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	10.39	-1,052.94
11/2/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		82.45
10/31/2007	Automatic Investment	7.18	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.51	-82.45
10/31/2007	Dividend		MS LIQUID ASSET FUND	ILAF		0.33
10/2/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		82.04
9/28/2007	Automatic Investment	7.23	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.35	-82.04
9/28/2007	Dividend		MS LIQUID ASSET FUND	ILAF		0.28
9/5/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		81.66
8/31/2007	Automatic Investment	7.32	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.15	-81.66
8/31/2007	Dividend		MS LIQUID ASSET FUND	ILAF		0.31
8/2/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		72.37
7/31/2007	Automatic Investment	6.47	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.18	-72.37
7/31/2007	Dividend		LIQUID ASSET FUND	ILAF		0.32
7/5/2007	S/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		4.77
7/5/2007	L/T Capital Gain		MSIF INTERNATL SMALL CAP A	MSISX		76.89
7/5/2007	S/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		3.09
7/5/2007	L/T Capital Gain		MSIF EMERGING MARKETS A	MGEMX		104.47
7/5/2007	Dividend		MSIF EMERGING MARKETS A DIV PAYMENT	MGEMX		1.74
7/3/2007	Automatic Investment	0.19	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	25.58	-4.77
7/3/2007	Automatic Investment	3.01	MSIF INTERNATL SMALL CAP A REINVESTMENT	MSISX	25.58	-76.89
7/3/2007	Automatic Investment	3.02	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	34.62	-104.47
7/3/2007	Automatic Investment	0.09	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	34.62	-3.09
7/3/2007	Automatic Investment	0.05	MSIF EMERGING MARKETS A REINVESTMENT	MGEMX	34.62	-1.74
7/3/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		72.17
6/29/2007	Automatic Investment	6.39	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.3	-72.17
6/29/2007	Dividend		LIQUID ASSET FUND	ILAF		0.29
6/4/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		71.91
5/31/2007	Automatic Investment	6.26	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.48	-71.91
5/31/2007	Dividend		LIQUID ASSET FUND	ILAF		0.31
5/2/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		71.61

Berkley 52 of 119

4/30/2007	Automatic Investment	6.34	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.3	-71.61
4/30/2007	Dividend		LIQUID ASSET FUND	ILAF		0.31
4/3/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		74.96
3/30/2007	Automatic Investment	6.72	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.16	-74.96
3/30/2007	Dividend		LIQUID ASSET FUND	ILAF		0.3
3/2/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		74.71
2/28/2007	Automatic Investment	6.72	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.12	-74.71
2/28/2007	Dividend		LIQUID ASSET FUND	ILAF		0.28
2/2/2007	Dividend		PIONEER HIGH YIELD A DIV PAYMENT	TAHYX		74.31
1/31/2007	Automatic Investment	6.73	PIONEER HIGH YIELD A REINVESTMENT	TAHYX	11.05	-74.31
1/31/2007	Dividend		LIQUID ASSET FUND	ILAF		0.31
1/4/2007	Dividend		PIONEER HIGH YIELD A	TAHYX		79.41

Bulley 53 of 119

Morgan Stanley

Berkley 548-119

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

Account Number

AAA Customer Service

Householding Anniv. Date:

Access Your Account at: [redacted]

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$10,490.77	11.1
Stocks	83,353.70	88.9
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$93,844.47	100.0
Cash	0.00	
Total Asset Value	$93,844.47	

Income Summary

	Year To Date
MMF and Bank Deposits*	$529.06
Stocks	485.64
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,014.70
Taxable Income	$1,014.70
Tax Exempt Income	$0.00

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value January 01, 2007 $0.00

Cash/Money Market Funds/Bank Deposits' Activity for 2007

Credits To Your Account	
Dividends and Interest	1,014.70
Deposits	100,000.00
Sales Proceeds/Redemptions	92,044.41
Other Credits	160.08
Total Credits	193,219.19
Debits To Your Account	
Checking	0.00
World Card	0.00
Withdrawals	0.00
Funds to Purchase Securities	-177,987.28
Other Debits	-970.85
Total Debits	-178,958.13

Changes in Asset Value for 2007	
Value of Priced Assets 1/01/07	$0.00
Securities Bought	177,987.28
Securities Received	0.00
Securities Sold/Redeemed	-92,044.41
Securities Delivered	0.00
Transactions at Fund Company	0.00
Change in Value of Priced Assets	-2,589.17
Value of Priced Assets 12/31/07	83,353.70
Net Change in Asset Value	83,353.70
Total Asset Value as of December 31, 2007	93,844.47

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley / 55 of 119

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

Account Number

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
BANK DEPOSIT #				4.71%	
MORGAN STANLEY BANK	10,490.77	11.1%	494	--	
Total Bank Deposits	10,490.77	11.1%	494	---	---
Total Cash/MMF/Bank Deposits	$10,490.77	11.1%	$494	---	---
Net Change Since January 1, 2007	$14,261.06				

Bank deposits are at Morgan Stanley Bank and Morgan Stanley Trust bank (Members FDIC), affiliates of Morgan Stanley & Co Incorporated. Bank deposits are eligible for FDIC insurance up to applicable limits. Not SIPC insured.

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
98	AETNA INC (NEW) (CT)	57.73	5,657.54	6.1%	3	0.06%	AET	Next Dividend Payable 11/09
77	ALLEGHENY ENERGY INC	63.61	4,897.97	5.2%	0	0.00%	AYE	
57	BALLY TECHNOLOGIES INC	48.72	2,934.04	3.0%	0	0.00%	BYI	
85	BAXTER INTL INC	58.05	4,934.25	5.3%	73	1.49%	BAX	Next Dividend Payable 01/03/08
73	CENVEO INC	17.47	1,275.31	1.4%	0	0.00%	CVO	
122	CHESAPEAKE ENERGY CORP	39.20	4,782.40	5.1%	32	.68%	CHK	Next Dividend Payable 01/15/09
55	DIODES INC	30.07	1,653.85	1.8%	0	0.00%	DIOD	
89	EQUITABLE RESOURCES INC	53.28	4,741.92	5.1%	78	1.65%	EQT	Next Dividend Payable 03/08
47	FOSSIL INC	41.98	1,973.06	2.1%	0	0.00%	FOSL	
202	GLOBAL INDUSTRIES LTD	21.42	4,326.84	4.6%	0	0.00%	GLBL	
69	GOODMAN GLOBAL, INC	24.54	1,692.26	1.8%	0	0.00%	GGI	
95	HELIX ENERGY SOLUTIONS GRP INC	41.50	3,942.50	4.2%	0	0.00%	HLX	
320	HUDSON CITY BANCORP INC.	15.02	4,806.40	5.1%	108	2.26%	HCBK	Next Dividend Payable 03/08
72	INFORMATICA CORP	18.02	1,297.44	1.4%	0	0.00%	INFA	
56	KINDER MORGAN MGMT LLC	52.94	2,964.64	3.2%	0	0.00%	KMR	
140	LIFEWAY FOODS INC	11.83	1,656.20	1.8%	0	0.00%	LWAY	
64	LSB INDUSTRIES	28.22	1,806.08	1.9%	0	0.00%	LXU	
26	M&F WORLDWIDE CORP	53.85	1,400.10	1.5%	0	0.00%	MFW	
145	METALICO INC	10.81	1,567.45	1.7%	0	0.00%	MFA	
45	OVERSTOCK.COM INC	15.53	698.85	0.7%	0	0.00%	OSTK	
15	OYO GEOSPACE CORP	75.36	1,130.40	1.2%	0	0.00%	OYOG	
89	PETROHAWK ENERGY CORP	17.31	1,505.97	1.6%	0	0.00%	HK	
40	PROSHARES TR BASIC MTRL PRO	40.65	1,626.00	1.7%	51	3.13%	SMN	Next Dividend Payable 03/08
8	PROSHARES TR CONSUMP GD PRO	63.24	505.92	0.5%	17	3.51%	SZK	Next Dividend Payable 03/08
6	PROSHARES TR CONSUMR SVCS	85.60	513.60	0.5%	14	2.78%	SCC	Next Dividend Payable 03/08
9	PROSHARES TR ULTRASHRT INDL	56.29	506.61	0.5%	17	3.54%	SJJ	Next Dividend Payable 03/08

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 56 of 119

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Account Number

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
19	PROSHARES TR ULTRASHRT OIG	35.90	683.62	0.7%	21	3.19%	DUG	Next Dividend Payable 03/09
47	PRUDENTIAL FINANCIAL INC	93.04	4,372.88	4.7%	54	1.23%	PRU	Next Dividend Payable 12/08
54	TESORO PETROLEUM CP	47.70	4,493.80	4.8%	37	.93%	TSO	Next Dividend Payable 03/08
160	TITANIUM METALS CORP NEW	26.45	4,232.00	4.5%	48	1.13%	TIE	Next Dividend Payable 03/08
3	UNIV HLTH RLTY INC TR SBI	35.44	106.32	0.1%	6	6.54%	UHT	Next Dividend Payable 03/08
93	XTO ENERGY INC	51.36	4,776.48	5.1%	44	.92%	XTO	Next Dividend Payable 01/15/08
Total Stocks			583,353.70	58.3%	2617	.73%		
Net Change Since January 1, 2007			$83,353.70					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$33,944.47	$1,106
Total Net Change In Priced Assets Since January 1, 2007	$83,353.70	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	425.35	MMF and Bank Deposits
Dividend	AETNA INC (NEW)(CT)	3.92	Stocks
Dividend	ALLEGHENY ENERGY INC	7.80	Stocks
Dividend	BAXTER INTL INC	14.24	Stocks
Dividend	CHESAPEAKE ENERGY CORP	14.78	Stocks
Dividend	DOW CHEMICAL CO	45.78	Stocks
Dividend	EQUITABLE RESOURCES INC	12.32	Stocks
Dividend	FAIRPOINT COMMUNICATIONS, INC	108.20	Stocks
Dividend	GENERAL ELECTRIC CO	31.36	Stocks
Dividend	HUDSON CITY BANCORP INC.	5.27	Stocks
Dividend	NATIONAL HEALTH INVESTORS INC	43.00	Stocks
Dividend	NAVIOS MARITIME HLDGS INC	13.98	Stocks
Dividend	PROSHARES TR CONSUME SVCS	17.89	Stocks
Dividend	PROSHARES TR CONSUME GD PRO	4.45	Stocks
Dividend	PROSHARES TR ULTRASHRT INDL	4.43	Stocks
Dividend	PROSHARES TR ULTRASHRT FINL	7.08	Stocks

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 57 of 119

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Account Number

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	PROSHARES TR ULTRASHRT O&G	5.45	Stocks
Dividend	PROSHARES TR TECHNOLOGY PRO	6.96	Stocks
Dividend	PROSHARES TR BASIC MTRL PRO	12.89	Stocks
Dividend	PRUDENTIAL FINANCIAL INC	54.35	Stocks
Dividend	SAUL CTRS INC	13.63	Stocks
Dividend	TESORO PETROLEUM CP	11.70	Stocks
Dividend	TITANIUM METALS CORP NEW	12.10	Stocks
Dividend	WEINGARTEN REALTY INV SBI	22.20	Stocks
Dividend	XTO ENERGY INC	12.17	Stocks
	Total Stocks	$485.04	
Taxable Interest	MORGAN STANLEY BANK	103.71	MMF and Bank Deposits
	Total Dividends and Interest	1,014.70	

Deposits

Date	Activity	Description	Amount	Additional Information
06-18	Branch Deposit	FUNDS RECEIVED	100,000.00	
		Total Deposits	$100,000.00	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-12	10-17	Sold	55	AARON RENTS INC	21.4173	1,177.96	
12-21	12-27	Sold	64	ACT WORLDWIDE INC	17.5604	1,123.85	
08-10	08-15	Sold	42	AMERISTAR CASINOS	25.0000	1,049.98	
07-20	07-25	Sold	163	BRUKER BIOSCIENCES CORPORATION	8.1587	1,312.37	
08-17	08-22	Sold	60	CENVED INC	18.0742	1,144.43	
08-31	09-06	Sold	45	CHESAPEAKE ENERGY CORP	32.0049	1,440.19	
07-31	08-03	Sold	423	COMPUWARE CORP	9.1954	3,978.40	
08-17	08-22	Sold	208	DISCOVERY HLDG CO CL A	22.5810	4,779.97	
11-14	11-19	Sold	74	DISCOVERY HLDG CO CL A	23.3508	1,727.93	
08-17	08-22	Sold	109	DOW CHEMICAL CO	41.6579	4,540.64	
10-22	10-25	Sold	21	DOW CHEMICAL CO	43.3287	909.78	
07-31	08-03	Sold	208	E*TRADE FINANCIAL CORP	19.1933	3,992.14	
10-22	10-25	Sold	16	ELECTRONIC ARTS INC	57.1186	913.88	
07-10	07-13	Sold	139	FAIRPOINT COMMUNICATIONS, INC	17.0001	2,362.97	
07-11	07-16	Sold	133	FAIRPOINT COMMUNICATIONS, INC	17.0213	2,263.79	
11-27	11-30	Sold	112	GENERAL ELECTRIC CO	37.0180	4,145.95	
10-22	10-25	Sold	75	GENERAL MOTORS CORP	37.0939	967.33	

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley / 58 8, 119

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Account Number

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-17	08-22	Sold	73	GLOBAL INDUSTRIES LTD	22.6738	1,655.16	
08-17	08-22	Sold	46	HELIX ENERGY SOLUTIONS GRP INC	36.7995	1,692.75	
08-13	08-16	Sold	41	INERGY L.P.	32.5931	1,336.29	
10-22	10-25	Sold	39	KINDER MORGAN MGMT LLC	49.4938	1,930.23	
11-27	11-30	Sold	35	LIFEWAY FOODS INC	10.0022	350.07	AC CHG/SPLT REF 331P2975
11-28	12-03	Sold	78	LIFEWAY FOODS INC	10.0012	780.07	
08-17	08-22	Sold	12	M&F WORLDWIDE CORP	54.0451	648.53	
09-21	09-26	Sold	175	METALICO INC	7.5000	1,312.47	
11-14	11-19	Sold	21	NATIONAL HEALTH INVESTORS INC	28.3248	609.51	
11-15	11-20	Sold	22	NATIONAL HEALTH INVESTORS INC	28.8548	634.85	
11-27	11-30	Sold	105	NAVIOS MARITIME HLDGS INC	12.5368	1,295.24	
06-26	06-29	Sold	108	NORTHSTAR REALTY FIN CORP	12.5827	1,326.39	
11-15	11-15	Sold	53	OAKLEY INC		1,728.70	EXCHANGE FOR CASH
11-14	11-19	Sold	32	PROSHARES TR ULSHRTCOG VAL	84.6000	4,399.13	
11-14	11-14	Sold	67	PROSHARES TR ULSHRTCOG VAL	73.5500	4,914.37	
11-15	11-20	Sold	66	PROSHARES TR ULSHRT MDCP VA	82.0000	5,411.91	
12-21	12-27	Sold	10	PROSHARES TR ULTRASHRT FINL	101.0000	1,009.98	
12-21	12-27	Sold	19	PROSHARES TR TECHNOLOGY PRO	52.0000	987.40	
12-27	01-02	Sold		PROSHARES TR ULTRASHRT INDL	55.0000	494.99	
12-27	01-02	Sold		PROSHARES TR CONSUMR GD PRO	67.0000	495.99	
12-27	01-02	Sold		PROSHARES TR CONSUMR SVCS	84.0000	503.99	
12-27	01-02	Sold		PROSHARES TR ULTRASHRT O&G	35.0000	674.48	
12-31	01-04	Sold		PROSHARES TR BASIC MTRL PRO	40.0217	1,600.84	
06-21	06-26	Sold	32	SAUL CTRS INC	44.9700	1,439.01	
11-27	11-30	Sold	15	SAUL CTRS INC	55.5298	832.93	
11-28	12-03	Sold	14	SAUL CTRS INC	56.3847	789.37	
11-16	11-21	Sold	20	TEREX CP NEW DEL	60.0000	1,199.98	
11-23	11-28	Sold	18	TEREX CP NEW DEL	60.0100	1,080.16	
06-22	06-27	Sold	147	TITANIUM METALS CORP NEW	32.1744	4,729.56	
10-22	10-25	Sold	27	TITANIUM METALS CORP NEW	32.4712	876.70	
09-21	09-26	Sold	36	USANA HEALTH SCIENCES INC	40.2500	1,446.97	
11-14	11-19	Sold	23	VAIL RESORTS	52.0098	1,196.21	
10-22	10-25	Sold	45	WEINGARTEN REALTY INV SBI	39.8094	1,791.33	
09-04	09-07	Sold	55	XTO ENERGY INC	56.2000	3,079.95	
				Total Sales Proceeds/Redemptions		$52,044.41	

These transactions may have received an average price execution Details regarding the actual prices are available upon request.

Other Credits

Date	Activity	Description	Amount	Additional Information
07-03	Rebate	12B1 FEE PASS THROUGH	1.46	
07-30	Stock Dividend	DIODES INC	13.91	CASH IN LIEU FRACTIONAL SHARE

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 59 Pl 119

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

Account Number

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Other Credits	Date	Activity	Description	Amount	Additional Information
	08-02	Rebate	12b1 FEE PASS THROUGH	1.62	
	08-14	Stock Dividend	KINDER MORGAN MGMT LLC	25.77	CASH IN LIEU FRACTIONAL SHARE
	08-14	Distribution	INEPGY L.P.	23.99	LIMITED PARTNERSHIP
	09-05	Rebate	12b1 FEE PASS THROUGH	1.70	
	10-02	Rebate	12b1 FEE PASS THROUGH	2.09	
	11-07	Rebate	12b1 FEE PASS THROUGH	1.60	
	11-14	Stock Dividend	KINDER MORGAN MGMT LLC	48.76	CASH IN LIEU FRACTIONAL SHARE
	12-04	Rebate	12b1 FEE PASS THROUGH	0.13	
	12-13	Stock Dividend	XTO ENERGY INC	39.11	CASH IN LIEU FRACTIONAL SHARE
			Total Other Credits	$160.08	
Total Credits To Your Account				$193,219.19	

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
09-07	09-12	Bought	55	AARON RENTS INC	25.0021	1,375.12	
11-29	12-04	Bought	64	ACI WORLDWIDE INC	22.7563	1,456.42	
06-20	06-25	Bought	98	AETNA INC (NEW)(CT)	50.6600	4,964.68	
08-17	08-22	Bought	35	ALLEGHENY ENERGY INC	50.0000	1,750.00	
10-15	10-18	Bought	17	ALLEGHENY ENERGY INC	56.7500	964.75	
11-29	12-04	Bought	25	ALLEGHENY ENERGY INC	59.9900	1,499.75	
06-20	06-25	Bought	42	AMERISTAR CASINOS	35.5000	1,491.00	
06-20	06-25	Bought	37	BALLY TECHNOLOGIES INC	36.3500	1,496.25	
06-20	06-25	Bought	85	BAXTER INTL INC	57.9700	4,927.45	
06-20	06-25	Bought	161	BRUKER BIOSCIENCES CORPORATION	9.3000	1,497.30	
06-20	06-25	Bought	60	CENVEO INC	24.7000	1,482.00	
11-29	12-04	Bought	73	CENVEO INC	19.5593	1,427.93	
06-20	06-25	Bought	132	CHESAPEAKE ENERGY CORP	37.6300	4,967.16	
11-29	12-04	Bought	35	CHESAPEAKE ENERGY CORP	38.1276	1,334.47	
06-20	06-25	Bought	423	COMPUWARE CORP	11.0000	4,653.00	
06-20	06-25	Bought	37	DIODES INC	39.9500	1,476.15	
06-20	06-25	Bought	208	DISCOVERY HLDG CO CL A	23.8700	4,964.96	
10-03	10-04	Bought	34	DISCOVERY HLDG CO CL A	28.8705	981.60	
11-08	11-14	Bought	40	DISCOVERY HLDG CO CL A	25.9000	1,036.00	
06-20	06-25	Bought	109	DOW CHEMICAL CO	45.5200	4,961.68	
10-12	10-17	Bought	21	DOW CHEMICAL CO	46.4352	975.14	

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Account Number

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-20	06-25	Bought	208	E*TRADE FINANCIAL CORP	24.0800	5,009.64	
10-12	10-17	Bought	16	ELECTRONIC ARTS INC	61.4693	983.51	
09-07	09-12	Bought	56	EQUITABLE RESOURCES INC	49.9351	2,796.37	
11-14	11-19	Bought	15	EQUITABLE RESOURCES INC	54.0000	810.00	
11-29	12-04	Bought	18	EQUITABLE RESOURCES INC	52.4802	944.28	
06-20	06-25	Bought	272	FAIRPOINT COMMUNICATIONS, INC	18.3300	4,977.60	
06-20	06-25	Bought	47	FOSSIL INC	31.4300	1,475.80	
07-20	07-25	Bought	112	GENERAL ELECTRIC CO	40.0000	4,480.00	
10-12	10-17	Bought	25	GENERAL MOTORS CORP	42.7564	1,068.91	
06-20	06-25	Bought	196	GLOBAL INDUSTRIES LTD	25.4400	4,986.24	
11-29	12-04	Bought	59	GLOBAL INDUSTRIES LTD	27.8812	1,807.61	
06-20	06-25	Bought	69	GOODMAN GLOBAL, INC	21.6400	1,493.16	
06-20	06-25	Bought	121	HELIX ENERGY SOLUTIONS GRP INC	41.0700	4,969.47	
11-29	12-04	Bought	20	HELIX ENERGY SOLUTIONS GRP INC	40.4950	809.31	
10-03	10-09	Bought	62	HUDSON CITY BANCORP INC.	15.6864	972.56	
11-08	11-14	Bought	73	HUDSON CITY BANCORP INC.	14.3178	1,045.20	
11-29	12-04	Bought	185	HUDSON CITY BANCORP INC.	14.9826	2,771.78	
06-20	06-25	Bought	41	INERGY L.P.	36.0000	1,476.00	
10-12	10-17	Bought	39	INFORMATICA CORP	16.6872	650.83	
11-29	12-04	Bought	33	INFORMATICA CORP	16.9810	560.37	
06-20	06-25	Bought	94	KINDER MORGAN MGMT LLC	52.2000	4,906.80	
07-10	07-13	Bought	86	LIFEWAY FOODS INC	12.5998	1,083.58	
07-26	07-31	Bought	30	LIFEWAY FOODS INC	12.6204	378.79	
11-19	11-23	Bought	137	LIFEWAY FOODS INC	10.7153	1,468.60	
06-20	06-25	Bought	64	LSB INDUSTRIES	23.3600	1,496.32	
07-20	07-25	Bought	12	M&F WORLDWIDE CORP	63.9417	766.10	
10-03	10-09	Bought	9	M&F WORLDWIDE CORP	51.7755	465.98	
10-15	10-18	Bought	9	M&F WORLDWIDE CORP	57.1890	514.70	
11-29	12-04	Bought	8	M&F WORLDWIDE CORP	52.0000	416.00	
06-20	06-25	Bought	175	METALICO INC	8.6500	1,513.75	
11-19	11-23	Bought	43	METALICO INC	10.2114	439.09	
12-04	12-07	Bought	102	METALICO INC	9.9999	1,019.49	AC CRG/SPLIT REF 00005800
06-20	06-25	Bought	43	NATIONAL HEALTH INVESTORS INC	34.1500	1,468.45	
07-20	07-25	Bought	48	NAVIOS MARITIME HLDGS INC	14.5000	696.00	
07-24	07-27	Bought	21	NAVIOS MARITIME HLDGS INC	14.5000	304.50	
08-10	08-15	Bought	36	NAVIOS MARITIME HLDGS INC	11.6790	420.44	
06-20	06-25	Bought	108	NORTHSTAR REALTY FIN CORP	13.7800	1,488.24	
06-20	06-25	Bought	59	OAKLEY INC	25.8200	1,523.38	
10-03	10-09	Bought	14	OVERSTOCK.COM INC	33.1874	464.62	
10-15	10-18	Bought	14	OVERSTOCK.COM INC	35.5000	494.00	
11-08	11-14	Bought	17	OVERSTOCK.COM INC	31.8341	542.87	
10-03	10-09	Bought	6	OYO GEOSPACE CORP	89.0097	537.06	
12-07	12-12	Bought	9	OYO GEOSPACE CORP	100.0000	900.00	

Morgan Stanley

Berkley 6/7/19

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

Account Number

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-20	06-25	Bought	87	PETROHAWK ENERGY CORP	17.0620	1,484.22	
10-19	10-24	Bought	66	PROSHARES TR ULSHRT MDCP VA	75.4715	4,981.12	
10-19	10-24	Bought	67	PROSHARES TR ULSHRT1000 VAL	69.0085	4,623.57	
10-22	10-25	Bought	29	PROSHARES TR ULSHRT2000 VAL	80.9500	2,347.55	
11-08	11-14	Bought	23	PROSHARES TR ULSHRT2000 VAL	90.0000	2,070.00	
11-29	12-04	Bought	6	PROSHARES TR CONSUMR SVCS	83.3672	500.20	
11-29	12-04	Bought	7	PROSHARES TR ULTRASHRT OIG	42.4000	296.80	
11-29	12-04	Bought	8	PROSHARES TR CONSUMR GD PRO	52.3500	418.80	
11-29	12-04	Bought	9	PROSHARES TR ULTRASHRT INDL	57.0000	512.00	
11-29	12-04	Bought	10	PROSHARES TR ULTRASHRT FINL	98.1793	981.79	
11-29	12-04	Bought	19	PROSHARES TR TECHNOLOGY PRO	53.9034	1,024.16	
11-29	12-04	Bought	34	PROSHARES TR BASIC MTRL PRO	45.9800	1,562.72	
12-04	12-07	Bought	6	PROSHARES TR BASIC MTRL PRO	44.7500	268.50	
12-07	12-12	Bought	12	PROSHARES TR ULTRASHRT OIG	39.3500	472.20	
07-20	07-25	Bought	47	PRUDENTIAL FINANCIAL INC	94.8987	4,460.23	
06-20	06-25	Bought	32	SAUL CTRS INC	45.4600	1,454.72	
09-07	09-12	Bought	29	SAUL CTRS INC	48.7500	1,413.75	
09-07	09-12	Bought	23	TEREX CP NEW DEL	80.9419	1,861.61	
10-26	10-31	Bought	15	TEREX CP NEW DEL	77.1300	1,156.95	
07-20	07-25	Bought	46	TESORO PETROLEUM CP	54.4696	2,505.60	
11-15	11-20	Bought	25	TESORO PETROLEUM CP	54.3967	1,359.92	
11-29	12-04	Bought	23	TESORO PETROLEUM CP	49.9641	1,149.17	
06-20	06-25	Bought	147	TITANIUM METALS CORP NEW	33.7100	4,955.37	
09-05	09-10	Bought	91	TITANIUM METALS CORP NEW	30.7500	2,798.25	
11-08	11-14	Bought	19	TITANIUM METALS CORP NEW	28.7479	546.12	
11-29	12-04	Bought	77	TITANIUM METALS CORP NEW	29.1730	2,246.32	
12-18	12-21	Bought	3	UNIV HLTH RLTY INC TR SBI	33.0000	99.00	
08-22	08-27	Bought	36	USANA HEALTH SCIENCES INC	37.6337	1,354.81	
06-20	06-25	Bought	23	VAIL RESORTS	63.6100	1,463.03	
08-22	08-27	Bought	45	WEINGARTEN REALTY INV SBI	40.0000	1,800.00	
06-20	06-25	Bought	78	XTO ENERGY INC	63.6400	4,963.92	
10-15	10-18	Bought	37	XTO ENERGY INC	65.7000	2,430.90	
11-14	11-19	Bought	15	XTO ENERGY INC	63.8287	957.43	
				Total Funds to Purchase Securities		-177,987.28	

These transactions may have received an average price execution. Details regarding the actual prices are available upon request.

Other Debits

Date	Activity	Description	Amount	Additional Information
06-20	Charge	DISCRETIONARY 1QTR FEE	-293.48	
09-17	Charge	DISCRETIONARY 3QTR FEE	-335.52	
12-14	Charge	DISCRETIONARY 4QTR FEE	-341.85	

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 6/27/19

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2007

LAWRENCE M LEHRNER TTEE
LEHRNER FAMILY TRUST U/A

Account Number

Other Debits

Date	Activity	Description	Amount	Additional Information
		Total Other Debits	-970.85	

Total Debits To Your Account -178,958.13

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-30	Stock Dividend	18	DIODES INC			
08-14	Stock Dividend	1	KINDER MORGAN MGMT LLC			
12-13	Stock Dividend	18	XTO ENERGY INC			
			Total Securities Received		0.00	

Tax Information

	Year-to-Date
Reportable Gross Proceeds	92,171.90

The tax information provided above should be used only as a guide; a complete 1099 will be sent to you.

Messages

Please note that sell trades executed, checks written and debit card transactions made in 2007, and which settle early in 2008, will be reflected as 2007 transactions under the Assets, Checking and Debit Card sections of the Activity Details portion of the statement.

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 63 of 119

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

Account Number

IRA Rollover

Household Anniv. Date:

ROCHELLE L BERKLEY

Access Your Account at:

[redacted]

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	[illegible]	[illegible]
Stocks	[illegible]	0.0
Municipal Bonds	[illegible]	0.0
Corporate Fixed Income	[illegible]	0.0
Government Securities	[illegible]	[illegible]
Mutual Funds	[illegible]	[illegible]
Unit Investment Trusts	[illegible]	0.0
Certificates of Deposit	[illegible]	0.0
Annuities/Insurance	[illegible]	0.0
Other	[illegible]	[illegible]
Asset Value	[illegible]	100.0
Cash	[illegible]	
Total Asset Value	[illegible]	

Activity Summary

Total Asset Value November 30 2007		[illegible]
Cash/Money Market Funds/Bank Deposits* Activity for December		
Closing Balance 11/30	[illegible]	
Credits To Your Account		
Dividends and Interest	[illegible]	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	[illegible]	
Total Credits	[illegible]	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	[illegible]	
Other Debits	0.00	
Total Debits	[illegible]	
Closing Balance 12/31	[illegible]	
Net Change Cash/Money Market Funds/Bank Deposits* Activity		[illegible]
Changes in Asset Value for December		
Value of Priced Assets 11/30	[illegible]	
Securities Bought	[illegible]	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	[illegible]	
Value of Priced Assets 12/31	[illegible]	
Net Change in Asset Value		[illegible]
Total Asset Value as of December 31 2007		[illegible]

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	[illegible]	$147.79
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	[illegible]	438.45
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	[illegible]	[illegible]

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Please see page 6 for your Account's Retirement Recap.

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 64 of 119

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

ROCHELLE L BERKLEY

Account Number

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds								
553.421 PHOENIX CAPITAL GRW A	17.3200	9,585.25	14.5[illegible]	N/A	N/A		PHGPX	Reinv/Reinv
Total Mutual Funds		$49,[illegible]0.27	74.4[illegible]	N/A	N/A			
Net Change Since Last Statement		-499.75						

Other	Est. NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures						
193.798 MS SPECTRUM SELECT EST.VALU	31.39	6,083.31	9.2[illegible]	0	12/28	
214.461 MS SPECTRUM TYPH EST.VALU	20.31	4,355.85	6.[illegible]	0	12/28	
427.165 MS SPECTRUM CURRENCY EST.VALU	9.42	3,195.47	4.8[illegible]	0	12/28	
Total Other		$13,6[illegible].23	20.[illegible]			
Net Change Since Last Statement		-213.98				

Asset Summary	Value	Est Yrly Income
Total Asset Value	$66,071.06	$144
Total Net Change In Priced Assets Since Last Statement	-719.33	

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 658,119

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

ROCHELLE L BERKLEY

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
MS LIQUID ASSET FUND	6,218.26	4.8	144	---	4.50
Total Money Market Funds	6,218.26	4.8	144	---	---
BANK DEPOSITS #				1.25	
MORGAN STANLEY BANK	7.50		0	---	---
Total Bank Deposits	7.50		N/A	---	---
Total Cash/MMF/Bank Deposits	6,225.76	4.8	3141	---	---
Net Change Since Last Statement	512.18				

Bank deposits are at Morgan Stanley Bank and Morgan Stanley Trust bank (Members FDIC), affiliates of Morgan Stanley & Co. Incorporated. Bank deposits are eligible for FDIC insurance up to applicable limits. Not SIPC insured.

Mutual Funds		Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Mutual Funds									
158,717	MS EMERGING GROWTH FUND A	27.8000	4,410.16	3.2	N/A	N/A	[redacted]	EGRAX	Reinv/Reinv
143,887	MS INTERNATL VALUE EQUITY FD A	11.9500	4,139.45	3.2	N/A	N/A		IVQAX	Reinv/Reinv
135,144	MS INTERNATL VALUE EQUITY FD B	11.9700	1,611.15	1.2	N/A	N/A		IVQBX	Reinv/Reinv
194,505	MS EUROPEAN EQUITY FUND B	27.4700	5,904.53	13.4	N/A	N/A		EUGBX	Reinv/Reinv
1,045,816	MS DIVIDEND GROWTH SECURITIES B	19.7800	20,634.53	31.7	N/A	N/A		DIVBX	Reinv/Reinv
Total Morgan Stanley Mutual Funds			39,615.02	60.1	N/A	N/A			

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 66 of 119

ACTIVE ASSETS ACCOUNT ®

FOR MONTH ENDING DECEMBER 31, 2007

Account Number

AAA Customer Service

Householding Anniv. Date:

Access Your Account at: [redacted]

LAWRENCE M LEHRNER TTEE
FOR THE LEHRNER FAMILY LIVING
TRUST DTD 2/22/96

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$0.91	100.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$0.91	100.0%
Cash	0.00	
Total Asset Value	$0.91	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$0.01	$40.33
Stocks	0.00	256.18
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$0.01	$296.51
Taxable Income	$0.01	$296.51
Tax Exempt Income	$0.00	$0.00

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value November 30 2007		$0.90
Cash/Money Market Funds/Bank Deposits* Activity for December		
Closing Balance 11/30	$0.90	
Credits To Your Account		
Dividends and Interest	0.01	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	0.01	
Debits To Your Account		
Checking	0.00	
World Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Closing Balance 12/31	0.91	
Net Change Cash/Money Market Funds/BankDeposits* Activity		0.01
Changes in Asset Value for December		
Value of Priced Assets 11/30	$0.00	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	0.00	
Value of Priced Assets 12/31	0.00	
Net Change in Asset Value		0.00
Total Asset Value as of December 31 2007		$0.91

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 67 & 119

ACTIVE ASSETS ADVISORY ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

Account Number

AAA Customer Service

Householding Anniv. Date:

Access Your Account at:

LAWRENCE M LEHRNER I TEE
FOR THE LEHRNER FAMILY LIVING TRUST
U/A DTD 02/22/1996

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$1,270.93	5.6%
Stocks	21,149.37	94.4
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$22,420.30	100.0%
Cash	0.00	
Total Asset Value	$22,420.30	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$4.67	$14.46
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$4.67	$14.46
Taxable Income	$4.67	$14.46
Tax Exempt Income	$0.00	$0.00

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value November 30 2007		$22,980.92
Cash/Money Market Funds/Bank Deposits* Activity for December		
Closing Balance 11/30	$1,266.26	
Credits To Your Account		
Dividends and Interest	4.67	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	4.67	
Debits To Your Account		
Checking	0.00	
World Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Closing Balance 12/31	1,270.93	
Net Change Cash/Money Market Funds/BankDeposits* Activity		4.67
Changes in Asset Value for December		
Value of Priced Assets 11/30	$21,714.66	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-565.29	
Value of Priced Assets 12/31	21,149.37	
Net Change in Asset Value		-565.29
Total Asset Value as of December 31 2007		$22,420.30

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Berkley 68 8/119

Member: Shelley Berkley NV-1

ACTIVE ASSETS ADVISORY ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

LAWRENCE M LEHRNER TTEE
FOR THE LEHRNER FAMILY LIVING TRUST

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
BANK DEPOSITS # MORGAN STANLEY BANK	1,270.93	5.6%	60	4.71	---
Total Bank Deposits	1,270.93	5.6%	60	---	---
Total Cash/MMF/Bank Deposits	$1,270.93	5.6%	60	---	--
Net Change Since Last Statement	$4.67				

 # Bank deposits are at Morgan Stanley Bank and Morgan Stanley Trust bank (Members FDIC), affiliates of Morgan Stanley & Co. Incorporated. Bank deposits are eligible for FDIC insurance up to applicable limits. Not SIPC insured.

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
70	ISHARES DJ US HLTHCARE SCTR	70.67	4,946.90	22.1%	50	1.02%	IYH	Next Dividend Payable 01/03/08
78	ISHARES DJ US TECH INDEX FUND	62.46	4,871.98	21.8%	9	.20%	IYW	Next Dividend Payable 01/03/08
66	ISHARES DOW JNS US FINCL SECTR	94.14	6,213.24	27.7%	206	3.31%	IYF	Next Dividend Payable 01/03/08
35	STANDARD & POORS DEP RECPTS	146.21	5,117.35	22.8%	108	2.12%	SPY	Next Dividend Payable 01/31/08
Total Stocks			$21,149.37	94.4%	$375	1.77%		
Net Change Since Last Statement			-565.29					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$22,420.30	$435
Total Net Change In Priced Assets Since Last Statement	-565.29	

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 69 of 119

ADVISORY RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

Account Number

IRA Rollover

Household Anniv. Date:

LARRY LEHRNER

Access Your Account at:
[redacted]

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$11,369.79	6.0[illegible]
Stocks	68,884.61	36.4
Municipal Bonds	0.00	0.0
Corporate Fixed Income	54,502.46	28.8
Government Securities	6,082.02	3.[illegible]
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	48,484.00	25.6
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$189,322.88	100.0[illegible]
Cash	0.00	
Total Asset Value	$189,322.88	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$39.40	$150.97
Stocks	30.80	30.80
Municipal Bonds	0.00	0.00
Corporate Fixed Income	394.83	561.49
Government Securities	108.75	108.75
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$573.78	$852.01

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value November 30 2007 $190,439.93

Cash/Money Market Funds/Bank Deposits* Activity for December

Closing Balance 11/30	$10,796.01
Credits To Your Account	
Dividends and Interest	573.78
Deposits	0.00
Sales Proceeds/Redemptions	0.00
Other Credits	0.00
Total Credits	573.78
Debits To Your Account	
Withdrawals	0.00
Funds to Purchase Securities	0.00
Other Debits	0.00
Total Debits	0.00
Closing Balance 12/31	11,369.79

Net Change Cash/Money Market Funds/Bank Deposits* Activity 573.78

Changes in Asset Value for December

Value of Priced Assets 11/30	$179,643.92
Securities Bought	0.00
Securities Received	0.00
Securities Sold/Redeemed	0.00
Securities Delivered	0.00
Transactions at Fund Company	0.00
Change in Value of Priced Assets	-1,690.83
Value of Priced Assets 12/31	177,953.09

Net Change in Asset Value -1,690.83

Total Asset Value as of December 31 2007 [illegible]

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 10 of 119

ADVISORY RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

LARRY LEHRNER

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
BANK DEPOSITS #				4.55%	
MORGAN STANLEY BANK	11,369.79	6.0%	517	---	---
Total Bank Deposits	11,369.79	6.0%	517	---	---
Total Cash/MMF/Bank Deposits	$11,369.79	6.0%	$517	---	---
Net Change Since Last Statement	$573.78				

Bank deposits are at Morgan Stanley Bank and Morgan Stanley Trust bank (Members FDIC), affiliates of Morgan Stanley & Co. Incorporated. Bank deposits are eligible for FDIC insurance up to applicable limits. Not SIPC insured.

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
154	AMERICAN INTERNATIONAL GF	58.30	8,978.20	4.9%	123	1.37%	AIG	Next Dividend Payable 03/09
5,200	BUFFERED BASKET PLUS LINKED TO EQUITY INDICES/ISHARES BY MS	9.90	51,480.00	27.2%	0	0.00%	MSEE	
94	HOST HOTEL & RESORTS INC	17.04	1,601.76	0.8%	75	4.69%	HST	Next Dividend Payable 01/15/08
155	STARWOOD HTLS & RSTS WW INC	44.03	6,824.65	3.6%	139	2.04%	HOT	Next Dividend Payable 01/11/08
Total Stocks			$68,884.61	36.4%	8337	.43%		
Net Change Since Last Statement			-1,827.67					

Corporate Fixed Income**		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Corporate Bonds								
16,000	MORGAN STANLEY SPN (LINKED) INTEL CORPORATION ZERO COUPON DUE 12/30/10	108.500	17,360.00	9.2%	0	0.00%	0.00	MOODY AA3 ISSUE 05/28/03

***The "Current Price" and "Value" figures shown for Fixed Income securities are representative values, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" at the end of this statement.*

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 11 of 119

ADVISORY RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

LARRY LEHRNER

Account Number

Corporate Fixed Income**		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Corporate Bonds								
14,000	CATERPILLAR FIN SERV CORP 4.450% JUN/DEC 15 DUE 06/15/12 CALLABLE $100.00 ON 06/15/08	98.329	13,766.06	7.3%	623	4.52%	27.68	YIELD TO MATURITY 4.87105 MOODY A2 S&P A ISSUE 06/15/05
20,000	CITIGROUP GLOBAL MARKETS 5.000% MONTHLY 15 DUE 08/15/14 CALLABLE $100.00 ON 02/15/08	96.622	19,324.40	10.2%	1,000	5.17%	44.44	YIELD TO MATURITY 5.61700 MOODY AA3 S&P AA (-) ISSUE 08/13/04
	Total Corporate Bonds		50,450.46	26.7%	1,623	3.21%	72.12	
Fixed-Rate Capital Securities								
200	PHOENIX COMPANIES INC 7.450% QTRLY JAN DUE 01/15/32 CALLABLE $25.00 ON 01/29/09	20.26	4,052.00	2.1%	372	9.19%	0.00	MOODY BAA3 S&P BBB+
Total Corporate Fixed Income			$54,502.46	28.8%	$1,995	3.66%	$72.12	
Net Change Since Last Statement			-97.52					

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade
For more information on Watchlist and CreditWatch Indicators, please go to our website at www.morganstanley.com/bondratings.

Government Securities**		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Treasury Securities								
6,000	UNITED STATES TREASURY NOTE 3.625% JUN/DEC 15 DUE 06/15/10	101.367	6,082.02	3.2%	217	3.57%	9.50	YIELD TO MATURITY 3.04303 MOODY AAA S&P AAA ISSUE 06/15/05 CUSIP 912828DX5
Total Government Securities			$6,082.02	3.2%	$217	3.57%	$9.50	
Net Change Since Last Statement			-5.64					

***The "Current Price" and "Value" figures shown for Fixed Income securities are representative values, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" at the end of this statement*

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 12/8/19

ADVISORY RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

LARRY LEHRNER

Account Number

Certificates of Deposit**		Market Price	Market Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
46,000	LASALLE NATL BANK NA S&P 500 IDX CTF ZERO COUPON DUE 07/13/12	105.400	48,484.00	25.6%	0	0.00%	0.00	ISSUE 07/13/05 MATURITY VALUE $46,000.00
Total Certificates of Deposit			$48,484.00	25.6%	0	0.00%	0.00	
Net Change Since Last Statement			$230.00					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$189,322.86	$3,067
Total Net Change In Priced Assets Since Last Statement	-1,690.83	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description			Amount	Income Category
12-15	Interest	US TSY NOTE	3.25	15JN15	108.75	Government Securities
12-17	Interest	CATERPILLAR FIN	4.50	*15JN15	111.50	Corporate Fixed Income
12-17	Interest	CITIGROUP GLOBAL	5.000	*14AU15	83.33	Corporate Fixed Income
12-21	Dividend	AMERICAN INTERNATIONAL GP			30.80	Stocks
12-28	Interest	MORGAN STANLEY BANK (Period 11/30-12/28)			39.40	MMF and Bank Deposits
		Total Dividends and Interest			573.78	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category

Total Credits To Your Account	$573.78	Year-To-Date	$18,909.57

***The "Current Price" and "Value" figures shown for Fixed Income securities are representative values, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" at the end of this statement.*

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley

Member: Shelley Berkley NV-1

Berkley 23 of 119

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

Account Number

IRA Rollover

Househoiding Anniv. Date:

LARRY LEHRNER

Access Your Account at:

[redacted]

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$280.17	0.32
Stocks	26,775.00	32.2
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	32,059.50	38.6
Unit Investment Trusts	24,037.83	28.9
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$83,152.50	100.00
Cash	0.00	
Total Asset Value	$83,152.50	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$0.40	$428.62
Stocks	0.00	224.62
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	3,543.06
Government Securities	0.00	0.00
Mutual Funds	238.32	238.32
Unit Investment Trusts	64.39	769.69
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$303.11	$5,204.31

Activity Summary

Total Asset Value November 30 2007		84,748.80
Cash/Money Market Funds/Bank Deposits* Activity for December		
Closing Balance 11/30	$215.30	
Credits To Your Account		
Dividends and Interest	303.11	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	6,861.39	
Total Credits	7,164.50	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-7,099.71	
Other Debits	0.00	
Total Debits	-7,099.71	
Closing Balance 12/31	280.17	
Net Change Cash/Money Market Funds/Bank Deposits* Activity		64.79
Changes in Asset Value for December		
Value of Priced Assets 11/30	$84,523.50	
Securities Bought	7,099.71	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-8,750.88	
Value of Priced Assets 12/31	82,872.33	
Net Change in Asset Value		-1,651.17
Total Asset Value as of December 31, 2007		$83,152.50

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

200

Morgan Stanley

Berkley 74 of 119

Member: Shelley Berkley NV-1

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

LARRY LEHRNER

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	--	---	---	---
BANK DEPOSITS # MORGAN STANLEY BANK	280.17	0.3[illegible]	6	2.25[illegible] -	-
Total Bank Deposits	280.17	0.3[illegible]	6	---	---
Total Cash/MMF/Bank Deposits	$280.17	0.3[illegible]	$6	-	--
Net Change Since Last Statement	364.79				

Bank deposits are at Morgan Stanley Bank and Morgan Stanley Trust bank (Members FDIC), affiliates of Morgan Stanley & Co. Incorporated. Bank deposits are eligible for FDIC insurance up to applicable limits. Not SIPC insured.

Stocks	Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks							
2,625 PLUS ON DOW JONES INDUSTRIAL AVERAGE INDEX (INDU) BY MS	10.20	26,775.00	32.2[illegible]	0	0.00[illegible]	MSPL	
Total Stocks		426,775.00	32.2[illegible]	0	0.00[illegible]		
Net Change Since Last Statement		5.00					

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Mutual Funds								
3,767.274 MS FINANCIAL SERVICES TRUST A	8.5100	32,059.50	38.6[illegible]	N/A	N/A	713011006	MSVAX	Reinv/Reinv
Total Mutual Funds		832,059.50	38.6[illegible]	N/A	N/A			
Net Change Since Last Statement		407.14						

Morgan Stanley

Berkley 75 of 119

Member: Shelley Berkley NV-1

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2007

LARRY LEHRNER

Account Number

Unit Investment Trusts		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Par Value	Reinvest Option
Equity Trusts									
2,518	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1	9.5464	24,037.83	28.9%	949	3.95%	N/A	N/A	Full
Total Unit Investment Trusts			$24,037.83	28.9%	$949	3.95%	0.00	0.00	
Net Change Since Last Statement			-844.05						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$83,152.50	9455
Total Net Change In Priced Assets Since Last Statement	1,651.17	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
12-14	Dividend	MS FINANCIAL SERVICES TRUST A	232.32	Mutual Funds
12-25	Dividend	UNIT VK SELECT 10 INDUS 2007-1	64.39	Unit Investment Trusts
12-28	Interest	MORGAN STANLEY BANK (Period 11/30-12/28)	.40	MMF and Bank Deposits
		Total Dividends And Interest	303.11	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Other Credits

Date	Activity	Description	Amount	Additional Information	
12-14	S/T Capital Gain	MS FINANCIAL SERVICES TRUST A	760.90		
12-14	L/T Capital Gain	MS FINANCIAL SERVICES TRUST A	6,100.45		
		Total Other Credits	$6,861.35	Year-To-Date	$8,098.10

Morgan Stanley ClientServ

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 40 of 45 1 | 2 | Next >>

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		0.01	
10/4/2007	Interest		MORGAN STANLEY BANK	MSBNK		0.23	
10/3/2007	Transfer(Long)	105.00	ISHARES DJ US HLTHCARE SCTR PER VERBAL INSTRUCTIONS TO [redacted] A/O 10/03/0	IYH			
10/3/2007	Transfer(Long)	88.00	ISHARES DOW JNS US FINCL SECTR PER VERBAL INSTRUCTIONS TO [redacted] A/O 10/03/0	IYF			
10/3/2007	Transfer(Long)	119.00	ISHARES DJ US TECH INDEX FUND PER VERBAL INSTRUCTIONS TO [redacted] A/O 10/03/0	IYW			
10/3/2007	Acct Transfer		FUNDS TRANSFERRED PER VERBAL INSTRUCTION TO [redacted] A/O 10/03/0			-1,413.33	
10/2/2007	Dividend		MS ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		0.67	
10/2/2007	Dividend		ISHARES DJ US HLTHCARE SCTR	IYH		19.18	
10/2/2007	Dividend		ISHARES DOW JNS US FINCL SECTR	IYF		36.75	
10/2/2007	Dividend		ISHARES DJ US TECH INDEX FUND	IYW		3.22	
9/27/2007	Dividend		MS ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.12	
9/27/2007	Interest		MORGAN STANLEY BANK (Period 08/31-09/27)	MSBNK		1.15	

Berkley 76 A 119

Activity Search

9/20/2007	Bought	100.00	ISHARES DJ US HLTHCARE SCTR	IYH	70.60	-7,241.73
9/20/2007	Bought	5.00	ISHARES DJ US HLTHCARE SCTR	IYH	70.60	-361.82
9/20/2007	Bought	66.00	ISHARES DOW JNS US FINCL SECTR	IYF	110.70	-7,481.45
9/20/2007	Sold	100.00	ISHARES SP SMALLCAP 600 INDEX	IJR	70.57	6,868.39
9/20/2007	Sold	8.00	ISHARES SP SMALLCAP 600 INDEX	IJR	70.57	549.90
9/20/2007	Sold	88.00	ISHARES S&P MIDCAP 400 INDEX	IJH	87.77	7,568.39
9/20/2007	Bought	100.00	ISHARES DJ US TECH INDEX FUND	IYW	61.48	-6,332.92
9/20/2007	Bought	19.00	ISHARES DJ US TECH INDEX FUND	IYW	61.48	-1,202.26
9/20/2007	Sold	51.00	STANDARD & POORS DEP RECPTS	SPY	152.54	7,624.17
8/30/2007	Dividend		MS ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.41
8/30/2007	Interest		MORGAN STANLEY BANK (Period 07/31-08/30)	MSBNK		1.31
7/31/2007	Dividend		STANDARD & POORS DEP RECPTS	SPY		33.44
7/30/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.52
7/30/2007	Interest		MORGAN STANLEY BANK (Period 06/29-07/30)	MSBNK		1.23
7/6/2007	Dividend		ISHARES SP SMALLCAP 600 INDEX	IJR		28.47
7/6/2007	Dividend		ISHARES S&P MIDCAP 400 INDEX	IJH		18.12
6/28/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.17
6/28/2007	Interest		MORGAN STANLEY BANK (Period 05/31-06/28)	MSBNK		1.04
5/30/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.58
5/30/2007	Interest		MORGAN STANLEY BANK (Period 04/28-05/30)	MSBNK		1.17
4/30/2007	Dividend		STANDARD & POORS DEP RECPTS	SPY		28.11
4/27/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.01
4/27/2007	Interest		MORGAN STANLEY BANK (Period 03/30-04/27)	MSBNK		0.95
3/30/2007	Dividend		ISHARES SP SMALLCAP 600 INDEX	IJR		12.40
3/30/2007	Dividend		ISHARES S&P MIDCAP 400 INDEX	IJH		38.08
3/28/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.10

Berkley 7/8/19

Activity Search

3/29/2007	Interest	MORGAN STANLEY BANK (Period 02/28-03/29)	MSBNK	0.87
2/27/2007	Dividend	ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT	3.20

1 | 2 | Next >>

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated. member SIPC.

Morgan Stanley & Co. Incorporated Financial Statement.

Account: [redacted]

Page Retrieved On 5/4/2008 10:54:53 PM Eastern Time

Berkery 78 8/19

Activity Search

Morgan Stanley ClientServ

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 41 - 45 of 45 << Previous | 1 | 2

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
2/27/2007	Interest		MORGAN STANLEY BANK (Period 01/31-02/27)	MSBNK		0.82	
2/14/2007	Account Fee		AAA ANNUAL SERVICE CHG			-150.00	
1/31/2007	Dividend		STANDARD & POORS DEP RECPTS	SPY		40.43	
1/30/2007	Dividend		ACTIVE ASSETS MONEY TRUST DIVS ACCUMULATED THIS MONTH	AAMT		3.92	
1/30/2007	Interest		MORGAN STANLEY BANK (Period 12/29-01/30)	MSBNK		0.85	

<< Previous | 1 | 2

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC

Dubey 19 of 119

MorganStanley ClientServe

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 11 of 11

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		4.67	
11/29/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		5.13	
11/19/2007	Sold	35.00	ISHARES DJ US HLTHCARE SCTR	IYH	70.32	2,461.18	
11/19/2007	Sold	41.00	ISHARES DJ US TECH INDEX FUND	IYW	60.78	2,491.94	
11/19/2007	Bought	35.00	STANDARD & POORS DEP RECPTS	SPY	143.51	-5,022.85	
10/30/2007	Interest		MORGAN STANLEY BANK (Period 10/04-10/30)	MSBNK		4.56	
10/4/2007	Managed AcctFee		MSA INCP FEE			-87.11	
10/3/2007	Transfer(Long)	105.00	ISHARES DJ US HLTHCARE SCTR PER VERBAL INSTRUCTIONS FR[redacted] A/O 10/03/0	IYH			
10/3/2007	Transfer(Long)	66.00	ISHARES DOW JNS US FINCL SECTR PER VERBAL INSTRUCTIONS [redacted] A/O 10/03/0	IYF			
10/3/2007	Transfer(Long)	119.00	ISHARES DJ US TECH INDEX FUND PER VERBAL INSTRUCTIONS [redacted] A/O 10/03/0	IYW			
10/3/2007	Acct Transfer		FUNDS TRANSFERRED PER VERBAL INSTRUCTION [redacted] 10/03/0			1,413.33	

Berkley 8 of 19

Morgan Stanley ClientServe

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 26 of 26

Activities

Date	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		39.40	
12/21/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG		30.80	
12/17/2007	Interest		UNITED STATES TREASURY NOTE RATE:AAA/AAA 3.625% DUE:2010-06-15			108.75	
12/17/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA3/AA(-) 5.000% DUE:2014-08-15			83.33	
12/17/2007	Interest		CATERPILLAR FIN SERV CORP RATE:A2/A 4.450% DUE:2012-06-15			311.50	
11/28/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		57.08	
11/20/2007	Automatic Investment	6,000.00	UNITED STATES TREASURY NOTE RATE:AAA/AAA 3.625% DUE:2010-06-15		101.18	-6,165.27	
11/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA2/AA(-) 5.000% DUE:2014-08-15			83.33	
11/2/2007	Rebate		12B1 FEE PASS THROUGH			0.24	
10/30/2007	Interest		MORGAN STANLEY BANK (Period 10/04-10/30)	MSBNK		54.49	
10/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33	

Bailey 6/19/19

Activity Search

10/9/2007	Managed AcctFee		MSA INCP FEE IRA/653			-673.51
10/4/2007	Transfer(Out)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.43	-36,346.29
10/4/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT T O [redacted] A/O 10/04/0 RATE:N/A/N/A DUE:2009-03-30	TPA		
10/3/2007	Transfer(Long)	154.00	AMERICAN INTERNATIONAL GP IRA/MAINT FR [redacted] A/O 10/03/0	AIG		
10/3/2007	Transfer(Long)	46,000.00	LASALLE NATL BANK NA S&P 500 IDX CTF IRA/MAINT FR [redacted] [redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2012-07-13			
10/3/2007	Transfer(Long)	20,000.00	CITIGROUP GLOBAL MARKETS IRA/MAINT FR [redacted] A/O 10/ 03/0 RATE:AA1/AA 5.000% DUE:2014-08-15			
10/3/2007	Transfer(Long)	18,000.00	MORGAN STANLEY SPN (LINKED) INTEL CORPORATION IRA/MAINT FR [redacted] [redacted] A/O 10/03/0 RATE:AA3/N.A. DUE:2010-12-30	ITMLA		
10/3/2007	Transfer(Long)	14,000.00	CATERPILLAR FIN SERV CORP IRA/MAINT FR [redacted] A/O 10 /03/0 RATE:A2/A 4.450% DUE:2012-06-15			
10/3/2007	Transfer(Long)	5,200.00	BUFFERED BASKET PLUS LINKED TOEQUITY INDICES/ISHARES BY MS I RA/MAINT FR [redacted] A/O RATE:AA3e/AA-e DUE:2011-06-20	MSEB		
10/3/2007	Transfer(Long)	200.00	PHOENIX COMPANIES INC IRA/MAINT FR [redacted] A/O 10/03/ 0 RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX		
10/3/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT F R [redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2009-03-30	TPA		
10/3/2007	Transfer(Long)	155.00	STARWOOD HTLS & RSTS WW INC IRA/MAINT FR [redacted] A/O 10/03/0	HOT		
10/3/2007	Transfer(Long)	94.00	HOST HOTEL & RESORTS INC IRA/MAINT FR [redacted] A/O 10/03/0	HST		
10/3/2007	Acct Transfer		FUNDS TRANSFERRED IRA/MAINT FR [redacted] A/O 10/03/0			17,356.32
10/3/2007	Transfer(In)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.37	36,170.84

Berkley 828119

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Morgan Stanley ClientServe

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 1 - 40 of 91 1 | 2 | 3 | Next >>

Activities

Date	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/28/2007	Interest		MORGAN STANLEY BANK (Period 11/30-12/28)	MSBNK		0.40	
12/28/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		84.39	
12/14/2007	S/T Capital Gain		MS FINANCIAL SERVICES TRUST A	FSVAX		760.90	
12/14/2007	L/T Capital Gain		MS FINANCIAL SERVICES TRUST A	FSVAX		6,100.49	
12/14/2007	Dividend		MS FINANCIAL SERVICES TRUST A	FSVAX		238.32	
11/29/2007	Interest		MORGAN STANLEY BANK (Period 10/31-11/29) AAA	MSBNK		5.60	
11/28/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071		91.45	
11/25/2007	Automatic Investment	9.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MS IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.50	-85.47	
11/23/2007	Bought	2,625.00	PLUS ON DOW JONES INDUSTRIAL AVERAGE INDEX (INDU) BY MS RATE:N/A/N/A DUE:2008-12-20	MSDI	10.00	-26,250.00	
11/19/2007	Sold	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 MS IS MARKE T MAKER	TPA	10.57	26,332.63	

Berkley 8/31/19

Activity Search

			RATE:N/A/N/A DUE:2009-03-30			
10/30/2007	Interest		MORGAN STANLEY BANK (Period 09/28-10/30)	MSBNK		0.14
10/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1	SDOW071		83.05
			RATE:N/A/N/A DUE:2008-02-29			
10/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MS IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.27	-61.64
10/15/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX		93.12
10/15/2007	Dividend		HOST HOTEL & RESORTS INC	HST		18.80
10/4/2007	Transfer(In)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.43	36,346.29
10/4/2007	Interest		MORGAN STANLEY BANK	MSBNK		7.70
10/4/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT F [redacted] A/O 10/04/0 RATE:N/A/N/A DUE:2009-03-30	TPA		
10/3/2007	Transfer(Long)	154.00	AMERICAN INTERNATIONAL GP IRA/MAINT TO [redacted] A/O 10/03/0	AIG		
10/3/2007	Transfer(Long)	48,000.00	LASALLE NATL BANK NA S&P 500 IDX CTF IRA/MAINT TO [redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2012-07-13			
10/3/2007	Transfer(Long)	20,000.00	CITIGROUP GLOBAL MARKETS IRA/MAINT TO [redacted] A/O 10/ 03/0 RATE:AA1/AA 5.000% DUE:2014-06-15			
10/3/2007	Transfer(Long)	16,000.00	MORGAN STANLEY SPN (LINKED) INTEL CORPORATION IRA/MAINT TO 1 [redacted] A/O 10/03/0 RATE:AA3/N.A. DUE:2010-12-30	ITMLA		
10/3/2007	Transfer(Long)	14,000.00	CATERPILLAR FIN SERV CORP IRA/MAINT TO [redacted] A/O 10 /03/0 RATE:A2/A 4.450% DUE:2012-06-15			
10/3/2007	Transfer(Long)	6,200.00	BUFFERED BASKET PLUS LINKED TO EQUITY INDICES/SHARES BY MS I RA/MAINT TO [redacted] A/O RATE:AA3e/AA-e DUE:2011-06-20	MSEB		
10/3/2007	Transfer(Long)	200.00	PHOENIX COMPANIES INC IRA/MAINT TO [redacted] 10/03/ 0 RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX		
10/3/2007	Transfer(Long)	2,560.00	MORGAN STANLEY/BRIDGES 10 COMPANIES DUE 03/30/09 IRA/MAINT T [redacted] A/O 10/03/0 RATE:N/A/N/A DUE:2009-03-30	TPA		
10/3/2007	Transfer(Long)	155.00	STARWOOD HTLS & RSTS WW INC IRA/MAINT TO [redacted] A/O 10/03/0	HOT		

Bailey 8/19/19

Activity Search

10/3/2007	Transfer(Long)	94.00	HOST HOTEL & RESORTS INC IRA/MAINT TO [redacted] A/O 10/03/0	HST		
10/3/2007	Acct Transfer		FUNDS TRANSFERRED IRA/MAINT TO [redacted] A/O 10/03/0			-17,356.32
10/3/2007	Transfer(Out)	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX	12.37	-36,170.84
9/28/2007	Class Exchange	2,924.08	MS FINANCIAL SERVICES TRUST A	FSVAX		
9/28/2007	Class Exchange	3,228.44	MS FINANCIAL SERVICES TRUST B	FSVBX		
9/28/2007	Account Fee		2CHOICE 10/01 - 10/31 IRA/653			210.18
9/27/2007	Interest		MORGAN STANLEY BANK (Period 08/31-09/27)	MSBNK		41.20
9/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		62.05
9/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MS IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.32	-61.90
9/21/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG		30.80
9/21/2007	Bought	5,200.00	BUFFERED BASKET PLUS LINKED TOEQUITY INDICES/ISHARES BY MS RATE:AA3e/AA-e DUE:2011-06-20	MSEB	10.00	-52,000.00
9/20/2007	Sold	2,090.00	8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA	25.14	52,541.79
9/17/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33

1 | 2 | 3 | Next >>

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley & Co. Incorporated Financial Statement

Account [redacted]
Page Retrieved On 5/4/2008 11:01:07 PM Eastern Time

Berkley 85 of 119

Morgan Stanley ClientServ

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 41 - 80 of 91 << Previous | 1 | 2 | 3 | Next >>

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
8/30/2007	Interest		MORGAN STANLEY BANK (Period 07/31-08/30)	MSBNK		41.83	
8/27/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		89.53	
8/25/2007	Automatic Investment	8.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.99	-79.93	
8/20/2007	Miscellaneous		8% SPARQS INTO DELL BY MS MISCELLANEOUS PAYMENT RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		318.57	
8/20/2007	Interest		8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		654.53	
8/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33	
8/14/2007	Account Fee		2QCHOICE 08/01 - 10/31 IRA/653			-623.81	
7/30/2007	Interest		MORGAN STANLEY BANK (Period 06/29-07/30)	MSBNK		43.11	
7/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 RATE:N/A/N/A DUE:2008-02-29	SDOW071		60.88	
7/25/2007	Automatic Investment	5.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.29	-51.47	

Dailey 8/19

Activity Search

7/16/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
7/16/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX			93.12
7/16/2007	Dividend		HOST HOTEL & RESORTS INC	HST			18.80
6/28/2007	Interest		MORGAN STANLEY BANK (Period 05/31-06/28)	MSBNK			38.04
6/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			62.30
6/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.37		-62.24
6/15/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG			25.41
6/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
6/15/2007	Interest		CATERPILLAR FIN SER VCORP RATE:A2/A 4.450% DUE:2012-06-15				311.50
5/30/2007	Interest		MORGAN STANLEY BANK (Period 04/28-05/30)	MSBNK			43.20
5/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			81.79
5/25/2007	Automatic Investment	7.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.53		-73.68
5/21/2007	Miscellaneous		8% SPARQS INTO DELL BY MS MISCELLANEOUS PAYMENT RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA			318.57
5/21/2007	Interest		8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA			654.53
5/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
5/14/2007	Account Fee		1QCHOICE 05/01 - 07/31 IRA/653				-607.41
4/27/2007	Interest		MORGAN STANLEY BANK (Period 03/30-04/27)	MSBNK			51.96
4/25/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			60.59
4/25/2007	Automatic Investment	5.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.16		-50.78

Berkley 87 of 119

Activity Search

4/18/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
4/16/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB- 7.450% DUE:2032-01-15	PFX			93.13
4/16/2007	Dividend		HOST HOTEL & RESORTS INC	HST			18.80
3/29/2007	Interest		MORGAN STANLEY BANK (Period 02/28-03/29)	MSBNK			53.57
3/26/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			63.10
3/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	9.74		-58.47
3/18/2007	Dividend		AMERICAN INTERNATIONAL GP	AIG			25.41
3/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15				83.33
2/27/2007	Interest		MORGAN STANLEY BANK (Period 01/31-02/27)	MSBNK			47.29
2/26/2007	Dividend		UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 PAYMENT RATE:N/A/N/A DUE:2008-02-29	SDOW071			68.56
2/25/2007	Automatic Investment	6.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINVESTMENT MSD WI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-29	SDOW071	10.03		-60.19

<< Previous [1] 2] 3] Next >>

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

[+] Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC.

Morgan Stanley & Co. Incorporated Financial Statement.

Account: [redacted]
Page Retrieved On 5/4/2008 11:01:51 PM Eastern Time

Dealey 88 of 119

Morgan Stanley ClientServ

Account Activity

Search Download Settings

To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account

Search by Type or Symbol All activity types

Symbol Lookup

Time period

01/01/2007 to 12/31/2007

Search

Results: Items 81 - 91 of 91 << Previous | 1 | 2 | 3

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
2/20/2007	Miscellaneous		8% SPARQS INTO DELL BY MS MISCELLANEOUS PAYMENT RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		389.39	
2/20/2007	Interest		8% SPARQS INTO DELL BY MS RATE:N.A./N.A. 8.000% DUE:2007-11-20	DSA		800.03	
2/15/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA 5.000% DUE:2014-08-15			83.33	
2/14/2007	Account Fee		4CCHOICE 02/01 - 04/30 IRA/653			-582.01	
1/30/2007	Interest		MORGAN STANLEY BANK (Period 12/29-01/30)	MSBNK		54.58	
1/19/2007	Dividend		STARWOOD HTLS & RSTS WW INC	HOT		65.10	
1/16/2007	Interest		CITIGROUP GLOBAL MARKETS RATE:AA1/AA-(+) 5.000% DUE:2014-08-15			83.33	
1/16/2007	Interest		PHOENIX COMPANIES INC RATE:BAA3/BBB 7.450% DUE:2032-01-15	PFX		93.13	
1/16/2007	Dividend		HOST HOTEL & RESORTS INC	HST		23.50	
1/3/2007	Automatic Investment	2,454.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2007-1 REINV FULL MSDWI IS MARKET MAKER RATE:N/A/N/A DUE:2008-02-28	SDOW071	9.90	-24,294.60	

Berkery 8/8/119

Activity Search

1/3/2007	Automatic Redemption	2,007.00	UNIT VAN KAMPEN SELECT 10 INDUSTRIAL 2006-1 MSDWI IS MARKET MAKER RATE:N/A/N/A DUE:2007-03-02	SDOW061	12.11	24,297.95

<< Previous | 1 | 2 | 3

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley by outside pricing services and/or from Morgan Stanley's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

[+] Provide feedback on the usability of this page

Effective April 1, 2007, Morgan Stanley DW Inc. merged with Morgan Stanley & Co. Incorporated. Any non-historical references to Morgan Stanley DW Inc. found on ClientServ should be read to refer to Morgan Stanley & Co. Incorporated.

© 2008 Morgan Stanley. All rights reserved. ClientServ is a service mark of Morgan Stanley. Please read our Terms of Use and Privacy Policy.
Investments and services are offered through Morgan Stanley & Co. Incorporated, member SIPC

Morgan Stanley & Co. Incorporated Financial Statement
Account: [redacted]
Page Retrieved On 5/4/2008 11:02 19 PM Eastern Time

Berkery 90 of 119

Member: Shelley Berkley NV-1

WORKING WEALTH AT SMITH BARNEY

Berkley 9/8/19

Prime Broker Statement

January 1 - January 31, 2007

DR. LAWRENCE LEHRNER, TTEE Account number

Stocks *continued*

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
ROYAL DUTCH SHELL PLC ADR CL A	750	$ 68.25	3.245%	$ 1,561.25	$ 51,187.50	Symbol: RDSA
SCHLUMBERGER LTD	800	63.49	1.102	630.00	57,141.00	Symbol: SLB
SELECT SECTOR SPDR UTILITIES	3,100	36.59	3.675	4,169.50	113,429.00	Equity portfolio Symbol: XLU
SIEMENS A G SPONS ADR	375	110.73	1.331	552.75	41,523.75	Symbol: SI
SIEMENS A G SPONS ADR	300	110.73	1.331	442.20	33,219.00	Symbol: SI
SONY CORP SPON ADR NEW	550	46.33	.431	110.00	25,481.50	Symbol: SNE
TALISMAN ENERGY INC	1,275	17.62	.732	164.48	22,465.50	Symbol: TLM
TEXAS INSTRUMENTS INC	700	31.19	.512	112.00	21,833.00	Symbol: TXN
TEXAS INSTRUMENTS INC	1,050	31.19	.512	168.00	32,749.50	Symbol: TXN
TOTAL S.A SPONS ADR	50	68.06	1.382	47.05	3,402.50	Symbol: TOT
TOTAL S.A SPONS ADR	700	68.05	1.382	658.70	47,635.00	Symbol: TOT
UNITED TECHNOLOGIES CORP	700	68.02	1.558	742.00	47,614.00	Symbol: UTX
VANGUARD REIT ETF	850	83.76	5.41	3,852.20	71,196.00	Equity portfolio Symbol: VNQ
WINDSTREAM CORP	387	14.88	6.72	387.00	5,758.56	Symbol: WIN
Total stocks - Long				$ 45,287.21	$ 3,049,337.66	

TRANSACTION DETAILS *All transactions appearing are based on trade-date.*

Investment activity

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Cash/margin account	Short account
01/08/07	01/08/07	Merger	INTESA SANPAOLO S P A SPONSORED ADR	207		$ 0.00	
01/08/07	01/08/07	Merger	INTESA SANPAOLO S P A SPONSORED ADR	752		0.00	
01/08/07	01/08/07	Merger	SAN PAOLO-IMI SPA SPON ADR	-200		0.00	
01/08/07	01/08/07	Merger	SAN PAOLO-IMI SPA SPON ADR	-725		0.00	
01/10/07	01/10/07	Merger	INTESA SANPAOLO S P A SPONSORED ADR			29.20	
01/10/07	01/10/07	Merger	INTESA SANPAOLO S P A SPONSORED ADR			33.96	
Total securities bought and other subtractions						$ 0.00	$ 0.00
Total securities sold and other additions						$ 63.16	$ 0.00

citigroup SMITH BARNEY

Berkley 92 ... 19

Member: Shelley Berkley NV-1

WORKING WEALTH

Prime Broker Statement

December 1 - December 31, 2007

DR. LAWRENCE LEHRNER, TTEE
THE LEHRNER FAMILY TRUST

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.

Account number

Citigroup Global Markets Inc., member all principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

Cash balance		$ 33,324.69
Stocks - Long		3,483,592.05
Short trading account balance		0.00
Total value this period		**$ 3,516,916.74**
Total value last period	$ 3,531,664.19	

Settlement date information is only displayed in the "Settlement date cash balance" section.

IMPORTANT NOTICE: Your year to date earnings have been adjusted to reflect proper tax information.

Cash, money fund, bank deposits

	Cash/margin account	Short account
Opening balance	$ 22,744.71	$ 0.00
Securities bought and other subtractions	0.00	0.00
Securities sold and other additions	9,933.61	0.00
Withdrawals	(132.75)	
Interest credited	107.36	
Dividends credited	4,571.74	
Closing balance	$ 33,324.69	$ 0.00

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

citi smith barney

219

Berkley 93 of 119

Member: Shelley Berkley NV-1

WORKING WEALTH

Prime Broker Statement

December 1 - December 31, 2007

DR. LAWRENCE LEHRNER, TTEE Account number

Earnings summary	This period Taxable	This period Non-taxable	This year Taxable	This year Non-taxable
Interest	$ 107.38	$ 0.00	$ 337.86	$ 0.00
Qualified dividends	4,133.59	0.00	47,850.95	0.00
Other dividends	861.90	0.00	7,424.57	0.00
Total	$ 5,102.87	$ 0.00	$ 55,613.38	$ 0.00

Additional summary information	This period	This year
Return of capital	$ 0.00	$ 398.64
FRGN tax withheld	423.75	4,950.44

Gain/loss summary	This period	This year
Realized gain or (loss)	$ 7,835.12	$ 110,500.95
Unrealized gain or (loss) to date	1,368,109.07	

SETTLEMENT DATE CASH BALANCE

The information provided on this document is based upon trade date activity. Listed below are your settlement date balances

	Amount
Cash	$ 33,324.89

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 12/31/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included in/excluded in this section as of the trade date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases Sales" section for more information. Dividend Yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold

Please Note: *unrealized gain (loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Stocks

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
TRANSOCEAN INC	332	$ 143.15			$ 47,525.80	Symbol: RIG
UBS AG (NEW)	700	46.00	2.734	880.60	32,200.00	Symbol: UBS
ABB LTD SPONS ADR	2,100	28.80	.566	342.30	60,480.00	Symbol: ABB
AMERIPRISE FINANCIAL INC	500	55.11	1.088	300.00	27,555.00	Symbol: AMP
AMERIPRISE FINANCIAL INC	105	55.11	1.088	63.00	5,786.55	Symbol: AMP

citi smith barney

220

WORKING WEALTH.

Berkley 94 of 119

Prime Broker Statement

December 1 - December 31, 2007

DR. LAWRENCE LEHRNER, TTEE Account number.

Stocks *continued*

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
ANADARKO PETROLEUM CORP	800	$ 65.69	.548%	$ 288.00	$ 52,552.00	Symbol: APC
ANGLO AMERICAN PLC ADR	1,274	30.37	1.843	713.44	38,691.38	Symbol: AAUK
ASTRAZENECA PLC SPON ADR	50	42.82	4.086	87.50	2,141.00	Symbol: AZN
ASTRAZENECA PLC SPON ADR	625	42.82	4.086	1,093.75	26,762.50	Symbol: AZN
AXA S.A.SPONS ADR	600	39.71	3.047	726.00	23,826.00	Symbol: AXA
AXA S.A.SPONS ADR	650	39.71	3.047	786.50	25,811.50	Symbol: AXA
BASF AG COMMON STOCK	50	148.047	2.173	160.90	7,402.35	Symbol: BASFY
BASF AG COMMON STOCK	525	148.047	2.173	1,689.45	77,724.68	Symbol: BASFY
BAKER HUGHES INC	925	81.10	.641	481.00	75,017.50	Symbol: BHI
BANCO SANTANDER S.A.	350	21.54	2.873	216.65	7,539.00	Symbol: STD
BANCO SANTANDER S.A.	3,200	21.54	2.873	1,980.80	68,928.00	Symbol: STD
BHP BILLITON LTD SPONS ADR	750	70.04	1.342	705.00	52,530.00	Symbol: BHP
CADBURY SCHWEPPES PLC ADR	600	49.37	2.388	707.40	29,622.00	Symbol: CSG
CANADIAN NATL RAILWAY CO	650	46.93	1.853	565.50	30,504.50	Symbol: CNI
CANADIAN NATURAL RES LTD	450	73.14	.489	161.10	32,913.00	Symbol: CNQ
CATERPILLAR INC	775	72.56	1.984	1,116.00	56,234.00	Symbol: CAT
CHEUNG KONG HOLDING ADR	1,850	18.493	1.373	469.90	34,212.05	Symbol: CHEUY
CHINA MOBILE LTD SPONSORED ADR	1,250	86.87	1.178	1,281.25	108,587.50	Symbol: CHL
CISCO SYS INC	1,050	27.069			28,422.45	Symbol: CSCO
COMPANHIA VALE DI RIO ADR DOCE	1,400	32.67	2.262	1,034.60	45,738.00	Symbol: RIO
COMPUTER SCIENCES CORP	550	49.47			27,208.50	Symbol: CSC
CONOCOPHILLIPS	675	88.30	1.857	1,107.00	59,602.50	Symbol: COP
CREDIT SUISSE GROUP ADR	1,100	60.10	1.985	1,299.10	66,110.00	Symbol: CS
DEVON ENERGY CORP NEW	500	88.91	.629	280.00	44,455.00	Symbol: DVN
EMC CORP-MASS	2,200	18.53			40,766.00	Symbol: EMC
E.ON AG SPONS ADR	1,050	70.802	2.132	1,587.60	74,436.60	Symbol: EONGY
ENCANA CORP-CAD	575	67.06	1.177	460.00	39,077.00	Symbol: ECA
FEDEX CORP	75	89.17	.448	30.00	6,687.75	Symbol: FDX
FEDEX CORP	375	89.17	.448	150.00	33,438.75	Symbol: FDX
FREEPORT MCMORAN COPPER & GOLD CL B	734	102.44	1.708	1,284.50	75,190.96	Symbol: FCX
GENERAL ELECTRIC CO	125	37.07	3.345	155.00	4,633.75	Symbol: GE
GENERAL ELECTRIC CO	575	37.07	3.345	713.00	21,319.25	Symbol: GE

Member: Shelley Berkley NV-1

WORKING WEALTH.

Prime Broker Statement

December 1 - December 31, 2007

DR. LAWRENCE LEHRNER, TTEE *Account number*

Stocks *continued*

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
GENZYME CORP	350	$ 74.44			$ 26,054.00	Symbol: GENZ
GILEAD SCIENCES INC	950	46.01			43,709.50	Symbol: GILD
GLAXOSMITHKLINE PLC SP ADR	50	50.39	4.088	103.00	2,519.50	Symbol: GSK
GLAXOSMITHKLINE PLC SP ADR	625	50.39	4.088	1,287.50	31,493.75	Symbol: GSK
GOLDMAN SACHS GROUP INC	50	215.05	.651	70.00	10,752.50	Symbol: GS
GOLDMAN SACHS GROUP INC	300	215.05	.651	420.00	64,515.00	Symbol: GS
HEWLETT PACKARD CO	900	50.48	.633	288.00	45,432.00	Symbol: HPQ
HITACHI LTD-ADR	75	73.14	.653	35.85	5,485.50	Symbol: HIT
HITACHI LTD-ADR	575	73.14	.653	274.85	42,055.50	Symbol: HIT
HONEYWELL INTL INC	75	61.57	1.624	75.00	4,617.75	Symbol: HON
HONEYWELL INTL INC	475	61.57	1.624	475.00	29,245.75	Symbol: HON
HUMANA INC	475	75.31			35,772.25	Symbol: HUM
ILLINOIS TOOL WORKS INC	700	53.54	2.091	784.00	37,478.00	Symbol: ITW
ING GROEP NV SPONS ADR	179	38.91	4.052	282.28	6,964.89	Symbol: ING
ING GROEP NV SPONS ADR	840	38.91	4.052	1,324.14	32,917.88	Symbol: ING
INTESA SANPAOLO S P A SPONSORED ADR	207	47.458	2.724	267.65	9,823.81	Symbol: ISNPY
INTESA SANPAOLO S P A SPONSORED ADR	752	47.458	2.724	972.34	35,688.42	Symbol: ISNPY
ISHARES MSCI JAPAN INDEX FUND	5,700	13.29	.744	564.30	75,753.00	Equity portfolio Symbol: EWJ
ISHARES MSCI JAPAN INDEX FUND	9,350	13.29	.744	925.65	124,281.50	Equity portfolio Symbol: EWJ
ISHARES MSCI EMERGING MKTS INDEX FD	850	150.30	1.048	1,337.05	127,755.00	Equity portfolio Symbol: EEM
KLA-TENCOR CORP	675	48.16	1.245	405.00	32,508.00	Symbol: KLAC
LEHMAN BROTHERS HOLDINGS INC	600	65.44	.916	360.00	39,264.00	Symbol: LEH
LOCKHEED MARTIN CORP	350	105.26	1.596	588.00	36,841.00	Symbol: LMT
MATSUSHITA ELEC INDL ADR	1,850	20.44	1.242	469.80	37,814.00	Symbol: MC
MERCK & CO INC	875	58.11	2.615	1,330.00	50,846.25	Symbol: MRK
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	350	9.33	1.103	36.05	3,265.50	Symbol: MTU
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	3,200	9.33	1.103	329.60	29,856.00	Symbol: MTU
MORGAN STANLEY	125	53.11	2.093	135.00	6,638.75	Symbol: MS
MORGAN STANLEY	575	53.11	2.093	621.00	30,538.25	Symbol: MS
MOTOROLA INC DE	1,800	16.04	1.246	360.00	28,872.00	Symbol: MOT

citi smith barney

Berkley 96 of 119

WORKING WEALTH

Prime Broker Statement

December 1 - December 31, 2007

DR. LAWRENCE LEHRNER, TTEE Account number:

Stocks *continued*

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
NISSAN MTR LTD SPONS ADR	250	$ 21.72	2.532%	$ 137.50	$ 5,430.00	Symbol: NSANY
NISSAN MTR LTD SPONS ADR	1,700	21.72	2.532	935.00	36,924.00	Symbol: NSANY
NOMURA HOLDINGS INC ADR	225	16.75	2.113	79.65	3,768.75	Symbol: NMR
NOMURA HOLDINGS INC ADR	1,300	16.75	2.113	460.20	21,775.00	Symbol: NMR
NUCOR CORP	750	59.22	2.028	900.00	44,415.00	Symbol: NUE
OCCIDENTAL PETROLEUM CORP-DEL	150	76.99	1.298	150.00	11,548.50	Symbol: OXY
OCCIDENTAL PETROLEUM CORP-DEL	850	76.99	1.298	850.00	65,441.50	Symbol: OXY
ORACLE CORP	2,200	22.58			49,676.00	Symbol: ORCL
PROCTER & GAMBLE CO	50	73.42	1.906	70.00	3,671.00	Symbol: PG
PROCTER & GAMBLE CO	350	73.42	1.906	490.00	25,697.00	Symbol: PG
RIO TINTO PLC-GBP	150	418.90	1.105	696.00	62,865.00	Symbol: RTP
SCHLUMBERGER LTD	900	98.37	.711	630.00	88,533.00	Symbol: SLB
SELECT SECTOR SPDR UTILITIES	800	42.33	3.002	1,047.20	33,864.00	Equity portfolio Symbol: XLU
SHERWIN WILLIAMS CO	525	58.04	2.17	661.50	30,471.00	Symbol: SHW
SIEMENS A G SPONS ADR	375	157.36	1.167	688.88	59,010.00	Symbol: SI
SIEMENS A G SPONS ADR	300	157.36	1.167	551.10	47,208.00	Symbol: SI
SONY CORP SPON ADR-NEW	550	54.30	.36	107.80	29,865.00	Symbol: SNE
TELEFONICA S.A. SPON ADR	375	97.59	2.265	825.38	36,596.25	Symbol: TEF
TEXAS INSTRUMENTS INC	700	33.40	1.197	280.00	23,380.00	Symbol: TXN
TEXAS INSTRUMENTS INC	1,050	33.40	1.197	420.00	35,070.00	Symbol: TXN
TOTAL S.A SPONS ADR	50	82.60	2.889	119.35	4,130.00	Symbol: TOT
TOTAL S.A SPONS ADR	700	82.60	2.889	1,670.90	57,820.00	Symbol: TOT
TRINITY INDUSTRIES INC	725	27.76	1.008	203.00	20,126.00	Symbol: TRN
UNITED TECHNOLOGIES CORP	700	76.54	1.872	806.00	53,578.00	Symbol: UTX
VANGUARD REIT ETF	850	61.46	6.599	3,447.80	52,241.00	Equity portfolio Symbol: VNQ
Total stocks - Long				$ 53,366.08	$ 3,463,582.06	

Member: Shelley Berkley NV-1

WORKING WEALTH AT SMITH BARNEY

Prime Broker Statement

January 1 - January 31, 2007

DR. LAWRENCE LEHRNER, TTEE Account number

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
01/05/07	SCHLUMBERGER LTD	CASH DIV ON 900.0000 SHS X/D 12/04/06	$ 112.50		$ 112.50
01/05/07	TALISMAN ENERGY INC	FOREIGN TAX WHELD $ 12.30 CASH DIV ON 1275.0000 SHS RECORD 12/08/06 PAY 12/29/06 TAX HELD BY FGN GOVTS 12.30 X/D 12/06/06	82.03		69.73
01/16/07	MOTOROLA INC DE	CASH DIV ON 1800.0000 SHS X/D 12/13/06	90.00		90.00
01/16/07	OCCIDENTAL PETROLEUM CORP DEL	CASH DIV ON 150.0000 SHS X/D 12/06/06	33.00		33.00
01/16/07	OCCIDENTAL PETROLEUM CORP DEL	CASH DIV ON 850.0000 SHS X/D 12/06/06	187.00		187.00
01/16/07	WINDSTREAM CORP	CASH DIV ON 387.0000 SHS X/D 12/27/06	96.75		96.75
01/22/07	ILLINOIS TOOL WORKS INC	CASH DIV ON 700.0000 SHS X/D 12/27/06	147.00		147.00
01/25/07	GENERAL ELECTRIC CO	CASH DIV ON 125.0000 SHS X/D 12/21/06	35.00		35.00
01/25/07	GENERAL ELECTRIC CO	CASH DIV ON 575.0000 SHS X/D 12/21/06	161.00		161.00
01/31/07	MORGAN STANLEY	CASH DIV ON 125.0000 SHS X/D 01/10/07	33.75		33.75
01/31/07	MORGAN STANLEY	CASH DIV ON 675.0000 SHS X/D 01/10/07	155.25		155.25
Total qualified dividends credited to account					$ 1,908.86
FRGN tax withheld					22.69
Total qualified dividends earned			$ 1,886.17	$ 0.00	$ 1,886.17

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Unrealized gain or loss

If applicable, cost is adjusted to take into account the accretion of discounts and or the amortization of bond premiums. Unrealized gain/loss is calculated based on the adjusted cost.

Description	Original trade date	Quantity	Purchase price	Market price	Cost basis	Market value	Unrealized gain or (loss)
*** TRANSOCEAN INC	03/09/05	700	$ 50.034	$ 77.37	$ 35,057.06	$ 54,159.00	$ 19,091.92
	03/09/05	75	50.034	77.37	3,770.58	5,802.75	2,032.17

citigroup SMITH BARNEY

Berkley 98 of 119

Member: Shelley Berkley NV-1

WORKING WEALTH AT SMITH BARNEY

Prime Broker Statement

January 1 - January 31, 2007

DR. LAWRENCE LEHRNER, TTEE Account number

Deposits

Date	Description	Amount
01/30/07	DEPOSIT RECEIVED AT 00530 - MENLO PARK CA	60,000.00

Date	Description	Amount

Withdrawals

Date	Description	Reference no	Amount
01/17/07	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED		0.00

Date	Description	Reference no	Amount

Interest charged on loans

Date	Description	Amount
01/31/07	INTEREST CHARGED FOR 33 DAY(S) FROM 12/29 THRU 1/30	$ 2,640.39

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. ***Taxable*** *and* ***non-taxable*** *designations refer to the federal income tax status of your securities, not of your account.*

Qualified dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
01/02/07	CANADIAN NATURAL RES LTD	FOREIGN TAX W/HELD $ 4.34 CASH DIV ON 450.0000 SHS TAX HELD BY FGN GOVTS 4.34 X/D 12/13/06	$ 28.95		$ 24.61
01/02/07	FEDEX CORP	CASH DIV ON 75.0000 SHS X/D 12/06/06	6.75		6.75
01/02/07	FEDEX CORP	CASH DIV ON 375.0000 SHS X/D 12/06/06	33.75		33.75
01/02/07	MERCK & CO INC	CASH DIV ON 875.0000 SHS X/D 12/06/06	332.50		332.50
01/03/07	ALLTEL CORP DELAWARE	CASH DIV ON 375.0000 SHS X/D 12/06/06	46.88		46.88
01/04/07	GLAXOSMITHKLINE PLC SP ADR	CASH DIV ON 60.0000 SHS X/D 11/01/06	22.52		22.52
01/04/07	GLAXOSMITHKLINE PLC SP ADR	CASH DIV ON 625.0000 SHS X/D 11/01/06	281.54		281.54
01/04/07	SIEMENS A G SPONS ADR	TAX REFUND $0.104872 R/D 01/26/06 - P/D 02/03/06 X/D 01/24/06			7.87
01/04/07	SIEMENS A G SPONS ADR	TAX REFUND $0.104872 R/D 01/26/06 - P/D 02/03/06 X/D 01/24/06			31.48

citigroup SMITH BARNEY

225

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	04/02/2007	DIVIDEND	0.0000	FEDEX CORP CASH DIV ON 75.0000 SHS X/D 03/08/07	0.000	6.75	
[redacted]	03/30/2007	DIV- TAX W/H	0.0000	CANADIAN NATL RAILWAY CO CASH DIV ON 650.0000 SHS TAX HELD BY FGN GOVTS 20.48 X/D 03/07/07	0.000	116.02	
[redacted]	03/30/2007	DIVIDEND	0.0000	LOCKHEED MARTIN CORP CASH DIV ON 350.0000 SHS X/D 02/27/07	0.000	122.50	
[redacted]	03/30/2007	DIVIDEND	0.0000	DEVON ENERGY CORP NEW CASH DIV ON 500.0000 SHS X/D 03/13/07	0.000	70.00	
[redacted]	03/30/2007	DIVIDEND	0.0000	VANGUARD REIT ETF CASH DIV ON 850.0000 SHS X/D 03/26/07	0.000	396.95	
[redacted]	03/30/2007	DIV- TAX W/H	0.0000	ENCANA CORP-CAD CASH DIV ON 575.0000 SHS TAX HELD BY FGN GOVTS 17.25 X/D 03/13/07	0.000	97.75	
[redacted]	03/30/2007	INTEREST	0.0000	INTEREST CHARGED FOR 30 DAY(S) FROM 2/28 THRU 3/29	0.000	-2,081.09	
[redacted]	03/28/2007	DIVIDEND	0.0000	ANADARKO PETROLEUM CORP CASH DIV ON 800.0000 SHS X/D 03/12/07	0.000	72.00	
[redacted]	03/28/2007	DIVIDEND	0.0000	SELECT SECTOR SPDR UTILITIES CASH DIV ON 3100.0000 SHS X/D 03/16/07	0.000	646.20	
[redacted]	03/23/2007	BOUGHT	500.0000	FREEPORT MCMORAN COPPER & GOLD CL B CTSY LEHMAN BROTHERS INC PRIN INCL COMM OF 20.00	61.986	-31,028.20	
[redacted]	03/21/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	03/20/2007	MERGER	-350.0000	PHELPS DODGE CORP	0.000	.00	
[redacted]	03/20/2007	DIV- TAX W/H	0.0000	BHP BILLITON LTD SPONS ADR CASH DIV ON 750.0000 SHS TAX HELD BY FGN GOVTS 52.94	0.000	300.00	

◀ Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next ▶

Set this page as your default.

To change how you view your portfolio click here.

For more information please contact your Smith Barney Financial Advisor.

citi
www.citigroup.com

Privacy, Security, Terms and conditions
© 2007 Citigroup Global Markets Inc. All rights reserved. Member SIPC
Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world.

Berkley 95 of 119

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.80 -10.74 5:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

All Accounts | Activity Detail | All Activities | Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	03/20/2007	MERGER	0.5000	FREEPORT MCMORAN COPPER & GOLD CL B	0.000	31.35	
[redacted]	03/20/2007	DIVIDEND	0.0000	ASTRAZENECA PLC SPON ADR CASH DIV ON 50.0000 SHS RECORD 02/09/07 PAY 03/19/07 X/D 02/07/07	0.000	61.50	
[redacted]	03/20/2007	MERGER	0.0000	PHELPS DODGE CORP	0.000	30,800.00	
[redacted]	03/20/2007	MERGER	234.0000	FREEPORT MCMORAN COPPER & GOLD CL B	0.000	00	
[redacted]	03/20/2007	DIVIDEND	0.0000	ASTRAZENECA PLC SPON ADR CASH DIV ON 625.0000 SHS RECORD 02/09/07 PAY 03/19/07 X/D 02/07/07	0.000	768.75	
[redacted]	03/14/2007	DIV- TAX W/H	0.0000	ROYAL DUTCH SHELL PLC ADR CL A CASH DIV ON 50.0000 SHS TAX HELD BY FGN GOVTS 4.88	0.000	27.62	
[redacted]	03/14/2007	DIV- TAX W/H	0.0000	ROYAL DUTCH SHELL PLC ADR CL A CASH DIV ON 750.0000 SHS TAX HELD BY FGN GOVTS 73.13	0.000	414.37	
[redacted]	03/12/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 1300.0000 SHS TAX HELD BY FGN GOVTS 6.11 X/D 12/26/06	0.000	81.20	
[redacted]	03/12/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 225.0000 SHS TAX HELD BY FGN GOVTS 1.06 X/D 12/26/06	0.000	14.05	
[redacted]	03/12/2007	DIVIDEND	0.0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700.0000 SHS X/D 02/14/07	0.000	185.50	
[redacted]	03/09/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 475.0000 SHS X/D 02/23/07	0.000	118.75	
[redacted]	03/09/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 75.0000 SHS X/D 02/23/07	0.000	18.75	
[redacted]	03/02/2007	DIVIDEND	0.0000	PHELPS DODGE CORP CASH DIV ON 350.0000 SHS X/D 02/14/07	0.000	70.00	
[redacted]	03/01/2007	DIVIDEND	0.0000	CONOCOPHILLIPS CASH DIV ON 675.0000 SHS X/D 02/15/07	0.000	276.75	
[redacted]	03/01/2007	DIVIDEND	0.0000	KLA-TENCOR CORP CASH DIV ON 675.0000 SHS X/D 02/13/07	0.000	81.00	

Previous 1 | 2 | 3 | 4 | 5 | 6 | 7

Berkley 100 2/15

Prime Broker Statement

February 1 - February 28, 2007

DR. LAWRENCE LEHRNER, TTEE Account number

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
02/21/07	LEHMAN BROTHERS HOLDINGS INC	CASH DIV ON 600.0000 SHS X/D 02/06/07	$ 90.00		$ 90.00
02/22/07	GOLDMAN SACHS GROUP INC	CASH DIV ON 50.0000 SHS X/D 01/19/07	17.50		17.50
02/22/07	GOLDMAN SACHS GROUP INC	CASH DIV ON 300.0000 SHS X/D 01/19/07	105.00		105.00
02/23/07	ING GROEP NV SPONS ADR	FOREIGN TAX WHELD $ 4.60 TAX REFUND OF 0.07713 R/D 04/26/06. PAY DT 05/31/06 X/D 04/24/06			13.81
02/23/07	ING GROEP NV SPONS ADR	FOREIGN TAX WHELD $ 21.75 TAX REFUND OF 0.07713 R/D 04/26/06. PAY DT 05/31/06 X/D 04/24/06			66.25
Total qualified dividends credited to account					$ 2,980.73
FRGN tax withheld					275.82
Total qualified dividends earned			$ 3,066.18	$ 0.00	$ 3,066.18
Total foreign tax withheld - 2006					-191.37
Total qualified dividends earned - 2006			$ 0.00	$ 0.00	$ 0.00

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first-in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Unrealized gain or loss

If applicable, cost is adjusted to take into account the accretion of discounts and/or the amortization of bond premiums. Unrealized gain/loss is calculated based on the adjusted cost.

Description	Original trade date	Quantity	Purchase price	Market price	Cost basis	Market value	Unrealized gain or (loss)
*** TRANSOCEAN INC	03/09/05	700	$ 50.034	$ 76.62	$ 35,067.08	$ 53,634.00	$ 18,566.92
	03/09/05	75	50.034	76.62	3,770.58	5,746.50	1,975.92
*** UBS AG (NEW)	09/19/02	700	20.43	59.12	14,133.46	41,384.00	27,250.54
*** ABB LTD SPONS ADR	06/21/06	2,100	12.119	16.71	25,560.37	35,091.00	9,540.63
AETNA INC NEW	02/02/06	600	48.491	44.22	29,121.63	26,532.00	(2,589.63)
ALLTEL CORP DELAWARE	09/03/02	375	33.965	60.64	12,733.41	22,740.00	10,006.59
AMERIPRISE FINANCIAL INC	05/17/04	105	30.326	58.45	3,184.28	6,137.25	2,952.97
	02/02/06	500	42.224	58.45	21,152.25	29,225.00	8,072.75
ANADARKO PETROLEUM CORP	09/05/02	800	21.71	40.29	17,403.43	32,232.00	14,828.57

DR. LAWRENCE LEHRNER, TTEE Account number

EARNINGS DETAILS

*The tax status of earnings is reliable to the best of our knowledge. **Taxable** and **non-taxable** designations refer to the federal income tax status of your securities, not of your account.*

Qualified dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
02/02/07	LOWES COMPANIES INC	CASH DIV ON 700.0000 SHS X/D 01/17/07	$ 35.00		$ 35.00
02/02/07	SIEMENS A G SPONS ADR	FOREIGN TAX W/HELD $ 147.80 CASH DIV ON 375.0000 SHS TAX HELD BY FGN GOVTS 147.80 X/D 01/23/07	700.48		552.68
02/02/07	SIEMENS A G SPONS ADR	FOREIGN TAX W/HELD $ 118.24 CASH DIV ON 300.0000 SHS TAX HELD BY FGN GOVTS 118.24 X/D 01/23/07	560.37		442.13
02/08/07	BANCO SANTANDER CENT HISPANO S ADR	FOREIGN TAX W/HELD $ 8.76 CASH DIV ON 350.0000 SHS TAX HELD BY FGN GOVTS 8.76 X/D 01/29/07	48.66		39.90
02/08/07	BANCO SANTANDER CENT HISPANO S ADR	FOREIGN TAX W/HELD $ 80.08 CASH DIV ON 3200.0000 SHS TAX HELD BY FGN GOVTS 80.08 X/D 01/29/07	444.89		364.81
02/08/07	E ONAG SPONS ADR	TAX REFUND ON DIVIDEND PAID RECORD 05/04/06 PAY 05/12/06 X/D 05/02/06 FOR TAX PURPOSES. THIS TRANS ACTION IS REPORTABLE IN 2006			191.37
02/09/07	NUCOR CORP	CASH DIV ON 750.0000 SHS X/D 12/27/06	450.00		450.00
02/12/07	TEXAS INSTRUMENTS INC	CASH DIV ON 700.0000 SHS X/D 01/29/07	28.00		28.00
02/12/07	TEXAS INSTRUMENTS INC	CASH DIV ON 1050.0000 SHS X/D 01/29/07	42.00		42.00
02/15/07	PROCTER & GAMBLE CO	CASH DIV ON 50.0000 SHS X/D 01/17/07	15.50		15.50
02/15/07	PROCTER & GAMBLE CO	CASH DIV ON 350.0000 SHS X/D 01/17/07	108.50		108.50
02/16/07	AMERIPRISE FINANCIAL INC	CASH DIV ON 500.0000 SHS X/D 02/01/07	55.00		55.00
02/16/07	AMERIPRISE FINANCIAL INC	CASH DIV ON 105.0000 SHS X/D 02/01/07	11.55		11.55
02/16/07	BAKER HUGHES INC	CASH DIV ON 925.0000 SHS X/D 02/01/07	120.25		120.25
02/20/07	CATERPILLAR INC	CASH DIV ON 775.0000 SHS X/D 01/18/07	232.50		232.50

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	06/08/2007	DIV- TAX W/H	0.0000	MATSUSHITA ELEC INDL ADR CASH DIV ON 1850.0000 SHS TAX HELD BY FGN GOVTS 15.42 X/D 03/28/07	0.000	204.85	
[redacted]	06/08/2007	DIV- TAX W/H	0.0000	TOTAL S.A SPONS ADR CASH DIV ON 700.0000 SHS TAX HELD BY FGN GOVTS 234.48 X/D 05/15/07	0.000	703.42	
[redacted]	06/08/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 475.0000 SHS X/D 05/16/07	0.000	118.75	
[redacted]	06/08/2007	DIVIDEND	0.0000	CHINA MOBILE LTD SPONSORED ADR CASH DIV ON 1250.0000 SHS X/D 05/08/07	0.000	664.45	
[redacted]	06/08/2007	DIV- TAX W/H	0.0000	TOTAL S.A SPONS ADR CASH DIV ON 50.0000 SHS TAX HELD BY FGN GOVTS 16.75 X/D 05/15/07	0.000	50.24	
[redacted]	06/08/2007	DIV- TAX W/H	0.0000	SONY CORP SPON ADR-NEW CASH DIV ON 550.0000 SHS TAX HELD BY FGN GOVTS 3.87 X/D 03/28/07	0.000	51.39	
[redacted]	06/08/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 75.0000 SHS X/D 05/16/07	0.000	18.75	
[redacted]	06/01/2007	DIV- TAX W/H	0.0000	INTESA SANPAOLO S P A SPONSORED ADR CASH DIV ON 207.0000 SHS TAX HELD BY FGN GOVTS 171.01	0.000	462.36	
[redacted]	06/01/2007	DIV- TAX W/H	0.0000	INTESA SANPAOLO S P A SPONSORED ADR CASH DIV ON 752.0000 SHS TAX HELD BY FGN GOVTS 621.25	0.000	1,679.69	
[redacted]	06/01/2007	DIVIDEND	0.0000	KLA-TENCOR CORP CASH DIV ON 675.0000 SHS X/D 05/11/07	0.000	81.00	
[redacted]	06/01/2007	DIVIDEND	0.0000	CONOCOPHILLIPS CASH DIV ON 675.0000 SHS X/D 05/17/07	0.000	276.75	
[redacted]	05/31/2007	INTEREST	0.0000	INTEREST CHARGED FOR 31 DAY(S) FROM 4/30 THRU 5/30	0.000	-1,237.05	
[redacted]	05/25/2007	DIVIDEND	0.0000	CADBURY SCHWEPPES PLC ADR CASH DIV ON 600.0000 SHS X/D 04/25/07	0.000	483.62	
[redacted]	05/25/2007	DIV- TAX W/H	0.0000	HITACHI LTD-ADR CASH DIV ON 75.0000 SHS TAX HELD BY FGN GOVTS 1.28 X/D 03/28/07	0.000	16.94	
[redacted]	05/25/2007	DIV- TAX W/H	0.0000	HITACHI LTD-ADR CASH DIV ON 575.0000 SHS TAX HELD BY FGN GOVTS 9.78 X/D 03/28/07	0.000	129.91	
[redacted]	05/24/2007	DIVIDEND	0.0000	GOLDMAN SACHS GROUP INC CASH DIV ON 300.0000 SHS X/D 04/20/07	0.000	105.00	
[redacted]	05/24/2007	DIVIDEND	0.0000	ANGLO AMERICAN PLC SP ADR CASH DIV ON 1400.0000 SHS X/D 03/14/07	0.000	497.00	
[redacted]	05/24/2007	DIVIDEND	0.0000	GOLDMAN SACHS GROUP INC CASH DIV ON 50.0000 SHS X/D 04/20/07	0.000	17.50	
[redacted]	05/23/2007	DIVIDEND	0.0000	LEHMAN BROTHERS HOLDINGS INC CASH DIV ON 600.0000 SHS X/D 05/11/07	0.000	90.00	

Berkley 103 of 119

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	05/21/2007	DIVIDEND	0.0000	TEXAS INSTRUMENTS INC CASH DIV ON 1050.0000 SHS X/D 04/26/07	0.000	84.00	
[redacted]	05/21/2007	DIVIDEND	0.0000	CATERPILLAR INC CASH DIV ON 775.0000 SHS X/D 04/19/07	0.000	232.50	
[redacted]	05/21/2007	DIVIDEND	0.0000	TEXAS INSTRUMENTS INC CASH DIV ON 700.0000 SHS X/D 04/26/07	0.000	56.00	
[redacted]	05/18/2007	DIVIDEND	0.0000	AMERIPRISE FINANCIAL INC CASH DIV ON 500.0000 SHS X/D 05/02/07	0.000	75.00	
[redacted]	05/18/2007	DIVIDEND	0.0000	AMERIPRISE FINANCIAL INC CASH DIV ON 105.0000 SHS X/D 05/02/07	0.000	15.75	
[redacted]	05/18/2007	DIVIDEND	0.0000	BAKER HUGHES INC CASH DIV ON 925.0000 SHS X/D 05/03/07	0.000	120.25	
[redacted]	05/16/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	05/15/2007	DIVIDEND	0.0000	PROCTER & GAMBLE CO CASH DIV ON 350.0000 SHS X/D 04/25/07	0.000	122.50	
[redacted]	05/15/2007	DIVIDEND	0.0000	PROCTER & GAMBLE CO CASH DIV ON 50.0000 SHS X/D 04/25/07	0.000	17.50	
[redacted]	05/14/2007	BOUGHT	550.0000	COMPUTER SCIENCES CORP CTSY ITG INC PRIN INCL COMM OF 11.00	56.037	-30,846.35	
[redacted]	05/11/2007	BOUGHT	725.0000	TRINITY INDUSTRIES INC CTSY MORGAN, STANLEY AND COMPA PRIN INCL COMM OF 21.75	46.455	-33,716.48	
[redacted]	05/11/2007	DIVIDEND	0.0000	NUCOR CORP CASH DIV ON 750.0000 SHS X/D 03/28/07	0.000	457.50	
[redacted]	05/11/2007	DIV- TAX W/H	0.0000	E ONAG SPONS ADR CASH DIV ON 1050.0000 SHS TAX HELD BY FGN GOVTS 335.05 X/D 05/01/07	0.000	1,252.87	
[redacted]	05/11/2007	BOUGHT	525.0000	SHERWIN WILLIAMS CO CTSY MERRILL LYNCH, PIERCE, FE PRIN INCL COMM OF 15.75	66.308	-34,842.40	
[redacted]	05/10/2007	BOUGHT	425.0000	CAPITAL ONE FINL CORP	76.317	-32,459.73	
[redacted]	06/10/2007	DIV- TAX W/H	0.0000	ING GROEP NV SPONS ADR CASH DIV ON 179.0000 SHS TAX HELD BY FGN GOVTS 26.33 X/D 04/26/07	0.000	149.17	
[redacted]	05/10/2007	SOLD	-3400.0000	ISHARES MSCI JAPAN INDEX FUND CTSY UBS SECURITIES, LLC PRIN INCL COMM OF 68.00 AND SEC FEE OF 0.77	14.614	49,602.81	

◀ Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next ▶

Berkley 104 of 119

Set this page as your default.

To change how you view your portfolio click here.

For more information, please contact your Smith Barney Financial Advisor

citi

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.80 -10.74 5:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

Select All Accounts | Activity Detail | Filters All Activities | Date Range Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	05/10/2007	BOUGHT	525.0000	GLOBALSANTAFE CORP	63.443	-33,333.72	
[redacted]	05/10/2007	DIV- TAX W/H	0.0000	ING GROEP NV SPONS ADR CASH DIV ON 846.0000 SHS TAX HELD BY FGN GOVTS 124.42 X/D 04/26/07	0.000	705.02	
[redacted]	05/10/2007	SOLD	-800.0000	ROYAL DUTCH SHELL PLC ADR CL A CTSY CANTOR, FITZGERALD & CO. PRIN INCL COMM OF 24.00	69.883	55,866.62	
[redacted]	05/10/2007	SOLD	-700.0000	LOWES COMPANIES INC	31.301	21,875.43	
[redacted]	05/09/2007	SOLD	-600.0000	AETNA INC NEW CTSY WEEDEN & CO. L.P. PRIN INCL COMM OF 18.00 AND SEC FEE OF 0.46	50.034	29,987.00	
[redacted]	05/08/2007	DIV- TAX W/H	0.0000	BANCO SANTANDER CENT HISPANO S ADR CASH DIV ON 3200.0000 SHS TAX HELD BY FGN GOVTS 156.23	0.000	711.74	
[redacted]	05/08/2007	DIV- TAX W/H	0.0000	BANCO SANTANDER CENT HISPANO S ADR CASH DIV ON 350.0000 SHS TAX HELD BY FGN GOVTS 17.09	0.000	77.84	
[redacted]	05/08/2007	DIV- TAX W/H	0.0000	BASF AG SPONS ADR CASH DIV ON 525.0000 SHS RECORD 04/26/07 PAY 05/07/07 TAX HELD BY FGN GOVTS 451.80	0.000	1,689.41	
[redacted]	05/08/2007	DIV- TAX W/H	0.0000	BASF AG SPONS ADR CASH DIV ON 50.0000 SHS RECORD 04/26/07 PAY 05/07/07 TAX HELD BY FGN GOVTS 43.03	0.000	160.89	
[redacted]	05/04/2007	DIVIDEND	0.0000	LOWES COMPANIES INC CASH DIV ON 700.0000 SHS X/D 04/18/07	0.000	35.00	
[redacted]	05/01/2007	DIVIDEND	0.0000	FREEPORT MCMORAN COPPER & GOLD CL B CASH DIV ON 734.0000 SHS X/D 04/12/07	0.000	229.38	
[redacted]	04/30/2007	DIVIDEND	0.0000	MORGAN STANLEY CASH DIV ON 575.0000 SHS X/D 04/11/07	0.000	155.25	
[redacted]	04/30/2007	INTEREST	0.0000	INTEREST CHARGED FOR 31 DAY(S) FROM 3/30 THRU 4/29	0.000	-1,765.80	
[redacted]	04/30/2007	DIVIDEND	0.0000	MORGAN STANLEY CASH DIV ON 125.0000 SHS X/D 04/11/07	0.000	33.75	
[redacted]	04/25/2007	DIVIDEND	0.0000	GENERAL ELECTRIC CO CASH DIV ON 125.0000 SHS X/D 02/22/07	0.000	35.00	

Berkley 105 of 15

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	04/25/2007	DIV- TAX W/H	0.0000	UBS AG (NEW) CASH DIV ON 700.0000 SHS RECORD 04/18/07 PAY 04/23/07 TAX HELD BY FGN GOVTS 445.49	0.000	627.34	
[redacted]	04/25/2007	DIVIDEND	0.0000	GENERAL ELECTRIC CO CASH DIV ON 575.0000 SHS X/D 02/22/07	0.000	161.00	
[redacted]	04/24/2007	DEPOSIT	0.0000	DEPOSIT RECEIVED AT 00530 - MENLO PARK CA	0.000	100,000.00	
[redacted]	04/18/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	04/16/2007	DIVIDEND	0.0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150.0000 SHS X/D 03/07/07	0.000	33.00	
[redacted]	04/16/2007	DIVIDEND	0.0000	WINDSTREAM CORP CASH DIV ON 387.0000 SHS X/D 03/28/07	0.000	96.75	
[redacted]	04/16/2007	FEE	0.0000	MANAGEMENT FEE PER AGREEMENT	0.000	-8,657.50	
[redacted]	04/16/2007	DIVIDEND	0.0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850.0000 SHS X/D 03/07/07	0.000	187.00	
[redacted]	04/16/2007	DIVIDEND	0.0000	ILLINOIS TOOL WORKS INC CASH DIV ON 700.0000 SHS X/D 03/28/07	0.000	147.00	
[redacted]	04/16/2007	DIVIDEND	0.0000	RIO TINTO PLC-GBP CASH DIV ON 150.0000 SHS X/D 03/07/07	0.000	384.00	
[redacted]	04/13/2007	DIVIDEND	0.0000	MOTOROLA INC DE CASH DIV ON 1800.0000 SHS X/D 03/13/07	0.000	90.00	
[redacted]	04/12/2007	DIVIDEND	0.0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625.0000 SHS X/D 02/14/07	0.000	344.77	
[redacted]	04/12/2007	DIVIDEND	0.0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50.0000 SHS X/D 02/14/07	0.000	27.58	
[redacted]	04/09/2007	DIVIDEND	0.0000	SCHLUMBERGER LTD CASH DIV ON 900.0000 SHS X/D 02/16/07	0.000	157.50	
[redacted]	04/09/2007	DEPOSIT	0.0000	DEPOSIT RECEIVED AT 00530 - MENLO PARK CA	0.000	75,000.00	
[redacted]	04/04/2007	DIV- TAX W/H	0.0000	CANADIAN NATL RAILWAY CO CASH DIV ON 650.0000 SHS RECORD 03/09/07 PAY 03/30/07 TAX HELD BY FGN GOVTS 17.73	0.000	100.47	
[redacted]	04/04/2007	DIV- TAX W/H	0.0000	CANADIAN NATL RAILWAY CO REV DIV ON 650.0000 SHS FGN TAX W/H IN ERROR REV FGN TAX W/H IN ERROR REV	0.000	-116.02	
[redacted]	04/03/2007	DIVIDEND	0.0000	ALLTEL CORP DELAWARE CASH DIV ON 375.0000 SHS X/D 02/21/07	0.000	46.88	
[redacted]	04/03/2007	DIV- TAX W/H	0.0000	CANADIAN NATURAL RES LTD CASH DIV ON 450.0000 SHS RECORD 03/15/07 PAY 04/01/07 TAX HELD BY FGN GOVTS 4.96	0.000	28.10	
[redacted]	04/02/2007	DIVIDEND	0.0000	FEDEX CORP CASH DIV ON 375.0000 SHS X/D 03/08/07	0.000	33.75	
[redacted]	04/02/2007	DIVIDEND	0.0000	MERCK & CO INC CASH DIV ON 875.0000 SHS X/D 03/07/07	0.000	332.50	
[redacted]	04/02/2007	DEPOSIT	0.0000	DEPOSIT RECEIVED AT 00530 - MENLO PARK CA	0.000	25,000.00	

Berkley 10% 2119

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	07/02/2007	DIVIDEND	0.0000	FEDEX CORP CASH DIV ON 375.0000 SHS X/D 06/07/07	0.000	37.50	
[redacted]	07/02/2007	DIVIDEND	0.0000	FEDEX CORP CASH DIV ON 75.0000 SHS X/D 06/07/07	0.000	7.50	
[redacted]	07/02/2007	DIV- TAX W/H	0.0000	TALISMAN ENERGY INC CASH DIV ON 1275.0000 SHS RECORD 06/04/07 PAY 06/29/07 TAX HELD BY FGN GOVTS 15.75	0.000	69.25	
[redacted]	06/29/2007	DIVIDEND	0.0000	DEVON ENERGY CORP NEW CASH DIV ON 500.0000 SHS X/D 06/13/07	0.000	70.00	
[redacted]	06/29/2007	DIVIDEND	0.0000	VANGUARD REIT ETF CASH DIV ON 850.0000 SHS X/D 06/25/07	0.000	758.20	
[redacted]	06/29/2007	INTEREST	0.0000	INTEREST CHARGED FOR 29 DAY(S) FROM 5/31 THRU 6/28	0.000	-1,079.23	
[redacted]	06/29/2007	DIV- TAX W/H	0.0000	ENCANA CORP-CAD CASH DIV ON 575.0000 SHS TAX HELD BY FGN GOVTS 17.25 X/D 06/13/07	0.000	97.75	
[redacted]	06/29/2007	DIV- TAX W/H	0.0000	CANADIAN NATL RAILWAY CO CASH DIV ON 650.0000 SHS TAX HELD BY FGN GOVTS 19.21 X/D 06/08/07	0.000	108.84	
[redacted]	06/28/2007	DIV- TAX W/H	0.0000	NISSAN MTR LTD SPONS ADR CASH DIV ON 1700.0000 SHS TAX HELD BY FGN GOVTS 32.60 X/D 03/28/07	0.000	433.15	
[redacted]	06/28/2007	STK SPLIT	475.0000	GILEAD SCIENCES INC STK SPLIT ON 475 SHS	0.000	.00	
[redacted]	06/28/2007	DIV- TAX W/H	0.0000	NISSAN MTR LTD SPONS ADR CASH DIV ON 250.0000 SHS TAX HELD BY FGN GOVTS 4.79 X/D 03/28/07	0.000	63.70	
[redacted]	06/27/2007	DIVIDEND	0.0000	ANADARKO PETROLEUM CORP CASH DIV ON 800.0000 SHS X/D 06/11/07	0.000	72.00	

◀ Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next ▶

Set this page as your default.

To change how you view your portfolio click here.

For more information please contact your Smith Barney Financial Advisor

www.citigroup.com

Privacy, Security, Terms and conditions
© 2007 Citigroup Global Markets Inc. All rights reserved Member SIPC
Smith Barney is a division and service mark of Citigroup Global Markets Inc and its affiliates and is used and registered throughout the world

Berkley 107 2/19

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.80 -10.74 3:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

All Accounts | Activity Detail | All Activities | Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	06/27/2007	DIVIDEND	0.0000	SELECT SECTOR SPDR UTILITIES CASH DIV ON 3100.0000 SHS X/D 06/15/07	0.000	854.36	
[redacted]	06/22/2007	DIVIDEND	0.0000	LOCKHEED MARTIN CORP CASH DIV ON 350.0000 SHS X/D 05/30/07	0.000	122.50	
[redacted]	06/20/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	06/18/2007	DEPOSIT	0.0000	DEPOSIT RECEIVED AT 00530 - MENLO PARK CA	0.000	50,000.00	
[redacted]	06/14/2007	DIV- TAX W/H	0.0000	CREDIT SUISSE GROUP ADR CASH DIV ON 1100.0000 SHS TAX HELD BY FGN GOVTS 299.31 X/D 05/07/07	0.000	1,696.09	
[redacted]	06/14/2007	DIV- TAX W/H	0.0000	ABB LTD SPONS ADR CASH DIV ON 2100.0000 SHS TAX HELD BY FGN GOVTS 60.47 X/D 05/03/07	0.000	342.67	
[redacted]	06/13/2007	DIV- TAX W/H	0.0000	ROYAL DUTCH SHELL PLC ADR CL A CASH DIV ON 50.0000 SHS TAX HELD BY FGN GOVTS 5.40	0.000	30.60	
[redacted]	06/13/2007	DIV- TAX W/H	0.0000	ROYAL DUTCH SHELL PLC ADR CL A CASH DIV ON 750.0000 SHS TAX HELD BY FGN GOVTS 81.00	0.000	459.00	
[redacted]	06/12/2007	DIV- TAX W/H	0.0000	AXA S.A.SPONS ADR CASH DIV ON 600.0000 SHS TAX HELD BY FGN GOVTS 213.55 X/D 05/16/07	0.000	640.68	
[redacted]	06/12/2007	DIV- TAX W/H	0.0000	AXA S.A.SPONS ADR CASH DIV ON 650.0000 SHS TAX HELD BY FGN GOVTS 231.35 X/D 05/16/07	0.000	694.04	
[redacted]	06/11/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 1300.0000 SHS TAX HELD BY FGN GOVTS 14.56 X/D 03/28/07	0.000	193.51	
[redacted]	06/11/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 225.0000 SHS TAX HELD BY FGN GOVTS 2.52 X/D 03/28/07	0.000	33.49	
[redacted]	06/11/2007	DIVIDEND	0.0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700.0000 SHS X/D 05/16/07	0.000	185.50	
[redacted]	06/08/2007	DIVIDEND	0.0000	SHERWIN WILLIAMS CO CASH DIV ON 525.0000 SHS X/D 05/18/07	0.000	165.38	

Berkley

108 of 115

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.60 -10.74 5:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

All Accounts | Activity Detail | All Activities | Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008.

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	08/13/2007	DEPOSIT	0.0000	DEPOSIT RECEIVED AT 00530 - MENLO PARK CA	0.000	225,000.00	
[redacted]	08/10/2007	DIVIDEND	0.0000	NUCOR CORP CASH DIV ON 750.0000 SHS X/D 06/27/07	0.000	457.50	
[redacted]	08/08/2007	DIV- TAX W/H	0.0000	BANCO SANTANDER CENT HISPANO S ADR CASH DIV ON 3200.0000 SHS TAX HELD BY FGN GOVTS 96.60	0.000	440.09	
[redacted]	08/08/2007	DIV- TAX W/H	0.0000	BANCO SANTANDER CENT HISPANO S ADR CASH DIV ON 350.0000 SHS TAX HELD BY FGN GOVTS 10.57	0.000	48.13	
[redacted]	08/01/2007	DIVIDEND	0.0000	FREEPORT MCMORAN COPPER & GOLD CL B CASH DIV ON 734.0000 SHS X/D 07/12/07	0.000	229.38	
[redacted]	07/31/2007	DIVIDEND	0.0000	TRINITY INDUSTRIES INC CASH DIV ON 725.0000 SHS X/D 07/11/07	0.000	43.50	
[redacted]	07/31/2007	DIVIDEND	0.0000	MORGAN STANLEY CASH DIV ON 125.0000 SHS X/D 07/11/07	0.000	33.75	
[redacted]	07/31/2007	INTEREST	0.0000	INTEREST CHARGED FOR 32 DAY(S) FROM 6/29 THRU 7/30	0.000	-1,032.22	
[redacted]	07/31/2007	DIVIDEND	0.0000	MORGAN STANLEY CASH DIV ON 575.0000 SHS X/D 07/11/07	0.000	155.25	
[redacted]	07/26/2007	MERGER	1274.0000	ANGLO AMERICAN PLC ADR	0.000	.00	
[redacted]	07/26/2007	MERGER	-1400.0000	ANGLO AMERICAN PLC SP ADR	0.000	.00	
[redacted]	07/25/2007	DIVIDEND	0.0000	GENERAL ELECTRIC CO CASH DIV ON 125.0000 SHS X/D 06/21/07	0.000	35.00	
[redacted]	07/25/2007	DIVIDEND	0.0000	GENERAL ELECTRIC CO CASH DIV ON 575.0000 SHS X/D 06/21/07	0.000	161.00	
[redacted]	07/24/2007	RET OF CAP	0.0000	CREDIT SUISSE GROUP ADR RET OF CAP ON 1100.0000 SHS	0.000	398.64	
[redacted]	07/18/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	07/17/2007	FEE	0.0000	MANAGEMENT FEE PER AGREEMENT	0.000	-9,329.48	
[redacted]	07/16/2007	DIVIDEND	0.0000	ILLINOIS TOOL WORKS INC CASH DIV ON 700.0000 SHS X/D 06/27/07	0.000	147.00	
[redacted]	07/16/2007	DIVIDEND	0.0000	WINDSTREAM CORP CASH DIV ON 387.0000 SHS X/D 06/27/07	0.000	96.75	

Berkley 109 of 119

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	07/16/2007	DIVIDEND	0.0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150.0000 SHS X/D 06/06/07	0.000	33.00	
[redacted]	07/16/2007	DIVIDEND	0.0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850.0000 SHS X/D 06/06/07	0.000	187.00	
[redacted]	07/13/2007	DIVIDEND	0.0000	MOTOROLA INC DE CASH DIV ON 1800.0000 SHS X/D 06/13/07	0.000	90.00	
[redacted]	07/13/2007	DIVIDEND	0.0000	GLOBALSANTAFE CORP CASH DIV ON 525.0000 SHS X/D 06/27/07	0.000	118.13	
[redacted]	07/12/2007	DIVIDEND	0.0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625.0000 SHS X/D 05/02/07	0.000	300.42	
[redacted]	07/12/2007	DIVIDEND	0.0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50.0000 SHS X/D 05/02/07	0.000	24.03	
[redacted]	07/11/2007	SOLD	-375.0000	ALLTEL CORP DELAWARE CTSY WEEDEN & CO. L.P. PRIN INCL COMM OF 11.25 AND SEC FEE OF 0.39	67.602	25,324.30	
[redacted]	07/11/2007	CASH IN LIEU	0.0000	DISCOVER FINANCIAL SVCS CASH IN LIEU OF .50000 RECORD 06/18/07 PAY 06/30/07	0.000	12.50	
[redacted]	07/11/2007	CASH IN LIEU	0.0000	DISCOVER FINANCIAL SVCS CASH IN LIEU OF .50000 RECORD 06/18/07 PAY 06/30/07	0.000	12.50	
[redacted]	07/11/2007	BOUGHT	375.0000	TELEFONICA S.A. SPON ADR CTSY LEHMAN BROTHERS INC PRIN INCL COMM OF 15.00	68.531	-25,729.01	
[redacted]	07/10/2007	YTD ADJ	0.0000	NISSAN MTR LTD SPONS ADR ADR FEE .023500 CHARGE PER SHR , PAY DT 06/28/07	0.000	-39.95	
[redacted]	07/10/2007	YTD ADJ	0.0000	NISSAN MTR LTD SPONS ADR ADR FEE .023500 CHARGE PER SHR , PAY DT 06/28/07	0.000	-5.88	
[redacted]	07/09/2007	DIV- TAX W/H	0.0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 3200.0000 SHS TAX HELD BY FGN GOVTS 10.88	0.000	144.61	
[redacted]	07/09/2007	DIV- TAX W/H	0.0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 350.0000 SHS TAX HELD BY FGN GOVTS 1.19	0.000	15.82	
[redacted]	07/06/2007	DIVIDEND	0.0000	SCHLUMBERGER LTD CASH DIV ON 900.0000 SHS X/D 06/04/07	0.000	157.50	
[redacted]	07/06/2007	SPINOFF	287.0000	DISCOVER FINANCIAL SVCS SPINOFF ON 575 SHS	0.000	.00	
[redacted]	07/06/2007	SPINOFF	62.0000	DISCOVER FINANCIAL SVCS SPINOFF ON 125 SHS	0.000	.00	
[redacted]	07/05/2007	DIV- TAX W/H	0.0000	CANADIAN NATURAL RES LTD CASH DIV ON 450.0000 SHS RECORD 06/15/07 PAY 07/01/07 TAX HELD BY FGN GOVTS 5.39	0.000	30.57	
[redacted]	07/03/2007	DIVIDEND	0.0000	ALLTEL CORP DELAWARE CASH DIV ON 375.0000 SHS X/D 06/06/07	0.000	46.88	
[redacted]	07/02/2007	DIVIDEND	0.0000	MERCK & CO INC CASH DIV ON 875.0000 SHS X/D 06/06/07	0.000	332.50	

Berkley 110 2/19

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	09/13/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 225.0000 SHS TAX HELD BY FGN GOVTS 1.13 X/D 06/27/07	0.000	15.05	
[redacted]	09/13/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 1300.0000 SHS TAX HELD BY FGN GOVTS 6.55 X/D 06/27/07	0.000	86.95	
[redacted]	09/10/2007	YTD ADJ	0.0000	ING GROEP NV SPONS ADR ADR FEE OF 0.006618 CHARGED R/D: 08/08/07, PY/DT: 08/23/07	0.000	-5.60	
[redacted]	09/10/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 475.0000 SHS X/D 08/16/07	0.000	118.75	
[redacted]	09/10/2007	DIVIDEND	0.0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700.0000 SHS X/D 08/15/07	0.000	224.00	
[redacted]	09/10/2007	YTD ADJ	0.0000	ING GROEP NV SPONS ADR ADR FEE OF 0.006618 CHARGED R/D: 08/08/07, PY/DT: 08/23/07	0.000	-1.18	
[redacted]	09/10/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 75.0000 SHS X/D 08/16/07	0.000	18.75	
[redacted]	09/07/2007	DIVIDEND	0.0000	RIO TINTO PLC-GBP CASH DIV ON 150.0000 SHS X/D 08/08/07	0.000	312.00	
[redacted]	09/04/2007	DIVIDEND	0.0000	KLA-TENCOR CORP CASH DIV ON 675.0000 SHS X/D 08/16/07	0.000	101.25	
[redacted]	09/04/2007	DIVIDEND	0.0000	CONOCOPHILLIPS CASH DIV ON 675.0000 SHS X/D 07/27/07	0.000	276.75	
[redacted]	08/31/2007	INTEREST	0.0000	INTEREST CHARGED FOR 13 DAY(S) FROM 7/31 THRU 8/30	0.000	-443.63	
[redacted]	08/31/2007	INTEREST	0.0000	INTEREST ON CREDIT BALANCE FROM 7/31 THRU 8/30	0.000	47.32	
[redacted]	08/30/2007	BOUGHT	1850.0000	CHEUNG KONG HOLDING-ADR CTSY UBS SECURITIES, LLC PRIN INCL COMM OF 74.00	14.266	-26,480.36	
[redacted]	08/30/2007	DIVIDEND	0.0000	GOLDMAN SACHS GROUP INC CASH DIV ON 50.0000 SHS X/D 07/27/07	0.000	17.50	
[redacted]	08/30/2007	DIVIDEND	0.0000	GOLDMAN SACHS GROUP INC CASH DIV ON 300.0000 SHS X/D 07/27/07	0.000	105.00	
[redacted]	08/28/2007	BOUGHT	700.0000	COMPANHIA VALE DI RIO ADR DOCE CTSY MORGAN, STANLEY AND COMPA PRIN INCL COMM OF 21.00	46.558	-32,905.13	
[redacted]	08/27/2007	BOUGHT	1050.0000	CISCO SYS INC	31.185	-32,796.33	
[redacted]	08/27/2007	SOLD	-2300.0000	SELECT SECTOR SPDR UTILITIES CTSY LEHMAN BROTHERS INC PRIN INCL COMM OF 46.00	38.726	89,006.74	
[redacted]	08/24/2007	DIVIDEND	0.0000	LEHMAN BROTHERS HOLDINGS INC CASH DIV ON 600.0000 SHS X/D 08/13/07	0.000	90.00	
[redacted]	08/23/2007	DIV- TAX W/H	0.0000	ING GROEP NV SPONS ADR CASH DIV ON 179.0000 SHS TAX HELD BY FGN GOVTS 23.69 X/D 08/09/07	0.000	134.26	

Berkley 11/8/19

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	08/23/2007	DIV- TAX W/H	0.0000	ING GROEP NV SPONS ADR CASH DIV ON 846.0000 SHS TAX HELD BY FGN GOVTS 111.98 X/D 08/09/07	0.000	634.55	
[redacted]	08/20/2007	DIVIDEND	0.0000	CATERPILLAR INC CASH DIV ON 775.0000 SHS X/D 07/18/07	0.000	279.00	
[redacted]	08/20/2007	DIVIDEND	0.0000	TEXAS INSTRUMENTS INC CASH DIV ON 700.0000 SHS X/D 07/27/07	0.000	56.00	
[redacted]	08/20/2007	DIVIDEND	0.0000	TEXAS INSTRUMENTS INC CASH DIV ON 1050.0000 SHS X/D 07/27/07	0.000	84.00	
[redacted]	08/20/2007	DIVIDEND	0.0000	CAPITAL ONE FINL CORP CASH DIV ON 425.0000 SHS X/D 08/08/07	0.000	11.33	
[redacted]	08/17/2007	DIVIDEND	0.0000	AMERIPRISE FINANCIAL INC CASH DIV ON 105.0000 SHS X/D 08/02/07	0.000	15.75	
[redacted]	08/17/2007	DIVIDEND	0.0000	BAKER HUGHES INC CASH DIV ON 925.0000 SHS X/D 08/02/07	0.000	120.25	
[redacted]	08/17/2007	DIVIDEND	0.0000	AMERIPRISE FINANCIAL INC CASH DIV ON 500.0000 SHS X/D 08/02/07	0.000	75.00	
[redacted]	08/17/2007	FRGN TAX W/H	0.0000	E ONAG SPONS ADR TAX REFUND X/D 05/01/07	0.000	97.83	
[redacted]	08/15/2007	MERGER	0.0000	ANGLO AMERICAN PLC SP ADR ADDTL CASH PMT RATE 1 65019572	0.000	2,310.27	
[redacted]	08/15/2007	DIVIDEND	0.0000	PROCTER & GAMBLE CO CASH DIV ON 50.0000 SHS X/D 07/18/07	0.000	17.50	
[redacted]	08/15/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	08/15/2007	DIVIDEND	0.0000	PROCTER & GAMBLE CO CASH DIV ON 350.0000 SHS X/D 07/18/07	0.000	122.50	
[redacted]	08/14/2007	YTD ADJ	0.0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR ADR FEE OF 0.0005 CHARGED R/D: 03/30/07, PY/DT: 07/09/07	0.000	-.18	
[redacted]	08/14/2007	YTD ADJ	0.0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR ADR FEE OF 0.0005 CHARGED R/D: 03/30/07, PY/DT: 07/09/07	0.000	-1.60	

Berkley 112 of 119

Set this page as your default.

To change how you view your portfolio click here.

For more information, please contact your Smith Barney Financial Advisor

citi
www.citigroup.com

Privacy, Security, Terms and conditions.
© 2007 Citigroup Global Markets Inc. All rights reserved. Member SIPC.
Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	10/16/2007	FRGN TAX W/H	0.0000	SIEMENS A G SPONS ADR TAX REFUND OF 0.118231 FOR RC DT 01/25/07 PAY DT 02/02/07	0.000	44.34	
[redacted]	10/15/2007	DIVIDEND	0.0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850.0000 SHS X/D 09/06/07	0.000	212.50	
[redacted]	10/15/2007	DIVIDEND	0.0000	ILLINOIS TOOL WORKS INC CASH DIV ON 700.0000 SHS X/D 09/26/07	0.000	196.00	
[redacted]	10/15/2007	FEE	0.0000	MANAGEMENT FEE PER AGREEMENT	0.000	-9,938.29	
[redacted]	10/15/2007	DIVIDEND	0.0000	MOTOROLA INC DE CASH DIV ON 1800.0000 SHS X/D 09/12/07	0.000	90.00	
[redacted]	10/15/2007	DIVIDEND	0.0000	WINDSTREAM CORP CASH DIV ON 387.0000 SHS X/D 09/26/07	0.000	96.75	
[redacted]	10/15/2007	DIVIDEND	0.0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150.0000 SHS X/D 09/06/07	0.000	37.50	
[redacted]	10/11/2007	DIVIDEND	0.0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625.0000 SHS X/D 08/01/07	0.000	309.20	
[redacted]	10/11/2007	DIVIDEND	0.0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50.0000 SHS X/D 08/01/07	0.000	24.74	
[redacted]	10/11/2007	DIVIDEND	0.0000	ANGLO AMERICAN PLC ADR CASH DIV ON 1274.0000 SHS X/D 08/22/07	0.000	242.06	
[redacted]	10/10/2007	FRGN TAX W/H	0.0000	UBS AG (NEW) FOREIGN TAX REFUND RC DT 04/18/07 PAY DT 04/23/07	0.000	112.73	
[redacted]	10/05/2007	DIVIDEND	0.0000	SCHLUMBERGER LTD CASH DIV ON 900.0000 SHS TAX HELD BY FGN GOVTS .00 X/D 08/31/07	0.000	157.50	
[redacted]	10/03/2007	DIVIDEND	0.0000	SELECT SECTOR SPDR UTILITIES CASH DIV ON 800.0000 SHS X/D 09/21/07	0.000	227.58	
[redacted]	10/01/2007	DIVIDEND	0.0000	FEDEX CORP CASH DIV ON 375.0000 SHS X/D 09/06/07	0.000	37.50	
[redacted]	10/01/2007	DIVIDEND	0.0000	FEDEX CORP CASH DIV ON 75.0000 SHS X/D 09/06/07	0.000	7.50	
[redacted]	10/01/2007	DIVIDEND	0.0000	MERCK & CO INC CASH DIV ON 875.0000 SHS X/D 09/05/07	0.000	332.50	

◀ Previous 1 | 2 | 3 | 4 | 5 | 6 | 7 Next ▶

Set this page as your default.

To change how you view your portfolio click here.

For more information please contact your Smith Barney Financial Advisor.

Berkley 113 119

www.citigroup.com

Privacy, Security, Terms and conditions
© 2007 Citigroup Global Markets Inc. All rights reserved. Member SIPC

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.80 -10.74 5:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

Select: All Accounts | Activity Detail | Filter: All Activities | Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	10/01/2007	DIV- TAX W/H	0.0000	CANADIAN NATURAL RES LTD CASH DIV ON 450.0000 SHS TAX HELD BY FGN GOVTS 5.76 X/D 09/12/07	0.000	32.65	
[redacted]	09/28/2007	DIV- TAX W/H	0.0000	CANADIAN NATL RAILWAY CO CASH DIV ON 650.0000 SHS TAX HELD BY FGN GOVTS 20.49 X/D 09/05/07	0.000	118.13	
[redacted]	09/28/2007	DIVIDEND	0.0000	DEVON ENERGY CORP NEW CASH DIV ON 500.0000 SHS X/D 09/12/07	0.000	70.00	
[redacted]	09/28/2007	DIV- TAX W/H	0.0000	ENCANA CORP-CAD CASH DIV ON 575.0000 SHS TAX HELD BY FGN GOVTS 17.25 X/D 09/12/07	0.000	97.75	
[redacted]	09/28/2007	DIV- TAX W/H	0.0000	BHP BILLITON LTD SPONS ADR CASH DIV ON 750.0000 SHS TAX HELD BY FGN GOVTS 71.47	0.000	405.00	
[redacted]	09/28/2007	DIVIDEND	0.0000	LOCKHEED MARTIN CORP CASH DIV ON 350.0000 SHS X/D 08/30/07	0.000	122.50	
[redacted]	09/28/2007	INTEREST	0.0000	INTEREST ON CREDIT BALANCE FROM 8/31 THRU 9/27	0.000	73.40	
[redacted]	09/28/2007	DIVIDEND	0.0000	VANGUARD REIT ETF CASH DIV ON 850.0000 SHS X/D 09/24/07	0.000	627.30	
[redacted]	09/26/2007	DIVIDEND	0.0000	ANADARKO PETROLEUM CORP CASH DIV ON 800.0000 SHS X/D 09/10/07	0.000	72.00	
[redacted]	09/24/2007	DIVIDEND	0.0000	CHINA MOBILE LTD SPONSORED ADR CASH DIV ON 1250.0000 SHS X/D 08/29/07	0.000	739.78	
[redacted]	09/19/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	09/18/2007	STK SPLIT	700.0000	COMPANHIA VALE DI RIO ADR DOCE STK SPLIT ON 700 SHS	0.000	.00	
[redacted]	09/17/2007	DIVIDEND	0.0000	ASTRAZENECA PLC SPON ADR CASH DIV ON 50.0000 SHS X/D 08/08/07	0.000	26.00	
[redacted]	09/17/2007	DIVIDEND	0.0000	ASTRAZENECA PLC SPON ADR CASH DIV ON 625.0000 SHS X/D 08/08/07	0.000	325.00	
[redacted]	09/14/2007	DIVIDEND	0.0000	SHERWIN WILLIAMS CO CASH DIV ON 525.0000 SHS X/D 08/22/07	0.000	165.38	

Berkley 11+2/119

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.80 -10.74 5:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

Show: All Accounts | Activity Detail | Filter: All Activities | Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	11/16/2007	DIVIDEND	0.0000	BAKER HUGHES INC CASH DIV ON 925.0000 SHS X/D 11/01/07	0.000	120.25	
[redacted]	11/16/2007	YTD ADJ	0.0000	CHEUNG KONG HOLDING-ADR ADR FEE OF .005 PER SHARE RD 05/08/07 , PAY DT 05/30/07 X/D 09/28/07	0.000	-22.20	
[redacted]	11/15/2007	SOLD	-425.0000	CAPITAL ONE FINL CORP	53.885	22,875.64	
[redacted]	11/15/2007	SOLD	-300.0000	TRANSOCEAN INC CTSY BEAR.STEARNS & CO.INC. PRIN INCL COMM OF 9.00 AND SEC FEE OF 0.54	115.625	34,662.96	
[redacted]	11/15/2007	SOLD	-387.0000	WINDSTREAM CORP CTSY CANTOR, FITZGERALD & CO. PRIN INCL COMM OF 11.61 AND SEC FEE OF 0.08	13.383	5,152.49	
[redacted]	11/15/2007	DIV- TAX W/H	0.0000	COMPANHIA VALE DI RIO ADR DOCE CASH DIV ON 1400.0000 SHS RECORD 10/23/07 PAY 11/07/07	0.000	239.03	
[redacted]	11/15/2007	SOLD	-525.0000	GLOBALSANTAFE CORP CTSY PERSHING, LLC PRIN INCL COMM OF 15.75 AND SEC FEE OF 0.63	78.049	40,944.40	
[redacted]	11/15/2007	DIVIDEND	0.0000	COMPANHIA VALE DI RIO ADR DOCE REV DIV ON 1400.0000 SHS X/D 10/19/07	0.000	-238.02	
[redacted]	11/15/2007	BOUGHT	2200.0000	ORACLE CORP CTSY JEFFERIES & CO. INC PRIN INCL COMM OF 66.00	20.386	-44,930.42	
[redacted]	11/15/2007	BOUGHT	2200.0000	EMC CORP-MASS CTSY JEFFERIES & CO. INC PRIN INCL COMM OF 66.00	19.325	-42,596.44	
[redacted]	11/15/2007	DIVIDEND	0.0000	PROCTER & GAMBLE CO CASH DIV ON 50.0000 SHS X/D 10/17/07	0.000	17.50	
[redacted]	11/15/2007	DIVIDEND	0.0000	PROCTER & GAMBLE CO CASH DIV ON 350.0000 SHS X/D 10/17/07	0.000	122.50	
[redacted]	11/09/2007	DIVIDEND	0.0000	NUCOR CORP CASH DIV ON 750.0000 SHS X/D 09/26/07	0.000	457.50	
[redacted]	11/08/2007	DIV- TAX W/H	0.0000	BANCO SANTANDER S.A. CASH DIV ON 3200.0000 SHS TAX HELD BY FGN GOVTS 102.07 X/D 10/29/07	0.000	464.99	
[redacted]	11/08/2007	DIV- TAX W/H	0.0000	BANCO SANTANDER S.A. CASH DIV ON 350.0000 SHS TAX HELD BY FGN GOVTS 11.16 X/D 10/29/07	0.000	50.86	

Berkley 115 of 119

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	11/07/2007	DIVIDEND	0.0000	COMPANHIA VALE DI RIO ADR DOCE CASH DIV ON 1400.0000 SHS X/D 10/19/07	0.000	238.02	
[redacted]	11/01/2007	DIVIDEND	0.0000	FREEPORT MCMORAN COPPER & GOLD CL B CASH DIV ON 734.0000 SHS X/D 10/11/07	0.000	229.38	
[redacted]	11/01/2007	YTD ADJ	0.0000	ANGLO AMERICAN PLC ADR ADR FEE OF .020000 PER SHARE RD 08/24/07 . PAY DT 10/11/07	0.000	-25.48	
[redacted]	10/31/2007	INTEREST	0.0000	INTEREST ON CREDIT BALANCE FROM 9/28 THRU 10/30	0.000	59.68	
[redacted]	10/31/2007	DIVIDEND	0.0000	TRINITY INDUSTRIES INC CASH DIV ON 725.0000 SHS X/D 10/11/07	0.000	50.75	
[redacted]	10/31/2007	DIVIDEND	0.0000	MORGAN STANLEY CASH DIV ON 125.0000 SHS X/D 10/10/07	0.000	33.75	
[redacted]	10/31/2007	DIVIDEND	0.0000	MORGAN STANLEY CASH DIV ON 575.0000 SHS X/D 10/10/07	0.000	155.25	
[redacted]	10/30/2007	DIVIDEND	0.0000	CHEUNG KONG HOLDING-ADR CASH DIV ON 1850.0000 SHS RECORD 10/02/07 PAY 10/26/07 X/D 09/28/07	0.000	117.77	
[redacted]	10/25/2007	DIVIDEND	0.0000	GENERAL ELECTRIC CO CASH DIV ON 125.0000 SHS X/D 09/20/07	0.000	35.00	
[redacted]	10/25/2007	DIVIDEND	0.0000	GENERAL ELECTRIC CO CASH DIV ON 575.0000 SHS X/D 09/20/07	0.000	161.00	
[redacted]	10/23/2007	DIVIDEND	0.0000	DISCOVER FINANCIAL SVCS CASH DIV ON 287.0000 SHS X/D 10/03/07	0.000	17.22	
[redacted]	10/23/2007	DIVIDEND	0.0000	DISCOVER FINANCIAL SVCS CASH DIV ON 62.0000 SHS X/D 10/03/07	0.000	3.72	
[redacted]	10/19/2007	DIVIDEND	0.0000	CADBURY SCHWEPPES PLC ADR CASH DIV ON 600.0000 SHS X/D 09/19/07	0.000	243.72	
[redacted]	10/19/2007	DEPOSIT	0.0000	SIEMENS A G SPONS ADR TAX REFUND $0.104872 R/D 01/26/06 - P/D 02/03/06 X/D 01/24/06	0.000	31.46	
[redacted]	10/19/2007	DEPOSIT	0.0000	SIEMENS A G SPONS ADR TAX REFUND $0.104872 R/D 01/26/06 - P/D 02/03/06 X/D 01/24/06	0.000	7.87	
[redacted]	10/19/2007	FRGN TAX W/H	0.0000	SIEMENS A G SPONS ADR REV TX REF $0.104872 R/D 01/26/06 - P/D 02/03/06 X/D 01/24/06	0.000	-31.46	
[redacted]	10/19/2007	FRGN TAX W/H	0.0000	SIEMENS A G SPONS ADR REV TX REF $0.104872 R/D 01/26/06 - P/D 02/03/06 X/D 01/24/06	0.000	-7.87	
[redacted]	10/17/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	10/16/2007	FRGN TAX W/H	0.0000	SIEMENS A G SPONS ADR TAX REFUND OF 0.118231 FOR RC DT 01/25/07 PAY DT 02/02/07	0.000	35.47	

Berkley 116 + 119

Portfolio

Member: Shelley Berkley NV-1

citi smith barney

Dow 12348.21 -28.77 4:30pm | NASDAQ 2321.80 -10.74 5:17pm | S&P 500 1349.99 +1.13 4:30pm

Print

Select: All Accounts | Activity Detail | Filters: All Activities | Date Range: Date Range

Activity from 03/01/2007 through 12/31/2007 as of 02/15/2008.

Activity Detail - All Accounts

Check Reorder | E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	12/31/2007	INTEREST	0.0000	INTEREST ON CREDIT BALANCE FROM 11/30 THRU 12/30	0.000	107.38	
[redacted]	12/31/2007	DIV- TAX W/H	0.0000	CANADIAN NATL RAILWAY CO CASH DIV ON 650.0000 SHS TAX HELD BY FGN GOVTS 20.72 X/D 12/06/07	0.000	117.40	
[redacted]	12/31/2007	YTD ADJ	0.0000	TELEFONICA S A. SPON ADR ADR FEE OF 0.020 CHARGED R/D. 11/13/07, PY/DT: 11/20/07	0.000	-7.50	
[redacted]	12/31/2007	DIV- TAX W/H	0.0000	ENCANA CORP-CAD CASH DIV ON 575.0000 SHS TAX HELD BY FGN GOVTS 17.25 X/D 12/12/07	0.000	97.75	
[redacted]	12/28/2007	YTD ADJ	0.0000	SONY CORP SPON ADR-NEW ADR FEE OF .00175 PER SHARE RD 09/28/07 . PAY DT 12/10/07	0.000	-.98	
[redacted]	12/28/2007	DIVIDEND	0.0000	DEVON ENERGY CORP NEW CASH DIV ON 500.0000 SHS X/D 12/12/07	0.000	70.00	
[redacted]	12/28/2007	DIVIDEND	0.0000	LOCKHEED MARTIN CORP CASH DIV ON 350.0000 SHS X/D 11/29/07	0.000	147.00	
[redacted]	12/27/2007	DIVIDEND	0.0000	VANGUARD REIT ETF CASH DIV ON 850.0000 SHS X/D 12/20/07	0.000	861.90	
[redacted]	12/26/2007	DIVIDEND	0.0000	ANADARKO PETROLEUM CORP CASH DIV ON 800.0000 SHS X/D 12/10/07	0.000	72.00	
[redacted]	12/21/2007	YTD ADJ	0.0000	COMPANHIA VALE DI RIO ADR DOCE ADR FEE OF 0.015729 CHARGED R/D: 10/23/07, PY/DT: 11/07/07	0.000	-22.02	
[redacted]	12/20/2007	YTD ADJ	0.0000	HITACHI LTD-ADR ADR FEE OF .0035 PER SHARE RD 09/28/07 . PAY DT 12/04/07	0.000	-2.01	
[redacted]	12/20/2007	DIV- TAX W/H	0.0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 3200.0000 SHS TAX HELD BY FGN GOVTS 13.99	0.000	185.86	
[redacted]	12/20/2007	YTD ADJ	0.0000	HITACHI LTD-ADR ADR FEE OF .0035 PER SHARE RD 09/28/07 . PAY DT 12/04/07	0.000	-.26	
[redacted]	12/20/2007	DIV- TAX W/H	0.0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 350.0000 SHS TAX HELD BY FGN GOVTS 1.53	0.000	20.33	
[redacted]	12/19/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	

Berkley 117 of 119

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	11/27/2007	MERGER	0.0000	TRANSOCEAN INC	0.000	15,689.25	
[redacted]	11/27/2007	MERGER	-475.0000	TRANSOCEAN INC	0.000	.00	
[redacted]	11/27/2007	MERGER	332.0000	TRANSOCEAN INC	0.000	.00	
[redacted]	11/26/2007	DIVIDEND	0.0000	GOLDMAN SACHS GROUP INC CASH DIV ON 50.0000 SHS X/D 10/25/07	0.000	17.50	
[redacted]	11/26/2007	DIVIDEND	0.0000	GOLDMAN SACHS GROUP INC CASH DIV ON 300.0000 SHS X/D 10/25/07	0.000	105.00	
[redacted]	11/23/2007	DIVIDEND	0.0000	LEHMAN BROTHERS HOLDINGS INC CASH DIV ON 600.0000 SHS X/D 11/13/07	0.000	90.00	
[redacted]	11/23/2007	DIVIDEND	0.0000	CAPITAL ONE FINL CORP CASH DIV ON 425.0000 SHS X/D 11/07/07	0.000	11.33	
[redacted]	11/21/2007	FEE	0.0000	FMA ONLINE SERVICE FEE WAIVED FOR RESERVED	0.000	.00	
[redacted]	11/20/2007	DIV- TAX W/H	0.0000	TELEFONICA S.A. SPON ADR CASH DIV ON 375.0000 SHS TAX HELD BY FGN GOVTS 102.92 X/D 11/09/07	0.000	468.88	
[redacted]	11/20/2007	DIVIDEND	0.0000	CATERPILLAR INC CASH DIV ON 775.0000 SHS X/D 10/18/07	0.000	279.00	
[redacted]	11/19/2007	SOLD	-1275.0000	TALISMAN ENERGY INC CTSY INSTINET, LLC PRIN INCL COMM OF 51.00 AND SEC FEE OF 0.36	18.286	23,248.55	
[redacted]	11/19/2007	DIVIDEND	0.0000	TEXAS INSTRUMENTS INC CASH DIV ON 700.0000 SHS X/D 10/29/07	0.000	70.00	
[redacted]	11/19/2007	BOUGHT	900.0000	HEWLETT PACKARD CO	50.821	-45,784.08	
[redacted]	11/19/2007	DIVIDEND	0.0000	TEXAS INSTRUMENTS INC CASH DIV ON 1050.0000 SHS X/D 10/29/07	0.000	105.00	
[redacted]	11/15/2007	DIVIDEND	0.0000	AMERIPRISE FINANCIAL INC CASH DIV ON 500.0000 SHS X/D 11/01/07	0.000	75.00	
[redacted]	11/15/2007	DIVIDEND	0.0000	AMERIPRISE FINANCIAL INC CASH DIV ON 105.0000 SHS X/D 11/01/07	0.000	15.75	

1 | 2 | 3 | 4 | 5 | 6 | 7 Next ▸

Set this page as your default.

To change how you view your portfolio click here.

For more information, please contact your Smith Barney Financial Advisor.

www.citigroup.com

Privacy, Security, Terms and conditions
© 2007 Citigroup Global Markets Inc. All rights reserved. Member SIPC.
Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world.

Berkley 119 119

Portfolio

Member: Shelley Berkley NV-1

Account	Date	Activity	Quantity	Description	Price	Amount	Check
[redacted]	12/13/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 1300.0000 SHS TAX HELD BY FGN GOVTS 6.97 X/D 09/26/07	0.000	92.58	
[redacted]	12/13/2007	DIV- TAX W/H	0.0000	NOMURA HOLDINGS INC ADR CASH DIV ON 225.0000 SHS TAX HELD BY FGN GOVTS 1.21 X/D 09/26/07	0.000	16.02	
[redacted]	12/12/2007	DIV- TAX W/H	0.0000	TOTAL S A SPONS ADR CASH DIV ON 700.0000 SHS RECORD 11/15/07 PAY 12/10/07 TAX HELD BY FGN GOVTS 256.30	0.000	768.90	
[redacted]	12/12/2007	DIV- TAX W/H	0.0000	TOTAL S A SPONS ADR CASH DIV ON 50.0000 SHS RECORD 11/15/07 PAY 12/10/07 TAX HELD BY FGN GOVTS 18.31	0.000	54.92	
[redacted]	12/10/2007	DIVIDEND	0.0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700.0000 SHS X/D 11/14/07	0.000	224.00	
[redacted]	12/10/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 475.0000 SHS X/D 11/16/07	0.000	118.75	
[redacted]	12/10/2007	DIVIDEND	0.0000	HONEYWELL INTL INC CASH DIV ON 75.0000 SHS X/D 11/16/07	0.000	18.75	
[redacted]	12/10/2007	DIV- TAX W/H	0.0000	SONY CORP SPON ADR-NEW CASH DIV ON 550.0000 SHS TAX HELD BY FGN GOVTS 4.34 X/D 09/26/07	0.000	57.61	
[redacted]	12/07/2007	DIV- TAX W/H	0.0000	MATSUSHITA ELEC INDL ADR CASH DIV ON 1850.0000 SHS TAX HELD BY FGN GOVTS 20.39 X/D 09/26/07	0.000	270.96	
[redacted]	12/07/2007	DIVIDEND	0.0000	SHERWIN WILLIAMS CO CASH DIV ON 525.0000 SHS X/D 11/14/07	0.000	165.38	
[redacted]	12/05/2007	DIV- TAX W/H	0.0000	NISSAN MTR LTD SPONS ADR CASH DIV ON 1700.0000 SHS RECORD 09/26/07 PAY 12/04/07 TAX HELD BY FGN GOVTS 43.80	0.000	581.89	
[redacted]	12/05/2007	DIV- TAX W/H	0.0000	NISSAN MTR LTD SPONS ADR CASH DIV ON 250.0000 SHS RECORD 09/26/07 PAY 12/04/07 TAX HELD BY FGN GOVTS 6.44	0.000	85.57	
[redacted]	12/04/2007	DIV- TAX W/H	0.0000	HITACHI LTD-ADR CASH DIV ON 575.0000 SHS TAX HELD BY FGN GOVTS 11.06 X/D 09/26/07	0.000	146.99	
[redacted]	12/04/2007	DIV- TAX W/H	0.0000	HITACHI LTD-ADR CASH DIV ON 75.0000 SHS TAX HELD BY FGN GOVTS 1.44 X/D 09/26/07	0.000	19.18	
[redacted]	12/03/2007	DIVIDEND	0.0000	KLA-TENCOR CORP CASH DIV ON 675.0000 SHS X/D 11/15/07	0.000	101.25	
[redacted]	12/03/2007	DIVIDEND	0.0000	CONOCOPHILLIPS CASH DIV ON 675.0000 SHS X/D 10/29/07	0.000	276.75	
[redacted]	12/03/2007	SOLD	-349.0000	DISCOVER FINANCIAL SVCS CTSY LIQUIDNET INC. PRIN INCL COMM OF 6.98 AND SEC FEE OF 0.10	17.065	5,933.61	
[redacted]	11/30/2007	INTEREST	0.0000	INTEREST ON CREDIT BALANCE FROM 10/31 THRU 11/29	0.000	50.08	
[redacted]	11/29/2007	MERGER	0.3100	TRANSOCEAN INC	0.000	42.46	

Berkley 119 A 119

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:52
MC
U.S. HOUSE OF REPRESENTATIVES

Robert Marion Berry
(Full Name)

202-225-4076
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Arkansas District: First	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X] No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name Robert Marion Berry	Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Carmar Lowe, Inc. (spouse)	Spouse Director's Fee	1,200.00
	Marion Berry, Inc. (spouse)	spouse, salary	13,000.00
	Pecan Post, Inc. (spouse)	spouse, salary	15,000.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Robert Marion Berry | Page 2 of 8

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
None				

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

			Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock					X									X			X						X							S (partial)
DC	Examples:		Simon & Schuster			Indefinite																Royalties									X		
JT			1st Bank of Paducah, KY Accounts						X									X										X					
		SP	Marion Berry, Inc.							X												salary						X					
		SP	Pecan Post, Inc.									X										salary						X					
		SP	Carmar Lowe, Inc.						X													Director Fee				X							

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert Marion Berry

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Riceland Foods, Inc.		X																		X										
	140 Main St., Gillett, AR		X																		X										
	Marion Berry, Inc. Profit Sharing Plan							X									X									X					
	COD-DeWitt Bank&Trust																														
	Planters&Merchants Bank																														
	Farmers&Merchants Bank																														
	Farm Bureau Life Insurance			X																		X									
	Mony Group, Inc.				X																X										
JT	408 E. 3rd, SE Washington, DC							X								X										X					
	COD-DeWitt Bank & Trust			X													X							X							
	COD-Planters&Merchants Bank			X													X						X								

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	None														

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Bank of America, NA	Mortgage, 408 3rd St. SE Washington, DC					X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
Riceland Foods, Inc., AR	"Friend of the Farmer" Commemorative Award	$412.00

Use additional sheets if more space is required.

Name Robert Marion Berry	Page 7 of 8

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Center For Democracy in the Americas	May 27-June1	DC-MIAMI-Havana-MIAMI-MEXICO	Y	Y	N	NONE

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Director/Secretary (spouse)	Carmar Lowe, Inc.

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
10/93	Marion Berry, Inc.	Retention of benefits under profit sharing plan
10/93	Marion Berry, Inc.	Return to employment upon leaving government office
10/93	Carmar Lowe, Inc.	Spouse will continue as director/secretary of Carmar Lowe, Inc.

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 50
MC
U.S. HOUSE OF REPRESENTATIVES

Judy Biggert (Full Name)	202-225-3515 (Daytime Telephone)	(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: IL District: 13	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15) ☐ Amendment ☐ Termination	Termination Date:	

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

256

SCHEDULE I - EARNED INCOME

Name Judy Biggert

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Human Resources Advocates LLC	Spouse salary	N/A
State of Illinois	Legislative Pension	$14,814
Seyfarth Shaw LLC	Spouse Pension	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

SP	Amgen	None	CAPITAL GAINS	$5,001 - $15,000	S
SP	Amphenol Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Apache Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Automatic Data Processing (ADP)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Bard CR Incorporated	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Barr Pharmaceuticals	$15,001 - $50,000	None	NONE	
SP	Becton Dickinson	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Becton Dickinson	None	CAPITAL GAINS	$5,001 - $15,000	S(part)
SP	Berkshire Hathaway	$15,001 - $50,000	None	NONE	
SP	Broadridge Financial Solutions (spin-off of ADP)	None	CAPITAL GAINS	$2,501 - $5,000	PS&E
SP	Church & Dwight	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Church & Dwight	None	CAPITAL GAINS	$2,501 - $5,000	S(part)
SP	Cintas Corp	None	Other: Capital loss	NONE	S
SP	Cisco Systems, Inc.	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Abbott Laboratories	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	ACLN LTD	None	None	NONE	
SP	Affiliated Computer Services	$15,001 - $50,000	None	NONE	P
SP	Aflac	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Alberto Culver	$15,001 - $50,000	DIVIDENDS	$1 - $200	S(part)
SP	Allscripts Health Care Solutions, Inc	None	CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

JT	Citigroup Inc.	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Constellation Brands, Inc	$15,001 - $50,000	None	NONE	
SP	Detsply Intl. Inc	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Discover Financial Services	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Enerplus Res FD TR	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Enterprise Products PPTINS	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	Factset Research Systems	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Fastenal Co	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Florida Rock Inds Inc	None	CAPITAL GAINS	$5,001 - $15,000	S
SP	Forward Air Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	General Electric Co	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	General Electric Co.	None	CAPITAL GAINS	$1,001 - $2,500	S(part)
SP	Getty Images	None	Other: Capital loss	NONE	S
	GK Properties	$50,001 - $100,000	Other: Distribution	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

	Harris Bank	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Healthcare REIT (formerly Windrose Med Pttys TR)	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	Healthnet Inc	$1,001 - $15,000	None	NONE	
SP	Home Depot, Inc.	None	DIVIDENDS	$201 - $1,000	
SP	Home Depot, Inc.	None	CAPITAL GAINS	$5,001 - $15,000	S
SP	Illinois Tool Works	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Intel Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Intermet Corp	None	None	NONE	
SP	Investors Financial Services	None	DIVIDENDS	$1 - $200	S
SP	Investors Financial Services (Exchanged for State St. Corp.	None	None	NONE	Other
SP	Johnson & Johnson	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	L-3 Communications Holdings	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Legg Mason	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	M & T Bancorp	None	CAPITAL GAINS	$15,001 - $50,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

SP	M & T Bancorp	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
SP	Medtronic Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Microsoft Corp	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	NASDAQ STK Mkt Inc	$15,001 - $50,000	None	NONE	P
SP	New York Community Bancorp	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Novartis AG	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Nuvasive Inc	$15,001 - $50,000	None	NONE	
SP	Nuvasive Inc	None	CAPITAL GAINS	$5,001 - $15,000	S(part)
SP	Options Express Holdings	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Oracle Corp	$15,001 - $50,000	None	NONE	
SP	Oshkosh Truck Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Patterson Dental Co	$15,001 - $50,000	None	NONE	
SP	Paychex Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Pepsico	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

SP	Petro Canada	$50,001 - $100,000	None	NONE	
SP	Pimco Commodity	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
SP	Principal Financial Group	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Quest Diagnostics Inc	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Residence in Washington, DC	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
SP	Sanoflo-Aventis	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Scansource Inc	$15,001 - $50,000	None	NONE	
SP	Simpson Mfg Co	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	State Street Corp	None	CAPITAL GAINS	$2,501 - $5,000	S(part)
SP	State Street Corp (merged with Inves Finl Svs)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Stryker Corp	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Suncor Energy Inc	None	CAPITAL GAINS	$5,001 - $15,000	S(part)
SP	Suncor Energy Inc	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Synovus Finl Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

SP	Techne	$15,001 - $50,000	None	NONE	
SP	Transcanada Corp	$1,001 - $15,000	None	NONE	
SP	Treasury Fund Daily Money Class	$15,001 - $50,000	None	NONE	
	Treasury Fund Daily Money Class	$50,001 - $100,000	None	NONE	
SP	United Health Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	United Parcel Service	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	United Parcel Service MTN BE	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	United States Treasury Notes	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
	United States Treasury Notes	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	United Technologies	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	UTI Worldwide Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Vanguard Inflation Protected SECs	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Vanguard Intl Equity Index Pacific	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Vanguard ST Treasury Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Judy Biggert

SP	Vodafone Group PLC	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Walgreen Company	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	
	Walgreen Company	None	CAPITAL GAINS	$15,001 - $50,000	S(part)
	Walgreen Company Profit Sharing	$100,001 - $250,000	Other: Distribution	$15,001 - $50,000	
SP	Wells Fargo & Co	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Westminster Fund III Real Estate	$50,001 - $100,000	Other: Distribution	$5,001 - $15,000	
	Westminster Fund IV	$50,001 - $100,000	Other: Distribution	$5,001 - $15,000	
SP	Wiley John & Sons Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Zimmer Holdings	$15,001 - $50,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Judy Biggert

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Allscripts Health Care Solutions, Inc	S	1-10-07 7-12-07	$15,001 - $50,000
SP	Becton Dickinson	S(part)	09-26-07	$1,001 - $15,000
SP	Broadridge Financial Solutions (spin-off of ADP)	PS&E	04-02-07	$1,001 - $15,000
SP	Church & Dwight	S(part)	05-31-07	$1,001 - $15,000
SP	Cintas Corp	S	06-01-07	$15,001 - $50,000
SP	Discover Financial Services	P	10-02-07	$1,001 - $15,000
SP	Enerplus Res FD TR	P	03-26-07	$1,001 - $15,000
SP	Florida Rock Inds Inc	S	03-01-07 07-12-07	$15,001 - $50,000
SP	Forward Air Corp.	P	02-14-07	$15,001 - $50,000
SP	General Electric Co.	S(part)	09-26-07	$1,001 - $15,000
SP	Getty Images	S	09-10-07	$15,001 - $50,000
SP	Healthcare REIT (formerly Windrose Med Pttys TR)	S	01-25-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Judy Biggert

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	M & T Bancorp	S(part)	04-02-07 09-26-07	$15,001 - $50,000
SP	Nuvasive Inc	S(part)	07-12-07 09-26-07	$15,001 - $50,000
SP	State Street Corp	S(part)	07-12-07 09-10-07	$15,001 - $50,000
SP	Suncor Energy Inc	S(part)	09-20-07	$15,001 - $50,000
SP	United Health Group	P	01-10-07	$1,001 - $15,000
	Walgreen Company	S(part)	07-02-07	$15,001 - $50,000
SP	Amgen	S	09-10-07	$15,001 - $50,000
SP	Home Depot	S	09-10-07	$15,001 - $50,000
SP	NASDAQ STK Mkt Inc	P	04-18-07	$15,001 - $50,000
SP	Quest Diagnostics	P	10-01-07	$1,001 - $15,000
SP	Affiliated Computer Services	P	11-07-07	$1,001 - $15,000
SP	Alberto Culver	S(part)	1-04-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Judy Biggert

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Novartis AG	P	07-17-07	$1,001 - $15,000
SP	Enterprise Products PPTINS	P	07-17-07	$1,001 - $15,000
SP	Investors Financial Services	S	03-02-07	$1,001 - $15,000
SP	United Technologies	P	04-11-07	$15,001 - $50,000
SP	UTI Worldwide Inc	P	07-12-07	$1,001 - $15,000
SP	Vanguard Inflation Protected SECs	P	09-26-07	$15,001 - $50,000
SP	Vanguard Intl Equity Index Pacific	P	10-05-05	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Judy Biggert

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Citi Mortgage Columbus, Ohio	Mortgage on Washington, D.C. Residence	$250,001 - $500,000

SCHEDULE IX - AGREEMENTS

Name Judy Biggert

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-31-98	Illinois General Assembly Vested Pension Plan	Payable monthly for life

FOOTNOTES

Name Judy Biggert

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Inadvertantly neglected to report this purchase on the 2005 and 2006 financial disclosure statements.	Vanguard Intl Equity Index Pacific
2	Schedule III	Mistakenly reported on 2006 financial disclosure as an asset owned by Mr. Biggert. It is, in fact, owned by Mrs. Biggert.	United States Treasury Notes

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2009 MAY 15 PM 3:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

BRIAN PHILLIP BILBRAY
(Full Name)

202-225-0508
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 50	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

FROM : BILBRAY FAX NO. : 16194249506 May. 15 2009 03:24PM P3

SCHEDULE I - EARNED INCOME

Name BRIAN PHILLIP BILBRAY

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
BILBRAY TAX SERVICE	SPOUSE SELF EMPLOYMENT	$11,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BRIAN PHILLIP BILBRAY

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the nature of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	19 RALEIGH, HELENA, MT	$100,001 - $250,000	RENT	$2,501 - $5,000	
SP	760 CAESAR RD, PICAYUNE, MS	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	BILBRAY TAX SERVICE	$15,001 - $50,000	Other: SELF-EMP	$5,001 - $15,000	
	CAL PERS	$1,001 - $15,000	INTEREST	$201 - $1,000	
	CONGRESSIONAL FEDERAL CREDIT UNION	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	FIRST NAT'L BANK OF PICAYUNE	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name BRIAN PHILLIP BILBRAY

JT	HANCOCK BANK MM	$1,001 - $15,000	INTEREST	$1 - $200	
	HARTFORD LIFE INS-403K	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
JT	SAN DIEGO COUNTY EMPLOYEES CREDIT UNION	$1,001 - $15,000	INTEREST	$1 - $200	
	SAN DIEGO COUNTY EMPLOYEES CREDIT UNION	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	US SAVINGS BONDS	$1,001 - $15,000	INTEREST	$1 - $200	
DC	USAA	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	USAA	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	USAA MUTUAL FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	USAA MUTUAL FUND	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S
JT	USAA SMALL CAP FUND	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	S
JT	USAA WORLD GWTH FD	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name BRIAN PHILLIP BILBRAY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	USAA SCIENCE & TECHNOLOGY (MUTUAL FUND)	S(part)	3/21/07	$1,001 - $15,000
JT	USAA WORLD GROWTH	S(part)	3/21/07	$1,001 - $15,000
JT	USAA WORLD GROWTH	S(part)	3/21/07	$1,001 - $15,000
JT	USAA SMALL CAP FUND	S(part)	3/21/07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name BRIAN PHILLIP BILBRAY

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	WASHINGTON MUTUAL	MORTGAGE ON 19 RALEIGH, HELENA, MT	$50,001 - $100,000
JT	SAN DIEGO COUNTY CREDIT UNION	VISA	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Name BRIAN PHILLIP BILBRAY

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
ADVISORY BOARD MEMBER	FEDERATION FOR AMERICAN IMMIGRATION REFORM

HARTFORD INS (403K)

FUND	UNITS/SHARES
AF EURO PACIFIC GRTH	179.5218
TEMPLETON GROWTH	260.2924
AF GROWTH FUND AMER	421.3428
HTFD CAP APP HLS	672.5124
HTFD DIV & GRWTH HLS	505.2691
JANUS TWENTY	86.098
SSGA S&P 500 FLGSHP	1377.303
VICTORY DIVERSFD STK	380.946
OAKMARK EQ & INC	395.0589
HTFD TOTRET BOND HLS	30.7122

USAA MUTUAL FUND	% OF FUND
GOOGLE, INC	7.61
MICROSOFT CORP	6.98
QUALCOMM, INC	4.28
HEWLETT PACKARD	4.18
ELECTRONIC ARTS, INC	3.88
INTEL CORP	3.43
NETWORK APPLIANCE INC	3.02
HON HAI PRECISION INDUSTRY CO	2.77
CORNING, INC	2.57
LAM RESEARCH	2.41

USAA SMALL CAP FUND	
BELDEN CDT NC	1.8
CARLISLE COMPANIES, INC	1.6
WHITING PETROLEUM CORP	1.24
STAGE STORES, INC	1.11
CASEY S GENERAL STORES, INC	1.1
ASSURED GUARANTY LTD	1.1
HIBBETT SPORTS, INC	1.09
MAXIMUS INC	1.01
PENN VIRGINIA CORP	1
PRICELINE.COM	0.95

USAA-MUTUAL FUND	% OF FUND
US TREASURY	2.84
TSY INFL IX N/B	2.75
US TREASURY BOND	2.64
US TREASURY NOTE	2.02
US TREASURY N/B	1.69
REGION OF LOMBARDY NOTES	1.41
FNMA POOL #254766	1.37
HOUSEHOLD FINANCE	1.35
FNMA POOL #8884031	1.33
FHLMC POOL #A44446	1.33

EXXON MOBILE	3.75
GENERAL ELECTRIC	2.97
MICROSOFT CORP	2.05
AT&T	1.77
PROCTER & GAMBLE CO	1.75
BANK OF AMERICA	1.55
JOHNSON AND JOHNSON	1.48
CHEVRON CORP	1.42
CISCO SYSTEMS	1.29
CITIGROUP	1.25

NESTLE SA-REGISTERED	3.78
JOHNSON & JOHNSON	2.62
ROCHE HOLDING AG-GENUSS	2.5
LVMH MOET HENNESSY L VUITTON S	2.21
KAO CORP	2.17
RECKITT BENCKISER GROUP	2.07
AMERICAN EXPRESS	2.04
BANK OF NEW YORK MELLON CORP	1.97
NIKE INC	1.96
DIAGEO PLC	1.88

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:32
(Office Use Only)

Gus Michael Bilirakis
(Full Name)

202-225-5755
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives State: FL District: 09 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gus Michael Bilirakis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bank of America (checking account)	$1,001 - $15,000	INTEREST	$1 - $200	
	Bilirakis Law Group, P.A. (stock)	None	None	NONE	S
JT	Colonial Banc Group (stock)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
DC-1	Corning, Inc. (stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC-3	Corning, Inc. (stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Empty lots (5) Orange Blossom Hills, Ocala, FL (50% interest)	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gus Michael Bilirakis

DC-1	Florida Prepaid College Tuition - not self-directed	$1,001 - $15,000	None	NONE	
DC-2	Florida Prepaid College Tuition - not self-directed	$1,001 - $15,000	None	NONE	
DC-3	Florida Prepaid College Tuition - not self-directed	$1,001 - $15,000	None	NONE	
DC-4	Florida Prepaid College Tuition - not self-directed	$1,001 - $15,000	None	NONE	
	Invacare Corp. (stock - IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC-3	Kinross Gold Corp (stock)	$1,001 - $15,000	DIVIDENDS	NONE	
	Law Office Bldg., 4538 Bartelt, Holiday, FL	$100,001 - $250,000	RENT	$15,001 - $50,000	
JT	Occidental Petroleum (stock)	None	DIVIDENDS & CAPITAL GAINS	$5,001 - $15,000	S
JT	Old Harbor Bank (stock)	$1,001 - $15,000	DIVIDENDS	NONE	
DC-4	Oracle Corp. (stock) (value increased to required disclosure threshold)	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Prudential Financial (stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	SunTrust Banks, Inc. (stock)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P-Div. Reinvest-ment
JT	Synovus Financial Corp. (stock)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gus Michael Bilirakis

JT	Wachovia Bank (stock)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P-Div. Reinvest-ment
	Walt Disney Company (stock - IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Gus Michael Bilirakis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Occidental Petroleum (stock)	S	8/7/07 & 10/15/07	$1,001 - $15,000
JT	SunTrust (monthly dividend reinvestment for purchase of stock)	P	Monthly	$1,001 - $15,000
JT	Wachovia (monthly dividend reinvestment for purchase of stock)	P	Monthly	$1,001 - $15,000
	Bilirakis Law Group, P.A. (stock)	S	1/3/07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Gus Michael Bilirakis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and *liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit* cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America, Louisville, KY	Mortgage on 4538 Bartelt Rd., Holiday, FL (Law Bldg.)	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gus Michael Bilirakis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Aug. 5-12	DC-Tel Aviv, Israel (From Israel, joined CODEL to Iraq on 8/13 and returned to DC with CODEL.	Y	Y	N	2

SCHEDULE VIII - POSITIONS

Name Gus Michael Bilirakis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory	New Port Richey Marine Institute (non-profit, unpaid position)
Advisory	Lighthouse of Pinellas (non-profit, unpaid position)
Advisory	Old Harbor Bank Advisory Committee (resigned position 1/4/07)
Director	Financial Florida (non-profit, unpaid position - resigned May, 2007)

SCHEDULE IX - AGREEMENTS

Name Gus Michael Bilirakis

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
At retirement age	Gus M. Bilirakis & State of Florida Legislators Benefit Pension Plan (defined pension plan - not self-directed)	Upon retirement age, benefit to be paid based on age and years of service. Benefit amount and total value of pension cannot be defined at present time.

FOOTNOTES

Name Gus Michael Bilirakis

Number	Section / Schedule	Footnote	This note refers to the following item
	Schedule III	Deletion of entry due to value decrease below disclosure threshold since filing of 2006 Financial Disclosure Report	Centennial Money Market (IRA)
	Schedule III	Deletion of duplicate entry on 2006 Financial Disclosure Report	A.G. Edwards-Centennial Money Market (IRA)
16	Schedule III	Addition of entry due to value increase above disclosure threshold	Oracle Corp. (stock)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2009 MAY 15 PM 1:16 MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Robert William Bishop
(Full Name)

202-225-0453
(Daytime Telephone)

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status
☑ Member of the U.S. House of Representatives — State: UT — District: 01
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- *Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?* Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Robert William Bishop

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Utah State Retirement System	Educator Pension	$26,922

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert William Bishop

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Barnes Bank Acounts - 33 S Main St, Kaysville, UT 84037	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Invesco - AIM Investments Large Cap Growth Fund	$1,001 - $15,000	None	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Robert William Bishop

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Feb. 1-3	DC-Baltimore-DC	Y	Y	N	0
US Assn of Former Members of Congress	Mar. 30-Apr 5	DC-Hamburg, Germany; Berlin-DC	Y	Y	Y	0

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:01
U.S. HOUSE OF REPRESENTATIVES

Sanford D. Bishop, Jr.
(Full Name)

(202) 225-3631
(Daytime Telephone)

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status ☑ Member of the U.S. House of Representatives — State: GA — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Sanford D. Bishop, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Consolidated Government of Columbus, Georgia	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sanford D. Bishop, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	N/A
JT	House and lot at 311 Yates St., Starkville, Ms.	$15,001 - $50,000	None	NONE	N/A
SP	House and lot at 908 Illges Rd., Columbus, Georgia	$50,001 - $100,000	RENT	$2,501 - $5,000	N/A
	Legislative Retirement System of Ga., 2 Northside 75, Atlanta, Georgia 30318 (Not self-directed)	$1,001 - $15,000	N/A	N/A	N/A
	Lot 24A Lakemont Heights, Hartwell, Georgia	$1,001 - $15,000	None	NONE	N/A
JT	Merrill Lynch CMA Account (Black Rock Govt. Fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sanford D. Bishop, Jr.

Sun Trust Bank, N/A, Columbus, Georgia Money Market	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Sun Trust Bank, NA Columbus, Georgia Savings	$1 - $1,000	INTEREST	$1 - $200	N/A
Sun Trust Bank, NA, Columbus, Georgia Certificate	$100,001 - $250,000	INTEREST	$5,001 - $15,000	N/A
Wachovia Securities/Dryden Municipal Bonds	$50,001 - $100,000	DIVIDENDS/Interests	$2,501 - $5,000	PS(part)

SCHEDULE IV - TRANSACTIONS

Name Sanford D. Bishop, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Wachovia Securities/Dryden Municipal Bonds	S(part)	7/26/07	$1,001 - $15,000
	Wachovia Securities/Dryden Municipal Bonds	P	Various times throughout the year	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Sanford D. Bishop, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Federal Credit Union	revolving Charge Account	$10,001 - $15,000
SP	Household Finance Co.	Mortgage on 908 Illgres Rd., Columbus, Georgia	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Sanford D. Bishop, Jr.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congressional Black Caucus Institute	Aug. 9-12	Atlanta, GA.-Tunica, Ms.-Atlanta, Ga.	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:15
MC
U.S. HOUSE OF REPRESENTATIVES

TIMOTHY H. BISHOP
(Full Name)

202-225-3826
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State: NY District: 01	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

Name TIMOTHY H BISHOP | Page 2 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	FACULTY STUDENT AS. OF NY · STONY BROOK	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC, *Examples:*	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	T.I.A.A. TRADITIONAL ACCOUNT						X																									
	C.R.E.F. STOCK ACCOUNT							X																								
SP	T.I.A.A. TRADITIONAL ACCOUNT					X																										
SP	C.R.E.F. STOCK ACCOUNT					X																										
JT	MET-LIFE INSURANCE			X																												

For additional assets and unearned income, use next page.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	MARYMOUNT COLLEGE	APR. 26-28	DC-LOS ANGELES-NEW YORK	Y	Y	N	NONE

This page may be copied if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

MARSHA WEDGEWORTH BLACKBURN
(Full Name)

202-225-2811
(Daytime Telephone)

(Office Use Only)

Filer Status ✓ Member of the U.S. House of Representatives — State: TN — District: 07 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes	No ✓
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ✓	No

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child* because they meet all three tests for exemption?	Yes	No ✓

305

SCHEDULE I - EARNED INCOME

Name MARSHA WEDGEWORTH BLACKBURN

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Southwestern Co.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARSHA WEDGEWORTH BLACKBURN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Prudential IRA - Alliance Bernstein Growth & Income (formerly American Skandia	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Prudential IRA - Marsico Cap Growth (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Prudential IRA - Federated Agressive Growth (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Prudential IRA - Small Cap Value (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Prudential IRA - Newberger Berman Mid Cap Growth (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARSHA WEDGEWORTH BLACKBURN

	Prudential IRA - MFS Global Equity Fund (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
	Prudential IRA - Goldman Sachs High Yield (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
	Prudential IRA - PIMCO Total Return Bond (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
SP	Prudential IRA - Alliance Bernstein Growth & Income (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
SP	Prudential IRA - Marisco Cap Growth (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
SP	Prudential IRA - Federated Agressive Growth (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
SP	Prudential IRA - Small Cap Fund (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
SP	Prudential IRA - Newberger Berman Mid Cap Growth (formerly American Skandia)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
SP	Prudential IRA - MFS Global Equity Fund (formerly American Skandia	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
SP	Prudential IRA - Goldman Sachs High Yield (formerly American Skandia)	$1,001 - $15,000	None/CAPITAL GAINS	$1,001 - $2,500
SP	Prudential IRA - PIMCO Total Return Bond (formerly American Skandia)	$1,001 - $15,000	INTEREST/CAPITAL GAINS	$1 - $200

SP	Goldleaf Financial, Brentwood, TN (name changed - formerly Private Business, Inc.	$1 - $1,000	None	NONE	
JT	Green Bank, Franklin, TN - accounts (formerly Cumberland Bank)	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Investors Town Center Partners	$1,001 - $15,000	None	NONE	
SP	Schwab IRA - Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Schwab IRA - PIMCO Floating Income Fund	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
SP	Schwab IRA - PIMCO Global Bond Fund	None	INTEREST	$1 - $200	S
SP	Schwab IRA - Westcore Plus Bond Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Schwab IRA - Hedged Equity Fund Select Shares	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Schwab IRA - Ishars Trust Cohen & Steer Realty Majors Index	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S(part)
SP	Schwab IRA - Powershares Exch Trad Fd Tr High Yield Equity Achievers Portfolio	None	DIVIDENDS/CAPITAL GAINS	NONE	S
SP	Schwab IRA - Powershares Exch Trad Fd Tr Dynamic Mkt Portfolio	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S(part)
SP	Schwab IRA - Powershares Exch Trad Td Tr Zacks Small Cap Portfolio	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARSHA WEDGEWORTH BLACKBURN

SP	Schwab IRA - Wisdomtree Diefa	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Schwab IRA - Ishares Trust S&P US Pfd Stk Index Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	Schwab IRA - Ishares Trust Lehman Agggregate Bond Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	Schwab IRA - Powershares Harvest Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Schwab IRA - Powershares Commodity Index	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Schwab IRA - Powershares Dynamic Developed Intl Opportunities Porfolio	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	Schwab IRA - Powershares Rafi Emerging Markets Portfolio	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	Schwab IRA - Powershares Ftse Rafi US 1000 Portfolio	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Schwab IRA - Wisdomtree Intl Real Estate Sector Fd	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	Schwab IRA - Ishares S&P US Preferred Stock	None	INTEREST	$1 - $200	P,S
SP	Schwab IRA - Powershares DB Commodity I	None	CAPITAL GAINS	$201 - $1,000	P,S
SP	Schwab IRA - Powershares DB G10 Currenc	None	CAPITAL GAINS	$201 - $1,000	P,S
SP	Schwab IRA - Powershares Valueline Industr	None	CAPITAL GAINS	$201 - $1,000	P,S
SP	Schwab IRA - Powershares Ftse Rafi US 1000 Portfolio	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name MARSHA WEDGEWORTH BLACKBURN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Schwab IRA - Ishasres Trust S&P US Pfd Stk Index Fund	P	2-20-07	$1,001 - $15,000
SP	Schwab IRA - Powershares Harvest Fund	P	6-27-07	$15,001 - $50,000
SP	Schwab IRA - Powershares Commodity Index	P	6-27-07	$1,001 - $15,000
SP	Schwab IRA - Powershares Dynamic Developed Intl Opportunities Porfolio	P	2-20-07	$1,001 - $15,000
SP	Schwab IRA - Powershares Rafi Emerging Markets Portfolio	P	2-20-07	$1,001 - $15,000
SP	Schwab IRA - Wisdomtree Intl Real Estate Sector Fd	P	10-26-07	$1,001 - $15,000
SP	Ishares S&P -Ishares S&P US Preferred Stock	P,S	6-27-07 P 10-26-07 S	$1,001 - $15,000
SP	Schwab IRA - DB Commodity I	P,S	6-27-07 P 10-26-07 S	$1,001 - $15,000
SP	Schwab IRA - Powershares DB G10 Currenc	P,S	2-20-07 P 10-26-07 S	$1,001 - $15,000
SP	Schwab IRA - Powershares Valueline Industr	P,S	6-27-07 P 10-26-07 S	$1,001 - $15,000
SP	Schwab IRA - PIMCO Floating Income Fund	S	2-20-07	$1,001 - $15,000
SP	Schwab IRA - PIMCO Global Bond Fund	S	2-20-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARSHA WEDGEWORTH BLACKBURN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Schwab IRA - Ishars Trust Cohen & Steer Realty Majors Index	S(part)	10-26-07	$1,001 - $15,000
SP	Schwab IRA - Powershares Exch Trad Fd Tr High Yield Equity Achievers Portfolio	S	10-26-07	$15,001 - $50,000
SP	Schwab IRA - Powershares Exch Trad Fd Tr Dynamic Mkt Portfolio	S(part)	6-27-07	$1,001 - $15,000
SP	Schwab IRA - Ishares Trust Lehman Agggregate Bond Fund	P	10-26-07	$1,001 - $15,000
SP	Schwab IRA - Powershares Ftse Rafi US 1000 Portfolio	P	10-26-07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name MARSHA WEDGEWORTH BLACKBURN

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Master Card	Credit Card	$10,001 - $15,000
SP	American Express	Credit Card	$10,001 - $15,000

SCHEDULE IX - AGREEMENTS

Name MARSHA WEDGEWORTH BLACKBURN

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
5-28-07	Thomas Nelson Publishers	Publishing Agreement

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

INSIDE MAIL

LEGISLATIVE RESOURCE CENTER
2008 MAY 20 PM 3:02
HC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Earl Blumenauer
(Full Name)

(202) 225-4811
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: OR, District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Earl Blumenauer

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Public Employees Retirement System, Oregon	Pension	$34,735
Northwest Natural Gas	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Blumenauer

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	American Fd High Income Tr	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
	Ariel Growth Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS(part)
SP	Ariel Growth Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS(part)
	Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	$250,001 - $500,000	RENT	$15,001 - $50,000	
SP	Citizens Small Cap Core Growth Fund	None	CAPITAL GAINS	NONE	S
	Citizens Small Cap Core Growth Fund	None	CAPITAL GAINS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Blumenauer

SP	Cohen & Steers Realty Share	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Congressional Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	DFA Intl Small Co Port Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS(part)
	DFA Intl Small Co Port Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS(part)
SP	DFA US Large Cap Value	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS(part)
	Duplex 510 6th St SE Washington, DC	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
SP	Europacific Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S(part)
	Federal Thrift Savings Plan	$250,001 - $500,000	INTEREST	$50,001 - $100,000	
	Glisan Street Apts 1701 NW Glisan Portland, OR	$15,001 - $50,000	RENT	$2,501 - $5,000	
	Ishares KLD Select Social Fund	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	PS(part)
SP	Ishares KLD Select Social Fund	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	PS(part)
	Loan to Overlook Heights LLC Portland, OR	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Madrone/Acacia Apartments 3701-3705 SE 37th Place Portland, OR	None	RENT	NONE	S-Exch

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Blumenauer

	Nordel-Mitchel 629 SE Morrison Portland, OR	$50,001 - $100,000	RENT	$2,501 - $5,000	
	Nordel-Nordel 2166 NW Irving Portland, OR	$50,001 - $100,000	RENT	$2,501 - $5,000	
SP	Northwest Natural Gas Company - Common Stock (part of retirement and compensation package)	$50,001 - $100,000	None	NA	
	OnPoint Community Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	Pax World High Yield Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS(part)
	Pax World High Yield Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	PS(part)
	Pettygrove House NW 23rd and Pettygrove Portland, OR	$100,001 - $250,000	RENT	$5,001 - $15,000	P - Exch
SP	PIMCO Foreign Bond Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	PIMCO Foreign Bond Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	PIMCO Total Return III Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
	PIMCO Total Return III Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
SP	Portfolio 21	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S(part)
	Portfolio 21	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Blumenauer

	Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	$50,001 - $100,000	RENT	$5,001 - $15,000	
	Schwab 1000 Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
	Schwab Money Market	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Schwab Money Market	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	Schwab Small Cap	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
SP	SEI Emerging Markets Debt	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
	SEI Emerging Markets Debt	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
SP	SEI Emerging Markets Equity	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS(part)
	SEI Emerging Markets Equity	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS(part)
	Townhouse (1/2 interest) 2441 NE Weidler Portland, OR	$100,001 - $250,000	None	NONE	
SP	Vacation House in Deer Isle, Maine - 1/2 Interest	$100,001 - $250,000	None	NONE	
SP	Vanguard Short Term Inv. Grade	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Vanguard Short Term Inv. Grade	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Winslow Green Growth Investor	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Blumenauer

SP	Winslow Green Growth Investor	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	PS(part)

SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Ariel Growth Fund - dividend reinvestment/cap gains	P	2-2-07	$1,001 - $15,000
	Ariel Growth Fund	S(part)	11-8-07	$15,001 - $50,000
	Citizens Small Cap Core Growth Fund	S	7-10-07	$15,001 - $50,000
	DFA Intl. Small Company Portfolio Fund-dividend reinvestment/cap gains	P	numerous dates throughout year	$1,001 - $15,000
	DFA Intl. Small Company Portfolio Fund	S(part)	2-1-07 11-8-07	$15,001 - $50,000
	Ishares KLD Select Social Fund - dividend reinvestment	P	3-30-07 7-6-07 10-2-07	$1,001 - $15,000
	Ishares KLD Select Social Fund	S(part)	11-8-07	$100,001 - $250,000
	Pax World High Yield Fund - dividend reinvestment/cap gain	P	monthly	$1,001 - $15,000
	Pax World High Yield Fund	S(part)	2-1-07 11-8-07	$1,001 - $15,000
	PIMCO Foreign Bond Fund - dividend reinvestment/purchase	P	monthly, 2-2-07 11-8-07	$50,001 - $100,000
	PIMCO Total Return III Fund - dividend reinvestment/purchase	P	monthly, 2-2-07 2-20-07 11-8-07	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Portfolio 21	S(part)	2-1-07 11-8-07	$15,001 - $50,000
	Schwab 1000 Fund	P	monthly	$1,001 - $15,000
	Schwab 1000 Fund - Charitable contribution to Oregon Community Foundation	Other	7-5-07	$1,001 - $15,000
	Schwab Small Cap - dividend reinvestment, cap gain and purchase	P	numerous transactions	$1,001 - $15,000
	SEI Emerging Market Equity - dividend reinvestment, cap gain and purchase	P	11-8-07 12-5-07 12-27-07	$15,001 - $50,000
	SEI Emerging Market Equity	S(part)	2-1-07	$1,001 - $15,000
	SEI Inst Emerging Market Debt - dividend reinvestment, cap gain and purchase	P	numerous transactions	$50,001 - $100,000
	Vanguard Short Term Inv. Grade - dividend reinvestment and purchase	P	numerous transactions	$15,001 - $50,000
	Winslow Green Growth Investor	P	7-11-07	$15,001 - $50,000
	Winslow Green Growth Investor	S(part)	11-8-07	$15,001 - $50,000
SP	American Fd High Income Tr	S(part)	11-16-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Ariel Growth Fund - dividend reinvestment, cap gain and purchase	P	4-24-07 11-15-27 12-27-07	$15,001 - $50,000
SP	Ariel Growth Fund	S(part)	11-8-07	$1,001 - $15,000
SP	Citizens Small Cap Core Growth Fund	S	4-23-07 7-10-07	$50,001 - $100,000
SP	DFA Intl Small Co Port Fund - dividend reinvestment	P	numerous transactions	$1,001 - $15,000
SP	DFA Intl Small Co Port Fund	S(part)	11-8-07	$15,001 - $50,000
SP	DFA US Large Cap Value - dividend reinvestment, cap gain	P	numerous transactions	$1,001 - $15,000
SP	DFA US Large Cap Value	S(part)	1-30-07	$1,001 - $15,000
SP	Europacific Growth Fund	S(part)	1-30-07	$1,001 - $15,000
SP	Ishares KLD Select Social Fund - dividend reinvestment	P	3-30-07 7-6-07 10-2-07	$1,001 - $15,000
SP	Ishares KLD Select Social Fund	S(part)	1-30-07 11-8-07	$100,001 - $250,000
SP	Pax World High Yield Fund - dividend reinvestment	P	numerous transactions	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Pax World High Yield Fund	S(part)	11-8-07	$1,001 - $15,000
SP	PIMCO Foreign Bond Fund - dividend reinvestment and purchase	P	monthly and 11-8-07	$50,001 - $100,000
SP	PIMCO Total Return III Fund - dividend reinvestment,cap gain and purchase	P	monthly and 1-31-07, 11-8-07	$50,001 - $100,000
SP	Portfolio 21	S(part)	11-8-07	$15,001 - $50,000
SP	Schwab Money Market Fund - interest reinvestment and purchase	P	numerous transactions	$1,001 - $15,000
SP	SEI Emerging Markets Equity - dividend reinvestment, cap gain and purchase	P	numerous transactions	$1,001 - $15,000
SP	SEI Emerging Markets Equity	S(part)	1-30-07	$1,001 - $15,000
SP	SEI Inst Emerging Markets Debt - dividend reinvestment, cap gain and purchase	P	numerous transactions	$15,001 - $50,000
SP	Vanguard Short Term Inv. Grade - dividend reinvestment and purchase	P	numerous transactions	$15,001 - $50,000
SP	Winslow Green Growth Investor	P	7-11-07	$15,001 - $50,000
SP	Winslow Green Growth Investor	S(part)	11-8-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Earl Blumenauer

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Madrone/Acacia Apartments 3701-3705 SE 37th Place Portland, OR	S - Exch	4-30-07	$50,001 - $100,000
	Pettygrove House NW 23rd and Pettygrove Portland, OR	P - Exch	4-30-07	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Earl Blumenauer

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	M & T Realty Buffalo, NY	Mortgage Glisan Townhouses 1701 NW Glisan Portland, OR	$15,001 - $50,000
	Intervest Mortgage Spokane, WA	Mortgage Nordel/Nordel 2166 NW Irving Portland, OR	$15,001 - $50,000
	Intervest Mortgage Spokane, WA	Mortgage Nordel/Mitchell 629 SE Morrison Portland, OR	$15,001 - $50,000
	Washington Mutual	Mortgage Raleigh Fireside 5557 SW Scholls Ferry Portland, OR	$50,001 - $100,000
	Ruth Sprinkling	Mortgage 2441 NE Weidler Portland, OR	$100,001 - $250,000
	First Independent Bank Vancouver, WA	Mortgage Pettygrove House NW 23rd and Pettygrove Portland, OR	$100,001 - $250,000
	Key Bank Portland, OR	Mortgage Campbell-Nob Hill NW 23rd and Hoyt Portland, OR	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Earl Blumenauer

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual	Mortgage (Property exchanged during 07) Madrone/Acacia 3701-3705 SE 35th Place Portland, OR	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Earl Blumenauer

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. *Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.*

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Institute of Architects	April 12-16, 2007	Portland, OR - New York City - Washington, DC	Y	Y	Y	2 days
Regional Plan Association	May 3-4, 2007	Washington, DC - New York City - Washington, DC	Y	Y	Y	None
Aspen Institute Congressional Program	May 29 - June 3, 2007	London - Ljublijana, Slovenia - Washington, DC	Y	Y	Y	None
Regional Plan Association	July 6-11, 2007	Portland, OR - Bellagio, Italy-Washington, DC	Y	Y	N	1 day
Chautauqua Institution	July 19-21, 2007	Washington, DC - Chautauqua, NY-Washington, DC	Y	Y	N	None
Aspen Institute Congressional Program	August 13-17, 2007	Portland, OR - Berlin, Germany - New York City	Y	Y	Y	None
Rail-Volution	November 2-3, 2007	Washington, DC - Miami	Y	Y	N	None
Green Xchange LLC	December 9-10,2007	Portland, OR - Los Angeles, CA - Washington, DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:41
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Roy Blunt
(Full Name)

417-889-1800
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: MO — District: 7 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying *you for a speech, appearance, or article in the reporting period?* — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in *the reporting period (i.e., aggregating more than $305 and not otherwise* exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or *reimbursements for travel in the reporting period (worth more than $305* from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child *because they meet all three tests for exemption?* — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Roy Blunt

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Missouri	Retirement	$34,262
Altria Corporate Services (January-February 2007)	Spouse Salary	N/A
Kraft Foods (March-December 2007)	Spouse Slary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roy Blunt

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Churchill Coffee LTD	$50,001 - $100,000	None	NONE	
	Hartford Capitol Appreciated	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	11 Acres in Stafford, MO	$50,001 - $100,000	None	NONE	Added one acre 9/07 via family gift
DC	Missouri 529 Account-Most Aggressive Fund	$1,001 - $15,000	None	NONE	
DC	Missouri 529 Account-Vanguard Growth Fund	$1,001 - $15,000	None	NONE	
SP	Altria Group	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roy Blunt

SP	Kraft Group	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	Acquired when Kraft and Altria stock separated (3/07)
SP	Cisco Systems	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Lockheed Martin	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	America Midland Group	None	None	NONE	
SP	Gold Lakes Mine	None	None	NONE	
SP	Wildcat Exploration	None	None	NONE	
SP	401-K - Altria Group	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
SP	401-K -Kraft Group	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	Acquired when Kraft and Altria stock separated (3/07)
SP	401-K -International Fund	$100,001 - $250,000	None	NONE	
SP	401-K -U.S. Government Obligations Fund	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roy Blunt

SP	401-K -Euro Equity Fund	$50,001 - $100,000	None	NONE	Acquired 3/07 via reinvestment of funds within 401-K
SP	401-K -U.S. Mid-Cap Equity Index Fund	$1,001 - $15,000	None	NONE	Acquired 3/07 via reinvestment of funds within 401-K
SP	401-K -U.S. Large-Cap Equity Index Fund	$1,001 - $15,000	None	NONE	Acquired 3/07 via reinvestment of funds within 401-K
SP	401-K -Interest Income Fund	$1,001 - $15,000	None	NONE	Acquired 3/07 via reinvestment of funds within 401-K

SCHEDULE IV - TRANSACTIONS

Name Roy Blunt

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	401-K -Euro Equity Fund	See Ftnote	March 2007	
SP	401-K -U.S. Mid-Cap Equity Fund	See Ftnote	March 2007	
SP	401-K -U.S. Large-Cap Equity Fund	See Ftnote	March 2007	
SP	401-K -Interest Income Fund	See Ftnote	March 2007	

FOOTNOTES

Name Roy Blunt

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	401-K -- The investments listed were reinvestments into additional fund options within the 401-K. There were no new purchases or sales transactions.	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:36
MC

U.S. (Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

John Andrew Boehner
(Full Name)

(202) 225-6205
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: OH — District: 08 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

337

SCHEDULE I - EARNED INCOME

Name John Andrew Boehner

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sibcy Cline, Inc.	Spouse real estate commission	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Andrew Boehner

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Congressional Credit Union	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	First Financial Bank, NA	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Calamos Growth & Income CL A	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$5,001 - $15,000	P
JT	American Funds Europacific Growth Fund Cl A (previously disclosed as "Europacific Growth Fund CL A")	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: John Andrew Boehner

JT	American Funds Growth Fund of America Cl A (previously disclosed as "Growth Fund of America CL A")	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$5,001 - $15,000	
JT	Henderson Intl Opportunities A	$100,001 - $250,000	CAPITAL GAINS/Other: (Fee Rebates)	$5,001 - $15,000	P
JT	Munder Mid-Cap Core Growth A	$100,001 - $250,000	CAPITAL GAINS/Other: (Fee Rebates)	$2,501 - $5,000	PS(part)
JT	American Funds New World Fund Cl A (previously disclosed as "New World Fund CL A")	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$1,001 - $2,500	
JT	Pimco Commodity Real Return Strategy Fund Class A	$50,001 - $100,000	DIVIDENDS/Other: (Fee Rebates)	$2,501 - $5,000	P
JT	DWS Dreman High Return Equity Fund A	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$5,001 - $15,000	P
JT	American Funds Smallcap World Fund Cl A (previously disclosed as "Smallcap World Fund CL A")	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$5,001 - $15,000	
JT	Vanguard Selected Value Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
JT	Victory OH Municipal Money Market, McDonald Investments, Cincinnati, OH	None	DIVIDENDS	$1 - $200	E
JT	Keeley Small Cap Value Fund	$100,001 - $250,000	CAPITAL GAINS/Other: (Fee Rebates)	$2,501 - $5,000	P

JT	FT-Franklin Flex Cap Growth Fund Class A (previously disclosed as "Franklin Flex Cap Growth FD A")	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$1,001 - $2,500	P
JT	Royce Pennsylvania Mutual Fund (previously disclosed as "Pennsylvania Mutual Fund Investment Class")	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JT	RMA Tax Free Fund Inc., UBS Financial Services Inc.	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	E
	Rolling Ridge Associates, Real Estate Limited Partnership, Owns Rolling Ridge Apts. Montana Avenue, Cincinatti, OH	None	None	NONE	S
	State of Ohio, Deferred Compensation Plan (underlying assets in following 2 entries)	See below	See below	See below	
	Fidelity Magellan	None	DIVIDENDS	$5,001 - $15,000	P&E
	Fidelity Contrafund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P&E
	State of Ohio, Public Employee Retirement System	$50,001 - $100,000	N/A	NONE	
	Nucite Sales Limited Partnership Profit Sharing Retirement Plan	$1,000,001 - $5,000,000	N/A	NONE	
	Nucite Sales Inc. (underlying assets in following 15 entries)	See below	See below	See below	
	Victory Gradison Govt Reserves, McDonald Investments, Cincinatti, OH	None	DIVIDENDS	$1 - $200	E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Andrew Boehner

FDIC Variable Rate Deposit	None	INTEREST	$201 - $1,000	E
Rydex Energy Services Fund	None	CAPITAL GAINS	$15,001 - $50,000	S
DWS Dreman High Return Equity Fund A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$2,501 - $5,000	PS(part)
Henderson Japan Asia Fund Class A	None	None	NONE	PS
Powershares Lux Nanotech Portfolio	None	CAPITAL GAINS	$1 - $200	PS
Keeley Small Cap Value FD	$15,001 - $50,000	CAPITAL GAINS/Other: (Fee Rebate)	$201 - $1,000	P
DWS Global Thematic Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$2,501 - $5,000	P
Henderson Global Investors Intl Opportunities Fund A	$15,001 - $50,000	CAPITAL GAINS/Other: (Fee Rebates)	$1,001 - $2,500	P
FT-Franklin Flex Cap Growth Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$201 - $1,000	P
DWS RREEF Global Real Estate Securities Cl A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS/Other: (Fee Rebates)	$1 - $200	P
Munder Midcap Core Growth Fund Class A	$1,001 - $15,000	CAPITAL GAINS/Other: (Fee Rebates)	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Andrew Boehner

	RMA Tax Free Fund Inc., UBS Financial Services Inc.	$15,001 - $50,000	DIVIDENDS/INTEREST	$1,001 - $2,500	E
	RMA Government Portfolio, UBS Financial Services Inc.	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	E
	10% interest in Nucite Sales LP	$1 - $1,000	DIVIDENDS/Other: (Ordinary Business Income)	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name John Andrew Boehner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Calamos Growth & Income CL A	P	1-2-07, 3-26-07, 6-25-07, 9-24-07, 11-20-07, 12-31-07	$1,001 - $15,000
JT	Henderson Intl Opportunities A	P	12-10-07	$1,001 - $15,000
JT	Munder Mid-Cap Core Growth A	PS(part)	10-30-07 S, 12-28-07 P	$1,001 - $15,000
JT	Pimco Commodity Real Return Strategy Fund Class A	P	3-23-07, 6-22-07, 9-21-07, 12-28-07	$1,001 - $15,000
JT	DWS Dreman High Return Equity Fund A	P	3-27-07, 6-26-07, 9-25-07, 12-18-07	$1,001 - $15,000
JT	Vanguard Selected Value Fund	P	12-18-07	$1,001 - $15,000
JT	Victory OH Municipal Money Market, McDonald Investments, Cincinnati, OH (exhanged for "RMA Tax Free Fund Inc., UBS Financial Services Inc." see below)	E	2-12-07	$15,001 - $50,000
JT	Keeley Small Cap Value Fund	P	12-31-07	$1,001 - $15,000
JT	FT-Franklin Flex Cap Growth Fund Class A (previously disclosed as "Franklin Flex Cap Growth FD A")	P	6-26-07, 12-18-07	$1,001 - $15,000
JT	Royce Pennsylvania Mutual Fund (previously disclosed as "Pennsylvania Mutual Fund Investment Class")	P	12-11-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name John Andrew Boehner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	RMA Tax Free Fund Inc., UBS Financial Services Inc. (exchanged from "Victory OH Municipal Money Market, McDonald Investments, Cincinnati, OH" see above)	E	2-12-07	$15,001 - $50,000
	Nucite Sales Inc. (transactions regarding underlying assets in following 14 entries)	See below	See below	See below
	Victory Gradison Govt Reserves, McDonald Investments, Cincinatti, OH (exchanged for "RMA Government Portfolio, UBS Financial Services Inc." see below)	E	2-9-07	$1,001 - $15,000
	FDIC Variable Rate Deposit (exchanged for "RMA Tax Free Fund Inc., UBS Financial Services Inc." see below)	E	2-9-07	$15,001 - $50,000
	Rydex Energy Services Fund	S	2-20-07	$15,001 - $50,000
	DWS Dreman High Return Equity Fund A	PS(part)	3-27-07 P, 4-27-07 S, 6-26-07 P, 9-25-07 P, 12-18-07 P	$1,001 - $15,000
	Henderson Japan Asia Fund Class A	PS	1-11-07 P, 4-27-07 S	$15,001 - $50,000
	Powershares Lux Nanotech Portfolio	PS	1-19-07 P, 4-27-07 S	$15,001 - $50,000
	Keeley Small Cap Value FD	P	4-27-07, 12-31-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name John Andrew Boehner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DWS Global Thematic Fund Class A	P	4-27-07, 12-26-07	$15,001 - $50,000
	Henderson Global Investors Intl Opportunities Fund A	P	4-27-07, 12-10-07	$15,001 - $50,000
	FT-Franklin Flex Cap Growth Fund Class A	P	4-27-07, 6-26-07, 11-9-07, 12-18-07	$15,001 - $50,000
	DWS RREEF Global Real Estate Securities Cl A	P	4-27-07, 12-20-07	$1,001 - $15,000
	Munder Midcap Core Growth Fund Class A	P	11-9-07, 12-28-07	$1,001 - $15,000
	RMA Tax Free Fund Inc., UBS Financial Services Inc. (exchanged from "FDIC Variable Rate Deposit" see above)	E	2-9-07	$15,001 - $50,000
	RMA Government Portfolio, UBS Financial Services Inc. (exchanged from "Victory Gradison Govt Reserves, McDonald Investments, Cincinnati, OH" see above)	E	2-9-07	$1,001 - $15,000
	Rolling Ridge Associates, Real Estate Limited Partnership, Owns Rolling Ridge Apts. Montana Avenue, Cincinatti, OH	S	7-26-07	$1,001 - $15,000
	State of Ohio, Deferred Compensation Plan (underlying assets in following two entries)	See below	See below	See below
	Fidelity Magellan (exchanged to "Fidelity Contrafund" see below)	P&E	5-4-07 P, 6-15-07 E	$100,001 - $250,000
	Fidelity Contrafund (exchanged from "Fidelity Magellan" see above)	P&E	6-15-07 E, 12-28-07 P	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name John Andrew Boehner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction

SCHEDULE VIII - POSITIONS

Name John Andrew Boehner

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Officer-Non Comp.	Nucite Sales, Inc.
Former Limited Partner	Rolling Ridge Associates

SCHEDULE IX - AGREEMENTS

Name John Andrew Boehner

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Nucite Sales Limited Partnership Profit Sharing Retirement Plan	Continued interest, no additional contribution
N/A	State of Ohio Pension and Deferred Compensation Plans	Continued interest, no additional contribution

FOOTNOTES

Name John Andrew Boehner

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Both the purchase and the partial sale were each within the $1,001 - $15,000 range.	Munder Mid-Cap Core Growth A
2	Schedule IV	Both the total amount of the purchases and the partial sale were each within the $1,001 - $15,000 range.	DWS Dreman High Return Equity Fund A
3	Schedule IV	Both the purchase and the sale were each within the $15,001 - $50,000 range.	Henderson Japan Asia Fund Class A
4	Schedule IV	Both the purchase and the sale were each within the $1,001 - $15,000 range.	Powershares Lux Nanotech Portfolio
5	Schedule III	The underlying assets of the State of Ohio, Deferred Compensation Plan are disclosed for the first time on this report; the State of Ohio, Deferred Compensation Plan has previously held similar widely diversified mutual fund assets.	State of Ohio, Deferred Compensation Plan
6	Schedule IV	The purchase was within the $1,001 - $15,000 range, however, the exchange (as well as the combined amount of the purchase and exchange) was within the $100,001 - $250,000 range.	Fidelity Magellan
7	Schedule IV	The purchase was within the $1,001 - $15,000 range, however, the exchange (as well as the combined amount of the purchase and exchange) was within the $100,001 - $250,000 range.	Fidelity Contrafund
8	Schedule IV	Due to software limitations, the blank page at 11 of 14 could not be removed. No additional entries were intended for that page.	Blank Page

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:34
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Josiah Robins Bonner, Jr.
(Full Name)

202-225-4931
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: AL District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joslah Robins Bonner, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Camden National Bank	$1,001 - $15,000	INTEREST	$1 - $200	none
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	none
JT	Whitney National Bank	$1,001 - $15,000	INTEREST	$1 - $200	none
	Pioneer Growth Shares	$1,001 - $15,000	None	NONE	none
JT	2469 +/- acres timberland, Wilcox County, Alabama	$1,000,001 - $5,000,000	Other: timber sales, hunting leases	$50,001 - $100,000	sales, leases
JT	First Gulf Bank	$1,001 - $15,000	INTEREST	$1 - $200	none

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Josiah Robins Bonner, Jr.

	76 acres timberland, Wilcox County	$100,001 - $250,000	None	NONE	none
JT	Alabama Pre-Paid Tuition Plan - Dependent Child 1	$1,001 - $15,000	None	NONE	none
JT	Alabama Pre-Paid Tuition Plan - Dependent Child 2	$1,001 - $15,000	None	NONE	none
JT	1st Camden Bankshares	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	none
JT	Town and Country Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	none
JT	RMA Money Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	none
JT	MFS Emerging Growth Fund	None	CAPITAL GAINS	$50,001 - $100,000	S
JT	Oppenheimer Main Street Fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	none
JT	Oppenheimer Capital Appreciation (previously Oppenheimer Growth)	$1,001 - $15,000	None	NONE	none
JT	Pioneer Independent Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	none
	2-11 acre lots, Baldwin County, AL	$50,001 - $100,000	None	NONE	none
SP	Cisco	$1,001 - $15,000	None	NONE	none
SP	Fannie Mae	$1,001 - $15,000	DIVIDENDS	$1 - $200	none
SP	Health South	$1 - $1,000	None	NONE	none

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Josiah Robins Bonner, Jr

SP	Southern Company	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	none
SP	John Hancock Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	none
SP	Mirant	None	CAPITAL GAINS	$201 - $1,000	S
SP	Pioneer Growth Shares	$15,001 - $50,000	None	NONE	none
DC	Cisco	$1 - $1,000	None	NONE	none
DC	Weyerheuser	$1 - $1,000	DIVIDENDS	$1 - $200	none
DC	Fannie Mae	$1,001 - $15,000	DIVIDENDS	$1 - $200	none

SCHEDULE IV - TRANSACTIONS

Name Josiah Robins Bonner, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	2469 +/- acres timberland, Wilcox County	Other	June 2007	$50,001 - $100,000
JT	MFS Emerging Growth Fund	S	November 2007	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name Josiah Robins Bonner, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Express	Revolving Credit	$15,001 - $50,000
JT	Chase Manhatten	Revolving Credit	$10,001 - $15,000
JT	Bank of America	Revolving Credit	$10,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:08
(Office Use Only)

MARY BONO MACK
(Full Name)

(202) 225-5330
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: CA District: 45 — ☐ Officer Or Employee — Employing Office: HR MEMBER SERVICES

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name MARY BONO MACK

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
AFTRA HEALTH AND RETIREMENT FUND	PENSION	$6,024

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

BLOCK A **Asset and/or Income Source** *Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.* *Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.* *If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.*	BLOCK B **Year-End Value of Asset** *at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."*	BLOCK C **Type of Income** *Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)*	BLOCK D **Amount of Income** *For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.*	BLOCK E **Transaction** *Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.*
MERRILL LYNCH CMA	None	DIVIDENDS	$201 - $1,000	
MERRILL LYNCH CMA-MONEY FUND	None	INTEREST	$1 - $200	
MERRILL LYNCH CMA MONEY FUND- IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	
BONO COLLECTION TRUST	$250,001 - $500,000	ROYALTIES & INTEREST	$100,001 - $1,000,000	
MERRILL LYNCH- CMA MONEY FUND	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
BONO COLLECTION TRUST	$100,001 - $250,000	ROYALTIES & INTEREST	$100,001 - $1,000,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

MERRILL LYNCH- CMA	$1,001 - $15,000	INTEREST	$201 - $1,000	
BONO COLLECTION TRUST	$100,001 - $250,000	ROYALTIES & INTEREST	$100,001 - $1,000,000	
LOS ANGELES CA SAN DT	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SACRAMENTO CA CYFA LSRV	$15,001 - $50,000	INTEREST	$201 - $1,000	
CALIFORNIA ST VAR PURP	$1,001 - $15,000	INTEREST	$201 - $1,000	P(part)
CALIFORNIA ST DPT WTR	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P (part)
LOS ANGELES CA CTFS	$1,001 - $15,000	INTEREST	$201 - $1,000	
LOS ANGELES CO CA COP	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
SAN FRAN CA CY-CO PUB	$15,001 - $50,000	INTEREST	$201 - $1,000	P
CALIFORNIA ST	$1,001 - $15,000	INTEREST	$201 - $1,000	S(part)
ALLSTATE CORP DEL	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
AFLAC INC COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BAKER HUGHES INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BURLNGTN N SNTA FE	None	DIVIDENDS	$1 - $200	S

BANK OF NY CO INC	None	DIVIDENDS	$1 - $200	S(part)
BANK OF AMERICA CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
BANK OF NEW YORK MELLON	$1,001 - $15,000	DIVIDENDS	$1 - $200	P (part)
BECTON DICKINSON CO	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
COSTCO WHOLE SALE CRP DEL	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
COLGATE PALMOLIVE	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
DEVON ENERGY CORP NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
DOMINION RES INC	None	DIVIDENDS	$1 - $200	S
EXXON MOBILCORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
ECOLAB INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
EMERSON ELEC CO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P(part)
FIRST DATA CORPORATION	None	DIVIDENDS	$1 - $200	S
FEDRTD DPT STORS DEL NEW	None	DIVIDENDS	$1 - $200	S
GENERAL MILLS	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

JPMORGAN CHASE & CO	None	DIVIDENDS	$1 - $200	S
JABIL CIRCUIT INC	None	DIVIDENDS	$1 - $200	S
L-3 COMMNCTNS HLDS	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
MBIA INC COM	None	DIVIDENDS	$1 - $200	S
NOKIA CORP SPON ADR	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P(part)
NORDSTROM INC	None	DIVIDENDS	$1 - $200	PS
PROGRESS ENERGY INC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
TARGET CORP COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
TIME WARNER INC	None	DIVIDENDS	$1 - $200	S
3M COMPANY	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P(part)
VERIZON COMMUNICATNS COM	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P(part)
WASTE MANAGEMENT INC NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
WYETH	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
STRYKER CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

LOS ANGELES CA	None	INTEREST	$201 - $1,000	S
FEDERAL HOME LOAN BANK BONDS 2.750% - IRA	$15,001 - $50,000	INTEREST	$201 - $1,000	P
FEDERAL HOME LOAN BANK BONDS 5.125% - IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	
FEDERAL HOME LOAN BANK SENIOR NOTES - IRA	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
FEDERAL HOME LOAN BANK BONDS 4.875% - IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	P
FEDERAL NATL MTG ASSOC NOTES 6.625%	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
ADOBE SYS DEL - IRA	$1,001 - $15,000	DIVIDENDS	NONE	
AFLAC INC COM - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
ALLSTATE CORP DEL COM - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
AMN HEALTHCARE SVCS INC - IRA	$1,001 - $15,000	DIVIDENDS	NONE	P(part)
BAKER HUGHES INC - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
BANK NEW YORK MELLON - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BANK OF AMERICA CORP - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
BECTON DICKINSON CO - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

COLGATE PALMOLIVE - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
COSTCO WHOLESALE CRP DEL - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DEVON ENERGY CORP NEW - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
ECOLAB INC - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
EMERSON ELEC CO - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
EXXON MOBIL CORP COM - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
GALLAGHER ARTHUR J & CO - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
GENERAL MILLS - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
L-3 COMMNCTNS HLDGS - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MCDONALDS CORP COM - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
NOKIA CORP SPON ADR - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
PROGRESS ENERGY INC - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
STRYKER CORP - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
TARGET CORP COM - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

TESSERA TECHNOLOGIES INC - IRA	$1,001 - $15,000	DIVIDENDS	NONE	P
THERMO FISHER SCIENTIFIC INC - IRA	$1,001 - $15,000	DIVIDENDS	NONE	P
VERIZON COMMUNICATNS COM - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
WASTE MANAGEMENT INC NEW - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
WYETH - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
3M COMPANY - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
MERRILL LYNCH - CMA MONEY MARKET	$1,001 - $15,000	INTEREST	$201 - $1,000	
MERRILL LYNCH - CMA ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200	
FEDERAL HOME LOAN BANK - 5.125%	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
FEDERAL HOME LOAN BANK - SENIOR NOTES	$1,001 - $15,000	INTEREST	$1 - $200	
FEDERAL NATL MTG ASSN - 6.625% SEP 15 2009	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
FEDERAL HOME LOAN BANK - 4.875%	$1,001 - $15,000	INTEREST	$201 - $1,000	P
FEDERAL NATL MTG ASSOC - 6.625% NOV 15 2010	$15,001 - $50,000	INTEREST	$2,501 - $5,000	S(part)
FEDERAL HOME LN MTG CORP	None	INTEREST	$201 - $1,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

ALLSTATE CORP DEL COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
AFLAC INC COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BAKER HUGHES INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
BURLGTN N SNTA FE	None	DIVIDENDS	$1 - $200	S
BANK OF NY CO INC	None	DIVIDENDS	$1 - $200	S
BANK OF AMERICA CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
BECTON DICKINSON CO	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
BANK OF NEW YORK MELLON	$1,001 - $15,000	DIVIDENDS	$1 - $200	
COSTCO WHOLESALE CRP DEL	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
COLGATE PALMOLIVE	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DEVON ENERGY CORP NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
DOMINION RES INC NEW VA	None	DIVIDENDS	$1 - $200	S
EXXON MOBIL CORP COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	
ECOLAB INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

EMERSON ELEC CO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
FIRST DATA CORPORATION	None	DIVIDENDS	$1 - $200	S
FEDRTD DPT STORS DEL NEW	None	DIVIDENDS	$1 - $200	S
GENERAL MILLS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
JP MORGAN CHASE & CO	None	DIVIDENDS	$1 - $200	S
L-3 COMMNCTNS HLDGS	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
JABIL CIRCUIT INC	None	DIVIDENDS	$1 - $200	S
MBIA INC COM	None	DIVIDENDS	$1 - $200	S
NOKIA CORP SPON ADR	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
NORDSTROM INC	None	DIVIDENDS	$1 - $200	PS
PROGRESS ENERGY INC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
TARGET CORP COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
TIME WARNER INC NEW	None	DIVIDENDS	$1 - $200	S
3M COMPANY	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

VERIZON COMMUNICATNS COM	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
WASTE MANAGEMENT INC NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
WYETH	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
MERRILL LYNCH - CMA MONEY MARKET	$1,001 - $15,000	INTEREST	$201 - $1,000	
MERRILL LYNCH - CMA ACCOUNT	$15,001 - $50,000	INTEREST	$201 - $1,000	
FEDERAL NATL MTG ASSOC NOTES 6.625% NOV 15 2010	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
FEDERAL HOME LOAN BANK SENIOR NOTES	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
FEDERAL NATL MTG ASSN BONDS 6.625% SEO 15 2009	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
FEDERAL HOME LN MTG CORP NOTES 5.125% OCT 15 2008	$15,001 - $50,000	INTEREST	$201 - $1,000	PS(part)
FEDERAL HOME LOAN BANK BONDS 5.125% AUG 08 2008	$15,001 - $50,000	INTEREST	$201 - $1,000	
FEDERAL NATL MTG ASSOC NOTES 3.25% FEB 15 2009	$1,001 - $15,000	INTEREST	$201 - $1,000	
FEDERAL HOME LOAN BANK BOND 3.625% NOV 14 2008	$15,001 - $50,000	INTEREST	$201 - $1,000	
ALLSTATE CORP DEL COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
AFLAC INC COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)

BAKER HUGHES INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
BURLNGTN N SNTA FE	None	DIVIDENDS	$1 - $200	S
BANK OF N Y CO INC	None	DIVIDENDS	$1 - $200	S
BANK OF AMERICA CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
BANK NEW YORK MELLON CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P(part)
BECTON DICKINSON CO	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
COSTCO WHOLESALE CRP DEL	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
COLGATE PALMOLIVE	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
DEVON ENERGY CORP NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
DOMINION RES INC NEW VA	None	DIVIDENDS	$1 - $200	S
EXXON MOBIL CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
ECOLAB INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
EMERSON ELEC CO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
FIRST DATA CORPORATION	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

FEDRTD DPT STORS DEL NEW	None	DIVIDENDS	$1 - $200	S
GENERAL MILLS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
JP MORGAN CHASE & CO	None	DIVIDENDS	$1 - $200	S
JABIL CIRCUIT INC	None	DIVIDENDS	$1 - $200	S
L-3 COMMNCTNS HLDGS	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
MBIA INC COM	None	DIVIDENDS	$1 - $200	S
NOKIA CORP SPON ADR	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
NORDSTROM INC	None	DIVIDENDS	$1 - $200	PS(part)
PROGRESS ENERGY INC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
TARGET CORP COM	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
TIME WARNER INC NEW	None	DIVIDENDS	$1 - $200	S
3M COMPANY	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
VERIZON COMMUNICATNS COM	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
WASTE MANAGEMENT INC NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

WYETH	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
UNION BANK OF CALIFORNIA	$50,001 - $100,000	INTEREST	$201 - $1,000	
UNION BANK OF CALIFORNIA	$1,001 - $15,000	INTEREST	$201 - $1,000	
UNION BANK OF CALIFORNIA	$15,001 - $50,000	INTEREST	$201 - $1,000	
UNION BANK OF CALIFORNIA -CD	$15,001 - $50,000	INTEREST	$1 - $200	
UNION BANK OF CALIFORNIA - CD	$15,001 - $50,000	INTEREST	$1 - $200	
WARNER MUSIC INC	INDEFINITE	ROYALTIES	$5,001 - $15,000	
SOUND EXCHANGE, INC	INDEFINITE	ROYALTIES	$201 - $1,000	
FILM PAYMENT SERVICES INC	INDEFINITE	ROYALTIES	$1 - $200	
SOUND EXCHANGE, INC.	INDEFINITE	ROYALTIES	$1 - $200	
WARNER MUSIC, INC.	INDEFINITE	ROYALTIES	$2,501 - $5,000	
SOUND EXCHANGE, INC.	INDEFINITE	ROYALTIES	$1 - $200	
WARNER MUSIC, INC.	INDEFINITE	ROYALTIES	$2,501 - $5,000	
*ADOBE SYS DEL PV$ 0.001	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)

AMN HEALTHCARE SVCS INC	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
GALLAGHER ARTHUR J. & CO.	$1,001 - $15,000	DIVIDENDS	NONE	P
MCDONALDS CORP COM	$1,001 - $15,000	DIVIDENDS	NONE	P
TESSERA TECH INC	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
THERMO FISHER SCIENTIFIC	$1,001 - $15,000	DIVIDENDS	NONE	P
ADOBE SYS DEL PV$ 0.001	$1,001 - $15,000	DIVIDENDS	NONE	
AMN HEALTHCARE SVCS INC	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
GALLAGHER ARTHUR J & CO	$1,001 - $15,000	DIVIDENDS	NONE	P
MCDONALDS CORP	$1,001 - $15,000	DIVIDENDS	NONE	P
STRYKER CORP	$1,001 - $15,000	DIVIDENDS	NONE	
TESSERA TECH INC	$1,001 - $15,000	DIVIDENDS	NONE	P(part)
THERMO FISHER SCIENTIFIC	$1,001 - $15,000	DIVIDENDS	NONE	P
ADOBE SYS DEL PV$ 0.001	$1,001 - $15,000	DIVIDENDS	NONE	P(part)
AMN HEALTHCARE SVCS INC	$1,001 - $15,000	DIVIDENDS	NONE	P(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MARY BONO MACK

GALLAGHER ARTHUR J & CO	$1,001 - $15,000	DIVIDENDS	NONE	P
STRYKER CORP	$1,001 - $15,000	DIVIDENDS	NONE	S(part)
TESSERA TECH INC	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
MCDONALDS CORP	$1,001 - $15,000	DIVIDENDS	NONE	P
THERMO FISHER SCIENTIFIC INC	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	CALIFORNIA ST VAR PURP	P	1-11-07	$1,001 - $15,000
	CALIFORNIA ST DPT WTR	P	2-12-07	$15,001 - $50,000
	LOS ANGELES CO CA COP	P	8-01-07	$50,001 - $100,000
	SAN FRAN CA CY-CO PUB	P	5-14-07	$15,001 - $50,000
	BURLNGTN N SNTA FE	S	4-25-07	$1,001 - $15,000
	BANK OF AMERICA CORP	P	10-02-07	$1,001 - $15,000
	BECTON DICKINSON CO	S	5-08-07	$1,001 - $15,000
	COLGATE PALMOLIVE	P	7-31-07	$1,001 - $15,000
	COSTCO WHOLE SALE CRP DEL	P	8-07-07	$1,001 - $15,000
	DEVON ENERGY CORP NEW	P	1-19-07	$1,001 - $15,000
	DOMINION RES INC	S	6-28-07	$1,001 - $15,000
	EXXON MOBILCORP	P	7-31-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ECOLAB INC	P	3-16-07	$1,001 - $15,000
	EMERSON ELEC CO	P	7-31-07	$1,001 - $15,000
	FIRST DATA CORPORATION	S	4-02-07	$1,001 - $15,000
	FEDRTD DPT STORS DEL NEW	S	3-28-07	$1,001 - $15,000
	GENERAL MILLS	P	3-16-07	$1,001 - $15,000
	JPMORGAN CHASE & CO	S	7-25-07	$1,001 - $15,000
	JABIL CIRCUIT INC	S	6-13-07	$1,001 - $15,000
	MBIA INC COM	S	10-30-07	$1,001 - $15,000
	NOKIA CORP SPON ADR	S	8-13-07	$1,001 - $15,000
	NORDSTROM INC	S	10-17-07	$1,001 - $15,000
	PROGRESS ENERGY INC	P	7-18-07	$1,001 - $15,000
	TARGET CORP COM	P	10-17-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TIME WARNER INC	S	12-10-07	$1,001 - $15,000
	3M COMPANY	P	7-31-07	$1,001 - $15,000
	VERIZON COMMUNICATNS COM	P	7-31-07	$1,001 - $15,000
	WASTE MANAGEMENT INC NEW	P	4-25-07	$1,001 - $15,000
	WYETH	P	1-19-07	$1,001 - $15,000
	STRYKER CORP	S	3-16-07	$1,001 - $15,000
	FEDERAL HOME LOAN BANK-IRA	P	8-14-07	$15,001 - $50,000
	FEDERAL HOME LOAN BANK BONDS 4.875% - IRA	P	10-11-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC - IRA	P	3-16-07	$1,001 - $15,000
	BANK OF AMERICA CORP - IRA	P	10-02-07	$1,001 - $15,000
	BECTON DICKINSON CO - IRA	P	3-13-07	$1,001 - $15,000
	DEVON ENERGY CORP NEW - IRA	P	1-19-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	GALLAGHER ARTHUR J & CO - IRA	P	10-30-07	$1,001 - $15,000
	MCDONALDS CORP COM - IRA	P	12-10-07	$1,001 - $15,000
	PROGRESS ENERGY INC - IRA	P	6-29-07	$1,001 - $15,000
	TARGET CORP COM - IRA	P	10-17-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC - IRA	P	8-13-07	$1,001 - $15,000
	THERMO FISHER SCIENTIFIC INC - IRA	P	7-27-07	$1,001 - $15,000
	WYETH - IRA	P	1-19-07	$1,001 - $15,000
	WASTE MANAGEMENT INC NEW - IRA	P	4-25-07	$1,001 - $15,000
	LOS ANGELES CA SER A MBIA	S	4-13-07	$15,001 - $50,000
	NVIDIA	S	8-10-07	$1,001 - $15,000
	NVIDIA	S	7-19-07	$1,001 - $15,000
	MBIA INC COM	S	5-08-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PLUM CREEK TIMBER CO INC	S	10-01-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC	S	3-16-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	S	10-30-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	S	12-03-07	$1,001 - $15,000
	WESTERN UN CO	S	4-25-07	$1,001 - $15,000
	ADOBE SYS DEL	P	7-31-07	$1,001 - $15,000
	AFLAC INC	P	7-31-07	$1,001 - $15,000
	ALLSTATE CORP DEL COM	P	7-31-07	$1,001 - $15,000
	ALLSTATE CORP DEL COM	P	12-10-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	3-16-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	7-31-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	8-13-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	BAKER HUGHES INC	P	3-16-07	$1,001 - $15,000
	BAKER HUGHES INC	P	7-31-07	$1,001 - $15,000
	BANK NEW YORK MELLON	P	7-31-07	$1,001 - $15,000
	BECTON DICKINSON CO	P	3-13-07	$1,001 - $15,000
	BECTON DICKINSON CO	P	8-07-07	$1,001 - $15,000
	DEVON ENERGY CORP NEW	P	7-31-07	$1,001 - $15,000
	ECOLAB INC	P	7-31-07	$1,001 - $15,000
	GALLAGHER ARTHUR J & CO	P	10-30-07	$1,001 - $15,000
	GENERAL MILLS	P	7-31-07	$1,001 - $15,000
	L-3 COMMNCTNS HLDGS	P	7-31-07	$1,001 - $15,000
	MCDONALDS CORP COM	P	12-10-07	$1,001 - $15,000
	NOKIA CORP SPON ADR	P	08-07-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PROGRESS ENERGY INC	P	6-29-07	$1,001 - $15,000
	PROGRESS ENERGY INC	P	7-31-07	$1,001 - $15,000
	STRYKER CORP	P	8-07-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC	P	7-31-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC	P	8-13-07	$1,001 - $15,000
	THERMO FISHER SCIENTIFIC	P	7-27-07	$1,001 - $15,000
	THERMO FISHER SCIENTIFIC	P	7-31-07	$1,001 - $15,000
	WASTE MANAGEMENT	P	7-31-07	$1,001 - $15,000
	WYETH	P	7-31-07	$1,001 - $15,000
	CENTRAL VY SCH DT FNG CA	Other	2-01-07	$15,001 - $50,000
	CALIFORNIA ST OID	S	2-28-07	$1,001 - $15,000
	AMGEN INC COM PV	S	3-12-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	HEALTH CARE PPTY INVS REIT	S	1-16-07	$1,001 - $15,000
	NVIDIA	P	4-03-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO	P	8-10-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	P	6-13-07	$1,001 - $15,000
	MBIA INC COM	P	7-31-07	$1,001 - $15,000
	NVIDIA	P	7-31-07	$1,001 - $15,000
	NORDSTROMS INC	P	3-28-07	$1,001 - $15,000
	NORDSTROMS INC	P	7-31-07	$1,001 - $15,000
	TIME WARNER INC NEW	P	7-31-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	P	6-13-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	P	7-31-07	$1,001 - $15,000
	TIME WARNER INC NEW - IRA	S	12-10-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ALLSTATE CORP DEL COM - IRA	P	12-10-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC - IRA	P	8-13-07	$1,001 - $15,000
	PROGRESS ENERGY INC - IRA	P	7-18-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC - IRA	P	6-13-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC - IRA	S	12-03-07	$1,001 - $15,000
	MBIA INC COM - IRA	S	10-30-07	$1,001 - $15,000
	VERIFONE HOLDINGS - IRA	S	10-30-07	$1,001 - $15,000
	NORDSTROM INC - IRA	S	10-17-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO INC - IRA	S	10-01-07	$1,001 - $15,000
	FEDERAL HOME LOAN BANK - IRA	S	8-14-07	$15,001 - $50,000
	NOKIA CORP SPON ADR - IRA	S	8-13-07	$1,001 - $15,000
	NVIDIA - IRA	S	8-10-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DOMINION RES INC NEW VA -IRA	S	6-28-07	$1,001 - $15,000
	NVIDIA - IRA	P	4-03-07	$1,001 - $15,000
	NVIDIA - IRA	S	7-19-07	$1,001 - $15,000
	BURLNGTN N SNTA FE - IRA	S	4-25-07	$1,001 - $15,000
	FIRST DATA CORPORATION - IRA	S	4-02-07	$1,001 - $15,000
	WESTERN UN CO - IRA	S	4-25-07	$1,001 - $15,000
	AMGEN INC COM PV - IRA	S	3-12-07	$1,001 - $15,000
	DOMINION RES INC NEW VA - IRA	S	3-16-07	$1,001 - $15,000
	STRYKER CORP - IRA	S	3-16-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC - IRA	S	3-16-07	$1,001 - $15,000
	HEALTHCARE PPTY INVS - IRA	S	1-16-07	$1,001 - $15,000
	FEDERAL HOME LN MTG CORP	P	4-11-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FEDERAL HOME LN MTG CORP	P	5-10-07	$1,001 - $15,000
	FIRST DATA CORPORATION	S	4-02-07	$1,001 - $15,000
	FEDRTD DPT STORS DEL NEW	S	3-28-07	$1,001 - $15,000
	JP MORGAN CHASE & CO	S	7-25-07	$1,001 - $15,000
	JABIL CIRCUIT INC	S	6-13-07	$1,001 - $15,000
	MBIA INC COM	S	10-30-07	$1,001 - $15,000
	NORDSTROM INC	S	10-17-07	$1,001 - $15,000
	TIME WARNER INC NEW	S	12-10-07	$1,001 - $15,000
	FEDERAL NATL MTG ASSOC NOTES 6.625%	S	2-28-07	$1,001 - $15,000
	FEDERAL NATL MTG ASSOC NOTES 6.625%	S	4-13-07	$15,001 - $50,000
	FEDERAL NATL MTG ASSOC NOTES 6.625%	S	7-03-07	$15,001 - $50,000
	FEDERAL HOME LN MTG CORP NOTES 5.125%	S	8-28-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	BAKER HUGHES INC	S	8-28-07	$1,001 - $15,000
	BURLNGTN N SNTA FE	S	4-25-07	$1,001 - $15,000
	DOMINION RES INC NEW VA	S	3-16-07	$1,001 - $15,000
	DOMINION RES INC NEW VA	S	6-28-07	$1,001 - $15,000
	EXXON MOBIL CORP COM	S	8-28-07	$1,001 - $15,000
	NVIDIA	S	7-19-07	$1,001 - $15,000
	NVIDIA	S	8-10-07	$1,001 - $15,000
	NOKIA CORP SPON ADR	S	8-13-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO INC	S	10-01-07	$1,001 - $15,000
	STRYKER CORP	S	3-16-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC	S	3-16-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	S	10-30-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VERIFONE HOLDINGS INC	S	12-03-07	$1,001 - $15,000
	WESTERN UN CO	S	4-25-07	$1,001 - $15,000
	NVIDIA	P	4-03-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO INC	P	8-10-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	P	6-13-07	$1,001 - $15,000
	NORDSTOM INC	P	3-28-07	$1,001 - $15,000
	FEDERAL HOME LOAN BANK BONDS 4.875%	P	10-11-07	$1,001 - $15,000
	ALLSTATE CORP DEL COM	P	12-10-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	3-16-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	8-13-07	$1,001 - $15,000
	BAKER HUGHES INC	P	3-16-07	$1,001 - $15,000
	BANK OF AMERICA CORP	P	10-02-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TARGET CORP	P	10-17-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES	P	8-13-07	$1,001 - $15,000
	THERMO FISHER SCIENTIFIC	P	7-27-07	$1,001 - $15,000
	WASTE MANAGEMENT INC NEW	P	4-25-07	$1,001 - $15,000
	WYETH	P	1-19-07	$1,001 - $15,000
	GENERAL MILLS	P	3-16-07	$1,001 - $15,000
	GALLAGHER ARTHUR J & CO	P	10-30-07	$1,001 - $15,000
	MCDONALDS CORP COM	P	12-10-07	$1,001 - $15,000
	PROGRESS ENERGY INC	P	6-29-07	$1,001 - $15,000
	PROGRESS ENERGY INC	P	7-18-07	$1,001 - $15,000
	AMGEN INC COM PV	S	3-12-07	$1,001 - $15,000
	FEDERAL HOME LN MTG CORP NOTES 5.125%	P	2-06-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	NVIDIA	P	4-03-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO INC	P	8-10-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	P	6-13-07	$1,001 - $15,000
	NORDSTROM INC	P	3-28-07	$1,001 - $15,000
	FEDERAL HOME LN MTG CORP NOTES 5.125%	P	5-10-07	$1,001 - $15,000
	ALLSTATE CORP DEL COM	P	12-10-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	3-16-07	$1,001 - $15,000
	AMN HEALTHCARE SVCS INC	P	8-13-07	$1,001 - $15,000
	BAKER HUGHES INC	P	3-16-07	$1,001 - $15,000
	BANK OF AMERICA CORP	P	10-02-07	$1,001 - $15,000
	BECTON DICKINSON CO	P	3-13-07	$1,001 - $15,000
	COSTCO WHOLESALE CRP DEL	P	4-25-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DEVON ENERGY CORP NEW	P	1-19-07	$1,001 - $15,000
	ECOLAB INC	P	3-16-07	$1,001 - $15,000
	GALLAGHER ARTHUR J & CO	P	10-30-07	$1,001 - $15,000
	GENERAL MILLS	P	3-16-07	$1,001 - $15,000
	PROGRESS ENERGY INC	P	6-29-07	$1,001 - $15,000
	PROGRESS ENERGY INC	P	7-18-07	$1,001 - $15,000
	TARGET CORP COM	P	10-17-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC	P	8-13-07	$1,001 - $15,000
	THERMO FISHER SCHIENTIFIC	P	7-27-07	$1,001 - $15,000
	WASTE MANAGEMENT INC NEW	P	4-25-07	$1,001 - $15,000
	WYETH	P	1-19-07	$1,001 - $15,000
	FEDERAL NATL MTG ASSOC NOTES 6.25%	S	4-16-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FEDERAL HOME LN MTG CORP NOTES 5.125%	S	2-28-07	$1,001 - $15,000
	AMGEN INC COM PV %0.0001	S	3-12-07	$1,001 - $15,000
	BURLNGTN N SNTA FE $0.01	S	4-25-07	$1,001 - $15,000
	DOMINION RES INC NEW VA	S	3-16-07	$1,001 - $15,000
	DOMINION RES INC NEW VA	S	6-28-07	$1,001 - $15,000
	FIRST DATA CORPORATION	S	4-2-07	$1,001 - $15,000
	FEDRTD DPT STORS DEL NEW	S	3-28-07	$1,001 - $15,000
	JPMORGAN CHASE & CO	S	7-25-07	$1,001 - $15,000
	JABIL CIRCUIT INC	S	6-13-07	$1,001 - $15,000
	MBIA INC COM	S	10-30-07	$1,001 - $15,000
	NVIDIA	S	7-19-07	$1,001 - $15,000
	NVIDIA	S	8-10-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	NOKIA CORP SPON ADR	S	8-13-07	$1,001 - $15,000
	NORDSTROM INC	S	10-17-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO INC	S	10-01-07	$1,001 - $15,000
	STRYKER CORP	S	3-16-07	$1,001 - $15,000
	TIME WARNER INC NEW	S	12-10-07	$1,001 - $15,000
	TESSERA TECHNOLOGIES INC	S	3-16-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	S	10-30-07	$1,001 - $15,000
	VERIFONE HOLDINGS INC	S	12-03-07	$1,001 - $15,000
	WESTERN UN CO	S	4-25-07	$1,001 - $15,000
	LOS ANGELES CA	S	4-13-07	$15,001 - $50,000
	JPMORGAN CHASE & CO - IRA	S	7-25-07	$1,001 - $15,000
	JABIL CIRCUIT INC - IRA	S	6-13-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DOMINION RES INC	S	3-16-07	$1,001 - $15,000
	FEDERAL FARM CREDIT BANK	S	1-16-07	$1,001 - $15,000
	HEALTH CARE PPTY INVS	S	1-16-07	$1,001 - $15,000
	FEDERAL HOME LOAN BANK	S	1-25-07	$1,001 - $15,000
	PLUM CREEK TIMBER CO INC - IRA	P	8-10-07	$1,001 - $15,000
	NORDSTROM INC - IRA	P	3-28-07	$1,001 - $15,000
	FEDERAL HOME LOAN BANK -IRA	S	8-14-07	$15,001 - $50,000
	MCDONALDS CORP COM	P	12-10-07	$1,001 - $15,000
	HEALTH CARE PPTY INVS	S	1-16-07	$1,001 - $15,000
	FEDRTD STORS DEL NEW - IRA	S	3-28-07	$1,001 - $15,000
	BECTON DICKINSON CO	P	3-13-07	$1,001 - $15,000
	DEVON ENERGY CORP NEW	P	1-19-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MARY BONO MACK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ECOLAB INC	P	3-16-07	$1,001 - $15,000
	3M COMPANY	P	4-25-07	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:25
MC
(U.S. House of Reps.) (Office Use Only)

John N. Boozman
(Full Name) (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: AR District: 03	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name John Boozman

Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value *exceeding $1,000 at the end of the reporting period*, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised, to select the specific investments*), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC, Examples	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
JT	Northwest Mutual Life				X												X						X									
JT	AR National Bank			X													X					X										
JT	AG Edwards			X											X							X										
JT	Arvest Bank			X													X					X										
JT	Congressional Fed. Credit Union			X										X							X											
JT	Boozman-Hof 401-K								X											N/A												

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Boozman

Page 4 of 6

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Ameritrade		X											X							X											
JT	AIM ST Treasury		X																													
JT	Able Gov't Bond Fund				X																											
JT	Able Muni Bond Fund - Ark			X																												
JT	Able Muni Bond Fund - Other					X																										
JT	Able Equity Fund						X																									

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Name John Boozman

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** *See example below.*

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock *(partial sale)*		X		10-12-07		X								
JT	ABG - Equity Fund		X		2-28-07	X									
JT	ABG - Equity Fund		X		4-30-07	X									
JT	ABG - Equity Fund		X		5-31-07	X									
JT	ABG - Equity Fund		X		8-31-07	X									
JT	ABG - Equity Fund		X		9-30-07	X									
JT	ABG - Equity Fund		X		11-31-07	X									
JT	AIM - S-T Treasury Fund		X		1-17-07	X									
JT	AIM - S-T Treasury Fund	X			3-13-07	X									
JT	AIM S-T Treasury Fund	X			5-8-07	X									
JT	AIM S-T Treasury Fund	X			6-18-07	X									
JT	AIM S-T Treasury Fund	X			9-11-07	X									
JT	AIM S-T Treasury Fund	X			10-23-07	X									
JT	AIM S-T Treasury Fund	X			12-11-07	X									

This page may be copied if more space is required.

Name John Boozman | Page 6 of 6

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Honda Financing	Vehicle loan		X								
JT	GMAC	Vehicle loan		X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name	Page ____ of ____

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required *regardless of whether* the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the *Foreign Gifts and Decorations Act* (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE CENTER
2008 MAY 12 PM 2:50
(Office Use Only)

MC

Madeleine Z. Bordallo
(Full Name)

202 225 1188
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: GU — District AL

☐ Officer Or Employee — Employing Office: U.S. HOUSE OF REPRESENTATIVES

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., eggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Madeleine Z. Bordallo

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Government of Guam, Lieutenant Governor	Lieutenant Governor's Pension	$42,499.92
Government of Guam, Survivor's Benefit	Survivor's Benefit Pension	$12,499.92

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Madeleine Z. Bordallo

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
(Jonestown) 160 Trenton Blvd. Tamuning, Guam 96912	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
(Westbury) 5500 SW 30th Avenue Marion County Ocala, FL 34474	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
Certificate of Deposit Sun Trust Bank Orlando, FL	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Certificates of Deposit (7) Congressional Federal Credit Union	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Madeleine Z. Bordallo

Checking Account Sun Trust Bank Ocala, FL	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Madeleine Z. Bordallo

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(Pre-construction contract payment for a condominium) Nevada Title Company (Turnberry Associates) Turnberry West Tower Paradise Road and Karen Avenue Las Vegas, Nevada	P	2-19-2007	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Madeleine Z. Bordallo

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of Guam Hagatna, Guam 96910	Mortgage on 160 Trenton Blvd (Tamuning Rental Home) Tamuning, Guam 96912	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name Madeleine Z. Bordallo

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
(Unpaid) Board of Directors	Latte of Freedom Foundation Hagatna, Guam 96910
(Unpaid) Board of Directors	R.J. Bordallo Foundation Hagatna, Guam 96910
(Unpaid) Advisor	Salvation Army of Guam Hagatna, Guam 96910
(Unpaid) Board of Directors	Watergate East, Inc. Washington, D.C. 20037

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:32
MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

David Daniel Boren
(Full Name)

202/225-2701
(Daytime Telephone)

(Office Use Only)

Filer Status
☑ Member of the U.S. House of Representatives — State: OK District: 2
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name David Daniel Boren

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Muskogee Women's Clinic	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Daniel Boren

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Ava O LLC, Minerals Madill OK(7.69% interest)	$15,001 - $50,000	Other: Partnership Income	$50,001 - $100,000	
BancFirst Checking Account	$1,001 - $15,000	INTEREST	$201 - $1,000	
Boren Ranch LLC McIntosh County, OK	$100,001 - $250,000	None	NONE	
Chinn Exploration Co.	$1 - $1,000	Royalty	$201 - $1,000	
First National Bank of Wewoka	$1 - $1,000	INTEREST	$1 - $200	
First National Bank of Wewoka	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Daniel Boren

First United Bank tax Account/LPL	$250,001 - $500,000	INTEREST	$201 - $1,000	
First United investment services (ACERX)-Van Kamper equity and income fund	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
First United Investment services Main Account certificate of deposit countrywide bank	None	INTEREST	$1,001 - $2,500	matured
Land Oil Company, Ltd. (LOCL) Madill, OK	$250,001 - $500,000	Other: Partnership income	$100,001 - $1,000,000	
LOCL Federated Capital reserves money market fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
LOCL Grand River Dam Authority (OK Bonds)	$1 - $1,000	INTEREST	$1 - $200	S(part)
LOCL Interest in courage special situation fund, LP investment, Nashville, TN	$15,001 - $50,000	Other: Partnership income	$1 - $200	
LOCL real estate in Ada, OK	$1,001 - $15,000	RENT	$1 - $200	
LOCL Red River Pine LLC Madill, OK Timber Harvests	$250,001 - $500,000	Other: Partnership Income	NONE	
LOCL-note recievable from JB Coleman Kingston, OK	$1,001 - $15,000	RENT	NONE	
retirement accounts MFS SFPTR1 Strategic growth IRA (MSBGX)	$1,001 - $15,000	INTEREST	$1 - $200	
RPL Restaurant Group LP	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Daniel Boren

	SEP IRA Scudder Total Return IRA (KTRBX)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Trophy Club ranch-Commercial hunting operation LLC Batesville, TX	None	capital gain	$100,001 - $1,000,000	S

SCHEDULE IV - TRANSACTIONS

Name David Daniel Boren

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Grand River Dam (OK) Authority Bonds (Matured)	S(part)	6-1-07	$1,001 - $15,000
	Trophy Club Ranch LLC - Batesville, Texas	S	2007	$250,001 - $500,000

SCHEDULE V - LIABILITIES

Name David Daniel Boren

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	First United Bank	Loan on Boren Ranch, LLC McIntosh County, OK (paid off)	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David Daniel Boren

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Independent Petroleum Association of America	June 9-10	Muskogee, OK - Las Colinas, TX - Muskogee, OK	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name David Daniel Boren

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. *Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.*

Position	Name of Organization
Partner	Trophy Club Ranch, Batesville, TX
Partner	AVA O Limited Company, Madill, OK
Partner	Land Oil Company, Ltd., Madill, OK
Partner	Red River Pine, Ltd., Madill, OK
Partner	RPL Restaurant Group, LP, Longview, TX
Partner	Downtown Investment Group, LLC, Wewoka, OK
Member of Board	Jasmine Moran Children's Museum, Seminole, OK

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

Leonard L. Boswell — (Full Name)

225 3806 — (Daytime Telephone)

2008 MAY 13 PM 4:40

HC

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: IA District: 3	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	*Keene State*	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Clarke Community School	Spouse Salary	350.00
	Lamoni Community School	Spouse Salary	978.59
	Ridgeway R-V Community School	Spouse Salary	4,443.02
	Graceland University	Spouse Salary	1,007.50
	State of IOWA	Legislative Pension	8,206.47
	Annuity First Clearing	Spouse Retirement	1,436.02

For payments to charity in lieu of honoraria, use Schedule II.

Name Leonard L. Boswell | Page 3 of 5

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC, *Examples:*	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	Agricultural land 4.75 Acres New Boda Barrell Township, Decatur Co IA							X									N/A									N/A						
	Farm Equipment						X										N/A									N/A						
	Breeding Stock (Cattle)						X										Sale of calves											X				
	*Lyrix Co (Cellular Tower)						N/A									X									X							

*Don't know value - doesn't belong to me. I lease space for their tower location

For additional assets and unearned income, use next page.

Name Leonard L. Boswell Page 4 of 5

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
	Sale of calves		X		11-08-07			X							

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Great Western Bank, Leon IA.	Farm tractor loan		X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY -9 AM 11:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Frederick C. Boucher
(Full Name)

202-225-3861
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: VA — District: 09 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependant child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☑ No ☐

SCHEDULE I - EARNED INCOME

Name Frederick C. Boucher

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
McFarland Publications	Spouse Payments for Book Editing Services	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frederick C. Boucher

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
1	Bank of America Checking Account	$1100-$15,000	INTEREST	$1 - $200	
2	Nations Securities Savings Account	$15,100-$50,000	INTEREST	$1001-$2500	
3	BB&T Common Stock	$15,001 - $50,000	DIVIDENDS	$1001-$2500	
4	South Financial Group	$1001-$15,000	DIVIDENDS	$201 - $1,000	
5	Vanguard S&P 500 Index Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1001-$2,500	
6	New River Funds Mutual Fund	$1001-$15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frederick C. Boucher

7	Individual Retirement Account, Nations Securities	$15,001 - $50,000	DIVIDENDS/INTEREST	$1001-$2500	
8	Rydex OTC Investor Class Mutual Fund	None	DIVIDENDS	$1 - $200	S
9	Clinical Data Common Stock	$1001-$15,000	DIVIDENDS	$1 - $200	P
10	Fidelity 4 in 1 Index Fund	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	
11	Harbor International Mutual Funds	$1001-$15,000	DIVIDENDS	$1 - $200	
12	Lot-Town of Damascus	$1,001-$15,000	NONE	NONE	
13	Lot-Washington County	$1001-$15,000	NONE	NONE	

SCHEDULE IV - TRANSACTIONS

Name Frederick C. Boucher

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
1	Rydex OTC Investor Class Mutual Fund	S	12-17-07	$1,001 - $15,000
2	Clinical Data, Inc. Common Stock	P	12-17-07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Frederick C. Boucher

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Japan Center for International Exchange- For speech to forum on prospects for climate change legislation in the US, and for meetings with government and private sector individuals relating to climate change	April 7-14	DC-Tokyo-DC	Y	Y	Y	2 Days
Franklin Center for Global Policy Exchange- for meetings on issues relating to the US-European relationship	Aug. 11-17	DC-Berlin-DC	Y	Y	Y	None
American Coke and Coal Chemicals Institute- keynote speech on the elements of cap and trade legislation to address climate change	Sept. 30-Oct. 1	Abingdon-Hot Springs, VA-DC	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY 12 PM 3:44
HC
U.S. HOUSE OF REPRESENTATIVES

Charles William Boustany, Jr.
(Full Name)

202-225-2031
(Daytime Telephone)

Filer Status	[X] Member of the U.S House of Representatives	State: LA District: 07	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Paul Revere Life Insurance Co. as administrator for New York Life Insurance Co.	Proceeds from disability policies	264,002.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples.	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	Diageo	Appearance	Mar. 13, 2007	1000.00

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value *exceeding $1,000 at the end of the reporting period*, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, *even if reinvested*, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below **Dividends and interest, *even if reinvested*, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P S E

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP	SP	Mega Corp Stock					X									X			X						X								S (partial)
DC Examples:		Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X										X									X						
JT		Iberia Bank Account				X									X							X											
JT		Congressional Federal Credit Union Acct.			X										X							X											
JT		Congressional Federal Credit Union Savings Acct.		X														X					X										
JT		JP Morgan Chase Stock			X											X							X										

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Charles W. Boustany, Jr. Page 5 of 6

	SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
*	SP	Energy Quest																			Royalty			X									
*	SP	SEI USA Operating Inc.																			Royalty		X										
	SP	Hilcorp Energy Co.																			Royalty				X								
	SP	BEPCO																			Royalty		X										
	SP	Plains Marketing LP																			Royalty		X										
	JT	Charles W. Boustany Jr. MD Professional Medical Corp. Profit Sharing Plan with National Financial Services							X							NA					Retirement Plan				NA								
	JT	National Financial Service Cash Acct.				X												X						X									

This page may be copied if more space is required.

* These 2 changes from last year are apparent corporate consolidations for which I or my wife have no authority or title

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Iberia Bank Lafayette, LA	Line of Credit			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 JUN 11 PM 5:42 HC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

F. Allen Boyd Jr.
(Full Name)

202-225-5235
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative	State: FL District: 2	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability *(more than $10,000) during the reporting period?* **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calender year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. Allen Boyd Jr.

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Ameris Bancorp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BCT Cotton Gin-Valdosta, GA	$1,000,001 - $5,000,000	DIVIDENDS/RENT/INTEREST	$50,001 - $100,000	
Boyd Family Farms, Inc.-Ashville, FL	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	
Boyd Tuten Cattle Farm-Monticello, FL	$100,001 - $250,000	CAPITAL GAINS	NONE	
Capital City Bank	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
Coastal Plains Farmers Group	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. Allen Boyd Jr.

	Eaton Vance (IRA Investment)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	F.A. Boyd & Sons-Ashville, FL (land holding corporation)	$1,000,001 - $5,000,000	DIVIDENDS	NONE	
	FABJ Inc.-Ashville, FL (payroll corporation)	$1,001 - $15,000	DIVIDENDS	NONE	
	Flyway Farm-Greenville, FL	$250,001 - $500,000	Other: real estate	NONE	
	John Hancock Investment Trust (IRA Investment)	$1,001 - $15,000	None	NONE	
	Millwood-Jefferson County, FL	$500,001 - $1,000,000	Other: real estate	NONE	
	NBC Securities Money Market	$1 - $1,000	INTEREST/DIVIDENDS	$1,001 - $2,500	
	Oppenheimer Capital Appreciation Fund (IRA investment)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	PIMCO FDS Total Return Fund (IRA Investment)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Putnam International Equity fund (IRA Investment)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Wright-Patman FCU	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE V - LIABILITIES

Name F. Allen Boyd Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Farm Credit Service	Mortgage on Millwood property, Jefferson County, FL	$500,001 - $1,000,000

SCHEDULE VIII - POSITIONS

Name F. Allen Boyd Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President and Majority Stockholder	Boyd Family Farms, Inc.
President	FABJ, Inc.
Board Member	FA Boyd & Sons, Inc.
Vice President	Boyd Tuten Cattle Farm

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

ARCHIVE RESOURCE CENTER
2008 JUN -4 PM 5:38
HOUSE OF REPRESENTATIVES

HC

Kevin Patrick Brady
(Full Name)

202-225-4901
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative	State: TX District 8	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Kevin Patrick Brady

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Chase Bank	Exercised stock option from prior employment	$1,593

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kevin Patrick Brady

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For *retirement plans that are not self-directed, name the institution holding* the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Best of American (BOA) Am Cent VP Value I	$1,001 - $15,000		NONE	
Best of America (BOA) Drey VIF APP Port	$1,001 - $15,000		NONE	
Best of America (BOA) NVIT Mid Cap Index I	$1,001 - $15,000		NONE	
Best of America (BOA) Fidelity VIP Equity INCM Port	$1,001 - $15,000		NONE	
Best of America (BOA) Lehman BRAMT SHRT DUE BD1 (formerly NEU/BER AMT LMTMATBND PT)	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kevin Patrick Brady

	Best of America (BOA) Oppenheimer Global SECS FD/VA IC	$1,001 - $15,000		NONE
	Farmers New World/ Reassure American Life	$1,001 - $15,000		NONE
SP	Chase Investment Services Corp IRA-HPQ stock	$15,001 - $50,000	DIVIDENDS	$1 - $200
SP	JP Morgan Chase IRA MMAT	$1,001 - $15,000	INTEREST	$1 - $200
SP	JP Morgan Mutual Funds 564/Growth Advantage Fund A	$1,001 - $15,000	DIVIDENDS	$1 - $200
JT	Jp Morgan/Chase Bank Personal Checking Account/	$1,001 - $15,000	INTEREST	$1 - $200
JT	JP Morgan/Chase Bank Super Savings Account	$1,001 - $15,000	INTEREST	$1 - $200
SP	JP Morgan/Chase Manhattan Employee Stock	$1 - $1,000	DIVIDENDS	$1 - $200
SP	JP Morgan/Chase Retirement Plan	$50,001 - $100,000	INTEREST	$1,001 - $2,500
SP	JP Morgan/Chase 401K: Common Stock Fund	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000
SP	JP Morgan/Chase 401K: JP Morgan Fleming Small Cap Core Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	JP Morgan/Chase 401K: Causeway Capitol Management Large Cap Core Fund/	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	JP Morgan/Chase Securities Employee Stock Option Plan	$1,001 - $15,000		NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kevin Patrick Brady

	Pacific Life VUL	$1,001 - $15,000		NONE	
DC	Putnam College Adv Agressive 1998 A	$1,001 - $15,000		NONE	
SP	Putnam IRA Mid-Cap Value Fund A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Putnam MM Fund A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Putnam Small Cap Value Fund A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Putnam Cap OPPS CL-A IRA	$1,001 - $15,000		NONE	
JT	Putnam Growth & Income Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	State of Texas Retirement System	$15,001 - $50,000		NONE	
DC	Putnam College Adv Aggressive 2000-A	$1,001 - $15,000		NONE	
JT	Wells Fargo Checking	$1 - $1,000		NONE	P
JT	Wells Fargo Savings	$1 - $1,000		$1 - $200	P
JT	Woodforest Natinal Bank Checking	$1 - $1,000		NONE	P
DC	Woodforest Natinonal Bank Savings - minor child	$1 - $1,000		$1 - $200	P
DC	Woodforest National Bank Savings - minor child	$1 - $1,000		$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Kevin Patrick Brady

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
JT	Wells Fargo Checking	P	4-13-07	0 - $1,000
JT	Wells Fargo Savings	P	4-13-07	0 - $1,000
DC	Woodforest National Bank Checking	P	1-15-07	0 - $1,000
JT	Woodforest National Bank Checking	P	1-15-07	0- $1,000
DC	Woodforest National Bank Savings - minor child	P	1-15-07	0 - $1,000
DC	Woodforest National Bank Savings - minor child	P	1-15-07	0 - $1,000
SP	JP Morgan Chase Employee Stock Option	S(part)	12-4- 07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Kevin Patrick Brady

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo Bank	Home Improvement Loan	$55,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Kevin Patrick Brady

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation Republican Study Committee Retreat	Jan 30 - February 1, 2007	Baltimore, MD	Y	Y	N	None

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 11:59

(Office Use Only) MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Robert A. Brady
(Full Name)

202-225-4731
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: PA District: 01 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Robert A. Brady

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Philadelphia Writ Service	Spouse Salary	N/A
Independence Blue Cross	Spouse Consulting	$16,500
University of Pennsylvania	Approved Teaching Fee	$3

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert A. Brady

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Brigadoon Motel, LLC	$15,001 - $50,000	Partnership Income	NONE	
	City of Philadelphia	$50,001 - $100,000	Other: Pension	$5,001 - $15,000	
SP	D&B Investments, Inc.	$1 - $1,000	Other: Common Shares	NONE	
	Metropolitan Regional Council	$100,001 - $250,000	Other: Pension & Annuity	$5,001 - $15,000	
SP	PA Monitoring	$1 - $1,000	Other: Common Shares	NONE	
	PA Turnpike	$15,001 - $50,000	Other: Pension	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert A. Brady

	Philadelphia Fed. CU	$250,001 - $500,000	INTEREST	$15,001 - $50,000	
SP	Philadelphia Fed. CU	$1 - $1,000	INTEREST	$201 - $1,000	
SP	Philadelphia Redevelopment Authority	$50,001 - $100,000	Other: Pension	$15,001 - $50,000	

SCHEDULE VIII - POSITIONS

Name Robert A. Brady

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Part-Time Administrative Asst.	Metropolitan Regional Council

SCHEDULE IX - AGREEMENTS

Name Robert A. Brady

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2/19/99	Metropolitan Regional Counci	Part-time Administrative Asst. Received no salary. MRC Continues the funding and vesting of the Member's pension and annuity. The combined total for 2007 was $7308.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:32
MC
(Office Use Only)

Bruce L. Braley
(Full Name)

202-225-2911
(Daytime Telephone)

Filer Status ☑ Member of the U.S House of Representatives — State: IA District: 01 — Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 *from one source*)? — Yes No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exceptad trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ☑

452

SCHEDULE I - EARNED INCOME

Name Bruce L. Braley

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Waterloo Community School District	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bruce L. Braley

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Allianz NF J Dividend Value Fund Class A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
American Funds Growth Fund of America Class F	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
American Funds Income Fund of America Class F	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Baron Asset Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
Calamos Growth & Income Fund Class A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Calamos Growth Fund	None	None/CAPITAL GAINS	$2,501 - $5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bruce L. Braley

Columbia Mid Cap Value Fund A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Davis New York Venture Fund Class A	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
Davis Opportunity Fund	None	None	NONE	S
Fam Value Fund	None	None	NONE	S
FT Templeton Global Bond A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Hartford Mid Cap Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Lord Abbett Affiliated Fund	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Oppenheimer Global Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Pepsico, Inc.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
T Rowe Price Equity Income Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
T Rowe Price Growth Stock Fund, Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Thornburg International Value Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P(add)
Thornburg International Value Fund Class I	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
UBS RMA Money Market Portfolio	$1,001 - $15,000	DIVIDENDS	$1 - $200	

HAND DELIVERED MC

FINANCIAL RESOURCE CENTER
2008 MAY 30 AM 11:42
CLERK OF THE HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

(Office Use Only)

Paul C. Broun, Jr.
(Full Name)

706-227-0510
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: GA — District: 10 — Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Paul C. Broun, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Latin American Translators Network	Spouse Spanish Interpreter Fee	$69.80
Colores Nuestra Cultura	Spouse Spanish Interpreter Fee	$325.25
Judicial Council of Georgia	Spouse Spanish Interpreter Fee	$132.30
Black Diamond	Spouse Spanish Interpreter Fee	$151.40
Optimal Communicators, Inc.	Spouse Spanish Interpreter Fee	$489.44
Medi-Translation, Inc.	Spouse Spanish Interpreter Fee	$177.24
Optimal Transport, Inc.	Spouse Spanish Interpreter Fee	$345
Global Translation Services, Inc.	Spouse Spanish Interpreter Fee	$3,421.75
Quick-Med, Inc. (Earned Prior to Becoming Member of Congress)	Physician Fee	$3,560
Medquest Associates (Earned Prior to Becoming Member of Congress)	Physician Fee	$16,100
Georgia Department of Labor (Earned Prior to Becoming Member of Congress)	Physician Fee	$625
Georgia Department of Labor (Earned Prior to Becoming Member of Congress)	Physician Fee	$1,500
Georgia Legislative Retirement System Pensions	Death Beneficiary	$2,452.32

SCHEDULE I - EARNED INCOME

Name Paul C. Broun, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul C. Broun, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	AFB&T Savings Account	$1 - $1,000	Interest	$1 - $200	
DC	American Capital World Growth & Income Fund Class C	$1,001 - $15,000	CAPITAL GAINS Distributions	$201 - $1,000	
DC	American Capital World Growth & Income Fund Class C	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Bank of America Stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
SP	Bank of America Stock	$15,001 - $50,000	Dividends	$201 - $1,000	
	Fidelity Advisor New Insights Class C (SEP IRA)	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul C. Broun, Jr.

DC	Fidelity Advisors New Insights Class C	$1,001 - $15,000	Dividends/CAPITAL GAIN Distributions	$1 - $200	
DC	First Eagle Global Class C	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
DC	First Eagle Global Class C	$15,001 - $50,000	CAPITAL GAIN Distributions	$1,001 - $2,500	
	First Eagle Global Class C (SEP IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Fiserve Trust Money Market Account (IRA)	$1 - $1,000	Interest	$1 - $200	
DC	John Hancock Classic Value Class C	$1,001 - $15,000	Capital Gains Distribution	$201 - $1,000	
	McIntosh Commercial Bank S-Corporation Stock (Only Asset Held in 33.33% Membership Interest in Broun Brother's Investments)	$250,001 - $500,000	LLC Company Membership Interest	$1,001 - $2,500	
	Rydex US Government Core Moneymarket Investor	$15,001 - $50,000	Dividends	$1,001 - $2,500	
DC	Rydex US Government Core Moneymarket Investor	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Synovus Financial Corporation, Inc. (Stock Held in Rollover IRA)	$1 - $1,000	Dividends	$1 - $200	
DC	Thornburg Investment Income Builder Fund Class C	$1,001 - $15,000	Capital Gains Distributions	$1 - $200	
DC	Thornburg Investment Income Builder Fund Class C	$1,001 - $15,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul C. Broun, Jr.

Total Systems Services, Inc. (Stock Held Rollover IRA)	$1,001 - $15,000	Dividends	$1 - $200	
Wachovia Corp.	$1,001 - $15,000	Dividends	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Paul C. Broun, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	First Eagle Global Class C	Purchase	Various - Capital Gains Reinvested	$1,001 - $15,000
	Bank of America	Purchase	Various - Dividends Reinvested	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Paul C. Broun, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Express	Credit Card	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Paul C. Broun, Jr.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. *Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under* the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
UGA Athletic Association	Dec. 31 - Jan 2	Athens - New Orleans - Athens	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Paul C. Broun, Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Liability Company Member	Broun Brothers Investments, LLC

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:23
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES MC
(Office Use Only)

Hon. Corrine Brown (202) 225-0123
(Full Name) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: FL District: 03	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name Hon. Corrine Brown | Page 2 of 4

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None / C $1,001 – $15,000 / E $50,001 – $100,000 / G $250,001 – $500,000 / I $1,000,001 – $5,000,000 / K $25,000,001 – $50,000,000	NONE / RENT / CAPITAL GAINS / Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None / III $201 – $1,000 / V $2,501 – $5,000 / VII $15,001 – $50,000 / IX $100,001 – $1,000,000 / XI Over $5,000,000	
SP	Mega Corp. Stock	X	X		S (partial)
DC, *Examples:*	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY Accounts		X		
	Bank of America	X		X	
	Wright Patman Congressional Federal Credit Union	X			

For additional assets and unearned income, use next page.

Name Hon. Corrine Brown | Page 3 of 4

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability								
				C $15,001-$50,000		E $100,001-$250,000		G $500,001-$1,000,000		I $5,000,001-$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
	Wright Patman Congressional F.C.U.	Loan - Personal									
	Bank of America	Loan - Revolving									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Int'l Longshoremen's Assoc	July 23-24, 2007	JAX - FLL - DCA	Y	Y	N	N/A
	CBC Leadership Political Education and Leadership Institute	October 12-13, 2007	JAX - DFW - MCO	Y	Y	N	N/A
	The American Shipbuilding Assoc	November 26-30, 2007	JAX - TPA - Ft. Myers - MIA - TLH	Y	Y	Y	2 Days

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Page 1 of 3
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 13 PM 4:18
(Office Use Only)

Henry E. Brown, Jr. (Full Name)		843-747-5802 (Daytime Telephone)	
Filer Status	☑ Member of the U.S. House of Representatives	State: SC District: 01	Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Henry E. Brown, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, *please specify* the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, *specify the* type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Cash-Bank of America	$1,001 - $15,000	INTEREST	$1 - $200	
	Cash-Congressional FCU	$1,001 - $15,000	INTEREST	$1 - $200	
	Cash-First Citizens-CD	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
	Cash-First Citizens-MM	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
JT	Cash-NBSC MM	$1,001 - $15,000	INTEREST	$1 - $200	
	Cash-Thrift Savings Plan	$100,001 - $250,000	INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Henry E. Brown, Jr.

	IRA-First Federal-CD	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	IRA-First Federal-CD	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Note Receivable-IJK, Properties, LLC	$250,001 - $500,000	INTEREST	$15,001 - $50,000	
	Note Receivable-Purvis Corner, LLC	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	Real Estate-1,500 + Acres, Farm Land, Berkeley Co.	$1,000,001 - $5,000,000	RENT	$5,001 - $15,000	
	Real Estate-6.5 acres, Airport Rd., Moncks Corner, SC	$50,001 - $100,000	CAPITAL GAINS	NONE	
	Real Estate-Harbor Square Apt-Washington, DC	$100,001 - $250,000	RENT	NONE	
	Real Estate-Lots-1050 & 1052 Dominion Drive, Hanahan, SC	$100,001 - $250,000	CAPITAL GAINS	NONE	
	Rental Real Estate-1212 Pine Ct	$50,001 - $100,000	RENT	NONE	
	Rental Real Estate-540 WoodSpring	$100,001 - $250,000	CAPITAL GAINS	NONE	
	Stock-General Electric	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Stock-Wachovia Corp.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Stock-Winstream	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:37
HC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Virginia "Ginny" Brown-Waite
(Full Name)

202-225-1002
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: FL District: 05 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Virginia "Ginny" Brown-Waite

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Florida, Tallahassee, FL	Pensions	$14,826
State of New York, Albany, NY	Spouse Pension	N/A
State of New York, Deferred Compensation	Deferred Compensation- converted in 2006, during year, to IRA- Edward Jones	$0
State of New York, Albany, NY	Pension	$18,304
State of Florida, Tallahassee, FL	Spouse Part Time Employment	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Virginia "Ginny" Brown-Waite

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the Instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1959 MG Collector Car	$15,001 - $50,000	None	n/a	
JT	Ameritrade Money Market	$15,001 - $50,000	Interest	$1 - $200	P
JT	Avaya	None	None	$0	S
JT	Bank of America	$15,001- $50,000	INTEREST	$1-$200	
JT	Blackrock FL Muni. Trust	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Capital One Money Market	$50,000- $100,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Virginia "Ginny" Brown-Waite

JT	Collier County Cap. Imp	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Cortez Bank CD	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
JT	Cortez Comm. Bank Stock	$1,001 - $15,000	None	SAME	
JT	Countrywide CD	$15,001 - $50,000	INTEREST	$0	
JT	Countrywide Money Market	$15,001 - $50,000	Interest	$0	P
JT	DC Property 826 L Street NE and rental income	None	RENT	$1,001 - $2,500 -'07 Rent	S
JT	Discover Money Market	$50,000 - $100,000	INTEREST	$2,501 - $5,000	
	Dreyfus IRA Growth Opportunity Fund	$1,001 - $15,000	None	$0	E
	Dreyfus IRA Midcap Value	$15,001 - $50,000	GROWTH	$0	
	Dreyfus IRA; Dreyfus Small Company Value (Dynatech)	$1,001 - $15,000	GROWTH	$0	
JT	FL Property- Drake Lane, Spring Hill, FL	$100,001 - $250,000	Ca/CAPITAL GAINS	$0	P
JT	Franklin Templeton; Foreign Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Franklin Templeton; Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Franklin Templeton; Sector Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Virginia "Ginny" Brown-Waite

JT	Hernando Tax Certificate	$1 - $1,000	INTEREST	$0	
JT	I Shares Japan	$1,001 - $15,000	GROWTH	$0	P
JT	II-VI Inc	$1,001 - $15,000	GROWTH	$0	P
JT	Indymac Bancorp	None	DIVIDENDS	$1 - $200	S
	IRA- Alvarion Ltd.	$1,001 - $15,000	None	$0	
	IRA- American High Income Mutual (mutual fund)	None	DIVIDENDS- Purchased same day as bond fund of America	$1 - $200	S
	IRA- AT&T Communications	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA- Cap Inc. Bldr. (mutual fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Cap. Inc. Builders (mutual fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	IRA- Cisco	$1,001 - $15,000	None	$0	
SP	IRA- Covidian (spin off of IRA-Tyco)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IRA- Duke Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Euro-Pacific Fund (mutual fund)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Virginia "Ginny" Brown-Waite

SP	IRA- Fundamental Inv. (mutual fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Fundamental Inv. (mutual fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	IRA- Growth Fund (mutual fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Growth Fund (mutual fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Harmonic	$1 - $1,000	NONE	n/a	
	IRA- Jabil Circut	None	None	$1 - $200	S
	IRA- Microsoft	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Money Market- Edward Jones	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	IRA- Money Market- Edward Jones	$1 - $1,000	INTEREST	$1 - $200	P
	IRA- Money Market- Roth IRA- Edward Jones	$1,001 - $15,000	INTEREST	$1 - $200	P
	IRA- Putnam Voyager (mutual fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- Spectra Energy (Spin Off of Duke)	$1- $15,000	DIVIDENDS	$1 - $200	
SP	IRA- Tech Data	$15,001 - $50,000	None	n/a	
SP	IRA- Time Warner	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Virginia "Ginny" Brown-Waite

SP	IRA- Tyco	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA- Tyco Electronics (spin off of IRA-Tyco)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA- Tyco International (spin off of IRA-Tyco)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- VanKampen AmValue (mutual fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	IRA- VanKampen Comstock (mutual fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	IRA- VanKampen Equity (mutual fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	IRA- VanKampen Int. Growth (mutual fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA- VanKampen Mid Cap Growth (mutual fund)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Jabil Circuit	None	n/a	$0	S
JT	JEA FL Water and Sewer	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	John Hancock Govt	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Lee Co. School Board	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Lot 27, Cherokee Lake, TN	$250,001 - $500,000	None	$0	
JT	Manulife	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Virginia "Ginny" Brown-Waite

SP	MetLife IRA Capital Appr. Fund	$15,001 - $50,000	None	$0	
JT	Miami Dade Arena Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Money Market - Edward Jones	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Nuance	$1,001 - $15,000	GROWTH	$0	P
JT	Quio Xing	$1,001 - $15,000	GROWTH	$0	P/S
SP	Roth IRA - Cap. Inc. Bldr. (mutual fund)	$1 - $1,000	DIVIDENDS	$1 - $200	
	Roth IRA - General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Roth IRA Home Depot	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Tata Motors	$1,001 - $15,000	GROWTH	$1 - $200	P/S
JT	US Savings Bond	$1,001 - $15,000	n/a	NONE	
	VanGuard Index Funds	$1,001 - $15,000	GROWTH	$1 - $200	P
JT	Walmart	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Virginia "Ginny" Brown-Waite

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	DC Property 826 L Street NE- rental income	S	6-07	$500,001 - $1,000,000
JT	Countrywide Money Market	P	12-18-07	$15,001 - $50,000
JT	Ameritrade Money Market	P	1-20-07	$1,001 - $15,000
JT	Tata Motors	P/S	2-9-07/ 3-2-07	$1,001 - $15,000
JT	Quio Xing	P/S	2-26-07/ 4-2-07	$1,001 - $15,000
JT	Nuance	P	1-3-07	$1,001 - $15,000
JT	VanGuard Index Funds	P	2-26-07	$1,001 - $15,000
JT	II-VI Inc	P	2-21-07	$1,001 - $15,000
JT	I Shares Japan	P	10-30-07	$1,001 - $15,000
JT	FL Property- Drake Lane, Spring Hill, FL	P	9-21-07	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Virginia "Ginny" Brown-Waite

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America	Mortgage on 826 L Street NE, Washington DC	$250,001 - $500,000
JT	Bank of America	Mortgage on Lot 27, Malard Bay, TN	$100,001 - $250,000
JT	Bank of America	Mortgage on Drake Lane, Spring Hill, FL	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Virginia "Ginny" Brown-Waite

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	August 13-19	Tampa-Berlin-Tampa	Y	Y	Y	0

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(Office Use Only)

Vernon G Buchanan
(Full Name)

941-556-4180 Toni L Poor
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: FL District: 13 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name: Vernon G. Buchanan

List the source, type, and amount of earned income form any source (other than your current employment by the U.S. Government) totaling $200 or more during preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source (include date of receipt for honoraria)	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA **Name:** Vernon G Buchanan Page **1** of **1**

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium A separate confidential list of charities receiving such payments must be files directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
	Assoc. of American Associations, Washington, DC	Speech	2/2/2006	$2,000
Example:	XYZ Magazine	Article	8/13/2006	$500
	NONE			

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during this year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P) sales (S), or exchanges (E) exceeding $1,000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

SP/DC/JT	Asset	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K Over $25,000,000	L	NONE	Rent	Capital Gains	Other - Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X $5,000,001 - $25,000,000	XI Over $25,000,000	Block E
SP	Mega Corp. Stock													K															S (partial)
DC	Sernon & Schuster																Royalties									X			
JT	1st Bank of Paducah KY accounts						X								X								X						
	Wachovia Bank - Sarasota, FL						X																						
	Wachovia Bank CMA - Sarasota, FL																				X								
	Aircraft Holding & Leasing, LLC - Sarasota, Florida - Aircraft Leasing									X					X									X					
	Graham Construction, LLC - Melbourne, Fl - Commercial Construction			X	X												Pritnship Inc								X				
	VB Motor Yachts - Vessel Mgmnt									X					X														
	VB Cruise Charters - Sarasota, Florida - Yacht Charters				X										X										X				
	Country Club Shores, LLC - Longboat Key, FL - Rental Property - 530 Bowsprit Ln									X					X														
	6430 Hollywood Blvd, LLC - Sarasota, Fl - Rental Property - 6430 Hollywood Blvd									X					X								X						
	Jamatt Financial, Inc. - Tampa, Fl			X																					X				
	Buchanan Automotive Holdings, Inc. - Sarasota, FL - Auto Dealer																												
	Catsemen Road Property, Sarasota, FL									X					X								X						
	Sarasota 500, LLC - Sarasota, FL - Auto Dealer										X						Pritnship Inc												
	996, Inc. - Sarasota, FL -Rental Property								X						X														
	1090 Management Co., LLC - Sarasota, FL (see holdings below)																												
	10-2002, LLC - Port Richey, FL - Auto Dealer									X							Pritnship Inc				X								
	10-3003, LLC - Port Richey, FL - Auto Dealer																Pritnship Inc												
	8-2001 LLC - St. Augustine, FL - Auto Dealer	X															Pritnship Inc											X	S
	600, LC - Cocoa, Fl. - Auto Dealer										X						Pritnship Inc								X				
	Elizabeth City, LLC - Elizabeth City, NC - Auto Dealer									X							Pritnship Inc												
	VB Investments, Inc						X										Dealrsp						X						
	Sands Point Condo - Longboat Key, FL - 225 Sands Point						X								X														
	VB Investments of Ocala, LLC - Ocala, Fl - Auto Dealer						X							X				X											
	VB Investments of Delaware, LLC - Pointe West										X				X										X				
	Oversight Capital Partners Ltd. - Tampa, FL			X																									
	Five Points Residential Investments, LLC - Real Estate Investment - Sarasota, FL									X					X		Pritnship Inc												
	Jamatt Properties, LLC - Real Estate Investments - Sarasota, FL										X				X										X				
	Commerce Bank Stock - Sarasota, FL				X																								
	Florida Capital Group - Jacksonville, FL						X																						

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and for Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "Unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000
Smith Barney 150-35073-1169												
Calamos Growth Fund						X						
SunTrust Securities, Sarasota, FL												
Meridian Value Fund	X											
Vanguard Value Index	X											
Vanguard Windsor II	X											
STI Classic MM	X											
Detriel Hesketh-Note Receivable				X								
Legg Mason IRA 66J026978 144												
Legg Mason Value Trust	X											
Morgan Stanley IRA												
Morgan Stanley Mid Funds	X											
Ishares MSCI Japan Index Fund	X											
Vanguard Info Tech VIP Index	X											
Vanguard Value Vipers Index	X											
General Motors Acceptance Corp 1 14-09 5.850%	X											
United States Treasury Note 1-31-07 3.125%	X											
SunTrust IRA F3R 1279721												
STI Prime Quality MM	X											
Banc Corp	X											
Vanguard Index S&P 500	X											
Fed Home Loan Bank 2009 4.375%	X											
US Treasury Notes 2010 3.875%	X											
Smith Barney IRA 150-60055-11169												
Oppenheimer Dev Markets Fund Class C (inadvertantly omitted from prior year)	X											
Oppenheimer Capital Approc Fund Class C				X								
Legg Mason Aggressive Growth Fund				X								
Smith Barney 150-60987-141 169												
Dollar Tree Stores	X											
Comcast Corp CLA SPL	X											
CB Richard Ellis Group CLA	X											
Mattel Inc DE	X											

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

	NONE	Rent	Interest	Capital Gains	Dividends	Other Type of Income (Specify: Ex: Example, Partnership Income or Farm Income)
Smith Barney 150-35073-1169						
Calamos Growth Fund				X		
SunTrust Securities, Sarasota, FL						
Meridian Value Fund						
Vanguard Value Index						
Vanguard Windsor II						
STI Classic MM						
Detriel Hesketh-Note Receivable						
Legg Mason IRA 66J026978 144						
Legg Mason Value Trust						
Morgan Stanley IRA						
Morgan Stanley Mid Funds						
Ishares MSCI Japan Index Fund						
Vanguard Info Tech VIP Index						
Vanguard Value Vipers Index						
General Motors Acceptance Corp 1 14-09 5.850%						
United States Treasury Note 1-31-07 3.125%						
SunTrust IRA F3R 1279721						
STI Prime Quality MM						
Banc Corp	X					
Vanguard Index S&P 500	X					
Fed Home Loan Bank 2009 4.375%						
US Treasury Notes 2010 3.875%						
Smith Barney IRA 150-60055-11169						
Oppenheimer Dev Markets Fund Class C (inadvertantly omitted from prior year)						
Oppenheimer Capital Approc Fund Class C	X					
Legg Mason Aggressive Growth Fund						
Smith Barney 150-60987-141 169						
Dollar Tree Stores	X					
Comcast Corp CLA SPL	X					
CB Richard Ellis Group CLA	X					
Mattel Inc DE	X					

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

	None	I $1,001 - $2,500	II $2,501 - $5,000	III $5,001 - $15,000	IV $15,001 - $50,000	V $50,001 - $100,000	VI $100,001 - $1,000,000	VII $1,000,001 - $5,000,000	VIII $5,000,001 - $25,000,000	IX $25,000,001 - $50,000,000	X Over $50,000,000	XI
Smith Barney 150-35073-1169												
Calamos Growth Fund												
SunTrust Securities, Sarasota, FL												
Meridian Value Fund					X							
Vanguard Value Index					X							
Vanguard Windsor II					X							
STI Classic MM					X							
Detriel Hesketh-Note Receivable												
Legg Mason IRA 66J026978 144												
Legg Mason Value Trust												
Morgan Stanley IRA												
Morgan Stanley Mid Funds												
Ishares MSCI Japan Index Fund												
Vanguard Info Tech VIP Index												
Vanguard Value Vipers Index						X						
General Motors Acceptance Corp 1 14-09 5.850%						X						
United States Treasury Note 1-31-07 3.125%				X								
SunTrust IRA F3R 1279721												
STI Prime Quality MM						X						
Banc Corp	X											
Vanguard Index S&P 500	X											
Fed Home Loan Bank 2009 4.375%				X								
US Treasury Notes 2010 3.875%												
Smith Barney IRA 150-60055-11169												
Oppenheimer Dev Markets Fund Class C (inadvertantly omitted from prior year)			X									
Oppenheimer Capital Approc Fund Class C				X								
Legg Mason Aggressive Growth Fund						X						
Smith Barney 150-60987-141 169												
Dollar Tree Stores	X											
Comcast Corp CLA SPL	X											
CB Richard Ellis Group CLA	X											
Mattel Inc DE	X											

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block E
Smith Barney 150-35073-1169	
Calamos Growth Fund	
SunTrust Securities, Sarasota, FL	
Meridian Value Fund	Trsfrd to AMA
Vanguard Value Index	Trsfrd to AMA
Vanguard Windsor II	Trsfrd to AMA
STI Classic MM	Trsfrd to AMA
Detriel Hesketh-Note Receivable	
Legg Mason IRA 66J026978 144	
Legg Mason Value Trust	
Morgan Stanley IRA	
Morgan Stanley Mid Funds	Trsfrd to Merrill Lynch
Ishares MSCI Japan Index Fund	Trsfrd to Merrill Lynch
Vanguard Info Tech VIP Index	Trsfrd to Merrill Lynch
Vanguard Value Vipers Index	Trsfrd to Merrill Lynch
General Motors Acceptance Corp 1 14-09 5.850%	Trsfrd to Merrill Lynch
United States Treasury Note 1-31-07 3.125%	S
SunTrust IRA F3R 1279721	
STI Prime Quality MM	Trsfrd to Merrill Lynch
Banc Corp	S
Vanguard Index S&P 500	S
Fed Home Loan Bank 2009 4.375%	S
US Treasury Notes 2010 3.875%	S
Smith Barney IRA 150-60055-11169	
Oppenheimer Dev Markets Fund Class C (inadvertantly omitted from prior year)	S
Oppenheimer Capital Approc Fund Class C	P
Legg Mason Aggressive Growth Fund	
Smith Barney 150-60987-141 169	
Dollar Tree Stores	P S
Comcast Corp CLA SPL	P S
CB Richard Ellis Group CLA	P S
Mattel Inc DE	P S

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vemon G. Buchanan

Block A — Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1 000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year For rental property or land, provide an address Provide full names of any mutual funds FOr a self directed IRA (i e , one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account FOr an IRA or retirement plan that is not self directed name the institution holding the account and provide its value at the end of the reporting period For an active business that is not publicly traded in Block A state the name of the business, the nature of the business, and its geographic location For additional information, see the instruction booklet for the reporting year

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U S Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

Block B — Valuation of Assets

At close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None"

Block C — Type of Income

Check all columns that apply Check "None" if asset did not generate any income during the calendar year

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below Dividends, even if reinvested, should be listed as income Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P) sales (S) or exchanges (E) exceeding $1 000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	A JT	B SP	C DC	D None	E $1 - $1,000	F $1,001 - $15,000	G $15,001 - $50,000	H $50,001 - $100,000	I $100,001 - $250,000	J $250,001 - $500,000	K $500,001 - $1,000,000	L Over $1,000,000	Block C NONE	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	Block D I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX Over $1,000,000	X Dividends	XI	Block E
Webbox Communications Inc													X					X											P S
Oppenheimer Devopa Market Fund		X											X					X											
Marathon Oil																													S (partial)
Omnicom Group																													S
Oracle			X										X					X											
Polycom Inc													X					X											S
Schwab Charles			X																										
UTX SPDR Trust																													S
Dreyfus Liquid Assets Inc			X																	X									
Adobe Systems Inc. (DE)			X																										
Caremark RX Inc													X					X											S
Cemer Corp			X										X					X											
Danaher Corp DE			X																										
Goldman Sachs Group, Inc.			X																										
Harris Corp. -Delaware			X																										S(partial)
ITT Industries Inc.			X																										
M & T Bank Corp	X																												S
McGraw Hill Cos Inc													X					X											S
Monsanto Co. New				X																									S(partial)
Am Does Ltd													X					X											S
Citrix Systems Inc.													X					X											S
Comscope			X										X					X											S(partial)
Lam Research			X										X					X											
Man Power Inc, Wis																													S
Network Appliance Inc	X												X					X											S
Principal Financial Group, Inc.																													S
Sonic Corp			X																										
Southern Co	X																												S
Garmin LTD			X																										S(partial)
Applera Corp Com Applied Bios Grp	X																												S
General Dynamics Corp			X																										
Informatica Corp	X												X					X											S

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans of accounts that do not allow you to choose specific investments, you may write "NA" for income in one or all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S) or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	Block B: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Block C: NONE	Dividends	Rent	Capital Gains	Interest	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	Block E
Janus Capital Group Inc			X												X														P
Nokia Corp Sponsored adr			X												X														P
Shering Plough Corp			X												X					X									P
Southern Copper Corp Del			X												X														P
Alcon Inc			X												X					X									P
Agilent Technologies Inc			X										X						X										P
Auto Deck Inc			X										X						X										P
Bucyrus International Inc cla			X												X					X									P
Cameron International			X										X						X										P
Cisco Sys Inc			X										X						X										P
Coca Cola Co			X												X					X									P
EMC Corp Mass			X										X						X										P
General Cable Corp			X												X					X									P
Stryker Corp			X												X					X									P
Teresa Corp new			X												X					X									P
Varian Inc			X										X						X										P
Waters Corp			X										X						X										P
Western Digital Corp			X										X						X										P
Sarasota Ford 401K (inadvertantly omitted from prior report)																													
NW Inv Dest Mod Aggr Sc (inadvertantly omitted from prior report)			X												X					X									
NW Inv Dest Mod Sc (inadvertantly omitted from prior report)			X												X					X									
Auto Central Services 401K (formerly Sarasota Ford IRA)																													
Nationwide Inv Dest Agg C (formerly Gartmore)			X												X					X									
Nationwide Inv Dest Mod Aggr C (formerly Gartmore)				X											X					X									
Nationwide Inv Dest Mod Sc (formerly Gartmore)				X											X					X									
NW Money Market (formerly Gartmore)			X												X					X									
Land - Columbia, TN (First Capital)				X									X						X										
Land - Murray KY							X									X													
Dry Dock - Boat Condo - Longboat Key, FL				X												X													
Sarasota Bradenton Aviation, Inc. - Bradenton FL - Hangar Rental									X							X													S
Sinclair Hills Property (inadvertantly omitted from prior report)	X																X								X				
130 N. Tamiami Trail, LLC - Sarasota FL - Rental Property								X								X													

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the opposite column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P,
S,
E

Block A	A None	B $1 - $1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K Over $25,000,000	L Over $50,000,000	NONE	Dividends	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II Dividends	III $1 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E
First Capital Corp. - Tampa, FL		X															X													
Morgan Stanley 026977-144																														
Morgan Stanley Bank MM	X																													
Vanguard Growth Vipers Index	X															X														Trsfrd to AMA
Neuberger Berman - Tampa, FL 06638-233																														Trsfrd to AMA
Florida Daily Muni Inc. Fund	X																													
Peabody Energy Corp	X															X							X						Trsfrd to AMA
Novagold Resources	X														X															Trsfrd to AMA
Regal Entmt Grp	X														X															Trsfrd to AMA
Conocophillips	X														X															Trsfrd to AMA
Deere Company	X			X											X						X									S
General Electric Co	X														X						X									Trsfrd to AMA
Waste Management, Inc	X														X															Trsfrd to AMA
WCA Waste Corp	X														X															Trsfrd to AMA S
Novartis	X														X															Trsfrd to AMA
Northrop Grumman	X														X						X									Trsfrd to AMA
Duke Energy Corp	X														X						X									S
Exelon Corp	X														X															Trsfrd to AMA
American Intermtl Grp	X														X						X									Trsfrd to AMA, S
Exxon Mobil	X														X						X									Trsfrd to AMA
Peabody Energy Corp	X														X						X									Trsfrd to AMA S
Gold Corp Inc	X														X						X									Trsfrd to AMA
AT&T, Inc	X														X						X									Trsfrd to AMA
ACGO Corp	X														X															Trsfrd to AMA
Canadian National Resources	X														X															S Trsfrd to AMA
NSTAR	X														X						X									Trsfrd to AMA
FPL Grp Inc	X														X								X							Trsfrd to AMA
BCE Inc	X														X															S, Trsfrd to AMA
Schlumberger	X														X						X									P, Trsfr to AMA
L3 Communications Holdng	X														X						X									P, Trsfr to AMA
Spectra Energy Corp	X														X						X									P, Trsfr to AMA
Nuvasea Corn New	X														X						X									P, Trsfr to AMA
	X														X						X									P, Trsfr to AMA

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block A: Asset and/or Income Source	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000
SunTrust Bank - Checking (3365)			X									
SunTrust Bank - Checking (6114)			X									
SunTrust Bank Checking (0808)		X										
Northern Trust Bank - Chicago, IL nt 505197												
Nuveen Inv Quality Muni Fund (now in Morgan Stanley Bank)	X											
Northern Trust Money Market Fund (Now in Morgan Stanley Trust Bank)	X											
Weilpoint Inc NT 12-15-09 4.250%	X											
Weyerhaeuser Co NT 12-15-09 5.250%	X											
General Mills Accep Corp 1-19-10 7.750%	X											
Haine Cnty Tax Healthy FACX Dev 12-1-35	X											
Merrill Lynch - Sarasota, FL 23V-10042												
Merrill Lynch Tax Exempt Fund	X											
US Treasury Note 30-00 12-31-06	X											
Merrill Lynch Bank Deposit	X											
Eaton Vance Tax Adv Fund	X											
Eaton Vance Dev Inc. TA GL D-T-28 Fund	X											
Eaton Vance TA GL-G-M Fund	X											
Goldman Sachs Muni CL A	X											
Florida Mun Power Agy 6.b3	X											
Merrill Lynch - Sarasota, FL 770-12467 & 23V-10414												
Goldman Sachs Muni CL A			X									
Merrill Lynch Bank Deposit							X					
Merrill Lynch Tax Exempt Fund			X									
Delaware Tax Free Income	X											
Merrill Lynch Bank Deposit	X											
Miami Dade City FL Sch	X							X				
Indiana Health Fac	X											

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A: Asset and/or Income Source	NONE	Rent	Dividends	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E: Transaction
SunTrust Bank - Checking (3365)							X										
SunTrust Bank - Checking (6114)							X										
SunTrust Bank Checking (0808)			X														
Northern Trust Bank - Chicago, IL nt 505197																	Trsfrd to Morgan Stan 04611 04947
Nuveen Inv Quality Muni Fund (now in Morgan Stanley Bank)	X					X											Trsfrd to Morgan Stan 04611 04947
Northern Trust Money Market Fund (Now in Morgan Stanley Trust Bank)	X																Trsfrd to Morgan Stan 04611 04947
Weilpoint Inc NT 12-15-09 4.250%	X					X											Trsfrd to Morgan Stan 04611 04947
Weyerhaeuser Co NT 12-15-09 5.250%	X					X											Trsfrd to Morgan Stan 04611 04947
General Mills Accep Corp 1-19-10 7.750%			X							X							Trsfrd to Morgan Stan 04611 04947
Haine Cnty Tax Healthy FACX Dev 12-1-35	X					X											Trsfrd to Morgan Stan 04611 04947
Merrill Lynch - Sarasota, FL 23V-10042			X						X								Trsfrd to ML 12467
Merrill Lynch Tax Exempt Fund										X							S
US Treasury Note 30-00 12-31-06								X									Trsfrd to ML 12467
Merrill Lynch Bank Deposit	X						X										S
Eaton Vance Tax Adv Fund	X						X										S
Eaton Vance Dev Inc. TA GL D-T-28 Fund	X						X										S
Eaton Vance TA GL-G-M Fund	X						X										Trsfrd to ML 12467
Goldman Sachs Muni CL A	X		X					X									S
Florida Mun Power Agy 6.b3	X																
Merrill Lynch - Sarasota, FL 770-12467 & 23V-10414							X										Trsfrd Fr ML 10042
Goldman Sachs Muni CL A			X									X					Trsfrd Fr ML 10042
Merrill Lynch Bank Deposit															X		Trsfrd Fr ML 10042
Merrill Lynch Tax Exempt Fund			X				X										P, S
Delaware Tax Free Income	X						X										Trsfrd to ML 124561
Merrill Lynch Bank Deposit	X												X				P
Miami Dade City FL Sch	X												X				P
Indiana Health Fac	X																

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e. one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Asset and/or Income Source	SP	DC	JT	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K Over $25,000,000	L	NONE	Dividends	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None	I $1 - $200	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI	Block E Transaction
Merrill Lynch - Sarasota, FL 770-12561																																		
Merrill Lynch Bank Deposit									X																X									Trading for Mt. 12467
Allegheny Energy Inc Com								X									X									X								P
Berkshire Hathaway CLB							X										X									X								P
Bear Stearns Cos Inc							X										X									X								P
Brookfield Asset Mgm							X										X								X									P
Bank New york Mellon								X									X									X								P
Cnooc Ltd ADR								X									X									X								P
El Paso Corporation								X									X								X									P
Encana Corp								X									X									X								P
Fannie Mae USA Com							X										X									X								P
GAO Gasprom Sponl Adr								X									X									X								P
Huaneng Pwr Intl spdr							X										X									X								P
Icici Bank Ltd spd adr							X										X								X									P
Imperial Oil Ltd Com New								X									X								X									P
Lehman Brothers Hldgs Com							X										X								X									P
Las Vegas Sands Corp								X									X								X									P
Legg Mason Inc							X										X								X									P
Loucsile Natl Corp									X								X								X									P
Morgan Stanley							X										X								X									P
Nasdaq Stock Market Inc									X								X									X								P
NYSE Euronet									X								X									X								P
Progressive crp Ohio							X										X								X									P
Reliant Energy Inc									X								X									X								P
Sierra Pacific Res New																	X									X								P
Student Loan Corp							X										X									X								P
Hong Kong Exchange							X									X						X												P
Beijing Cap Int Airpt H							X										X																	P
London STK Exchange Growth							X									X						X												P
1299 LC Venice FL Auto Dealer (combined with 1099 LC)													X								Partnership inc													
Bowling Green Dealership, LC Bowling Green, KY - Auto Dealer												X										X												
MB Acquisition & Leasing LLC (airplane)										X												X												

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period; and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is excluded only because it generated income, the value should be "None"

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	A	B	C	D	E	F	G	H	I	J	K	L	NONE	Rent	Capital Gains	Interest	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201-$1,000	III $1,001-$2,500	IV $2,501-$5,000	V $5,001-$15,000	VI $15,001-$50,000	VII $50,001-$100,000	VIII $100,001-$1,000,000	IX $1,000,001-$5,000,000	X Over $5,000,000	Block E
Lennar Realty, Inc. - Sarasota, FL - Real Estate Sales				X										X														
Menlo Park Condo - Menlo Park, CA - Investment																	Partnership Inc											
Speedwing Investments Co. - Apartment Building - W. Bloomfield, MI														X								X						P, S (partial)
Georgetowne Properties, LLC - Office Building - Bingham Farms, MI															X						X							
Creekwood Hotel, LLC - Bradenton, FL - Investment										X				X														
Beaver Creek, LLC - Avon, CO								X					X					X										
Club Ltd. - Club Cay, Bahamas													X					X										
MUB, Tampa, FL													X					X										
Gamble Oaks, LLC, Ellenton, FL - Loan													X					X										
Ellenton Edge, LLC, Ellenton, FL - Loan													X					X										
Cortez Landings, LLC, Bradenton, FL - Loan																												
Loan to Sarasota 500, LLC, Sarasota, FL																												
Buchanan Investment Co., LLC - Real Estate & Stocks				X													Partnership Inc											
UBS Financial Services					X									X														
Auto Central Services, Inc. - Sarasota, FL - Management Co.		X											X					X										
Auto Liquidators of SW Florida, Inc. - Remote Auto Sales														X														
Wachovia (was South Trust Bank), Sarasota, FL														X														
SunTrust Bank, Orlando, FL (3305)														X														
IXXA Dividend Plan, Providence, RI													X					X										
901 Oxford, LLP																												
Orange Park, LLC													X					X										P
Boca Grande Real Estate Interest													X					X										P
Condo Lemon Bay Englewood, FL - 1112 S McCall Rd													X					X										
House Eden Dr Englewood, FL - 544 Eden Dr																												
Marshall & Ilsley Bank (Formerly Gold Bank) 7-18-07 4.25%				X									X					X										Trsfrd to MK 0301
Ed Buchanan Note - Sarasota, Florida															X						X							Trsfrd to MK 0301
AmSouth Brokerage Acct 4WO-818928															X						X							Trsfrd to MK 0301
Pioneer Tax Free Money Mkt Fund															X								X					Trsfrd to MK 0301
Montgomery Cnty AL W7S															X													Trsfrd to MK 0301
Chicago, ILL Pk Dist RFDG - Alt Rev															X													Trsfrd to MK 0301
Cuyahoga, Washington Cnty Ore Sch Dist															X													Trsfrd to MK 0301
Hammond, Ind multz Sch Bldg Corp First															X													

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"

	A	B	C	D	E	F	G	H	I	J	K	L
	None	$1 - $1,000	$1,001 - $15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	Over $25,000,000	Over $50,000,000
Nassau County, NY Interim Fin Auth												
Morgan Keegan - 8367301												
Chicago, ILL Pk Dist RFDG - Alt Rev							X					
Los Fresnos TX Con Inv Dept						X						
Lafayette LA Utls Rev MBIA Elec Lt & Pwr Impts						X						
Montgomery Cnty AL W7S												
LA Loc Govt Envir FAC					X							
Mississippi Dev Bank spl Obig						X						
Clackamas, Washington Cnty Ore Sch Dist					X							
Hammond, Ind multz Sch Bldg Corp First					X							
Kentucky HSG Corp HSG Rev Bnds					X							
Nassau County, NY Interim Fin Auth				X								
Cash Account				X								
High Aspen Ranch Property Holdings, LLC - Land in Colorado								X				
DC SunTrust IRA - Matt Buchanan, DC F3R-114499												
DC STI Classic Prime Quality MMKT		X										
DC Vanguard Index Trust S&P 500												
DC Sun Trust Roth IRA - Matt Buchanan, DC F3R-114634												
DC STI Classic Prime Quality MMKT		X										
DC *Allundar Trust Index 500 Class B*												
DC Raymond James, Matt Buchanan 32119185												
DC California Pizza Kitchen	X											
DC Spectra Link	X											
DC Icon Interactive Intelligence	X											
DC Cash	X											
DC Biomed Realty Trust	X											
DC Linsco - Matt Buchanan - 7565-3746												
DC Cash		X										
DC California Pizza Kitchen			X									
DC Biomed Realty Trust			X									
DC Icon Interactive Intelligence	X											
DC International Equity Fund			X									

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

	NONE	Dividend	Rent	Capital Gains	Interest	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)
Nassau County, NY Interim Fin Auth						
Morgan Keegan - 8367301						
Chicago, ILL Pk Dist RFDG - Alt Rev			X			
Los Fresnos TX Con Inv Dept			X			
Lafayette LA Utls Rev MBIA Elec Lt & Pwr Impts			X			
Montgomery Cnty AL W7S						
LA Loc Govt Envir FAC						
Mississippi Dev Bank spl Obig			X			
Clackamas, Washington Cnty Ore Sch Dist						
Hammond, Ind multz Sch Bldg Corp First			X			
Kentucky HSG Corp HSG Rev Bnds			X			
Nassau County, NY Interim Fin Auth	X					
Cash Account			X			
High Aspen Ranch Property Holdings, LLC - Land in Colorado						Primship Inc
DC SunTrust IRA - Matt Buchanan, DC F3R-114499						
DC STI Classic Prime Quality MMKT		X				
DC Vanguard Index Trust S&P 500						
DC Sun Trust Roth IRA - Matt Buchanan, DC F3R-114634						
DC STI Classic Prime Quality MMKT		X				
DC *Allundar Trust Index 500 Class B*						
DC Raymond James, Matt Buchanan 32119185						
DC California Pizza Kitchen		X				
DC Spectra Link						
DC Icon Interactive Intelligence	X					
DC Cash			X			
DC Biomed Realty Trust		X				
DC Linsco - Matt Buchanan - 7565-3746						
DC Cash			X			
DC California Pizza Kitchen		X				
DC Biomed Realty Trust		X				
DC Icon Interactive Intelligence		X				
DC International Equity Fund		X				

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

	I	II	III	IV	V	VI	VII	VIII	IX	X	XI
	None	$1 - $200	$201-$1,000	$1,001-$2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001-$100,000	$100,001 - $1,000,000	$1,000,001-$5,000,000	Over $5,000,000
Nassau County, NY Interim Fin Auth											
Morgan Keegan - 8367301											
Chicago, ILL Pk Dist RFDG - Alt Rev							X				
Los Fresnos TX Con Inv Dept											
Lafayette LA Utls Rev MBIA Elec Lt & Pwr Impts											
Montgomery Cnty AL W7S					X						
LA Loc Govt Envir FAC					X						
Mississippi Dev Bank spl Obig											
Clackamas, Washington Cnty Ore Sch Dist					X						
Hammond, Ind multz Sch Bldg Corp First					X						
Kentucky HSG Corp HSG Rev Bnds											
Nassau County, NY Interim Fin Auth	X										
Cash Account											
High Aspen Ranch Property Holdings, LLC - Land in Colorado									X		
DC SunTrust IRA - Matt Buchanan, DC F3R-114499											
DC STI Classic Prime Quality MMKT											
DC Vanguard Index Trust S&P 500											
DC Sun Trust Roth IRA - Matt Buchanan, DC F3R-114634											
DC STI Classic Prime Quality MMKT											
DC *Allundar Trust Index 500 Class B*											
DC Raymond James, Matt Buchanan 32119185											
DC California Pizza Kitchen											
DC Spectra Link											
DC Icon Interactive Intelligence	X										
DC Cash											
DC Biomed Realty Trust											
DC Linsco - Matt Buchanan - 7565-3746											
DC Cash											
DC California Pizza Kitchen											
DC Biomed Realty Trust											
DC Icon Interactive Intelligence											
DC International Equity Fund											

Block E — Transaction

Indicate if the asset had purchases (P) sales (S), or exchanges (E) exceeding $1,000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Nassau County, NY Interim Fin Auth	Trsfrd to MK 0301
Morgan Keegan - 8367301	
Chicago, ILL Pk Dist RFDG - Alt Rev	Trsfrd Fr AmSth S
Los Fresnos TX Con Inv Dept	P
Lafayette LA Utls Rev MBIA Elec Lt & Pwr Impts	P
Montgomery Cnty AL W7S	Trsfrd Fr AmSth S
LA Loc Govt Envir FAC	P
Mississippi Dev Bank spl Obig	P
Clackamas, Washington Cnty Ore Sch Dist	Trsfrd Fr AmSth
Hammond, Ind multz Sch Bldg Corp First	Trsfrd Fr AmSth
Kentucky HSG Corp HSG Rev Bnds	P
Nassau County, NY Interim Fin Auth	Trsfrd Fr AmSth
Cash Account	P
High Aspen Ranch Property Holdings, LLC - Land in Colorado	S (partial)
DC SunTrust IRA - Matt Buchanan, DC F3R-114499	
DC STI Classic Prime Quality MMKT	
DC Vanguard Index Trust S&P 500	
DC Sun Trust Roth IRA - Matt Buchanan, DC F3R-114634	
DC STI Classic Prime Quality MMKT	
DC *Allundar Trust Index 500 Class B*	
DC Raymond James, Matt Buchanan 32119185	
DC California Pizza Kitchen	Trsfrd to Linsco
DC Spectra Link	S
DC Icon Interactive Intelligence	P Trsfrd to Linsco
DC Cash	Trsfrd to Linsco
DC Biomed Realty Trust	Trsfrd to Linsco
DC Linsco - Matt Buchanan - 7565-3746	
DC Cash	Trsfrd Fr RJ 9185
DC California Pizza Kitchen	Trsfrd Fr RJ 9185
DC Biomed Realty Trust	Trsfrd Fr RJ 9185
DC Icon Interactive Intelligence	Trsfrd Fr RJ 9185
DC International Equity Fund	P

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide the address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that includes the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

Indicate the reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	Block B: A Blank	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K Over $25,000,000	L	Block C: NONE	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	Block D: I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X $5,000,001 - $25,000,000	XI Over $25,000,000	Block E
DC	Genisping - Matt Buchanan																													P
DC	STI Classic MM Fund			X												X				X										
DC	SunTrust Matt Buchanan FSF 000787																													
DC	STI Classic Prime Quality Money Mkt		X												X					X										
DC	Vanguard Index Trust 500 Class B				X															X										
	SunTrust Bank Sarasota, FL																													
	AA Rigdakem Gengua 36-day Tax Exempt Bond 1.55%	X														X							X							S
	Loan to Bill McKissick	X												X					X											S
	Loan to Mark Brivik	X												X					X											S
	Loan to Brenda Hayes	X		X										X					X											
	Loan to Leonard Johnson	X		X																X										S
	Loan to Black Mountain Ford, LLC & Black Mountain Chevrolet, LLC						X									X								X						
	Loan to Sam Kuman Premier Dodge						X										X									X				
	Loan to Kevin Brodsky					X								X					X											
	AmSouth 4W0-011210																													
	Pioneer Tax Free Money Mkt Fund	X														X						X								Trsfrd to MK 5534
	Tewksbury, Mass 3.0%	X														X						X								Trsfrd to MK 5534
	Massachusetts St Wtr Pollution Abate	X														X						X								Trsfrd to MK 5534
	Upper Trinity Regl Wtr Dist Tax Wtr Rev	X														X						X								Trsfrd to MK 5534
	Arizona Wtr Infrastructure Fin	X														X						X								Trsfrd to MK 5534
	Fond Du Lac, Wis Sch Dist RFDG	X														X						X								Trsfrd to MK 5534
	Morgan Keegan 807235534																													
	Tewksbury, Mass 3.0%	X														X						X								Trsfrd Fr AS 1210, S
	Arizona Wtr Infrastructure Fin	X														X						X								Trsfrd Fr AS 1210, S
	Massachusetts St Wtr Pollution Abate						X									X						X								Trsfrd Fr AS 1210
	Upper Trinity Regl Wtr Dist Tax Wtr Rev						X									X						X								Trsfrd Fr AS 1210
	Fond Du Lac Wis Sch Dist RFDG						X									X						X								Trsfrd Fr AS 1210
	Kentucky HSG Corp HSG Rev Bonds					X										X						X								P
	Health Care Authority for baptist						X									X														P
	Charleston SC Wtr Wst & Swr						X									X														P

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	NONE	Rent	Interest	Capital Gains	Dividends	Other: Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $201-$1,000	III $1,001-$2,500	IV $2,501-$5,000	V $5,001-$15,000	VI $15,001-$50,000	VII $50,001-$100,000	VIII $100,001-$1,000,000	IX $1,000,001-$5,000,000	X $5,000,001-$25,000,000	XI Over $25,000,000	Block E
Mississippi Dev Bank spl						X																		X						P
AMA (Asset Management Advisors, A SunTrust Affiliate)																														
Illinois Fin Auth Rev	X												X						X											S
Jefferson PAR LA HSP SVC DST I HSP Rev							X						X						X											
Washington ST CTFS DARTW	X														X						X									S
Elkhart, Ind Pk Dist						X									X						X									
Burlington Iowa						X							X						X											
Salt Rvr Proj Ariz Agri Imp						X									X							X								
Will Cnty, ILL Sch Dist No 122						X									X							X								
Kansas Cnty Wash Pub							X								X							X								
Illinois Hsg Dev Auth	X						X								X							X								S
North Charleston, SC CTFS Partn						X							X		X				X			X								
Orlando & Orange Cnty Expwy Rv							X								X								X							
Kent Wash						X									X									X						
Mid Cons Mkt Dist No Colo	X						X								X									X						S
Rowlett, Tex						X									X									X						
Energy Northwest Wash Elect Rev							X								X								X							
Pittsburgh, PA						X									X									X						
Iowa Fin Auth Health Care						X							X		X				X					X						
Vanlex Indpt Sch Dist	X														X									X						S
North Carolina MMM Pwer No 1						X									X								X							
Michigan St Strategic FD Ltd						X									X								X							
Collier Cnty FL Indl DV Health							X								X									X						
North Carolina Cap Facs Fin Agenty	X												X		X				X											S
AMA US Equity Op Fund QP LP								X						X													X			
AMA International Equity FUnd								X						X													X			
Abbey Capital ACL ALT Fund Ltd									X					X											X					
AMA Hedged Equity Fund QPLP								X						X													X			P
Greenlight Masters LP								X						X													X			
LH Global Long/Short Fund LP								X						X													X			

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period; and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investment(s)), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or as jointly held (JT), in the optional column on the far left.

Block B

Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"

Block C

Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year

Block D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E

Transaction

Indicate if the asset had purchases (P), sales (S) or exchanges (E) exceeding $1,000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Asset and/or Income Source	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	NONE	Dividends	Rent	Capital Gains	Capital Gains Real Estate	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Transaction
Lighthouse Low Volatility FD OP																								X						P
Lyncar Multi Mgr Fund Qp LP																														
Portella Potamos Fund LLC																								X						
Silver Creek Equity Adv Fund LP									X																	X				
Silver Creek Low Vol Strat III										X																X				S (partial)
Elkhart Cty Ind Corrctn Complex Bldg CP							X																X							P
Hampshire Bl Spl Svc Area No 9 Spl Tax					X																		X							P S (partial)
University North Fla Fing CP Cap Imp Rev 4 125% 11/01/17							X																X							P
Boiingbrook Il Pk Dist Fin Corp 5.25% 01/01/18					X								X						X											P
Cook Cty Il					X																									P
Pettis Cty Mo Sch Dist 200					X								X						X											P
Florida Gulf Coast Univ Fing Fla Cap Imp 4.25% 02/01/27							X																X							P
American Intermtl Grp				X																										Trstrd Fr NB 8233
AGCO Corp													X						X											Trstrd Fr NB 8233
AT&T Corp Com					X																X									Trstrd Fr NB 8233
Deere & Co					X																									Trstrd Fr NB 8233
Duke Energy Corp Com New				X																	X									Trstrd Fr NB 8233
Exelon Corp Com					X																X									Trstrd Fr NB 8233
Exxon Mobil Corp Com				X																	X									Trstrd Fr NB 8233
FPL Group Inc Com				X																	X									Trstrd Fr NB 8233
General Elec Co Com				X																	X									Trstrd Fr NB 8233
L 3 Communication Hldgs Com				X																	X									Trstrd Fr SunSec
Meridian Fd Inc Value Fd						X										X									X					Trstrd Fr SunSec
NSTAR Com					X																X									Trstrd Fr NB 8233
Nutraceu Com New			X										X						X											Trstrd Fr NB 8233
Patriot Coal Corp Com				X									X						X											P
Regal Entmt Grp Cl. A				X																	X									Trstrd Fr NB 8233
Peabody Energy					X																									Trstrd Fr NB 8233, S (part
Spectra Energy Corp Com				X																	X									Trstrd Fr NB 8233
Vanguard Index Fds Growth ETF (formerly Vanguard Growth Vipers Index)						X															X									Trstrd Fr M Stan 144

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. FOR a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. FOR an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because a generated income, the value should be "None"

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

Block E — Transaction

Indicate if the asset had purchases (P) sales (S), or exchanges (E) exceeding $1,000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Block C: None	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E
Vanguard Index Fds Value PTHL (formerly Vanguard Value Index)																													Trsfrd Fr SunSec
Vanguard Windsor II						X										X								X					Trsfrd Fr SunSec
Waste Mgmt Inc Del Com				X																									Trsfrd Fr NB 8233
WCA Waste Corp Com				X									X					X											Trsfrd Fr NB 8233
RCE Inc Com New				X																X									Trsfrd Fr NB 8233
Canadian Natl Res Ltd Com					X																								Trsfrd Fr NB 8233
Novartis A G Sponsored ADR				X									X					X											Trsfrd Fr NB 8233
Schlumberger Ltd Com					X																								Trsfrd Fr NB 8233
Goldcorp Inc New Com					X																								Trsfrd Fr NB 8233
Novagold Res Inc Com New				X									X					X											Trsfrd Fr NB 8233
AP Opportunities Fund L P									X				X					X											P
Abbey Capital Macro Fund								X					X					X											P
Spring Point Opportunity Partners LP									X				X					X											P
Lee Mem Health Sys Fla Hosp Rev	X																												P S
STI Classic FD-Tax Exempt Mkt									X																	X			
Buchanan Reinsurance, Ltd - Sarasota, FL																													
SunTrust 5820674																													
STI Classic FDS Prime Quality Money Mkt FD	X												X					X											Trsfrd to ST 5820671
STI Classic FDS - US Governmt Secs Money Mkt	X																												Trsfrd to ST 5820671
SunTrust 5820671																													
STI Classic FDS Prime Quality Money Mkt FD								X							X									X				partial trsf Fr 5820674
STI Classic FDS Prime Quality Money Mkt F2							X								X					X								partial trsf Fr 5820674
Proctor & Gamble				X											X					X									
Bank of America			X												X					X									
Citigroup			X												X					X									
Chase			X												X					X									
SPDR TR Unit Ser 1 Std & Poors						X																X							
SunTrust F3F-000633																													
STI Classic Prime Quality Money Mkt			X													X				X									

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B

Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"

Block C

Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

P, S, E

Block A: Asset and/or Income Source	Block B: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Block C: NONE	Rent	Capital Gains	Dividends	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X $5,000,001 - $25,000,000	XI Over $25,000,000	Block E: Transaction
Vanguard Total Stock Market						X																							
Empire Financial Group #27010824																													
Dod Cov Int Stock					X									X					X										P
LPL Securities 3819-1490																													
Premier Money Market							X								X				X										P
Morgan Stanley PWG 10/04611 & 10/04947																													
STIT Liq Assets Money Market	X														X											X			Trsfrd Fr AMA, S
GMAC LLC 7.75 %	X														X														Trsfrd Fr NTrust, S
Weyerhaeuser Co 5.25%	X														X						X								Trsfrd Fr NTrust, S
Wellpoint Inc 4.25%	X														X						X								Trsfrd Fr NTrust, S
Hamo Cnty Tex Health Facs Dev 3.55%	X														X							X							Trsfrd Fr NTrust, S
Morgan Stanely Bank	X							X							X								X						Trsfrd Fr NTrust, P
Morgan Stanley Trust Bank	X					X									X						X								Trsfrd Fr NTrust, P
Morgan Stanely A3-10211																													
Cook Cnty Ill 5.25%						X									X					X									P
Madison Wis Ice Arenas Dev B						X									X						X								P
Bolingbrook Ill 5.375%						X									X						X								P
Texas ST Pub Fin Auth Bldg Rev						X									X														P
Brownsvile Tex Ind Pt Sch Dist						X							X		X			X											P
Moorevile NC CTFS Partn						X									X														P
Ohio St Bldg Auth						X									X						X								P
Rhode Island St Econ Dev Corp						X									X						X								P
Snohomish Cnty Wash Sch Dist						X									X						X								P
Wisconsin St Health & Educ Facs						X									X					X									P
Chicago Ill Wastewater Transmiss						X									X						X								P
Dallas Cnty Tex 5.25%						X									X														P
Greenfield Wis Sch Dist Go Ref B						X									X					X									P
Henderson Nev 5.25%						X									X														P
Mississippi Dev Bk Spl Oblig						X									X														P
Arkansas ST Fed Hwy Grv Anti C-A						X									X														P

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (Unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

as close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P) sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P,
S,
E

Block A	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K Over $25,000,000	L Over $50,000,000	NONE	Rent	Capital Gains	Other: Type of Income (Specify: For Example Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Block E
California ST Pub Voks Brd Lease						X													X									P
AIM IFITCASH RSRV Port						X													X									P
Torrey International Fund Morgan Stanley 10/05/98										X			X				X											P
Merrill Lynch IRA 770-82590																												
AFLAC Inc			X																X									P
Agilent Industries			X																X									P
Ambac Fincl Grp NY			X																X									P
American Std Cos (now trane)																			X									P, E
American Tower Corp																			X									P
Apollo Group Inc			X																X									P S
Bed Bath Beyond			X																X									P
Block HR Inc			X																X									P
Blount Intl Inc			X																X									P
Brown & Brown Inc			X																X									P
Cardinal Health Inc -Ohio			X																X									P S
Carmax Inc			X																X									P
Comcast Crp			X																X									P
Commerce Bancorp	X																		X									P S
Costco Wholesale			X																X									P S
Covan Communications			X																X									P S
Covidien LTD			X																X									P
Dell Inc			X																X									P
Discover Financial Svcs			X																X									P
Disney Co Com St A			X																X									P
E Trade Financial Corp			X																X									P
everest Re			X																X									P
Expedia Inc	X																		X									P S
Federal Home Loan Bank Bonds 2009 4 375%																												Issued Fr ST 10/1972 S
First Data Corp (Texas Instruments)																			X									P E

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you, use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	Block B: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Block C: NONE	Rent	Interest	Capital Gains	Dividends	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	Block D: I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	Block E
Fiserv			X																	X									P
FPIC Insurance Group			X																	X									P
Franklin EL Co			X																	X									P, S
Garmin Inc				X																X									P
Google Inc				X																X									P
Group Televisa SA			X																	X									P
Harley Davidson Inc			X																	X									P, S
Home Depot Inc			X																	X									P
IDEXX Labs Inc			X																	X									P, S
Iron Mtn Inc			X																	X									P
Johnson & Johnson Com			X																	X									P
Legg Mason Inc			X																	X									P
Lincare Hldgs Inc			X																	X									P
Lowes Companies Inc			X																	X									P
Market Corp Com				X																X									P
MBIA Inc			X																	X									P
Microsoft Corp				X																X									P
Moles Inc -non voting c. A			X																	X									P, S
Mowhawk Industries Inc			X																	X									p,s
NetFLIX Com Inc				X																X									P
News Corp			X																	X									P
Omnicare Inc			X																	X									p,s
Renaissancere Hldgs Inc			X																	X									P
Sealed Air Corp			X																	X									P
Sears Holding Corp			X																	X									p,s
Shaw Group			X																	X									P
Sigma Aldrch Corp			X																	X									P
SK Telecom			X																	X									P
Sprint Nextel			X																	X									P

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"

	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K Over $25,000,000	L Over $50,000,000
Superior Bancorp	X											
Target Corp			X									
Tenaris Instruments			X									
Texas Instruments				X								
Thermo Fisher Scientific Inc	X											
Trane Inc.			X									
Trans Ocean Inc			X									
transatlantic holdgs			X									
Tyco Int'l LTD			X									
Tyco Electronics LTD			X									
United Health Group			X									
Vanguard Info Tech	X											
Virgin Media Inc			X									
WABCO Holdings Inc			X									
Wachovia Corp			X									
WPP Group PLC ADR	X											
Western Uni Co			X									
Whole Foods Mkt Inc			X									
WPP Group Inc	X											
Yum Brands Inc			X									
US Treasury Note	X											
cash/money market accts				X								
Merrill Lynch-IRA-770-82692												
ISHARES MSCI Japan Index	X											

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year

	None	Dividends	Rent	Capital Gains	Interest	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)
Superior Bancorp		X				
Target Corp		X				
Tenaris Instruments		X				
Texas Instruments		X				
Thermo Fisher Scientific Inc		X				
Trane Inc.		X				
Trans Ocean Inc		X				
transatlantic holdgs		X				
Tyco Int'l LTD		X				
Tyco Electronics LTD		X				
United Health Group		X				
Vanguard Info Tech		X				
Virgin Media Inc		X				
WABCO Holdings Inc		X				
Wachovia Corp		X				
WPP Group PLC ADR		X				
Western Uni Co		X				
Whole Foods Mkt Inc		X				
WPP Group Inc		X				
Yum Brands Inc		X				
US Treasury Note			X			
cash/money market accts			X			
Merrill Lynch-IRA-770-82692						
ISHARES MSCI Japan Index		X				

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

	I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI
Superior Bancorp											
Target Corp		X									
Tenaris Instruments		X									
Texas Instruments		X									
Thermo Fisher Scientific Inc		X									
Trane Inc.		X									
Trans Ocean Inc		X									
transatlantic holdgs		X									
Tyco Int'l LTD		X									
Tyco Electronics LTD		X									
United Health Group		X									
Vanguard Info Tech				X							
Virgin Media Inc		X									
WABCO Holdings Inc		X									
Wachovia Corp		X									
WPP Group PLC ADR		X									
Western Uni Co		X									
Whole Foods Mkt Inc		X									
WPP Group Inc		X									
Yum Brands Inc		X									
US Treasury Note					X						
cash/money market accts					X						
Merrill Lynch-IRA-770-82692											
ISHARES MSCI Japan Index		X									

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block E
Superior Bancorp	trans fr sunburst 107972 S
Target Corp	P
Tenaris Instruments	P
Texas Instruments	P
Thermo Fisher Scientific Inc	P,S
Trane Inc.	P S
Trans Ocean Inc	P
transatlantic holdgs	P
Tyco Int'l LTD	P
Tyco Electronics LTD	P
United Health Group	P
Vanguard Info Tech	trans from morstanley 26788 144 S
Virgin Media Inc	P
WABCO Holdings Inc	P S
Wachovia Corp	P
WPP Group PLC ADR	P,S
Western Uni Co	P
Whole Foods Mkt Inc	P
WPP Group Inc	P
Yum Brands Inc	P
US Treasury Note	Trans Fr ST 107972, S
cash/money market accts	Trans Fr ST 107972 & MStan 26978 144
Merrill Lynch-IRA-770-82692	
ISHARES MSCI Japan Index	trans fr m stan 26978 144, S

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and donated/gifted, because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A: Asset and /or Income Source	Block B: A Jointly	B SP	C DC	D None	E $1 - $1,000	F $1,001 - $15,000	G $15,001 - $50,000	H $50,001 - $100,000	I $100,001 - $250,000	J $250,001 - $500,000	K $500,001 - $1,000,000	L $1,000,001 - $5,000,000	M Over $5,000,000	Block C: NONE	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I $200 - $1,000	II $1,001 - $2,500	III $2,501 - $5,000	IV $5,001 - $15,000	V $15,001 - $50,000	VI $50,001 - $100,000	VII $100,001 - $1,000,000	VIII Over $1,000,000	Block E: Transaction
cash/money market accts			X													X												Israel Fr ML 77082590
Agrium Inc			X												X						X							P
Allied Irish Banks SP ADR			X												X						X							P
Aluminum Corp of China	X														X						X							P, S
AU Optronics Corp ADR			X												X						X							P
AXA ADR			X												X						X							P
Bancolombia SA SP ADR			X												X						X							P
BASF AG Sponsored ADR			X												X						X							P
British AMN Tobacco SP ADR			X												X						X							P
Canadian Pacific Railway			X												X						X							P
Canon Inc ADR			X												X						X							P
Centrica PLC			X												X						X							P
Cemex SAB De CV SP ADR			X												X						X							P
CIA DE Minas Buenaventura ADR			X												X						X							P, S
CIA Vale Do Rio Doce AD	X														X						X							P
Coca Cola Femsa SP ADR			X												X						X							P
Companhia D Saneamento BSCO			X												X						X							P
Copel Paraiba ADR			X												X						X							P
Deutsche Group SP ADR			X												X						X							P
Deutsche BK AG Reg Shs			X												X						X							P
Diageo PLC SP ADR New			X												X						X							P
Dryships Inc			X												X						X							P
Fording CDN Coal TR			X												X						X							P, S
Frontline LTD	X														X						X							P
Glaxosmithkline PLC ADR			X												X						X							P
HSBC Hldg PLC			X												X						X							P, S
Huaneng Pwr Intl SP ADR			X												X						X							P, S
Imperial Chem Ind ADR	X														X						X							P
ING GP NV SP ADR			X												X						X							P
Ingersoll Rand CO LTD			X												X						X							P
Korea Elect Power SP ADR			X												X						X							P

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
	None	$1 - $1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	Over $50,000,000		NONE	Rent	Interest	Dividends	Capital Gains	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	None	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000		
KT Corp			X																	X										P
Magna Intl Inc CLA VTG			X																	X										P
Mcmanex Corp			X																	X										P
Metso Corp			X																	X										P
Nissan Mtr LTD SPN ADR			X																	X										P
Norsk Hydro AS SP ADR			X																	X										P
Petroleo Bras VTG SP ADR			X																	X										P S
Petro Canada			X																	X										P
Posco Sp ADR			X																	X										P, S
Renaissancere Holdgs Inc			X																	X										P
Sasol LTD SP ADR			X																	X										P
Seagate Technology			X																	X										P
Siemens AG			X																	X										P
Siliconware Precsn SP ADR			X																	X										P
Sinopec Shanghai ser ADR			X																	X										P
SK Telecom			X																	X										P
Statoilhydor ASA			X																	X										P
Stora Enso OYJ SP ADR			X																	X										P
Tech Cominco LTD			X																	X										P
Teekay Corp			X																	X										P
Telefonos M SA RP L ADR			X																	X										P
Telmum S A			X																	X										P
TeVA Pharmaceut Inds			X																	X										P
Transcanada Corp			X																	X										P
Unilever New PLC ADR			X																	X										P
United Utilities PLC SP ADR			X																	X										P
Volvo Aktiebolaget ADR			X																	X										P
Vodafone CEL PLC Sponsored ADR			X																	X										P S
Merrill Lynch IRA 770-82891																															
GMAC SR Bond 1/14/2009																				X										transit trm start 2/8/78 144

505

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Page 2D of 23

Block A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchased (P) sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	A None	B $1 - $1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K Over $25,000,000	L	NONE	Rent	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201-$1,000	III $2,501 - $5,000	IV $5,001 - $15,000	V $15,001 - $50,000	VI $50,001 - $100,000	VII $100,001 - $1,000,000	VIII Over $1,000,000	IX	X	XI	Block E
Vanguard Value																		X										144, S
cash/money market accounts																												P
ABB Ltd																		X										P
Abbott Labs																		X										P
Abercrombie & Fitch Co																		X										P, S
Adobe Sys PV$ 01																		X										P, S
Allel Corp Del																		X										P
Altria Corp																		X										P, S
Amdocs Limited																		X										P, S
Amer Express Company																		X										P
American Tower Corp																		X										P, S
Apple Inc																		X										P, S
AT & T Inc																		X										P, S
Autodesk Inc Del PV$ 01																		X										P
Baxter Intern'l Inc																		X										P
Becton Dickinson Co																		X										P, S
Biogen IDEC Inc																		X										P, S
Burlngtn N Snta Fe $.01																		X										P, S
Canadian Natural Res Ltd																		X										P, S
Cisco Systems Inc																		X										P, S
Citigroup Inc																		X										P
Citrix Systems Inc																		X										P
Coca Cola Com																		X										P
Colgate Palmolive																		X										P, S
Danaher Corp Del																		X										P
Ebay Inc																		X										P, S
Ecolab Inc Comm Co CLA New																		X										P, S
EMC Corporation Mass																		X										P
Emerson Elec Co																		X										P
EOG resources Inc																		X										P
Ericsson LM Tel CL B ADR																		X										P, S

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B

Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and its included only because it generated income, the value should be "None"

Block C

Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E

Transaction

Indicate if the asset had purchases (P) sales (S) or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A	Block B: None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Block C: NONE	Rent	Dividends	Capital Gains	Interest	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	Block E
	A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
Exelon Corporation																					X									P, S
Fomento Economico Mex SPADR																					X									P, S
FPL Group Inc																					X									P
General Electric																					X									P, S
Gen'l Dynamics Corp																					X									P
Gilead Sciences Inc Com																					X									P
Goldcorp Inc																					X									P
Goldman Sachs Group Inc																					X									P, S
Google Inc																					X									P
Hewlett Packard Co																					X									P
Home Depot Inc																					X									P, S
Intel Corp																					X									P
Intl Business Machines																					X									P, S
Intuit Inc																					X									P, S
ITT Corp																					X									P, S
Johnson & Johnson Com																					X									P
Johnson Controls Inc																					X									P, S
Joy Global Inc Del																					X									P, S
JP Morgan Chase & Co																					X									P
Kraft Foods Inc VA CL A																					X									P, S
Kroger Co																					X									P
L-3 Communications Hldgs																					X									P, S
Lincoln Nat Corp																					X									P, S
Lockheed Martin Corp																					X									P
McDonalds Corp																					X									P
Medtronics Inc																					X									P, S
Merrill Lynch Merls Inc																					X									P, S
Merck & Co Inc																					X									P
Microchip Technology Inc																					X									P, S
Microsoft Corp																					X									P
Monsanto Co New Del																					X									P, S
National-Oil Well Varco																					X									P, S

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period; and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P) sales (S); or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	Block B: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Block C: NONE	Rent	Interest	Capital Gains	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X $5,000,001 - $25,000,000	XI Over $25,000,000	Block E
	Nokia Corp																			X										P
	Nike Inc CL B																			X										P
	Nokia Corp SP ADR																			X										P
	Northern Trust Corp																			X										P
	Novartis ADR																			X										P S
	Occidental Pete Corp Cal																			X										P
	Omnicom Group Com																			X										P
	Oracle Corp $ 01																			X										P
	Pepsico Inc																			X										P
	Petrleo Bras VTG SP ADR																			X										P S
	Precision Castparts																			X										P S
	Procar & Gamble Co																			X										P
	Research In Motion																			X										P
	Rockwell Automation Inc																			X										P S
	Schlumberger Ltd																			X										P S
	Spectra Energy Corp																			X										P
	State Street Corp																			X										P S
	Sun Microsystems Inc																			X										P S
	Target Corp																			X										P S
	Texas Instruments																			X										P S
	Thermo Fisher Scientific Inc																			X										P
	Time Warner Inc																			X										P S
	United Techs Corp																			X										P S
	UnitedHealth Group Inc																			X										P S
	Wal-Mart Stores Inc																			X										P S
	XTO Energy Inc																			X										P
DC	[illegible]																													
DC	[illegible]																													
SP	Greater Atlantic Holding Ltd, Bermuda (discontinued business 12/31/2007)													X					X											discontinued bus effective 12/31/2007
DC	Jarrett Reinsurance Co., Ltd. - Sarasota, FL																													
DC	SunTrust 5610860																													
DC	STI Classic FDS US Govmnt Secs Money Mkt			X																										

Schedule III - ASSETS AND "UNEARNED" INCOME

Name Vernon G. Buchanan

Block A — Asset and /or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during this year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse; or by your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived form U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

Block B — Valuation of Assets

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".

Block C — Type of Income

Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

Block D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Block E — Transaction

Indicate if the asset had purchases (P) sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A	Block B: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Block C: NONE	Dividends	Rent	Capital Gains	Interest	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 - $200	III $201-$1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E: Transaction	
DC	STI Classic FDS US Govmnt Secs Money Mkt								X																	X						
DC	Lighthouse Diversified QPII LP		X																			X									Trs/rd to AMA A018	
DC	SunTrust 5810404																															
DC	STI Classic FDS US Govmnt Secs Money Mkt				X																					X						
DC	STI Classic FDS US "Govmnt Secs Money Mkt		X																							X					Trsfr to 5810 #90	
DC	Federal Home Loan Mtg Corp Med Term																									X					S	
DC	US Treasury Note 8-15-07 3.25		X																							X					S	
DC	US Treasury Note 8-15-08 3.25																									X					S	
DC	US Treasury Note 11-15-08 3.35		X																							X					S	
DC	US Treasury Note 5-15-07 3.125																									X					S	
DC	US Treasury Note 1-31-07 3.125		X																							X					S	
DC	US Treasury Note 4-15-10 4.0																									X					S	
DC	US Treasury Note 7-31-07 3.875																									X					S	
DC	International Marinas, Chub Ltd - Chub Cay, Bahamas													X						X												
DC	Orland, MI Property													X						X												
DC	Chub Cay Associates - Dock Lease								X					X						X											P	
DC	Jamal Moorings, LLC - Dock Rental								X							X						X										
SP	Northern Trust Securities NTI-305294																															
SP	Money Market																					X									Trs/rd to AMA	
SP	Hamp Cnty Tax Health Facs 12-1-35																														Trs/rd to AMA	
SP	SunTrust 5800871																															
SP	Money Market																														Trs/rd to AMA	
SP	Lighthouse Global Long/Short Fund																X									X					Trs/rd to AMA	
SP	AMA - A018																															
SP	STI Classic Fd Money Markey			X																											Trsfrd Fr NT 5294	
SP	Hamp Cnty Tax Health Fcs 12/1/35								X																	X					Trsfrd Fr NT 5294	
SP	Lighthouse Global Long/Short Fund																X									X					Trs/rd Fr ST 0871	

509

SCHEDULE IV - TRANSACTIONS

Name: Vemon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

SP, DC, JT		Type of: PURCHASE	Type of: SALE	Type of: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10/12/2006		X								
	B-2001, LLC - St Augustine, FL - Auto Dealer		X		9/11/2007								X		
	Morgan Stanley IRA														
	US Treasury Note 01/31/07 3.25%		X		1/29/2007		X								
	SunTrust IRA F3R 1279721														
	Banc Corp		X		6/13/2007		X								
	Vanguard Index S&P 500		X		8/24/2007				X						
	Fed Home Loan Bank 2009 4.375%		X		6/13/2007		X								
	US Treasury Notes 2010 3.875%		X		6/13/2007			X							
	Smith Barney IRA 150-6D065-11169														
	Oppenheimer Dev Markets Fund Class C		X		12/18/2007		X								
	Oppenheimer Capital Apprec Fund Class C	X			12/18/2007		X								
	Smith Barney 150-6D987-141 169														
	Dollar Tree Stores	X			3/9/2007	X									
	Dollar Tree Stores		X		12/14/2007	X									
	Comcast Corp Cl. A SPL	X			3/12/2007	X									
	Comcast Corp Cl. A SPL		X		10/10/2007	X									
	CB Richard Ellis Group CLA	X			3/21/2007	X									
	CB Richard Ellis Group CLA		X		10/8/2007	X									
	Mattel Inc DE	X			3/2/2007	X									
	Mattel Inc DE		X		9/7/2007	X									
	Webbex Communications Inc	X			3/2/2007	X									
	Webbex Communications Inc		X		3/15/2007	X									
	Marathon Oil		X		6/4/2007										
	Omnicom Group		X		6/20/2007	X									
	Polycom Inc		X		10/12/2007	X									
	UTX SPDR Trust		X		4/13/2007	X									
	Caremark RX Inc		X		3/9/2006										
	Harris Corp. -Deaware		X		7/6/2007										
	M & T Bank Corp.		X		3/19/2007	X									
	McGraw Hill Cos Inc		X		9/6/2007	X									
	Monsanto Co. New		X		4/5/2007										
	Ari Doca Ltd		X		2/22/2007	X									
	Citrix Systems Inc.		X		3/16/2007	X									
	Comscope		X		7/9/2007										
	Comscope		X		7/10/2007										
	Man Power Inc, Wis		X		03/19/07	X									
	Network Appliance Inc		X		04/24/07	X									
	Principal Financial Group, Inc.		X		05/31/07	X									
	Southern Co		X		07/17/07	X									
	Garmin LTD		X		09/06/07										

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Applera Corp Com Applied Biosys Grp		X		03/14/07										
Informatica Corp		X		03/05/07	X									
Janus Capital Group Inc	X			08/21/07										
Nokia Corp Sponsored adr	X			04/03/07										
Shering Plough Corp	X			08/20/07										
Southern Copper Corp Del	X			03/20/07										
Alcon Inc	X			03/16/07										
Agilent Technologies Inc	X			06/04/07										
Auto Deck Inc	X			12/18/07										
Bucyrus International Inc cla	X			09/20/07										
Cameron Intemational	X			09/07/07										
Cisco Sys Inc	X			03/16/07										
Coca Cola Co	X			05/29/07										
EMC Corp Mass	X			11/05/07										
General Cable Corp	X			11/09/07										
Stryker Corp	X			03/13/07										
Terex Corp new	X			08/17/07										
Varian Inc	X			03/28/07										
Waters Corp	X			03/02/07										
Western Digital Corp	X			12/19/07										
Sar-Brad Aviation Sinclair Hills Property		X		05/14/07						X				
Neuberger Berman - Tampa, FL 06636-233														
Conocophillips		X		01/17/07		X								
Northrop Grumman		X		02/20/07			X							
BCE Inc	X			01/08/07		X								
BCE Inc		X		02/20/07	X									
BCE Inc		X		04/23/07		X								
Schlumberger	X			01/18/07		X								
L3 Communications Holding	X			02/21/07		X								
L3 Communications Holding	X			02/22/07		X								
Spectra Energy Corp	X			02/21/07		X								
Waste Management Inc		X		02/20/07	X									
Exelon Corp		X		02/20/07	X									
Exxon Mobil		X		02/20/07	X									
Nutraceu Com New	X			05/17/07		X								
ACGO Corp		X		02/21/07		X								
FPL Group		X		01/11/07	X									
FPL Group		X		02/20/07	X									
Merrill Lynch - Sarasota, FL 23V-10042														
US Treasury Note 30-00 12-31-08		X		01/02/07					X					
Eaton Vance Tax Adv Fund		X		04/25/07					X					
Eaton Vance Div Inc. TA GL D-T-28 Fund		X		03/22/07					X					

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001 - $1,000,000	H $1,000,001- $5,000,000	I $5,000,001- $25,000,000	J $25,000,001- $50,000,000	K Over $50,000,000
Eaton Vance TA GL-G-M Fund		X		03/14/07					X					
Florida Muni Pwr Agy 6_b3		X		03/15/07						X				
Merrill Lynch - Sarasota, FL 770-12457 & 23V-10414														
Delaware Tax Free Income	X			06/29/07							X			
Delaware Tax Free Income		X		08/29/07							X			
Miami Dade City FL Sch	X			08/30/07						X				
Indiana Health Fac	X			08/30/07					X					
Delaware Tax Free Income		X		10/15/07							X			
Merrill Lynch - Sarasota, FL 770-12561														
Allegheny Energy Inc Com	X			11/06/07		X								
Berkshire Hathaway CLB	X			11/06/07		X								
Bear Stearns Cos Inc	X			11/06/07		X								
Brookfield Asset Mgm	X			11/06/07	X									
Bank New york Mellon	X			11/06/07		X								
Cnooc Ltd ADR	X			11/06/07		X								
El Paso Corporation	X			11/06/07		X								
Encana Corp	X			11/06/07		X								
Fannie Mae USA Com	X			11/06/07	X									
OAO Gazprom Sponsored Adr	X			11/06/07		X								
Huaneng Pwr Intl spdr	X			11/06/07		X								
Acid Bank Ltd spd adr	X			11/06/07	X									
Imperial Oil Ltd Com New	X			11/06/07		X								
Lehman Brothers Hedge Com	X			11/06/07	X									
Las Vegas Sands Corp	X			11/06/07		X								
Legg Mason Inc	X			11/06/07		X								
Lowcalia Natl Corp	X			11/06/07			X							
Morgan Stanley	X			11/06/07	X									
Nasdaq Stock Market Inc	X			11/06/07			X							
NYSE Euronet	X			11/06/07			X							
Progressive crp Ohio	X			11/06/07		X								
Reliant Energy Inc	X			11/06/07		X								
Sierra Pacific Res New	X			11/06/07		X								
Student Loan Corp	X			11/06/07		X								
Hong Kong Exchange	X			11/07/07		X								
Beijing Cap Int Airpt H	X			11/07/07		X								
London SER Exchange Growth	X			11/07/07		X								
Allegheny Energy Inc Com	X			11/13/07		X								
Berkshire Hathaway CLB	X			11/13/07		X								
Bear Stearns Cos Inc	X			11/13/07		X								
Brookfield Asset Mgm	X			11/13/07	X									
Bank New york Mellon	X			11/13/07		X								
Cnooc Ltd ADR	X			11/13/07		X								

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

		Type of			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250 001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	El Paso Corporation	X			11/13/07		X								
	Encana Corp	X			11/13/07		X								
	Fannie Mae USA Com	X			11/13/07		X								
	OAO Gasprom Sponsor Adr	X			11/13/07		X								
	Huaneng Pwr Intl spdr	X			11/13/07	X									
	Acid Bank Ltd spd adr	X			11/13/07		X								
	Imperial Oil Ltd Com New	X			11/13/07		X								
	Liehman Brothers Hedge Com	X			11/13/07		X								
	Las Vegas Sands Corp	X			11/13/07		X								
	Legg Mason Inc	X			11/13/07		X								
	Louisiana Natl Corp	X			11/13/07			X							
	Morgan Stanley	X			11/13/07	X									
	Nasdaq Stock Market Inc	X			11/13/07			X							
	NYSE Euronet	X			11/13/07			X							
	Reliant Energy Inc	X			11/13/07			X							
	Sierra Pacific Res New	X			11/13/07			X							
	Student Loan Corp	X			11/13/07		X								
	Beijing Cap Int Airpt H	X			11/13/07		X								
	Creekwood Hotel, LLC - Bradenton FL - Investment		X		04/01/07				X						
	Creekwood Hotel, LLC - Bradenton, FL - Investment	X			06/25/07					X					
	Orange Park, LLC														
	Condo Lemon Bay Englewood, FL	X			12/21/07				X						
	House Eden Dr Englewood, Fl	X			12/21/07				X						
	Morgan Keegan - 6387301														
	Kentucky HSG Corp HSG Rev Bnds	X			05/17/07		X								
	Chicago, ILL Pk Dist RFDG - Alt Rev		X		08/31/07					X					
	Los Fresnos TX Con Inv Depr	X			08/31/07				X						
	Lafayette, LA Utils Rev MBIA Elec I I & Pwr Impts	X			08/31/07				X						
	Montgomery Cnty AL W7S		X		09/28/07			X							
	LA Loc Govt Envir FAC	X			10/01/07			X							
	Mississippi Dev Bank spl Oblg	X			10/01/07				X						
	High Aspen Ranch Property Holdings, LLC Land in Colorado		X		04/01/07					X					
DC	Raymond James, Matt Buchanan 32119185														
DC	Spectra Link		X		03/16/07										
DC	Nasco - Matt Buchanan - 7565-3748														
DC	International Equity Fund		X		10/12/07	X									
DC	Icon Interactive Intelligence	X			10/17/07	X									
DC	Genspring - Matt Buchanan														
DC	STI Classic MM Fund	X			12/26/07										
	Sun Trust Bank - Sarasota, FL														
	AA Rigdakem Gengua 36-day Tax Exempt Bond 3.85%		X		01/15/07				X						
	Loan to Bill McKissick		X		06/01/07										

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Loan to Mark Brink		X		11/01/07										
Loan to Leonard Johnson		X		09/01/07										
Morgan Keegan 83735534														
Tewksbury Mass 3.0%		X		09/28/07										
Arizona Wtr Infrastructure Fin		X		09/28/07										
Health Care Authority for bal/bal	X			09/28/07										
Charleston SC Wtr Wrt & Swr	X			09/28/07										
Mississippi Dev Bank spl	X			10/04/07										
Kentucky HSG Corp HSG Rev Bnds	X			05/17/07										
AMA (Asset Management Advisors, A SunTrust Affiliate)														
Illinois Fin Auth Rev		X		03/30/07				X						
Washington ST CTFS DARTW		X		06/26/07				X						
Illinois Hsg Dev Auth		X		07/16/07				X						
Illinois Hsg Dev Auth		X		04/09/07				X						
Mid Cities Met Dist No Colo		X		04/23/07	X									
Vantax Indpt Sch Dist		X		01/10/07				X						
North Carolina Cap Facs Fin Agency		X		06/15/07				X						
Lee Mem Health Sys Fla Hosp Rev	X			04/04/07				X						
Lee Mem Health Sys Fla Hosp Rev		X		12/16/07				X						
Peabody Energy		X		11/07/07	X									
Silver Creek Low Vol Strat. Ii		X		02/01/07	X									
Silver Creek Low Vol Strat. Ii		X		07/01/07	X									
Silver Creek Low Vol Strat. Ii		X		10/01/07	X									
AMA Hedged Equity Fund QP L.P	X			11/01/07							X			
Lyrical Multi Mgr Fund Qp L.P	X			11/01/07						X				
Hampshire Ill Spl Svc Area No 9 Spl Tax		X		12/30/07	X									
Elkhart Cty Ind Comctn Compex Bldg CP	X			01/11/07					X					
Hampshire Ill Spl Svc Area No 9 Spl Tax	X			04/05/07				X						
University North Fla Fing CP Cap Imp Rev 4.125% 11/01/27	X			06/21/07					X					
Bolingbrook Ill Pk Dist Fin Corp 5.25% 01/01/18	X			12/05/07				X						
Cook Cty Ill	X			04/18/07				X						
Pettis Cty Mo Sch Dist 200	X			07/01/07				X						
Patriot Coal Corp Com	X			11/01/07	X									
Florida Gulf Coast Univ Fing Fla Cap Imp 4.25% 02/01/27	X			05/04/07				X						
AP Opportunities Fund LP	X			11/01/07							X			
Abbey Capital Macro Fund	X			10/10/07						X				
Spring Point Opportunity Partners LP	X			11/01/07							X			
Empire Financial Group #27010924														
Dod Cox Intl Stock	X			09/21/07			X							
LPL Securities 3619-1490														
Premier Money Market	X			12/20/07			X							
Morgan Stanely PWG 10/04611 & 10/04947														

SCHEDULE IV - TRANSACTIONS

Name: Vemon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
STIT Liq Assets Money Market		x		09/12/07							x			
GMAC LLC 7 75 %		x		05/08/07				x						
Weyerhaeuser Co 5 25%		x		05/08/07				x						
Wellpoint Inc 4 25%		x		05/08/07				x						
Harris Cnty Tex Health Facs Dev 3 55%		x		05/08/07						x				
Morgan Stanely Bank	x			09/24/07						x				
Morgan Stanley Trust Bank	x			09/24/07			x							
Morgan Stanely A3-10211														
Cook Cnty Ill 5 25%	x			09/27/07				x						
Madison Wis Ice Arenas Dev B	x			09/27/07				x						
Bolingbrook Ill 5 375%	x			09/27/07				x						
Texas ST Pub Fin Auth Bldg Rev	x			09/27/07				x						
Brownsville Tex Ind Pt Sch Dist	x			09/27/07				x						
Mooresville NC CTFS Partn	x			12/06/07				x						
Ohio St Bldg Auth	x			10/02/07				x						
Rhode Island St Econ Dev Corp	x			10/02/07				x						
Snohomish Cnty Wash Sch Dist	x			10/17/07				x						
Wisconsin St Health & Educ Facs	x			10/12/07				x						
Chicago Ir Westewater Transmiss	x			10/19/07				x						
Dallas Cnty Tex 5 25%	x			10/19/07				x						
Greenfield Wis Sch Dist Go Ref B	x			10/25/07				x						
Henderson Nev 5 25%	x			10/24/07				x						
Mississippi Dev Bk Spl Oblig	x			10/19/07				x						
Arkansas ST Fed Hwy Grv Anti C-A	x			10/03/07				x						
California ST Pub Voks Brd Lease	x			11/21/07				x						
AIM IF ITCASH RSRV Port	x			10/04/07						x				
Torrey International Fund Morgan Stanley 10/05196	x			07/27/07				x						
Torrey International Fund Morgan Stanley 10/05196	x			09/26/07				x						
Merrill Lynch IRA 770-82580														
AFLAC Inc	x			07/16/07	x									
AFLAC Inc	x			10/03/07	x									
Agilent Industries	x			07/16/07	x									
Agilent Industries	x			10/03/07	x									
Ambac Fincl Grp NY	x			07/16/07	x									
Ambac Fincl Grp NY	x			10/03/07	x									
Ambac Fincl Grp NY	x			11/13/07	x									
American Std Cos (now trane)	x			07/16/07	x									
American Std Cos (now trane)	x			10/25/07	x									
American Std Cos (now trane)	x			10/09/07	x									
American Std Cos (now trane)	x			10/03/07	x									
American Std Cos (now trane)			x	12/04/06	x									
American Tower Corp	x			07/16/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
American Tower Corp	x			10/03/07	x									
Apollo Group Inc		x		07/31/07										
Apollo Group Inc		x		07/30/07										
Apollo Group Inc		x		07/24/07										
Apollo Group Inc	x			07/16/07	x									
Apollo Group Inc		x		08/03/07	x									
Bed Bath Beyond	x			07/16/07	x									
Bed Bath Beyond	x			10/03/07	x									
Block HR Inc	x			07/16/07	x									
Block HR Inc	x			10/04/07	x									
Blount Int'l Inc	x			07/16/07	x									
Blount Int'l Inc	x			10/03/07	x									
Brown & Brown Inc	x			07/16/07	x									
Brown & Brown Inc	x			10/03/07	x									
Cardinal Health Inc -Ohio		x		07/18/07										
Cardinal Health Inc -Ohio	x			07/16/07	x									
Cardinal Health Inc -Ohio	x			10/03/07	x									
Carmax Inc	x			07/16/07	x									
Carmax Inc	x			09/22/07	x									
Carmax Inc	x			10/03/07	x									
Comcast Crp	x			07/16/07	x									
Comcast Crp	x			10/03/07	x									
Comcast Crp	x			10/18/07	x									
Comcast Crp	x			10/03/07	x									
Commerce Bancorp	x			07/16/07	x									
Commerce Bancorp	x			10/03/07	x									
Commerce Bancorp		x		12/03/08	x									
Costco Wholesale	x			07/16/07	x									
Costco Wholesale	x			10/03/07	x									
Costco Wholesale		x		11/15/07	x									
Covan Communications	x			07/16/07	x									
Covan Communications		x		10/03/07	x									
Covidien LTD	x			07/16/07	x									
Covidien LTD	x			10/03/07	x									
Dell Inc	x			07/16/07	x									
Dell Inc	x			10/03/07	x									
Discover Financial Svcs	x			07/16/07	x									
Discover Financial Svcs	x			10/03/07	x									
Discover Financial Svcs	x			10/03/07	x									
Disney Co Com St A	x			07/16/07	x									
Disney Co Com St A	x			10/03/07	x									
E Trade Financial Corp	x			07/31/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
E Trade Financial Corp	x			07/16/07	x									
E Trade Financial Corp	x			10/03/07	x									
everest re	x			07/16/07	x									
everest RE	x			10/03/07	x									
Expedia Inc	x			07/16/07	x									
Expedia Inc		x		08/21/07	x									
Federal Home Loan Bank Bonds		x		07/09/07		x								
First Data Corp (Texas Instruments)	x			07/16/07	x									
First Data Corp (Texas Instruments)			x	08/25/07	x									
Fiserv	x			07/16/07	x									
FPIC Insurance Group	x			07/16/07	x									
FPIC Insurance Group	x			10/03/07	x									
Franklin EL Co	x			07/16/07	x									
Franklin EL Co		x		08/10/07	x									
Franklin EL Co		x		10/03/07	x									
Garmin Inc	x			07/16/07		x								
Garmin Inc	x			10/03/07	x									
Google Inc	x			07/23/07	x									
Google Inc	x			07/16/07	x									
Google Inc	x			10/03/07	x									
Group Televisa SA	x			08/21/07	x									
Group Televisa SA	x			10/03/07	x									
Harley Davidson Inc	x			07/16/07	x									
Harley Davidson Inc	x			10/03/07	x									
Harley Davidson Inc		x		11/15/07	x									
Home Depot Inc	x			11/15/07	x									
IDEXX Lab Inc	x			07/16/07	x									
IDEXX Lab Inc	x			10/03/07	x									
IDEXX Lab Inc		x		11/01/07	x									
Iron Min Inc	x			07/16/07	x									
Iron Min Inc	x			10/03/07	x									
Johnson & Johnson Com	x			07/16/07	x									
Johnson & Johnson Com	x			10/03/07	x									
Legg Mason Inc	x			07/16/07	x									
Legg Mason Inc	x			10/03/07	x									
Lincare Hldgs Inc	x			07/16/07	x									
Lincare Hldgs Inc	x			10/03/07	x									
Lowes Companies Inc	x			07/16/07	x									
Lowes Companies Inc	x			10/03/07	x									
Markel Corp Com	x			07/16/07	x									
Markel Corp Com	x			10/03/07	x									
MBIA Inc	x			07/16/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
MBIA Inc	x			10/03/07	x									
Microsoft Corp	x			07/16/07	x									
Microsoft Corp	x			10/03/07	x									
Molex Inc -non voting cl A	x			07/16/07	x									
Molex Inc -non voting cl A		x		10/03/07	x									
Mohawk Industries Inc		x		07/24/07										
Mohawk Industries Inc		x		07/19/07										
Mohawk Industries Inc	x			07/16/07	x									
NetFLIX Com Inc	x			07/30/07										
NetFLIX Com Inc	x			07/16/07	x									
NetFLIX Com Inc	x			10/03/07	x									
News Corp	x			11/01/07	x									
Omnicare Inc	x			07/16/07	x									
Omnicare Inc		x		10/16/07	x									
Omnicare Inc	x			10/03/07	x									
Omnicare Inc		x		11/16/07	x									
Renniassancare Hldgs Inc	x			07/16/07	x									
Renniassancare Hldgs Inc	x			10/03/07	x									
Sealed Air Corp	x			07/16/07	x									
Sealed Air Corp	x			10/03/07	x									
Sears Holding Corp	x			07/16/07	x									
Sears Holding Corp		x		08/22/07	x									
Shaw Group	x			07/19/07	x									
Shaw Group	x			10/03/07	x									
Signma Aldrich Corp	x			07/16/07	x									
Signma Aldrich Corp	x			10/03/07	x									
SK Telecom	x			07/16/07	x									
SK Telecom	x			10/03/07	x									
Sprint Nextel	x			07/16/07	x									
Sprint Nextel	x			10/03/07	x									
Superior Bancorp		x		07/13/07					x					
Superior Bancorp		x		07/12/07		x								
Target Corp	x			07/16/07	x									
Target Corp	x			10/03/07	x									
Tenaris Instruments	x			07/16/07	x									
Tenaris Instruments	x			10/03/07	x									
Texas Instruments	x			09/21/07	x									
Texas Instruments	x			10/03/07	x									
Thermo Fisher Scientific Inc	x			07/16/07	x									
Thermo Fisher Scientific Inc		x		10/25/07	x									
Thermo Fisher Scientific Inc	x			10/03/07	x									
Tribune Inc	X			07/11/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft descr ption of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Trane Inc			X	12/04/07	x									
Trans Ocean Inc	x			07/16/07	x									
Trans Ocean Inc	x			10/03/07	x									
transatlantic hldgs	x			07/16/07	x									
transatlantic hldgs	x			10/03/07	x									
Tyco Int'l LTD	x			07/16/07	x									
Tyco Int'l LTD	x			10/03/07	x									
Tyco Electronics LTD	x			07/16/07										
Tyco Electronics LTD	x			10/03/07	x									
United Health Group	x			07/24/07	x									
United Health Group	x			07/16/07	x									
United Health Group	x			10/03/07	x									
Vanguard Info Tech		x		07/12/07		x								
Virgin Media Inc	x			07/16/07	x									
Virgin Media Inc	x			10/03/07	x									
WABCO Holdings Inc	x			07/16/07	x									
WABCO Holdings Inc		x		08/16/07	x									
WABCO Holdings Inc	x			10/03/07	x									
Wachovia Corp	x			07/16/07	x									
Wachovia Corp	x			10/03/07	x									
WPP Group PLC ADR	x			07/16/07	x									
WPP Group PLC ADR		X		10/03/07	x									
Western UN Co	x			07/16/07	x									
Western UN Co	x			10/03/07	x									
Whole Foods Mkt Inc	x			07/16/07	x									
Whole Foods Mkt Inc	x			10/03/07	x									
WPP Group Inc	x			10/03/07	x									
Yum Brands Inc	x			07/16/07	x									
Yum Brands Inc	x			10/03/07	x									
US Treasury Note		X		06/13/07			X							
Merrill Lynch-IRA-770-82682														
ISHARES MSCI Japan Index		x		07/10/07			x							
Agrium Inc	x			07/13/07	x									
Allied Irish Bks SP ADR	x			07/13/07	x									
Allied Irish Bks SP ADR	x			08/11/07	x									
Aluminum CP of China		x		07/11/07	x									
Aluminum CP of China	x			07/13/07	x									
Aluminum CP of China		x		08/10/07	x									
Aluminum CP of China		x		08/10/07	x									
Aluminum CP of China		x		08/09/07	x									
AU Optronics Corp ADR	x			07/13/07	x									
AXA ADR	x			07/13/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vemon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Bancolombia SA SP ADR	x			07/13/07	x									
BASF AG Sponsored ADR	x			07/31/07	x									
BASF AG Sponsored ADR	x			07/31/07	x									
British AMN Tobacco SPADR	x			07/13/07	x									
Canadian Pacific Railway	x			07/13/07	x									
Canon Inc ADR	x			07/13/07	x									
Carnival PLC	x			09/25/07	x									
Carnival PLC	x			10/09/07	x									
Carnival PLC	x			10/04/07	x									
Carnival PLC	x			10/01/07	x									
Cemex SAB De CV SP ADR	x			07/13/07	x									
CIA DE Minas Buenave ADR	x			07/13/07	x									
CIA Vale Do Rio Doce AD	x			07/13/07	x									
CIA Vale Do Rio Doce AD		x		11/26/07	x									
CIA Vale Do Rio Doce AD		x		11/21/07	x									
Coca Cola Femsa SP ADR	x			07/13/07	x									
Companhia D Sanmto BSCO	x			07/13/07	x									
Copel Paraina ADR	x			09/07/07	x									
Copel Paraina ADR	x			10/11/07	x									
Copel Paraina ADR	x			10/03/07	x									
Copel Paraina ADR	x			10/02/07	x									
Delhaize Group SP ADR	x			07/13/07	x									
Deutche BK AG Reg Shs	x			07/13/07	x									
Diageo PLC SP ADR New	x			07/13/07	x									
dryships Inc	x			12/14/07	x									
Dryships Inc	x			12/13/200	x									
Fording CDN Coal TR	x			07/13/07	x									
Fording CDN Coal TR	x			08/24/07	x									
Fording CDN Coal TR	x			08/27/07	x									
Frontline LTD	x			07/13/07	x									
Frontline LTD		x		12/20/07	x									
Frontline LTD		x		12/18/07	x									
Frontline LTD		x		12/12/07	x									
Glaxosmithkline PLC ADR	x			07/13/07	x									
HSBC Hldg PLC	x			07/13/07	x									
Huaneng Pwr Intl SP ADR	x			07/13/07	x									
Huaneng Pwr Intl SP ADR		x		09/12/07	x									
Huaneng Pwr Intl SP ADR		x		09/11/07	x									
Huaneng Pwr Intl SP ADR		x		09/07/07	x									
Imperial Chem Ind ADR	x			07/13/07	x									
Imperial Chem Ind ADR		x		08/10/07	x									
Imperial Chem Ind ADR		x		08/10/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vemon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
ING GP NV SP ADR	x			07/13/07	x									
Ingersoll Rand CO LTD	x			09/10/07	x									
Korea Elect Power SP ADR	x			07/13/07	x									
KT Corp	x			07/13/07	x									
Magna Intl Inc CLA VTG	x			07/13/07	x									
Metnanex Corp	x			07/13/07	x									
Metso Corp	x			07/13/07	x									
Nissan Mir LTD SPN ADR	x			07/13/07	x									
Norsk Hydro AS SP ADR	x			07/13/07	x									
Petrleo Bras VTG SP ADR	x			07/13/07	x									
Petrleo Bras VTG SP ADR		x		12/28/07	x									
Petrleo Bras VTG SP ADR		x		12/18/07	x									
Petro Canada	x			07/13/07	x									
Petro Canada	x			09/10/07	x									
Posco Sp ADR	x			07/13/07	x									
Posco Sp ADR		x		08/07/07	x									
Posco Sp ADR		x		09/06/06	x									
Posco Sp ADR		x		09/06/07	x									
Hennlassancare Hldsgs Inc	x			07/13/07	x									
Sasol LTD SP ADR	x			07/13/07	x									
Sasol LTD SP ADR	x			12/18/07	x									
Seagate Technology	x			07/13/07	x									
Siemens AG	x			07/13/07	x									
Silicomware Precsn SPADR	x			07/13/07	x									
Sinopec Shanghai spanADR	x			07/13/07	x									
SK Telecom	x			07/13/07	x									
Stora Enso OYJ SP ADR	x			09/28/07	x									
Stora Enso OYJ SP ADR	x			10/03/07	x									
Stora Enso OYJ SP ADR	x			10/01/07	x									
Stora Enso OYJ SP ADR	x			12/20/07	x									
Stora Enso OYJ SP ADR	x			12/17/07	x									
Teck Cominco LTD	x			11/27/07	x									
Teck Cominco LTD	x			11/23/07	x									
Teck Cominco LTD	x			11/21/07	x									
Teekay Corp	x			07/13/07	x									
Telefonos M SA RP L ADR	x			07/13/07	x									
Ternium S A	x			09/17/07	x									
Ternium S A	x			09/14/07	x									
Ternium S A	x			10/04/07	x									
Ternium S A	x			10/03/07	x									
TEVA Pharmacld Inds	x			10/15/07	x									
TEVA Pharmacld Inds	x			10/15/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependant child, or the purchase or sale of your personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Transcanada Corp	x			07/13/07	x									
Unilever New PLC ADR	x			07/13/07	x									
United Utilities PLC SP ADR	x			07/13/07	x									
Volvo Aktebolaget ADR	x			07/13/07	x									
Vornim CEL PN Dponsored ADR	x			07/13/07	x									
Vornim CEL PN Dponsored ADR		x		10/12/07	x									
Vornim CEL PN Dponsored ADR		x		10/11/07	x									
Vornim CEL PN Dponsored ADR		x		10/03/07	x									
Vornim CEL PN Dponsored ADR		x		10/02/07	x									
Statoilhydor ASA	x			10/18/07	x									
Merrill Lynch IRA 770-82691														
ABB LTD	x			11/18/07	x									
Abbott Labs	x			07/31/07	x									
Abbott Labs	x			07/13/07	x									
Abercrombie & Fitch Co	x			07/13/07	x									
Adobe Sys PV$ 01	x			07/13/07	x									
Adobe Sys PV$ 01		x		11/19/07	x									
Altel Corp Del		x		07/17/07	x									
Altel Corp Del	x			07/13/07	x									
Altria Corp	x			07/13/07	x									
Altria Corp	x			08/20/07	x									
Amdocs Limited	x			07/13/07	x									
Amdocs Limited		x		10/26/07	x									
Amer Express Company	x			07/13/07	x									
Amer Express Company		x		08/31/07	x									
Amer Express Company		x		10/22/07	x									
American Tower Corp	x			07/20/07	x									
American Tower Corp	x			08/21/07	x									
Apple Inc	x			07/13/07	x									
Apple Inc		x		10/12/07	x									
AT & T Inc	x			07/30/07	x									
AT & T Inc	x			08/22/07	x									
AT & T Inc		x		11/19/07	x									
Autodesk Inc Del PV$ 01		x		07/31/07	x									
Autodesk Inc Del PV$ 01	x			07/18/07	x									
Autodesk Inc Del PV$ 01		x		08/07/07	x									
Baxter Internl Inc	x			07/13/07	x									
Baxter Internl Inc	x			11/16/07	x									
Becton Dickinson Co	x			07/31/07	x									
Becton Dickinson Co	x			07/13/07	x									
Becton Dickinson Co	x			08/23/07	x									
Biogen IDEC Inc	x			07/13/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Biogen IDEC Inc		x		09/14/07	x									
Burlingtn N Santa Fe $ 01	x			07/13/07	x									
Burlingtn N Santa Fe $ 01		x		10/25/07	x									
Canadian Natural Res Ltd	x			07/13/07	x									
Canadian Natural Res Ltd		x		10/25/07	x									
Canadian Natural Res Ltd		x		10/10/07	x									
Canadian Natural Res Ltd		x		11/19/07	x									
Cisco Systems Inc	x			07/13/07	x									
Cisco Systems Inc		x		11/16/07	x									
Citigroup Inc	x			08/13/07	x									
Citigroup Inc		x		09/06/07	x									
Citigroup Inc		x		10/30/07	x									
Citrix Systems Inc	x			10/23/07	x									
Coca Cola Com	x			07/13/07	x									
Colgate Palmolive	x			07/13/07	x									
Colgate Palmolive	x			08/08/07	x									
Danaher Corp Del	x			07/13/07	x									
Danaher Corp Del		x		10/25/07	x									
Ebay Inc	x			10/10/07	x									
Ebay Inc	x			12/26/07	x									
Echostar Comm Co CL A New	x			11/14/07	x									
Echostar Comm Co CL A New		x		12/24/07	x									
EMC Corporation Mass	x			07/13/07	x									
EMC Corporation Mass		x		11/16/07	x									
Emerson Elec Co	x			07/13/07	x									
EOG resources inc	x			07/13/07	x									
Ericsson LM Tel CL B ADR	x			07/13/07	x									
Ericsson LM Tel Cl B ADR		x		10/18/07	x									
Ericsson LM Tel CL B ADR		x		10/15/07	x									
Exelon Corporation	x			07/13/07	x									
Exelon Corporation		x		11/13/07	x									
Fomento Economico Mex SPADR	x			10/10/07	x									
Fomento Economico Mex SPADR		x		11/23/07	x									
FPL Group Inc	x			10/17/07	x									
General Electric	x			07/13/07	x									
General Electric		x		12/11/07	x									
Genl Dynamics Corp	x			07/13/07	x									
Gilead Sciences Inc Com	x			10/25/07	x									
GMAC SR Bond 1/14/2009		x		07/13/07		x								
Goldcorp Inc	x			07/13/07	x									
Goldman Sachs Group Inc		x		08/20/07	x									
Goldman Sachs Group Inc		x		08/20/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction									
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Goldman Sachs Group Inc	x			08/13/07	x									
Google Inc	x			07/13/07	x									
Hewlett Packard Co	x			07/13/07	x									
Hewlett Packard Co	x			08/09/07	x									
Home Depot Inc	x			07/13/07	x									
Home Depot Inc		x		08/10/07	x									
Intel Corp	x			07/13/07	x									
Intl Business Machines	x			07/20/07	x									
Intl Business Machines	x			08/09/07	x									
Intl Business Machines		x		10/10/07	x									
Intuit Inc	x			07/13/07	x									
Intuit Inc		x		11/19/07	x									
ITT Corp	x			07/13/07	x									
ITT Corp		x		10/11/07	x									
Johnson & Johnson Com		x		07/24/07	x									
Johnson & Johnson Com	x			07/13/07	x									
Johnson & Johnson Com	x			11/15/07	x									
Johnson Controls Inc	x			07/13/07	x									
Johnson Controls Inc		x		08/22/07	x									
Johnson Controls Inc		x		10/25/07	x									
Johnson Controls Inc	x			10/23/07	x									
Johnson Controls Inc		x		12/26/07	x									
Joy Global Inc Del	x			07/13/07	x									
Joy Global Inc Del		x		08/22/07	x									
JP Morgan Chase & Co	x			11/19/07	x									
Kraft Foods Inc VA Cl. A	x			07/13/07	x									
Kraft Foods Inc VA Cl. A	x			09/06/07	x									
Kraft Foods Inc VA Cl. A		x		10/02/07	x									
Kroger Co	x			11/23/07	x									
Kroger Co	x			12/28/07	x									
L-3 Communications Hldgs	x			07/13/07	x									
L-3 Communications Hldgs		x		12/07/07	x									
Lincoln Nat Corp		x		07/31/07	x									
Lincoln Nat Corp	x			07/13/07	x									
Lockheed Martin Corp	x			08/29/07	x									
Lockheed Martin Corp	x			10/16/07	x									
McDonalds Corp	x			07/13/07	x									
Medtronics Inc	x			07/13/07	x									
Medtronics Inc	x			08/10/07	x									
Medtronics Inc		x		11/21/07	x									
Memc Electr Matls Inc	x			07/17/07	x									
Memc Electr Matls Inc	x			07/13/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

	Type of			Date	Amount of Transaction										
	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001 $500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Mimic Electr Matls Inc		x		11/06/07	x									
Merck & Co Inc	x			10/22/07	x									
Merck & Co Inc	x			10/10/07	x									
Merck & Co Inc	x			11/16/07	x									
Microchip Technology Inc	x			07/13/07	x									
Microchip Technology Inc		x		08/10/07	x									
Microchip Technology Inc		x		08/09/07	x									
Microsoft Corp	x			07/13/07	x									
Microsoft Corp	x			08/09/07	x									
Microsoft Corp	x			10/22/07	x									
Monsanto Co New Del	x			07/13/07	x									
Monsanto Co New Del		x		08/20/07	x									
Monsanto Co New Del		x		10/05/07	x									
National-Oil Well Varco		x		07/30/07	x									
National-Oil Well Varco	x			07/13/07	x									
National-Oil Well Varco		x		08/21/07	x									
National-Oil Well Varco		x		08/09/07	x									
National-Oil Well Varco		x		10/25/07	x									
News Corp	x			09/27/07	x									
News Corp	x			10/11/07	x									
News Corp	x			12/27/07	x									
Nike Inc CL B	x			07/13/07	x									
Nike Inc CL B	x			10/10/07	x									
Nokia Corp SP ADR	x			09/28/07	x									
Nokia Corp SP ADR	x			12/28/07	x									
Northern Trust Corp	x			10/11/07	x									
Novartis ADR		x		07/20/07	x									
Novartis ADR	x			07/13/07	x									
Occidental Pete Corp Cal	x			07/17/07	x									
Omnicom Group Com	x			10/15/07	x									
Oracle Corp $ 01	x			08/29/07	x									
Oracle Corp $ 01	x			09/06/07	x									
Pepsico Inc	x			07/30/07	x									
Pepsico Inc	x			07/13/07	x									
Pepsico Inc	x			08/20/07	x									
Petrleo Bras VTG SP ADR	x			07/17/07	x									
Petrleo Bras VTG SP ADR	x			07/13/07	x									
Petrleo Bras VTG SP ADR		x		08/21/07	x									
Petrleo Bras VTG SP ADR		x		10/25/07	x									
Precision Castparts		x		07/25/07	x									
Precision Castparts	x			07/13/07	x									
Procter & Gamble Co	x			07/13/07	x									

SCHEDULE IV - TRANSACTIONS

Name: Vernon G. Buchanan

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brieft description of any exchange transaction. Do no report a transaction between you, your spouse, or your dependent child, or the purchase or sale of yoru personal residence, unless it is rented out.

		Type of			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001-250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Research in Motion LTD	x			11/19/07	x									
	Rockwell Automation Inc		x		07/27/07	x									
	Rockwell Automation Inc	x			07/13/07	x									
	Rockwell Automation Inc		x		06/23/07	x									
	Rockwell Automation Inc		x		06/22/07	x									
	Schlumberger Ltd	x			07/13/07	x									
	Schlumberger Ltd		x		09/26/07	x									
	Schlumberger Ltd		x		10/25/07	x									
	Spectra Energy Corp	x			06/21/07	x									
	State Street Corp	x			07/13/07	x									
	State Street Corp		x		09/06/07	x									
	Sun Microsystems Inc	x			07/13/07	x									
	Sun Microsystems Inc		x		09/26/07	x									
	Target Corp	x			07/13/07	x									
	Target Corp		x		10/02/07	x									
	Texas Instruments	x			07/13/07	x									
	Texas Instruments		x		10/17/07	x									
	Texas Instruments		x		10/12/07	x									
	Thermo Fisher Scientific Inc	x			07/13/07	x									
	Time Warner Inc	x			07/13/07	x									
	Time Warner Inc		x		09/26/07	x									
	United Techs Corp	x			07/13/07	x									
	United Techs Corp	x			08/22/07	x									
	United Techs Corp		x		10/25/07	x									
	UnitedHealth Group Inc		x		07/24/07	x									
	UnitedHealth Group Inc	x			07/13/07	x									
	UnitedHealth Group Inc		x		10/09/07	x									
	Vanguard Value		x		07/13/07				x						
	Wal-Mart Stores Inc		x		07/17/07	x									
	Wal-Mart Stores Inc	x			07/13/07	x									
	XTO Energy Inc	x			09/24/07	x									
DC, SP	Jewell Partnership Co., Ltd. - Sarasota, FL														
DC, SP	SunTrust 5810404														
DC, SP	Federal Home Loan Mtg Corp Med Term		x		01/11/07		x								
DC, SP	US Treasury Note 8-15-07 3.25		x		01/11/07		x								
DC, SP	US Treasury Note 8-15-08 3.25		x		01/11/07		x								
DC, SP	US Treasury Note 11-15-08 3.35		x		01/11/07		x								
DC, SP	US Treasury Note 5-15-07 3.125		x		01/11/07		x								
DC, SP	US Treasury Note 1-31-07 3.125		x		01/11/07		x								
DC, SP	US Treasury Note 4-15-10 4.0		x		01/11/07			x							
DC, SP	US Treasury Note 7-31-07 3.875		x		01/11/07			x							
DC, SP	Club Cay Associates - Dock Lease	x			01/15/07						x				

SCHEDULE V - LIABILITIES

Name: Vernon G. Buchanan

Report liabilities of over $10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage *on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse.* Report **revolving charge accounts** (i.e., credit cards) only if the balanace at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B	C	D	E	F	G	H	I	J	K
			$10,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	World Omni Financial, Deerfield Beach, FL (2) (PAID OFF)	Acquisition of Elizabeth City, LLC					X					
	Colonial Bank - Sarasota, FL	Mortgage on 130 N. Tamiami Trail, LLC							X			
	Colonial Bank - Sarasota, FL	2nd Mortgage on 130 N. Tamiami Trail, LLC						X				
	Bank of Commerce - Sarasota, FL (PAID OFF)	Acquisition of Elizabeth City Toyota dealership					X					
	Whitney Nat'l Bank, FL	Mortgage on 6430 Hollywood Blvd., LLC							X			
	Wachovia - Sarasota, FL	*Mortgage on Menlo Park, CA - Condo*						X				
	SunTrust Bank - Sarasota, FL	Mortgage on 996, LLC - 435 N. Washington, Sarasota, FL					X					
	Marshall & Ilsley Bank - Sarasota, FL	Acquisition on 1099 Management, LLC - Sarasota & SunCoast							X			
	Marshall & Ilsley Bank - Sarasota, FL	Mortgage on Buchanan Automotive Holdings, Inc.							X			
	SunTrust Bank, Sarasota, FL	Mortgage on Five Points Residential Investments, LLC							X			
	Wachovia - Sarasota, FL	Acquisition of 600 LLC					X					
	World Omni Financial - Deerfield Beach, FL (PAID OFF)	Acquisition of St. Augustine Toyota dealership						X				
	Regions Bank (was AmSouth Bank)	Mortgage on Broward Yacht, V.B. Motor Yachts, LLC							X			
	Wachovia Bank, Sarasota, FL	Mortgage on Avon, CO Residence							X			
	SunTrust Bank, Sarasota, FL	Line of Credit - Various projects							X			
	Bank of America, Sarasota, FL	Line of Credit - Eliz City, SunCoast Ford, Real Estate							X			
	Wachovia Bank, Sarasota, FL	Mortgage Jamatt Properties, LLC								X		
	SunTrust Leasing - Baltimore, MD (PAID OFF 2007)	Purchase of Embraer Legacy								X		
	SunTrust Leasing - Baltimore, MD	Purchase of King Air 350, Aircraft Holding & Leasing, LLC								X		
	SunTrust Leasing - Baltimore, MD	Purchase of Lear Jet, Aircraft Holding & Leasing, LLC								X		
	Wachovia Bank, St Augustine, FL	Acquisition of Toyota of Elizabeth City dealership						X				
	Bank of Brevard, St Augustine, FL (PAID OFF)	*Acquisition of St. Augustine Toyota dealership*				X						
	M&I Marshall & Ilsley Bank, Sarasota, FL	Acquisition of Bowling Green Dealership					X					
	M&I Marshall & Ilsley Bank, Sarasota, FL	Acquisition of Gwinnett Dodge dealership						X				
	M&I Marshall & Ilsley Bank, Sarasota, FL	Line of Credit - Real Estate Projects / SunCoast Ford							X			
	Wachovia Bank	Line of Credit - Bowling Green dealership						X				
	SunTrust Bank	Capital Loan - Bowling Green dealership							X			
	Regions (was AmSouth Bank) (1099 Management Co)	Acquisition of Bowling Green Dealership						X				
	M&I Marshall & Ilsley Bank, Sarasota, FL	Acquisition of Suncoast Ford dealership							X			

SCHEDULE VI - GIFTS	Name: Vernon G. Buchanan	Page 1 of 1

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.
Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards to $305 disclosure threshold.
Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Examples.	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards	$8,000
	NONE		

Use additional sheets if more space is required

SCHEDULE VII -Travel Payments & Reimbursements | **Name:** Vernon G. Buchanan **Page** 1 **of** 1

Identify the source and list travel itinerary , dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Deisclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Ace (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Dates(s)	City of Departure - Destination - City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days NOT at Sponsor's Expense
Example:	Chicago Chamger of Commerce	Mar. 2	DC - Chicago - DC	N	N	N	None
	Roycroft Corporation	Aug 6-11	DC - Los Angeles - Cleveland	Y	Y	Y	2 days
	NONE						

SCHEDULE VIII - POSITIONS	Name: Vernon G. Buchanan Page 1 of 2

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
Board Member	Florida Chamber of Commerce, Tallahassee, FL
Board Member	U.S. Chamber of Commerce, Washington, D.C.
Partner	1099, LC dba Venice Nissan - Venice Dodge
Partner	Sarasota 500, LLC dba Sarasota Ford
Partner	10-2002, LLC dba SunCoast Ford
Partner	10-3003, LLC dba SunCoast Mitsubishi
Partner	600, LLC dba Space Coast Honda
Partner	8-2001, LLC dba Lighthouse Toyota
Partner	Bowling Green Dealership, LLC dba Bowling Green BMW - Mercedes Benz
President	Auto Central Services, Inc.
President	Auto Liquidators of SW FL., Inc.
President	Beaver Creek, LLC
President	Buchanan Automotive Holdings, Inc.
President	First Capital Corporation, Inc.
Partner	Graham Construction, LLP
President	Jamatt Financial, Inc.
President	Jamatt Realty, Inc.
President	Aircraft Holding and Leasing, LLC
President	Sarasota-Bradenton Aviation, Inc.
President	V.B. Motor Yachts, LLC
President	V.B. Cruise Charters, LLC
President	Buchanan Reinsurance, Inc.
Partner	Speed-Wing Investment Co.
Partner	Buchanan Farms, Inc.
Partner	V. B. Investments, Inc.
Partner	130 North Tamiami Trail, LLC
President	996, Inc.
Partner	Buchanan Investment Co., L.P.
Partner	Georgetowne Properties, LLC
President	6430 Hollywood Blvd., LLC
Partner	Five Points Residential Investments, LLC
President	Jamatt Properties, LLC
President	Buchanan Investment Co., L.P.
Partner	Creekwood Hotel, LLC - Bradenton, FL
Partner	High Aspen Ranch Holdings, LLC - Colorado
President	Orange Park, LLC

Use additional sheets if more space is required

SCHEDULE VIII - POSITIONS	Name: Vernon G. Buchanan	Page 2 of 2

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	MB Acquisition and Leasing, LLC
President	VB Investments of Delaware, LLC
Partner	301 Oxford, LLC

Use additional sheets if more space is required

SCHEDULE IX - AGREEMENTS

Name: Vernon G. Buchanan Page 1 of 1

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

15 PM 3:53

MC

Michael C Burgess
(Full Name)

2022257772
(Daytime Telephone)

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status ☑ Member of the U.S. House of Representatives — State: TX — District: 26 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

533

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael C Burgess

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

SEE ATTACHED PAGES: 2A
AND 2B

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP, DC, JT	ASSET and/or INCOME SOURCE	YEAR END VALUE OF ASSET	TYPE OF INCOME	AMOUNT OF INCOME	TRANSACTION
	LAKE POINT MEDICAL REALTY GP, LLC	NONE	PARTNERSHIP INCOME	NONE	
	LAKE POINT MEDICAL REALTY LTD	$100,001 - 250,000	PARTNERSHIP INCOME	NONE	P
	5 ACRES TALKEETNA, AK	$1,001 - 15,000		NONE	
	1 ACRE 1631 CROW ST., DENTON, TEXAS	$15,001 - 50,000		NONE	
	4637 IVANHOE, FT. WORTH,TEXAS	$50,001 - 100,000		NONE	
	2.5 ACRES HARBOR SOUTH, ARKANSAS	$15,001 - 50,000		NONE	
	ROBERT J. WIDMER, TRUSTEE	$50,001-100,000	INTEREST (ESTATE)	$5,001 - 15,000	
	COMPASS BANK	$15,001-50,000	INTEREST	$1 - 200	
	WRIGHT PATTERSON CONGRESSIONAL CREDIT UNION	$1,001-15,000		NONE	
	FIRST SECURITY BANK ACCOUNTS (COMBINED)	$100,001 - 250,000	INTEREST	$5,001 - 15,000	
	NORTHWESTERN MUT LIFE INS CO	$1 - 1,000	INTEREST	$1 - 200	
SP	METLIFE	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	CENTENNIAL MONEY MARKET ACCTS (COMBINED)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	ALCATEL LUCENT ADS	$1 - 1,000		NONE	
	AVAYA INC	NONE		NONE	S
	CISCO SYSTEMS INC	$1 - 1,000		NONE	
	GENERAL ELECTRIC	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	ISHARE DJ US TLCM SCT IDX	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	LIMITED BRANDS	$1 - 1,000	DIVIDENDS	$1 - 200	
	MICROSOFT	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	UNITED PARCEL SERVICE	$1 - 1,000	DIVIDENDS	$1 - 200	
	WYETH	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	GENWORTH VARIABLE ANNUITY PLUS	$15,001 - 50,000	DIVIDENDS	$2,501 - 5,000	P
SP	ALCATEL LUCENT ADS (IRA)	$1 - 1,000		NONE	
SP	AMERICAN MOVIL SA DE CV (IRA)	$15,001 - 50,000	DIVIDENDS	$1 - 200	
SP	AVAYA INC (IRA)	NONE		NONE	S
SP	PFIZER INC. (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
SP	STRYKER CORP. (IRA)	$50,001 - 100,000	DIVIDENDS	$1 - 200	
SP	TELEFONOS DE MEXICO (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
SP	FIDELITY ADV. SERIES - EQUITY GROWTH FUND	$1,001 - 15,000		NONE	
SP	MFS SERIES TRUST II - EMERGING GROWTH FD	$1,001 - 15,000	DIVIDENDS	$201 - 1,000	
	AT&T (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	ABBOTT LABS (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	AMERICAN MOVIL SA DE CV (IRA)	$15,001 - 50,000	DIVIDENDS	$1 - 200	
	CIGNA CORP. (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	CITADEL BROADCASTING CORP (IRA)	$1 - 1,000		NONE	P
	WALT DISNEY CO. (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	FORD MOTOR CO. (IRA)	$1 - 1,000		NONE	
	GENERAL ELECTRIC (IRA)	$1 - 1,000	DIVIDENDS	$1 - 200	
	HOSPIRA INC. (IRA)	$1 - 1,000		NONE	
	INTEL CORP. (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	IBM CORP. (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	ISHARES US TREAS INFLATION PROT SECS (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	MEDCO HEALTH SOLUTIONS (IRA)	$1,001 - 15,000		NONE	
	MERCK AND CO. (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	

	MICROSOFT CORP (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	NOKIA (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	PENN WEST ENERGY TRUST f/k/a Petrofund (IRA)	$1,001 - 15,000	DIVIDENDS	$201 - 1,000	
	SOUTHWEST AIRLINES (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	STRYKER CORP (IRA)	$15,001 - 50,000	DIVIDENDS	$1 - 200	
					PAGE 2B
	TELEFONOS DE MEXICO (IRA)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	TEXAS INSTRUMENTS (IRA)	$1 - 1,000	DIVIDENDS	$1 - 200	
	TIME WARNER (IRA)	$1 - 1,000	DIVIDENDS	$1 - 200	
	FIDELITY ADV. SERIES - EQUITY GROWTH FUND (IRA)	$1,001 - 15,000		NONE	
	MFS SERIES TRUST I - EMERGING GROWTH FD (IRA)	$1,001 - 15,000	DIVIDENDS	$201 - 1,000	
JT	FIDELITY CASH RESERVES (Money Market)	$1 - 1,000	DIVIDENDS	$1 - 200	
JT	FIDELITY INTERNATIONAL DISCOVERY	$50,001 - 100,000	DIVIDENDS	$1,001 - 2,500	P
JT	FIDELITY CAPITAL APPRECIATION	$15,001 - 50,000	DIVIDENDS	$1,001 - 2,500	P
JT	FIDELITY CONTRA FUND	$50,001 - 100,000	DIVIDENDS	$5,001 - 15,000	P
JT	FIDELITY EQUITY INCOME II FUND	$15,001 - 50,000	DIVIDENDS	$1,001 - 2,500	P
JT	FIDELITY EXPORT AND MULTI NATIONAL	$15,001 - 50,000	DIVIDENDS	$1,001 - 2,500	P
JT	FIDELITY VALUE	$15,001 - 50,000	DIVIDENDS	$2,501 - 5,000	P
SP	HARTFORD ANNUITY	$1,001 - 15,000	DIVIDENDS	$201 - 1,000	
SP	TOYOTA MOTORS CORP	$1 - 1,000	DIVIDENDS	$1 - 200	
	AMR	$1 - 1,000		NONE	
	AIRTRAN HOLDINGS INC	$1 - 1,000		NONE	
	EATON VANCE OHIO MUNI	$1 - 1,000	DIVIDENDS	$1 - 200	
	GLOBAL E-POINT INC	$1 - 1,000		NONE	
	ISHARES MSCI AUSTRIA	$1 - 1,000	DIVIDENDS	$1 - 200	
	ISHARES MSCI SINGAPORE	$1 - 1,000	DIVIDENDS	$1 - 200	
	ISHARES MSCI JAPAN IND	NONE		NONE	S
	ISHARES S&P 500 INDEX	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	ISHARES GOLDMAN SACHS NATL RESOURCE INDX	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	ISHARES NASDAQ BIOTECHNOLOGY	NONE		NONE	S
	LIMITED BRANDS	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	MATTHEWS INTERNATIONAL FUNDS KOREA	NONE		NONE	S
	POWERSHARES NASDAQ-100 INDEX (NAME CHANGE)	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	OPKO HEALTH INC (f/k/a Exegenics Inc)	$1 - 1,000		NONE	
	PENN WEST ENERGY TRUST	$1,001 - 15,000	DIVIDENDS	$201 - 1,000	
	POWERSHARES ZACKS MICRO CAP INDEX	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	POWERSHARES HIGH GR DVID 100 ACHIEVER	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	POWERSHARES BIOTECHNOLOGY & GENOME PORT	$1 - 1,000		NONE	
	SAN JUAN BASIN ROYALTIES UNIT	$1 - 1,000	ROYALTIES	$1 - 200	
	SONUS PHARMACEUTICALS INC	$1 - 1,000		NONE	
	STREETTRACKS GOLD TRUST INVESTMENT FUND	$1,001 - 15,000		NONE	
	STRYKER CORP	$1 - 1,000	DIVIDENDS	$1 - 200	
	UMB SCOUT WORLDWIDE INTL	NONE		NONE	S
	VANGUARD ENERGY INDEX FUNDS	$1,001 - 15,000	DIVIDENDS	$1 - 200	
	VANGUARD HEALTHCARE INDEX	$1 - 1,000	DIVIDENDS	$1 - 200	
	VANGUARD TOTAL STOCK MARKET INDEX VIPERS	$1,001 - 15,000	DIVIDENDS	$1 - 200	

SCHEDULE IV - TRANSACTIONS

Name Michael C Burgess

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AVAYA INC	S	10-26-07	$1-1,000
SP	AVAYA INC (IRA)	S	10-26-2007	$1-1,000
	ISHARES MSCI JAPAN IND	S	05-04-07	$1,001 - $15,000
	MATTHEWS INTERNATIONAL FUNDS KOREA	S	05-04-2007	$1,001 - $15,000
	UMB SCOUT WORLDWIDE INTL	S	05-04-2007	$1,001 - $15,000
JT	FIDELITY INTERNATIONAL DISCOVERY	P	12-07-07	$1,001 - $15,000
JT	FIDELITY CAPITAL APPRECIATION	P	12-07-07	$1,001 - $15,000
JT	FIDELITY CONTRA FUND	P	02-02-07, 12-28-07	$1,001 - $15,000
JT	FIDELITY EQUITY INCOME II FUND	P	01-05-07, 12-14-07	$1,001 - $15,000
JT	FIDELITY EXPORT AND MULTI NATIONAL	P	10-05-07, 12-07-07	$1,001 - $15,000
JT	FIDELITY VALUE	P	12-07-07	$1,001 - $15,000
	GENWORTH VARIABLE ANNUITY PLUS	P	12-18-07, 12-28-07, 02-02-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Michael C Burgess

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	CITADEL BROADCASTING CORP (IRA)	P	06-12-07	$1-1,000
	LAKE POINT MEDICAL REALTY LTD	P	01-22-07	$1,001 - $15,000
	ISHARES NASDAQ BIOTECHNOLOGY	S	06-11-07	$1-1,000

SCHEDULE V - LIABILITIES

Name Michael C Burgess

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	BANK OF AMERICA	MORTGAGE ON 4637 IVANHOE, FORT WORTH, TEXAS	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael C Burgess

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
ALIIANCE FOR HEALTH REFORM	JAN 12-14	DC-MIAMI, FL-DALLAS/FORT WORTH, TX	Y	Y	N	NONE

SCHEDULE VIII - POSITIONS

Name Michael C Burgess

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD OF TRUSTEES (RETIRED MEMBER)	MEDICAL CENTER OF LEWISVILLE, TX

2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RECEIVED
2008 MAY 13 PM 3:42
HOUSE OF REPRESENTATIVES
(Office Use Only)

G. K. Butterfield
(Full Name)

(252) 243-7996
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: NC District: 01	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

542

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of North Carolina	Retirement	52,986

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name G. K. Butterfield Page 7 of 11

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on *each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets *Including all IRAs*, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, *Including all IRAs*, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

P, S, E

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	BB+T, Wilson, NC				X												X						X									
	BB+T, Wilson, NC			X													X					X										
	BB+T, Wilson, NC			X													X					X										
	Unity Life CMC, Inc.						X										X									X						
	JSL Development Ctr.			X													X						X									
	Butterfield For Congress						X							X							X											

For additional assets and unearned income, use next page.

Continuation Sheet (if needed)

Name G. K. Butterfield

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P S E
	R.E. Partnership - F.B.W.						X									X									X							
	R.E. Partnership - B+C							X								X										X						
	R.E. Partnership - B+M						X									X								X								
	Real Estate - Individual								X							X										X						

This page may be copied if more space is required.

Schedule V - Liabilities

G. K. Butterfield

Creditor	Type of Liability	Amount of Liability				
		B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000
~~Heritage Bank~~, Wilson, NC BB&T	528, 536, 548, 542 Nash (Nash Plaza) of Wilson, NC					X
Cornerstone Bank, Wilson, NC	1614 MLK Pkwy of Wilson, NC		X			
Cornerstone Bank, Wilson, NC	5314 Ward Blvd of Wilson, NC		X			
Cornerstone Bank, Wilson, NC	1702 MLK Pkwy of Wilson, NC		X			
BB&T Bank, Wilson, NC	211-213 Pender St of Wilson, NC			X		
BB&T Bank, Wilson, NC	109 Wayfarer Ct of Wilson, NC			X		
BB&T Bank, Wilson, NC	325 E Nash St (Funeral Home) of Wilson, NC	X				
Heritage Bank, Wilson, NC	106 Pender Street (Barnes Corner) of Wilson, NC				X	
Cornerstone Bank, Wilson, NC	608 Nash Street (Darden Corner) of Wilson, NC			X		
BB&T Bank, Wilson, NC	Other Liabilities (Wilson, NC)			X		
Congressional Federal Credit Union	Other Liabilities (Wilson, NC)	X				

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
N/A	

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-1-07	G.K. Butterfield - State of N.C.	Receive $4,511.66 monthly from 1-1-07 until death.

Use additional sheets if more space is required.

Real Estate Partnerships
G.K. Butterfield
As of December 31, 2007

Description	Value	Gross Annual Rent Received	Entity	Ownership Interest
211-213 Pender Street	$355,686.00	$39,000.00		
109 Wayfarer Court	$350,000.00	$39,000.00		
137 Wayfarer Court	$30,000.00	$0.00		
Total	**$735,686.00**	**$78,000.00**	**Real Etate Partnership--Butterfield & Connie**	**50%**
325 E. Nash St.(F.H.)	$315,000.00	$25,800.00		
Total	**$315,000.00**	**$25,800.00**	**Real Estate Partnership--Fitch, Butterfield, & Wynn**	**33%**
Darden Corner	$240,000.00	$7,200.00		
Total	**$240,000.00**	**$7,200.00**	**Real Estate Partnership--Butterfield & Mills**	**50%**

Real Estate--Individual
G.K. Butterfield
As of December 31, 2007

Description	Value	Gross Annual Rent Received	Ownership Interest
Nash Plaza	$433,000.00	$18,310.00	100%
Barnes Corner	$240,000.00	$13,618.00	100%
1614 MLK Pkwy.	$29,000.00	$0.00	100%
1702 MLK Pkwy.	$70,000.00	$6,000.00	100%
5314 Ward Blvd.	$70,000.00	$6,050.00	100%
1704 MLK Pkwy.	$15,000.00	$0.00	100%
Total	**$857,000.00**	**$43,978.00**	100%

G.K. Butterfield
Financial Disclosure Statement For Calendar Year 2007
Footnotes Accompanying Form "A"

1) Assets are stated at their estimated current values and liabilities at their estimated current amounts.

2) Changes to schedule for Real Estate—Individual from prior year are as follows:

Property Description in Prior Year Report	Property Description in Current Year Report
203 – 301 East Street	N/A (Sold)
406 Maury Street	N/A (Donated)
410 Maury Street	N/A (Donated)

3) Changes to schedule for Real Estate—Partnerships from prior year are as follows:

N/A

4) Changes to Schedule V--Liabilities from prior year are as follows:

Prior Year Creditor	Prior Year Type of Liability	Current Year Creditor	Current Year Type of Liability
Heritage Bank, Wilson, NC	536 Nash (B T Barnes) of Wilson, NC	BB&T Bank, Wilson, NC	528, 536, 542, 548 Nash St. (Nash Plaza) of Wilson, NC
Cornerstone Bank, Wilson, NC	528 Nash St. (Beauty Parlor) of Wilson, NC	BB&T Bank, Wilson, NC	528, 536, 542, 548 Nash St. (Nash Plaza) of Wilson, NC
Heritage Bank, Wilson, NC	548 Nash St. (Rosemond) of Wilson, NC	BB&T Bank, Wilson, NC	528, 536, 542, 548 Nash St. (Nash Plaza) of Wilson, NC
Heritage Bank, Wilson, NC	542 Nash St. (Nash Plaza) of Wilson, NC	BB&T Bank, Wilson, NC	528, 536, 542, 548 Nash St. (Nash Plaza) of Wilson, NC
Wells Fargo Bank, Wilson, NC	Other Liabilities (Wilson, NC)	BB&T Bank, Wilson, NC	Other Liabilities (Wilson, NC)
Countrywide Bank, Wilson, NC	Other Liabilities (Wilson, NC)	Congressional Federal Credit Union	Other Liabilities (Wilson, NC)

5) Note Receivables are as follows:

Organization	Receivable Balance	Source of Income
Unity Life CMC, Inc. (800 Hines Street)	$114,840	Promissory note on property
JSL Development Center	$5,000	Promissory note on property
Butterfield For Congress	$975	Promissory note on campaign loan

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

MC

(Office Use Only)

Stephen E Buyer
(Full Name)

202-225-5037
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: IN District: 04	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I - EARNED INCOME

Name Stephen E Buyer

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Purdue University	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen E Buyer

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Checking Account, Wells Fargo, Monticello, IN	$1,001-$15,000	Interest	$201-$1,000	N/A
	Checking Account, Wright Patman Congressional Federal Credit Union, Washington, DC	$1,001 - $15,000	N/A	NONE	N/A
SP	Chico's, Common Stock	$15,001 - $50,000	None	NONE	P
	IRA, Charles Schwab, Money Market Account	$1,001 - $15,000	Interest	$5,001 - $15,000	N/A
SP	IRA, Charles Schwab, Money Market Account	$50,001 - $100,000	Interest	$1,001 - $2,500	N/A
JT	Law Office, 103 West Broadway, Monticello, IN	$100,001 - $250,000	Rent	$5,001 - $15,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen E Buyer

JT	Money Market Account, Charles Schwab, Indianapolis, IN, Money Market Account	$50,001 - $100,000	Interest	$5,001 - $15,000	N/A
	Savings Account, Wells Fargo, Monticello, IN	$1,001 - $15,000	Interest	$1-$200	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephen E Buyer

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The German Marshall Fund of the United States and the Robert Bosch Stiftung Foundation	July 5-9	DC-Berlin, Germany-Heiligendamm, Germany-Washington, DC	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12: 36
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Kenneth Stanton Calvert
(Full Name)

202/225-1986
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: CA — District: 44 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

557

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenneth Stanton Calvert

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Commercial Rental (100% interest) 63 W. Grand, Corona, CA	$250,001 - $500,000	RENT	$50,001 - $100,000	
Commercial Rental (50% interest) 624 E. Grand, Corona, CA	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
Commercial Rental (25% interest) 501 & 503 E. 6th, Corona, CA	$100,001 - $250,000	RENT	$15,001 - $50,000	
Vacant Land (33 1/3% interest) Limonite, Mira Loma, CA	$250,001 - $500,000	None	NONE	
Commercial Rental (20% interest) 1065 E. 3rd, Corona, CA	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenneth Stanton Calvert

1	Commercial Rental (8 1/3% interest) 501 & 503 E. 6th, Corona, CA	$100,001 - $250,000	RENT	$5,001 - $15,000
1	Commercial Rental(33 1/3% interest) 103 N. Lincoln, Corona, CA	$500,001 - $1,000,000	RENT	$50,001 - $100,000
1	Commercial Rental(33 1/3% interest) 330 Sherman Way, Corona, CA)	$250,001 - $500,000	RENT	$15,001 - $50,000
1	Commercial Rental (11.1% interest) 901 E. 3rd St, Corona, CA	$250,001 - $500,000	RENT	$15,001 - $50,000
	Note Receivable Sale from Green Farms, Texas Allen Development of Texas, LLC, Visalia, CA	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000
	Stock, Calvert Real Properties	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000
	Citizens Business Bank Personal Checking	$250,001 - $500,000	INTEREST	$2,501 - $5,000

SCHEDULE V - LIABILITIES

Name Kenneth Stanton Calvert

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Citizens Business Bank Corona, CA	Mortgage (100%) 63 West Grand Blvd	$15,001 - $50,000
	Citizens Business Bank Corona, CA	Mortgage (25%) Mortgage (8 1/3%) 501 & 503 E. 6th, Corona, CA	$100,001 - $250,000
	Citizens Business Bank Corona, CA	Mortgage (50%) 624 E. Grand Blvd, Corona, CA	$250,001 - $500,000
	Citizens Business Bank Corona, CA	Mortgage (33 1/3%) 330 Sherman Way, Corona, CA	$50,001 - $100,000
	CitiBank Irvine, CA	Mortgage (20%) 1065 E. 3rd, Corona, CA	$1,000,001 - $5,000,000
	Corona Industrial Park Corona, CA	Mortgage (11.1%) 901 E. 3rd St, Corona, CA	$50,001 - $100,000

FOOTNOTES

Name Kenneth Stanton Calvert

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The assets that were previously held in the Calvert Family Trust of which my mother, Marceline Calvert, was the last remaining trustee prior to her death in 2007 were transferred to a partnership consisting of the remaining beneficiaries of the Calvert Family Trust -- my two brothers and me -- known as the Calvert Brothers Partnership in equal one-third shares.	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

14 04 47

MC (Office Use Only)

DAVID LEE CAMP
(Full Name)

(202) 225-3561
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative	State: MI District: 4	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15) — Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $365 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $365 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
ABBOTT LABORATORIES-STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
AETNA INC NEW-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
AMAZON.COM INC-STOCK	$15,001 - $50,000	NONE	NONE	P
AMERICAN EXPRESS-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
APPLE COMPUTER INC-STOCK	$15,001 - $50,000	NONE	NONE	PS(part)
AUTOMATIC DATA PROCESS-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

BECTON DICKINSON-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
BERKSHIRE HATH CL B-STOCK	$15,001 - $50,000	NONE	NONE	P
BOMBARDIER INC CL B-STOCK	$15,001 - $50,000	NONE	NONE	PS(part)
BOSTON PROPERTIES INC-STOCK	None	DIVIDENDS	$1,001 - $2,500	PS
BURLINGTON NO SANTA FE-STOCK	$15,001 - $50,000	None	NONE	PS(part)
CARDINAL HEALTH INC-STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
CATERPILLAR INC-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
CENTENNIAL MONEY MKT TR-STOCK	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	PS(part)
CENTENNIAL TAX EXEMPT TR-STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
CHEMICAL FINANCIAL-STOCK	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
CISCO SYSTEMS-STOCK	$50,001 - $100,000	None	NONE	PS(part)
COCA COLA CO-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
COLGATE-PALMOLIVE-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
COMERICA INC-STOCK	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

CONOCOPHILLIPS-STOCK	None	DIVIDENDS	$201 - $1,000	PS
CONSOLIDATED EDISON-STOCK	None	DIVIDENDS	$201 - $1,000	PS
COSTCO WHOLESALE-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
DEERE & CO-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
DEVON ENERGY CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
DIAGEO PLC ADR-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
DISNEY CO & FORMERLY PIXAR-STOCK	None	DIVIDENDS	$201 - $1,000	S
DOW CHEMICAL-STOCK	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	S(part)
ENERGY TRANSFER EQTY-STOCK	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
EXXON MOBIL CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
FEDEX CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
FFCB DISCOUNT NOTES-BONDS	$250,001 - $500,000	INTEREST	$1,001 - $2,500	PS(part)
FHLB DISCOUNT NOTES-BONDS	None	INTEREST	$2,501 - $5,000	PS
FPL GROUP INC-STOCK	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

GENENTECH INC-STOCK	$1,001 - $15,000	None	NONE	S(part)
GENERAL ELECTRIC CO-STOCK	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	S(part)
GENETEX CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
GEORGIA ST GO-BOND	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
GILEAD SCIENCES INC-STOCK	$15,001 - $50,000	None	NONE	S(part)
GOOGLE INC CL-A-STOCK	$15,001 - $50,000	None	NONE	PS(part)
GRAND RAPIDS MI PUB SCHS GO-BOND	$50,001 - $100,000	INTEREST	$201 - $1,000	P
H J HEINZ CO-STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	S(part)
HARRIS CORP-STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
HEWLETT-PACKARD CO-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	
HOLOGIC INC-STOCK	$1,001 - $15,000	None	NONE	P
HSBC HOLDINGS PLC-STOCK	None	DIVIDENDS	$201 - $1,000	S
IBM-STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
ILLINOIS ST GO ULT SER A-BOND	None	INTEREST	$2,501 - $5,000	S

ILLINOIS ST GO UNLTD FIRST SER-BOND	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
INTEL-STOCK	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	S(part)
IRA-DOW JONES US TELECOM-ISHARES TR	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
IRA-DOW JONES US UTILS-ISHARES TR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
IRA-ISHARES TR-S&P 500 INDEX FUND	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	P
IRA-LEHMAN 1-3 YR TREAS.-ISHARES TR	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
IRA-MONEY MKT OBLIG TR GOVT OBLIGS FD INSTL SHS	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
IRA-MSCI EAFE INDEX FUND-ISHARES TR	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
IRA-S&P MIDCAP 400 GROWTH INDEX FUND-ISHARES TR	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
IRA-S&P MIDCAP 400 VALUE INDEX FUND OF-ISHARES TR	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
IRA-SECTOR SPDR SBI-ENERGY	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
IRA-SECTOR SPDR SBI-FINANCIAL	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
IRA-SECTOR SPDR SBI-INDUSTRIAL	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
IRA-SECTOR SPDR SBI-TECHNOLOGY	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

IRA-SECTOR SPDR TR SBI-CONSUMER DISCRETIONARY	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
IRA-SECTOR SPDR TR SHS BEN INT-CONSUMER STAPLES	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
IRA-SECTOR SPDR TR SHS BEN INT-HEALTH CARE	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
ITC HOLDINGS GROUP-STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JOHNSON & JOHNSON-STOCK	None	DIVIDENDS	$201 - $1,000	S
KEIL TRUST-U/A 03/05/98 FBO NANCY CAMP-GRANTOR, ROBERT KEIL "EXCEPTED TRUST"	$1,269,840	DIVIDEND & INTEREST	$15,001 - $50,000	
KELLOGG COMP-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
LANSING MI SCHOOL DIST-BOND	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
MARATHON OIL CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
MARYLAND ST GO ULT ST & LOC-BOND	$50,001 - $100,000	INTEREST	$1 - $200	P
MCDONALDS CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
MEDTRONIC INC-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
MICHIGAN MUNI BND AUTH REV-BOND	None	INTEREST	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

MICHIGAN ST GO ULT-BOND	$15,001 - $50,000	INTEREST	$201 - $1,000	P
MICHIGAN ST TRUNKLINE A-BOND	None	INTEREST	$2,501 - $5,000	S
MISSOURI ST GO REF-BLDG A-BOND	None	INTEREST	$5,001 - $15,000	S
MONSANTO CO-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
NESTLE SA ADR-STOCK	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
NEWS CORP LTD ADR-STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
NORTH CAROLINA ST PUB IMPT-SER-BOND	$50,001 - $100,000	INTEREST	$1 - $200	P
NOVARTIS AG ADR-STOCK	None	DIVIDENDS	$201 - $1,000	S
NUCOR CORP-STOCK	$15,001 - $50,000	None	NONE	P
OHIO ST GO ULT HIGHER ED-BOND	$100,001 - $250,000	INTEREST	$1,001 - $2,500	P
OSHKOSH TRUCK CORP-STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
PAYCHEX INC-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
PEPSICO INC-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
POTASH CORP-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID LEE CAMP

PROCTER & GAMBLE CO-STOCK	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	PS(part)
RAYONIER INC-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
RESEARCH IN MOTION-STOCK	$1,001 - $15,000	None	NONE	PS(part)
SCHLUMBERGER LTD-STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SEMGROUP ENERGY PARTNERS-STOCK	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SO CAROLINA ST GO ULT A-BOND	None	INTEREST	$2,501 - $5,000	S
SOUTH CAROLINA ST SCH FACS SER-BOND	$100,001 - $250,000	INTEREST	$1,001 - $2,500	P
SSGA GOV MMF-STOCK	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	PS(part)
SSGA TAX FREE MMF-STOCK	None	DIVIDENDS	$1 - $200	PS
STRYKER CORP-STOCK	$15,001 - $50,000	None	NONE	P
TROY MI CITY SCH DIS GO ULT-BOND	None	INTEREST	$1,001 - $2,500	S
US TREASURY BILLS-BONDS	$15,001 - $50,000	INTEREST	$1,001 - $2,500	PS(part)
UTAH ST GO ULT-BOND	$15,001 - $50,000	INTEREST	$5,001 - $15,000	S(part)
VCA ANTECH INC-STOCK	$1,001 - $15,000	None	NONE	P

VODAFONE GRP ADR-STOCK	$15,001 - $50,000	None	NONE	P
WACHOVIA CORP-STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
WASHINGTON ST GO ULT-BOND	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
WELLS FARGO & CO NEW-STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
WISCONSIN ST TRANSN REV-BOND	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
WYETH-STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ABBOTT LABORATORIES-STOCK	P	8 TIMES	$1,001 - $15,000
	AETNA INC NEW-STOCK	P	01/10/07	$15,001 - $50,000
	AMAZON.COM INC-STOCK	P	12/12/07	$15,001 - $50,000
	AMERICAN EXPRESS-STOCK	PS(part)	P-6 TIMES S(PART)-8 TIMES	$1,001 - $15,000
	APPLE COMPUTER INC-STOCK	PS(part)	P-01/09/07 S(PART)-4 TIMES	$1,001 - $15,000
	BECTON DICKINSON-STOCK	P	8 TIMES	$1,001 - $15,000
	BERKSHIRE HATH CL B-STOCK	P	4 TIMES	$1,001 - $15,000
	BOMBARDIER INC CL B-STOCK	PS(part)	P-11 TIMES S(PART)-11/08/07	$15,001 - $50,000
	BOSTON PROPERTIES INC-STOCK	PS	P-01/09/07 S-15 TIMES	$15,001 - $50,000
	BURLINGTON NO SANTA FE-STOCK	PS(part)	P-09/19/07 S(PART)-11/08/07	$15,001 - $50,000
	CARDINAL HEALTH INC-STOCK	P	01/10/07	$1,001 - $15,000
	CATERPILLAR INC-STOCK	S(part)	02/27/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	CISCO SYSTEMS-STOCK	PS(part)	P-16 TIMES S(PART)-01/10/07	$15,001 - $50,000
	COLGATE-PALMOLIVE-STOCK	P	01/10/07	$1,001 - $15,000
	COCA COLA CO-STOCK	P	8 TIMES	$15,001 - $50,000
	COMERICA INC-STOCK	S(part)	03/08/07	$1,001 - $15,000
	CONOCOPHILLIPS-STOCK	PS	P-01/09/07 S-07/27/07	$15,001 - $50,000
	CONSOLIDATED EDISON-STOCK	PS	P-01/09/07 S-05/24/07	$15,001 - $50,000
	COSTCO WHOLESALE-STOCK	PS(part)	P-01/09/07 S(PART)-03/05/07	$1,001 - $15,000
	DEERE & CO-STOCK	SPLIT & P	SPLIT-11/26/07 P-12 TIMES	$15,001 - $50,000
	DEVON ENERGY CORP-STOCK	P	8 TIMES	$15,001 - $50,000
	DIAGEO PLC ADR-STOCK	PS(part)	P-6 TIMES S(PART)-08/17/07	$1,001 - $15,000
	DISNEY CO & FORMERLY PIXAR-STOCK	S	22 TIMES	$15,001 - $50,000
	DOW CHEMICAL-STOCK	S(part)	2 TIMES	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ENERGY TRANSFER EQTY-STOCK	P	01/10/07	$1,001 - $15,000
	FPL GROUP INC-STOCK	S(part)	06/05/07	$15,001 - $50,000
	FEDEX CORP-STOCK	PS(part)	P-3 TIMES S(PART)-03/26/07	$15,001 - $50,000
	GENENTECH INC-STOCK	S(part)	12/06/07	$1,001 - $15,000
	GENERAL ELECTRIC CO-STOCK	S(part)	02/27/07	$1,001 - $15,000
	GILEAD SCIENCES INC-STOCK	SPLT&S(pt)	SPLIT-06/25/07 S(PART)-03/7/07	$1,001 - $15,000
	GOOGLE INC CL-A-STOCK	PS(part)	P-01/09/07 S(PART)-03/01/07	$15,001 - $50,000
	HSBC HOLDINGS PLC-STOCK	S	01/22/07	$1,001 - $15,000
	H J HEINZ CO-STOCK	S(part)	10/01/07	$1,001 - $15,000
	HARRIS CORP-STOCK	P	09/19/07	$1,001 - $15,000
	HOLOGIC INC-STOCK	P	08/09/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IBM-STOCK	PS(part)	P-01/09/07 S(PART)-18 TIMES	$15,001 - $50,000
	INTEL-STOCK	S(part)	01/10/07	$1,001 - $15,000
	JOHNSON & JOHNSON-STOCK	S	19 TIMES	$15,001 - $50,000
	KELLOGG COMP-STOCK	P	01/10/07	$15,001 - $50,000
	MARATHON OIL CORP-STOCK	P	8 TIMES	$15,001 - $50,000
	MCDONALDS CORP-STOCK	P	02/14/07	$1,001 - $15,000
	MONSANTO CO-STOCK	PS(part)	P-02/14/07 S(PART)-11 TIMES	$15,001 - $50,000
	NESTLE SA ADR-STOCK	P	01/09/07	$1,001 - $15,000
	NEWS CORP LTD ADR-STOCK	PS(part)	P-6 TIMES S(PART)-12/28/07	$1,001 - $15,000
	NOVARTIS AG ADR-STOCK	S	07/27/07	$1,001 - $15,000
	NUCOR CORP-STOCK	P	12/12/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	OSHKOSH TRUCK CORP-STOCK	S(part)	10 TIMES	$1,001 - $15,000
	PAYCHEX INC-STOCK	P	09/07/07	$15,001 - $50,000
	PEPSICO INC-STOCK	P	01/10/07	$1,001 - $15,000
	POTASH CORP-STOCK	PS(part)	P-12 TIMES S(PART)-11/23/07	$15,001 - $50,000
	PROCTER & GAMBLE CO-STOCK	PS(part)	P-01/09/07 S(PART)-01/10/07	$1,001 - $15,000
	RAYONIER INC-STOCK	P	6 TIMES	$1,001 - $15,000
	RESEARCH IN MOTION-STOCK	PS(part)	SPLIT-06/29/07 P-09/19/07 S(PART)-11 TIMES	$15,001 - $50,000
	SCHLUMBERGER LTD-STOCK	P	16 TIMES	$15,001 - $50,000
	SEMGROUP ENERGY PARTNERS-STOCK	P	09/07/07	$15,001 - $50,000
	STRYKER CORP-STOCK	P	12 TIMES	$15,001 - $50,000
	VCA ANTECH INC-STOCK	P	08/24/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VODAFONE GRP ADR-STOCK	P	09/19/07	$15,001 - $50,000
	WELLS FARGO & CO NEW-STOCK	PS(part)	P-12/12/07 S(PART)-01/10/07	$15,001 - $50,000
	GRAND RAPIDS MI PUB SCHS GO-BOND	P	08/08/07	$50,001 - $100,000
	ILLINOIS ST GO ULT SER A-BOND	S	03/01/07	$100,001 - $250,000
	ILLINOIS ST GO UNLTD FIRST SER-BOND	P	04/30/07	$50,001 - $100,000
	MARYLAND ST GO ULT ST & LOC-BOND	P	08/30/07	$50,001 - $100,000
	MICHIGAN MUNI BND AUTH REV-BOND	S	10/01/07	$15,001 - $50,000
	MICHIGAN ST GO ULT-BOND	P	05/01/07	$15,001 - $50,000
	MICHIGAN ST TRUNKLINE A-BOND	S	11/01/07	$50,001 - $100,000
	MISSOURI ST GO REF-BLDG A-BOND	S	08/01/07	$100,001 - $250,000
	NORTH CAROLINA ST PUB IMPT-SER-BOND	P	08/03/07	$50,001 - $100,000
	OHIO ST GO ULT HIGHER ED-BOND	P	07/16/07	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SO CAROLINA ST GO ULT A-BOND	S	09/20/07	$50,001 - $100,000
	SOUTH CAROLINA ST SCH FACS SER-BOND	P	03/06/07	$100,001 - $250,000
	TROY MI CITY SCH DIS GO ULT-BOND	S	05/01/07	$50,001 - $100,000
	UTAH ST GO ULT-BOND	S(part)	07/01/07	$50,001 - $100,000
	US TREASURY BILLS-BONDS	PS(part)	P-18 TIMES S(PART)-20 TIMES	$500,001 - $1,000,000
	FFCB DISCOUNT NOTE-BONDS	PS(part)	P-9 TIMES S(PART)-11/20/07	$500,001 - $1,000,000
	FHLB DISCOUNT NOTE-BONDS	PS	P-11 TIMES S-15 TIMES	$500,001 - $1,000,000
	3M CO-STOCK	PS	P-08/24/07 S-10/19/07	$15,001 - $50,000
	ADOBE SYSTEMS, INC-STOCK	PS	P-6 TIMES S-22 TIMES	$15,001 - $50,000
	AGILENT TECHNOLOGIES-STOCKS	S	01/10/07	$1,001 - $15,000
	AKAMAI TECH INC-STOCK	PS	P-9 TIMES S-07/26/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ALLTEL CORP-STOCK	S	15 TIMES	$15,001 - $50,000
	AMGEN-STOCK	PS	P-01/10/07 S-12/21/07	$15,001 - $50,000
	ARCHER DANIELS MID-STOCK	PS	P-01/29/07 S-08/10/07	$15,001 - $50,000
	BHP BILLITON LTD ADR-STOCK	S	11 TIMES	$1,001 - $15,000
	BANK OF AMERICA-STOCK	PS	P-12 TIMES S-08/27/07	$15,001 - $50,000
	BROADRIDGE FINANCIAL (FMRLY ADP)-STOCK	EXCHG & S	EXCHG-03/30/07 S-09/28/07	$1,001 - $15,000
	CANADIAN NATL RWY CO-STOCK	PS	P-12 TIMES S-08/16/07	$15,001 - $50,000
	CHESAPEAKE ENERGY-STOCK	S	03/01/07	$15,001 - $50,000
	CITIGROUP INC-STOCK	S	12/21/07	$15,001 - $50,000
	COMCAST CORP-STOCK	SPLT, P &S	SPLIT-02/22/07 P-01/09/07 S-24 TIMES	$1,001 - $15,000
	CUMMINS INC-STOCK	PS	P-09/20/07 S-8 TIMES	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DELL INC-STOCK	PS	P-01/09/07 S-06/12/07	$15,001 - $50,000
	DISH NETWORK CORP-STOCK	PS	P-08/24/07 S-16 TIMES	$15,001 - $50,000
	FMC TECHNOLOGIES-STOCK	PS	P-8 TIMES S-10 TIMES	$15,001 - $50,000
	FACTSET RESEARCH SYS-STOCK	PS	P- 08/08/07 S- 10/19/07	$15,001 - $50,000
	FIRST DATA COMP-STOCK	PS	P-01/10/07 S-06/28/07	$50,001 - $100,000
	FORWARD AIR CORP-STOCK	PS	P-01/10/07 S-05/29/07	$1,001 - $15,000
	FREEPORT-MCMORAN-STOCK	PS	P-12 TIMES S-10/22/07	$15,001 - $50,000
	GENERAL DYNAMICS-STOCK	PS	P-01/09/07 S-14 TIMES	$15,001 - $50,000
	GOLDMAN SACHS GROUP-STOCK	PS	P-08/13/07 S-6 TIMES	$1,001 - $15,000
	HOME DEPOT INC-STOCK	S	2 TIMES	$15,001 - $50,000
	IRON MOUNTAIN INC-STOCK	PS	P-7 TIMES S-11/23/07	$15,001 - $50,000
	JP MORGAN CHASE-STOCK	S	02/27/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	LULULEMON ATHLETICA-STOCK	PS	P-09/19/07 S-10/26/07	$15,001 - $50,000
	MOODY'S CORP-STOCK	PS	P-9 TIMES S-06/27/07	$15,001 - $50,000
	MYLAN LABORATORIES-STOCK	S	4 TIMES	$15,001 - $50,000
	NATIOANL OILWELL VARGO-STOCK	PS	P-7 TIMES S-11 TIMES	$15,001 - $50,000
	NORDSTROM INC-STOCK	S	05/29/07	$15,001 - $50,000
	OCCIDENTAL PETROLEUM-STOCK	S	07/26/07	$1,001 - $15,000
	OWENS CORNING INC-STOCK	PS	P-8 TIMES S-8 TIMES	$15,001 - $50,000
	PFIZER INC-STOCK	S	14 TIMES	$1,001 - $15,000
	PHELPS DODGE-STOCK	S	01/22/07	$15,001 - $50,000
	POLO RALPH LAUREN CORP-STOCK	PS	P-09/19/07 S-4 TIMES	$15,001 - $50,000
	QUEST COMM INTL-STOCK	PS	P-8 TIMES S-11 TIMES	$15,001 - $50,000
	RIO TINTO PLC ADR-STOCK	PS	P-8 TIMES S-8 TIMES	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SANOFI-AVENTIS ADR-STOCK	S	02/13/07	$1,001 - $15,000
	STARBUCKS CORP-STOCK	S	02/27/07	$1,001 - $15,000
	STATE STREET CORP-STOCK	PS	P-8 TIMES S-11/02/07	$15,001 - $50,000
	STREETTRACKS GOLD TR-STOCK	PS	P-02/12/07 S-02/27/07	$15,001 - $50,000
	SUNCOR ENERGY INC-STOCK	PS	P-7 TIMES S-7 TIMES	$15,001 - $50,000
	TOYOTA MOTOR ADR-STOCK	PS	P-01/09/07 S-03/09/07	$15,001 - $50,000
	UNITED TECHNOLOGIES-STOCK	S	02/27/07	$1,001 - $15,000
	VERASUN ENERGY CORP-STOCK	S	05/31/07	$1,001 - $15,000
	WALGREEN COMP-STOCK	PS	P-09/07/07 S-12/21/07	$50,001 - $100,000
	WEATHERFORD INTL LTD-STOCK	S	01/04/07	$1,001 - $15,000
	IRA-LEHMAN 1-3 YR TREAS.-ISHARES TR	P	9 TIMES	$50,001 - $100,000
	IRA-ISHARES TR-S&P 500 INDEX FUND	P	5 TIMES	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA-MSCI EAFE INDEX FUND-ISHARES TR	P	4 TIMES	$15,001 - $50,000
	IRA-S&P MIDCAP 400 GROWTH INDEX FUND-ISHARES TR	P	4 TIMES	$15,001 - $50,000
	IRA-DOW JONES US UTILS-ISHARES TR	P	3 TIMES	$1,001 - $15,000
	IRA-S&P MIDCAP 400 VALUE INDEX FUND OF-ISHARES TR	PS(part)	P-3 TIMES S(PART)-10/01/07	$15,001 - $50,000
	IRA-DOW JONES US TELECOM-ISHARES TR	PS(part)	P-3 TIMES S(PART)-10/01/07	$1,001 - $15,000
	IRA-SECTOR SPDR TR SHS BEN INT-HEALTH CARE	P	5 TIMES	$15,001 - $50,000
	IRA-SECTOR SPDR TR SHS BEN INT-CONSUMER STAPLES	PS(part)	P-7 TIMES S(PART)-10/01/07	$15,001 - $50,000
	IRA-SECTOR SPDR TR SBI-CONSUMER DISCRETIONARY	P	3 TIMES	$1,001 - $15,000
	IRA-SECTOR SPDR SBI-ENERGY	PS(part)	P-4 TIMES S(PART)-2 TIMES	$15,001 - $50,000
	IRA-SECTOR SPDR SBI-FINANCIAL	PS(part)	P-3 TIMES S(PART)-01/03/07	$15,001 - $50,000
	IRA-SECTOR SPDR SBI-INDUSTRIAL	PS(part)	P-5 TIMES S(PART)-10/01/07	$15,001 - $50,000
	IRA-SECTOR SPDR SBI-TECHNOLOGY	PS(part)	P-4 TIMES S(PART)-10/01/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name DAVID LEE CAMP

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA-ISHARES IBOXX INVEST GRADE CORP BOND FD	PS	P-2 TIMES S-8 TIMES	$50,001 - $100,000
	IRA-ISHARES LEHMAN 7-10 TREASURY-STOCK	PS	P-2 TIMES S-5 TIMES	$1,001 - $15,000
	IRA-ISHARES LEHMAN AGGREGAT BOND FD	PS	P-07/02/07 S-10/01/07	$15,001 - $50,000
	IRA-ISHARES DOW JONES US HEALTHCARE SECTOR INDEX-STOCK	PS	P-2 TIMES S-10/01/07	$15,001 - $50,000
	DOW CHEMICAL COMPANY 401K	IRA-R.OVER	01/30/07	$100,001 - $250,000
	CENTENNIAL MONEY MKT TR-STOCK	PS(part)	24 TIMES	$50,001 - $100,000
	CENTENNIAL TAX EXEMPT TR-STOCK	PS(part)	24 TIMES	$1,001 - $15,000
	SSGA GOV MMF-STOCK	PS(part)	24 TIMES	$15,001 - $50,000
	SSGA TAX FREE MMF-STOCK	PS	12 TIMES	$1,001 - $15,000
	IRA-MONEY MKT OBLIG TR GOVT OBLIGS FD INSTL SHS	PS(part)	24 TIMES	$15,001 - $50,000
	IRA-CENTENNIAL MONEY MKT TR-STOCK	S	2 TIMES	$1,001 - $15,000

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Christopher B. Cannon
(Full Name)

202-225-7751
(Daytime Telephone)

MCC (Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: UT District: 3 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exceptad trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

585

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christopher B. Cannon

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Automated Comm. Group / Brookline Technologies Salt Lake City, Ut	$100,001 - $250,000	DIVIDENDS	NONE	
Automated Comm. Group / Brookline Technologies (interest in shareholder litigation) Salt Lake City, UT	Indefinite	None	NONE	
Cannon Industries, Inc. Loan Rec - Provo UT	$1,000,001 - $5,000,000	INTEREST	$100,001 - $1,000,000	
Cannon Industries, Inc. Stock - Provo, UT	$250,001 - $500,000	DIVIDENDS	NONE	
David Cannon Loan Rec - Salt Lake City	$100,001 - $250,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christopher B. Cannon

	Farm / Heavy Equipment Mapleton, UT	$15,001 - $50,000	None	NONE
	Gary Ruse Loan Rec - Gordon , NE	$50,001 - $100,000	INTEREST	NONE
	Joe Cannon Loan Rec-Provo Ut	$250,001 - $500,000	INTEREST	NONE
	Terrace Falls Condo Salt Lake City, Ut	$250,001 - $500,000	None	NONE
JT	Wells Fargo Bank Checking - Salt Lake City	$1,001 - $15,000	INTEREST	$1 - $200
JT	Zions First National Bank Checking-Salt Lake City	$1,001 - $15,000	None	NONE
JT	Zions First National Bank Checking - Salt Lake City	$1 - $1,000	None	NONE
	Sundance Lot Sundance, Ut.	100,001 - 250,000	None	None

SCHEDULE V - LIABILITIES

Name Christopher B. Cannon

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	National City Mortgage, Salt Lake City, Utah	Mortgage on Terrace Falls Condo, Salt Lake City, Utah	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Christopher B. Cannon

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Advisory Board Member	CAMIE (Character and Morality in Entertainment)
Advisory Committee	Scouting Legal Defense Fund
Advisory Board Member	Friends of Lake Powell, Inc.
Council Member	United States Holocaust Memorial Council
Executive Board Member	Utah National Parks Council - Boy Scouts of America
Board of Trustees	Center for the New West
Board of Directors Member	Solvenow.org, Inc.
Congressional Advisory Board Member	ThanksUSA ThanksUSA.org
Board of Trustees	Economic Development Corporation of Utah

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 1:29

MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Eric Ivan Cantor
(Full Name)

202-225-2815
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: VA District: 7	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Eric Ivan Cantor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Virginia College Savings Plan	Spouse Salary	N/A
Media General, Inc.	Spouse Director Fee	N/A
Domino's Pizza, Inc.	Spouse Director Fee	N/A
New York Private Bank & Trust	Spouse Consultant Fee	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Absolute Software Corporation Stock	$1,001 - $15,000	None	NONE	P
Advance Auto Parts Inc. Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Alcoa Inc. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
Alltel Corporation Stock	None	DIVIDENDS	$1 - $200	S
Alpine Total Dynamic Divid FD Com SBI	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
Altria Group Inc Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	American Balanced Fund/CollegeAmerica 529	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	Amgen Inc Stock	$1,001 - $15,000	None	NONE	
	Anadigics Incorporated Stock	$1,001 - $15,000	None	NONE	P
	Apartment Invt & Mgmt Co Pref 8%	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	API Frontier Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	API Frontier Income Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	API Trust Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Archer Daniels Midland Company Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Ashland Inc stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Baldwin & Lyons Inc. Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Banco Santander Perp Ser 1 6.41%	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Bank of America bank accounts	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
	BB&T bank accounts	$1,001 - $15,000	INTEREST	$1 - $200	
	Blackrock Preferred & Eq Advantage Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Bond Fund of America/CollegeAmerica 529	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Cameco Corporation stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Cantor & Cantor Note Receivable, Richmond, VA/Law Firm	$50,001 - $100,000	None	NONE	
	Capital Income Builder Fund/CollegeAmerica 529	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Capitol Bancorp Ltd stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	CBS Corp 6.75% Senior Notes	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	CBTCS for CIT 7.75% Pref stock	None	INTEREST	$1,001 - $2,500	S
	CBTCS Ser 2002-8 for Bell South 7% Pref Stock	None	INTEREST	$201 - $1,000	S
	Centerpoint Energy Inc stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Cepheid Stock	$1,001 - $15,000	None	NONE	
	Cerus Corporation stock	$1,001 - $15,000	None	NONE	
	Chubb Corporation stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	CIT Group Inc. Pfd A stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Citadel Broadcasting Corporation stock	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Citizens Communications Company stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Clay County FL Housing Finance Authority Revenue Bond	None	INTEREST	$1,001 - $2,500	S
	CLM Capital Co. stock, Richmond, VA/Account Factoring	$50,001 - $100,000	Sub S Income	$50,001 - $100,000	
	CLM Financial Co. Stock, Richmond, VA/Account Factoring	$1 - $1,000	Sub S Income	$1 - $200	
	Colonial High Income Mun Tr Sh Ben Int Fund	None	DIVIDENDS	$201 - $1,000	S
	Consolidated Edison Inc stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Discovery Holding Company stock	$1,001 - $15,000	None	NONE	
	Disney Walt Comp Common Stk	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Dominion Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Domino's Pizza Inc. Stock	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	
	Domino's Pizza Inc. Stock Options	None	None	NONE	
	Dreyfus Premier Florida Municipal Bond Fund Class A M/F	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	DuPont E.I. De Nemours & Co. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Dynx Cap Inc Com New REIT	$1,001 - $15,000	None	NONE	P
	Ecology & Environment Inc Class A stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Energy Conversion Devices Inc stock	$1,001 - $15,000	None	NONE	P
	Federal National Mortgage Association Series 93-021 Class KA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First Eagle Global Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	
	Flaherty & Crmn/Clymr Pref Sec Com Shs Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	FPL Group Capital Inc Deb A 6.6% Pfd Stock	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	FPL Group Capital Trust I 5.875% Cum Tr Pfd Stock	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Franklin Federal Tax Free Income Fund Class A M/F	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Franklin Florida Tax Free Income Fund Class A M/F	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	FTI Consulting Inc stock	None	None	NONE	S
	Gamco Investors Inc stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	General Motors Corporation stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Genesis Asset Managers Emerging Mkts Equity SMA	$15,001 - $50,000	INTEREST	$5,001 - $15,000	

	Georgia Power Capital Tr VII 5.875% Pfd stock	$1,001 - $15,000	INTEREST	$1 - $200	
	GlaxoSmithKline PLC Sponsored ADR (United Kingdom)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Goldman Sachs Core Fixed Income Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Goldman Sachs CORE Small Cap Equity Fund	None	None	NONE	S
	Goldman Sachs CORE U.S. Equity Fund	$15,001 - $50,000	None	NONE	
	Goldman Sachs Dwight Asset Mgt Stable Value SMA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Goldman Sachs Financial Square Money Market Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Goldman Sachs Group Inc stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Goldman Sachs High Yield Fund	$1,001 - $15,000	INTEREST	$1 - $200	
	Goldman Sachs Large Cap Value Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Goldman Sachs Mid Cap Value Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Goldman Sachs Real Estate Securities Fund	$15,001 - $50,000	None	NONE	
	Goldman Sachs Short Duration Gov't Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Growth Fund of America/CollegeAmerica 529	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Head N V NY Reg Sh (Netherlands)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Heinz HJ Company stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Heritage Cash Trust Money Market Account	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	HSBC Holdings PLC ADR 6.2% Pfd Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IDT Corporation Class B stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Inco Limited Stock (Canada)	None	None	NONE	S
	ING Grp 6.375% Perp Def Hybrid Cap Secs	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Intel Corporation stock	None	DIVIDENDS	$1 - $200	S
	Investment Company of America fund/CollegeAmerica 529	$1,001 - $15,000	INTEREST	$201 - $1,000	
	John Hancock Preferred Income Fund Sh Ben Int	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	Keycorp Cap IX 6.76% enhanced trust pref stk	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	Kraft Foods Inc Class A stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Ladenburg Thalman Financial Services Inc stk	$1,001 - $15,000	None	NONE	P
	Lawson Software Inc stock	$1,001 - $15,000	None	NONE	

	Liberty All Star Equity Fund	$1,001 - $15,000	None	NONE	P
	Liberty Global Inc Com Ser A stock	$1,001 - $15,000	None	NONE	
	Liberty Global Inc Com Ser C stock	$1,001 - $15,000	None	NONE	
	Liberty Media Holding Corp Cap Ser A common stock (formerly Liberty Media Corp New Com Ser A stock)	$1,001 - $15,000	None	NONE	
	Liberty Media Holding Corp International Ser A common stock (formerly Liberty Media International Inc Com Ser A stock)	$1,001 - $15,000	None	NONE	
	Lincoln National Corp Ind stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Lincoln National Corporation Cap Secs Pfd Stock	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Lord Abbett Developing Local Markets Fund Class A (formerly called Lord Abbett Global Income Fund Class A M/F)	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	Markel Corporation stock	$50,001 - $100,000	None	NONE	
	Martin Currie International Growth Equity SMA (formerly T. Rowe Price International SMA)	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
	Medarex Inc. Stock	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Media General Inc Class A stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Media General Inc Deferred Comp stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Merck & Company Inc stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
	Metromedia International Group Inc Prf Conv stock	$1,001 - $15,000	None	NONE	
	MFS Charter Income Tr Sh Ben Int Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	MFS High Income Mun Tr Sh Ben Int	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Mirant Corp New stock	$15,001 - $50,000	None	NONE	S(part)
	Montpelier Re Holdings Limited stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Morgan Stanley Capital Trust III Pref stock	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	Morgan Stanley Emer Mkts Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	New Perspective Fund/CollegeAmerica 529	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
	Newcastle Invt Corp stock	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Newmont Mining Corporation stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	News Corporation Class A stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

	Nuveen Quality Pref Inc Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Omega Advisors, Inc. Multi-Market Hedge Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Pennsylvania RL Est Invt Tr REIT	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Pep Boys Inc stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
	Pequot Capital Management Inc. US Equity Hedge Fund	None	None	NONE	S
	PFD Plus Trust First Amem Financi 7.55% due 4/1/2028 Pref stock	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Pfizer Inc stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Philadelphia PA Authority for Industrial Development Airport Revenue Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Phoenix Multi-Sector Fixed Income Fund Class A M/F	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	PIMCO Global Bond Fund II	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Plum Creek Timber Company Inc REIT	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	PPLUS 7.65% for El Paso Corp Pref Plus Trust Pref Stock	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Preferredplus Trust UPC-1 7.4% Pfd stock	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Prime Group Realty Trust Pfd stock	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Public Storage 6.25% Series Z Cum Pfd Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Public Storage Inc 6.25% cum Perpet Pfd Ser M	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Public Storage Inc Pfd L 6.75% stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Public Storage Series F 6.45% Cum Pfd Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Qualcomm Inc. Stock	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Raymond James & Assocs Cash Account	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Reliant Energy Inc stock	$15,001 - $50,000	None	NONE	
	RenaissanceRE Hldr Ltd 6.08% Perpetual Pfd Series C stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Richmond Resources, Ltd. Note Receivable, Richmond, VA/Real Estate Development	$100,001 - $250,000	None	NONE	
	Rio Tinto PLC Sponsored ADR (United Kingdom) stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
	Rite Aid Corporation Stock	$1,001 - $15,000	None	NONE	P
	Royal Bank of Scotland PLC 6.4% Non cum perpet pref Ser M Amer Dep Shrs stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Royal Dutch Shell PLC Spons ADR A (Netherlands) stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

	Russell 1000 Growth Index Fund (VRS)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Russell 1000 Value Index Fund (VRS)	$15,001 - $50,000	None	NONE	
	San Juan Basin Realty Trust Unit Ben Int	$1,001 - $15,000	Royalty Distribution	$201 - $1,000	
	Sara Lee Corporation Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Schlumberger Limited stock (Netherlands Antilles)	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	S(part)
	Selective Insurance Group Inc Jr Subordinated Notes	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	Short-Term Bond Fund of America (CollegeAmerica)	$1,001 - $15,000	INTEREST	$1 - $200	P
	Sirius Satellite Radio Inc Stock	None	None	NONE	S
	SLM Corporation Sr Notes	$1,001 - $15,000	INTEREST	$201 - $1,000	
	SLM Corporation stock	None	None	NONE	S
	SSgA S&P 500 Index Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	St Joe Company stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Structured Asset Trust Unit Pfd stock	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Suntrust Banks Inc stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

	Target Corporation stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Targets Tr XXII Trgt Gwth Term Pfd stock	None	INTEREST	$201 - $1,000	S
	TCW Asset Management (Concentrated Growth Equity) SMA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	TCW Cowen Asset Management Small Cap Equity SMA	$15,001 - $50,000	None	NONE	
	Telephone & Data Systems Inc NT Ser A 7.6% Pfd Stk	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Telephone & Data Systems Inc SPL Com stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Telephone & Data Systems Inc. Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Transocean Inc New (formerly Transocean Inc Ord) (Cayman Islands) stock	$1,001 - $15,000	None	NONE	
	Trust CTFS Ser 2001-27 Royal Carib CBTCS 8 7/8% Pref stock	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
	TrustMor Mortgage Co LLC (formerly TrustMor Mortgage Co. Stock), Richmond, VA/Mortgage Banking	$250,001 - $500,000	Partnership Income	$15,001 - $50,000	
	UDR Inc REIT (formerly called United Dominion Realty Tr Inc REIT)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Unitil Corp Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eric Ivan Cantor

	Unum Provident 7.25% Pines due 6/15/2032	None	INTEREST	$1,001 - $2,500	S
	Van Kampen Municipal Income Fund Class A M/F	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Verizon Communications Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Virginia College Savings Plan 529 VEST accounts	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	Virginia College Savings Plan 529 VPEP accounts	$50,001 - $100,000	None	NONE	
	Virginia Credit Union accounts	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Virginia Retirement System Active Bond Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Virginia Retirement System Defined Benefit Plan	$100,001 - $250,000	None	NONE	
	Virginia Retirement System Income & Growth Fund	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	Virginia Retirement System S&P 500 Index Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Virginia Retirement System Small/Mid Cap Equity Index Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Virginia Retirement System Stable Value Fund	$1,001 - $15,000	INTEREST	$1 - $200	
	Vornado Realty Trust Pref Ser G 6.625%	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Wachovia Corp 2nd New stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

	Washington Mutual Investors Fund/CollegeAmerica 529	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	Western Asset Management Company Core Bond Plus Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Weyerhauser Company Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Williams Companies Inc Del stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Windstream Corp Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Eric Ivan Cantor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Absolute Software Corporation Stock	P	3-30-07	$1,001 - $15,000
	Alcoa Incorporated Stock	P	1-23-07	$1,001 - $15,000
	Alltel Corporation Stock	S	11-16-07	$1,001 - $15,000
	Anadigics Incorporated Stock	P	3-16-07 3-30-07	$1,001 - $15,000
	Banco Santander Perp Ser 1 6.41%	P	2-14-07 3-16-07	$15,001 - $50,000
	Capitol Bancorp Ltd stock	P	9-10-07 11-28-07 12-19-07	$15,001 - $50,000
	CBS Corp 6.75% Senior Notes	P	4-16-07	$15,001 - $50,000
	Citadel Broadcasting Corporation stock	P	6-15-07 7-9-07	$1,001 - $15,000
	Dynx Cap Inc Com New REIT	P	6-15-07	$1,001 - $15,000
	ING Grp 6.375% Perp Def Hybrid Cap Secs	P	6-6-07	$15,001 - $50,000
	Keycorp Cap IX 6.78% enhanced trust pref stk	P	8-22-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Eric Ivan Cantor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Kraft Foods Inc Class A stock	P	4-2-07	$1,001 - $15,000
	Ladenburg Thalman Financial Services Inc stk	P	2-1-07 12-19-07	$1,001 - $15,000
	Liberty All Star Equity Fund	P	1-23-07	$1,001 - $15,000
	MFS High Income Mun Tr Sh Ben Int	P	7-3-07	$15,001 - $50,000
	Public Storage Inc 6.25% cum Perpet Pfd Ser M	P	12-6-07	$1,001 - $15,000
	Short-Term Bond Fund of America (CollegeAmerica)	P	6-18-07	$1,001 - $15,000
	Alpine Total Dynamic Divid FD Com SBI	P	5-10-07	$15,001 - $50,000
	American Balanced Fund CollegeAmerica	P	6-18-07	$15,001 - $50,000
	Blackrock Pref Equity Advantage Fund	P	1-5-07 8-22-07	$15,001 - $50,000
	Bond Fund of America/CollegeAmerica	P	6-18-07	$1,001 - $15,000
	CBTCS for CIT 7.75% Pref stock	S	4-10-07	$15,001 - $50,000
	CBTCS Ser 2002-8 for Bell South 7% Pref Stock	S	2-13-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Eric Ivan Cantor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Clay County FL Housing Finance Authority Revenue Bond	S	4-2-07 10-1-07	$15,001 - $50,000
	Colonial High Income MunTr Sh Ben Int Fund	S	7-3-07	$15,001 - $50,000
	Energy Conversion Devices Inc	P	5-4-07	$1,001 - $15,000
	FTI Consulting Inc stock	S	3-30-07	$1,001 - $15,000
	Goldman Sachs CORE Small Cap Equity Fund	S	12-20-07	$1,001 - $15,000
	Goldman Sachs Financial Square Money Market Fund	P	6-29-07 12-20-07	$15,001 - $50,000
	Growth Fund of America/CollegeAmerica 529	P	6-18-07	$1,001 - $15,000
	Inco Limited Stock (Canada)	S	1-5-07	$1,001 - $15,000
	Intel Corporation stock	S	3-30-07	$1,001 - $15,000
	Merck & Company Inc stock	S(part)	6-6-07	$15,001 - $50,000
	Mirant Corp New Stock	S(part)	9-10-07	$1,001 - $15,000
	Pep Boys Inc stock	S(part)	5-4-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Eric Ivan Cantor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Pequot Capital Management Inc. US Equity Hedge Fund	S	6-29-07	$1,001 - $15,000
	Pfizer Inc stock	P	6-6-07	$15,001 - $50,000
	Rio Tinto PLC Sponsored ADR (United Kingdom) stock	S(part)	5-9-07	$1,001 - $15,000
	Rite Aid Corporation Stock	P	3-16-07	$1,001 - $15,000
	Schlumberger Limited stock(Netherlands Antilles)	S(part)	3-30-07 9-10-07	$15,001 - $50,000
	Sirius Satellite Radio Inc Stock	S	4-20-07	$1,001 - $15,000
	SLM Corporation stock	S	1-5-07	$15,001 - $50,000
	St Joe Company stock	P	9-10-07	$1,001 - $15,000
	Targets Tr XXII Trgt Gwth Term Pfd stock	S	8-16-07	$1,001 - $15,000
	Unitil Corp Stock	P	1-5-07	$15,001 - $50,000
	Unum Provident 7.25% Pines due 6/15/2032	S	12-3-07	$15,001 - $50,000
	Washington Mutual Investors Fund/CollegeAmerica	P	6-18-07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Eric Ivan Cantor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Aug. 5-12	Richmond VA-Israel-Richmond VA	Y	Y	N	None
One Jerusalem Charitable and Education Fund	Nov. 24-25	Richmond VA -Israel-Richmond VA	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Eric Ivan Cantor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Virginia Holocaust Museum
Director (unpaid)	CLM Capital Inc.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:12
U.S. HOUSE OF REPRESENTATIVES

Shelley Moore Capito (Full Name)	202-225-2711 (Daytime Telephone)	MC (Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: WV District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

613

SCHEDULE I - EARNED INCOME

Name Shelley Moore Capito

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Citigroup Global Markets, Inc	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset *in the account that exceeds the reporting threshold. For retirement* plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	401(k) Citi Institutional Liquid Reserves	$100,001 - $250,000	DIVIDENDS	see footnote 3	P
SP	401(k) *Citicorp Stock Fund*	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	see footnote 3	
SP	401(k) ClearBridge Multi Cap Growth	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	see footnote 3	P
SP	401(k) Emerging Market Equity	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	see footnote 3	P
SP	401(k) Legg Mason Aggressive Growth Fund	None	DIVIDENDS/CAPITAL GAINS	see footnote 3	S
SP	401(k) Legg Mason Fundamental Value	None	DIVIDENDS/CAPITAL GAINS	see footnote 3	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

SP	401(k) Legg Mason Large Cap Growth	None	DIVIDENDS/CAPITAL GAINS	see footnote 3	S
SP	401(k) Private Capital All Cap	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	see footnote 3	P
SP	401(k) S&P 500 Index Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	see footnote 3	S(part)
SP	401(k) Templeton Fund	None	DIVIDENDS/CAPITAL GAINS	see footnote 3	S
SP	401(k) Wellington Large Cap Growth	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	see footnote 3	P
SP	Alliance Petroleum	$1,001 - $15,000	ROYALTIES	$201 - $1,000	
	BB&T Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Bristol Meyers Squibb Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	BT Group plc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Charleston WV Bond	None	INTEREST	$201 - $1,000	S
DC	Chevron	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Cisco Systems	$1,001 - $15,000	None	NONE	
	Cisco Systems	$1,001 - $15,000	None	NONE	
SP	Citigroup call options	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

SP	Citigroup Capital Partners II	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	P
SP	Citigroup Employee Fund of Funds, L.P.	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	
	Citigroup Inc.	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
DC	Citigroup Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Citigroup Inc.	$1,000,001 - $5,000,000	DIVIDENDS/CAPITAL GAINS	$50,001 - $100,000	S(part)
SP	Citigroup put options	$1,001 - $15,000	None	NONE	P
SP	Coca-Cola Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Covidian, Ltd.	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other
	Duke Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Edgewood Country Club	$1,001 - $15,000	N/A	NONE	
DC	Europacific Growth Fund	None	None	NONE	S
SP	Exxon Corp	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	General Electric Co.	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
JT	Huntington Bancshares Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

SP	Huntington Bancshares Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IBM Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Intel Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Investment Co. Of America	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
SP	IRA-Amgen Inc.	$1,001 - $15,000	None	NONE	
SP	IRA-Coca-Cola Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA-JPMorgan Chase	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IRA-Legg Mason Partners Large Cap Value Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	IRA-Middleburg Fin. Corp.	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	IRA-Pfizer Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA-Procter & Gamble	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA-Smith Barney Money Fund Retirement Portfolio	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA-Time Warner	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	IRA-Tyco Intl.	$1 - $1,000	DIVIDENDS	$1 - $200	

SP	IRA-United Parcel Service Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	JPMorgan Chase	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Merck	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Microsoft	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Middleburg Fin. Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	New Perspective Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	Norfolk Southern Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Proctor & Gamble Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	R-H Capital Partners, L.P.	$1,001 - $15,000	None	NONE	
SP	Royal Dutch Petroleum Co.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Smith Barney Money Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Smith Barney Money Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Smith Barney Money Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Smith Barney Pension	$100,001 - $250,000	None	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

	Spectra Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other
SP	SSB Capital Partners I, L.P.	$15,001 - $50,000	INTEREST/CAPITAL GAINS	$15,001 - $50,000	
	St. Paul Travelers	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	St. Paul Travelers	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	S(part)
SP	Time Warner	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	TRV Employee Fund, L.P.	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	
SP	Tyco Electronics	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other
	Verizon Communications Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Vista Resources	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Walt Disney Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	WV General Obligation State Road Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	
	WV Public Employees Retirement System	$1,001 - $15,000	None	N/A	
SP	WV State Infrastructure General Obligation Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	WV Water Development Authority Revenue Bond	None	INTEREST	$201 - $1,000	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

	WV Water Development Authority Revenue Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Capito

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Covidian, Ltd.	Spin Off	06-29-07	$1,001 - $15,000
SP	401(k) S&P 500 Index	S(part)	06-29-07	$15,001 - $50,000
SP	401(k) Legg Mason Aggressive Growth	S	06-29-07	$100,001 - $250,000
SP	401(k) Citi Institutional Liquid Reserves	P	06-29-07	$15,001 - $50,000
DC	Europacific Growth Fund	S	11-14-07	$1,001 - $15,000
DC	Investment Co of America	S	11-14-07	$1,001 - $15,000
SP	Citigroup Nonqual. Options	Exercise	05-30-07	$1,001 - $15,000
SP	Citigroup	S	03-30-07 04-17-07 08-02-07 11-07-07	$100,001 - $250,000
SP	St. Paul Travelers	S(part)	11-26-07	$50,001 - $100,000
SP	Citigroup Nonqual. Options	P	07-13-07	$1,001 - $15,000
SP	Citigroup Put Options	P	11-02-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Capito

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Citigroup Call Options	P	11-07-07	$15,001 - $50,000
SP	Citigroup Capital Partners II	P	5 Times, various dates	$15,001 - $50,000
	Spectra Energy	Spin off	01-02-07	$1,001 - $15,000
SP	Tyco Electronics	Spin off	06-29-07	$1,001 - $15,000
SP	401(k) ClearBridge Multi Cap Growth	P	09-04-07	$100,001 - $250,000
SP	401(k) Private Capital All Cap	P	09-04-07	$100,001 - $250,000
SP	401(k) Wellington Large Cap Growth	P	09-04-07	$100,001 - $250,000
SP	401(k) Legg Mason Fundamental Value	S	09-04-07	$50,001 - $100,000
SP	401(k) Legg Mason Large Cap Growth	S	09-04-07	$50,001 - $100,000
SP	Charleston WV Bond	Matured	10-01-07	$1,001 - $15,000
SP	WV Water Development Authority Revenue Bond	Matured	07-01-07	$1,001 - $15,000
SP	401(k) Emerging Market Equity	P	09-04-07	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Capito

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	401(k) Templeton Fund	S	09-04-07	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name Shelley Moore Capito

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Smith Barney	Margin Loan	$500,001 - $1,000,000
JT	CitiMortgage	Home Loan	$100,001 - $250,000
DC	Smith Barney	Margin Loan	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Shelley Moore Capito

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
US Assoc. Of Former Members of Congress, The Congressional Study Group on Germany	March 30 - April 5, 2007	DC-Hamburg/Berlin, Germany-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Shelley Moore Capito

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Advisory Board Member	University of Charleston

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Investment Co. Of America (spouse) has been deleted. It was reported as sold in 2006, but inadvertantly left on Schedule III with a value at year end.	Investment Co. Of America
2	Schedule III	Plug Power has been deleted. It was reported as sold in 2006, but inadvertantly left on Schedule III with a value at year end.	Plug Power
3	Schedule III	The combined income from the funds in the spouse's 401(k) account was in the range of $5,001 - $15,000. The plan does not provide a breakdown of earnings by fund.	Spouse 401(k) account

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 12: 22
MC
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Lois G Capps
(Full Name)

805-730-1710
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CALIF. District: 23	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? ***If yes, complete and attach Schedule II.***	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AUGSBURG FORTRESS PUBLISHERS	BOOK ROYALTIES	$ 613
	TAYLOR AND FRANCIS GROUP	BOOK ROYALTIES	$ 200
	HARPER COLLINS PUBLISHERS	BOOK ROYALTIES	$ 221
	STATE TEACHERS RETIREMENT SYSTEM	PENSION	$ 18,745
	REGENTS OF UNIVERSITY OF CALIFORNIA	PENSION	$ 43,896

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Examples:	SP	Mega Corp. Stock				X									X			X						X								S (partial)
DC			Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY Accounts					X									X									X							
			ING BANK		X													X					X										
			FINANCIAL NETWORK			X												X						X									
			CONGRESSIONAL FCU		X													X					X										
			RABOBANK (FORMERLY MID STATE)		X													X					X										
			MID-STATE BANC. SHARES	X												X								X								S	
			MET. LIFE			X										X								X									

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LOIS G CAPPS

Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	TAX EXEMPT FUND - CA			X													X						X									
	CASH RESERVES - FIN'L NET		X												X							X										
	CAPITAL WORLD GR & IN				X										X			X							X							
	DODGE & COX BAL FUND				X										X			X							X							
	EATON VANCE TAX MNG			X											X								X									
	GATEWAY FUND			X											X								X									
	T ROWE PRICE SMALL CAP			X											X			X						X								5 initial
	VAN KAMPEN EQUITY			X											X			X						X								
	CENTERLINE HOLDING CO		X														X					X										
	STUDIO APT, SANTA BARBARA									X						X									X							
	AMGEN (IRA)			X										X							X											
	CHURCH & DWIGHT (IRA)			X											X							X										
	HEWLET- PACKARD (IRA)			X											X							X										
	LOWES (IRA)			X											X							X										
	MEDTRONIC (IRA)			X											X							X										
	NOKIA (IRA)			X											X							X										
	PITNEY BOWES (IRA)			X											X							X										
	SUN MICROSYSTEMS (IRA)		X											X							X										
	TARGET (IRA)			X											X							X										
	US BANCORP (IRA)			X											X							X										
	WASHINGTON MUTUAL (IRA)			X											X								X									

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LOIS G CAPPS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	XEROX CORP (IRA)			X											X							X										
	POWERSHARES WILDERHILL (IRA)			X											X							X										
	NUVEEN (IRA)			X											X								X									P
	CITIBANK CASH (IRA)		X														X					X										
	ENERGY PORTFOLIO (IRA)		X												X							X										

This page may be copied if more space is required.

Name LOIS G CAPPS

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	T ROWE PRICE SMALL CAP		X		08-23-07	X									
	DRIP COMMON SHARES - CENTERLINE HOLDING		X		08-23-07	X									
	MID-STATE BANCSHARES		X		05-04-07			X							
	NUVEEN (IRA)	X			05-08-07	X									
	STANDARD REGISTER (IRA)		X		05-08-07	X									

This page may be copied if more space is required.

Name LOIS G CAPPS

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	SANTA BARBARA BANK & TRUST	MORTGAGE - 1724 SANTA BARBARA ST							X			

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	FAMILY VIOLENCE PROVENTION FUND	MAR 16-18	DC — OAKLAND — DC	N	Y	N	1 DAY
	ASPEN INSTITUTE	AUG 13-19	DC — BERLIN — DC	Y	Y	Y	NONE
	WOODROW WILSON CTR FOR SCHOLARS	AUG 26-30	SB — MEXICO CITY — SB	Y	Y	N	NONE

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENT
2008 MAY 13 PM 4:41
(Office Use Only)

MICHAEL EVERETT CAPUANO
(Full Name)

617-621-6208
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative — State: MA, District: 8	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

637

SCHEDULE I - EARNED INCOME

Name MICHAEL EVERETT CAPUANO

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Raphael and Raphael LLP	Spouse Salary	N/A
YNZ, LLC	Spouse Salary	N/A
Executor of Estate of Louis Rabaglia(Uncle)	Executor Fees	$9,000
Trustee of Credit Shelter Trust -Helen Rabaglia (Aunt)	Trustee Fees	$5,000
CTM, LLC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL EVERETT CAPUANO

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Central St, Somerville MA (although rent 27% of house, this amount represents 100% of asset value)	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
JT	Hidden Valley, Tuftonboro, NH	$250,001 - $500,000	RENT	$5,001 - $15,000	
JT	High St, Somerville, MA	$250,001 - $500,000	RENT	$5,001 - $15,000	P
JT	East Cambridge Sav Bank, Som, MA	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Som Fed CU, Som MA	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Congressional FCU	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL EVERETT CAPUANO

JT	Winter Hill Bank	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
	SBLI - Term Life	$250,001 - $500,000	DIVIDENDS	$1 - $200	
SP	CT&M, LLC	$15,001 - $50,000	(Interest & Ptrship Inc	$1,001 - $2,500	
	Nationwide- Fidelity Contra Fund	$1,001 - $15,000	457 b Plan	NONE	
	Nationwide - NW Lgcap Gr	$1,001 - $15,000	457b Plan	NONE	
	Nationwide -Templeton Foreign Fund Class A	$1,001 - $15,000	457b Plan	NONE	
SP	NestEggs - Windward Aggressive Fund	$50,001 - $100,000	IRA	NONE	
SP	Nesteggs - Fidelity Cash Reserves	$1 - $1,000	IRA	NONE	
SP	Fidelity Cash Res	$1 - $1,000	IRA	NONE	
SP	Fidelity Export & Multinational	$1,001 - $15,000	IRA	NONE	
SP	Fidelity - Ariel Fund	$1,001 - $15,000	IRA	NONE	
SP	Fidelity -Ariel Appreciation	$1,001 - $15,000	IRA	NONE	
SP	Fidelity -FPA Crescent Inst'l	$1,001 - $15,000	IRA	NONE	
SP	Fidelity - Fam Value	$1,001 - $15,000	IRA	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL EVERETT CAPUANO

SP	Fidelity - Int'l Discovery	$15,001 - $50,000	IRA	NONE	
SP	Fidelity - Cash Reserves	$1 - $1,000	IRA	NONE	
SP	Fidelity - Contrafund	$1,001 - $15,000	IRA	NONE	
SP	Fidelity - Balanced Fund	$1,001 - $15,000	IRA	NONE	
SP	Fidelity - Select Nat'l Gas	$15,001 - $50,000	IRA	NONE	
SP	Fidelity - Export & Multinational	$15,001 - $50,000	IRA	NONE	
SP	Fidelity -T Rowe Price Cap Appreciation	$1,001 - $15,000	IRA	NONE	
SP	Fidelity - Royce Total Return Fd Investment CL	$1,001 - $15,000	IRA	NONE	
SP	Fidelity - Van Kampen Growth & Income Class A	$1,001 - $15,000	IRA	NONE	
SP	Charles Schwab Cash & Money Market Fund	$1,001 - $15,000	401K	NONE	

SCHEDULE IV - TRANSACTIONS

Name MICHAEL EVERETT CAPUANO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	High St, Somerville, MA	P	5/14/07	$250,001 - $500,000

SCHEDULE V - LIABILITIES

Name MICHAEL EVERETT CAPUANO

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America, NY	Mortgage - Tuftonboro, NH property	$15,001 - $50,000
JT	WinterHill Bank, MA	Mortgage - Central St, Somerville, MA property	$100,001 - $250,000
JT	WinterHill Bank, MA	Mortgage - High St, Somerville, MA property	$250,001 - $500,000
SP	WinterHill Bank, MA	Mortgage - CT&M, LLC	$50,001 - $100,000

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

644

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Dennis A. Cardoza
(Full Name)

(202) 225-6131
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA — District: 18	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Dennis A. Cardoza

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Merced Faculty Associates	Spouse's Income	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis A. Cardoza

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	US Treasury Money Fund of America (held in 401k account with Paine Webber)	$15,001 - $50,000	DIVIDENDS (reinvested)	$1,001 - $2,500	
	Bank of America (Checking and Savings Accounts)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Bank of America Stock	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
	Capital Corp. of the West Stock (held in IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Charles Schwab Bank Account	$100,001 - $250,000	INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis A. Cardoza

	Conexant Systems Inc. Stock	None	None	NONE	PS
DC1	County Bank of Merced, Atwater	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC2	County Bank of Merced, Atwater	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC3	County Bank of Merced, Atwater, CA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	JDS Uniphase Corp. Stock	None	CAPITAL GAINS	$1,001 - $2,500	S
	Microsoft Corp. Stock	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	Sun Microsystems Inc. Stock	None	None	NONE	PS

SCHEDULE IV - TRANSACTIONS

Name Dennis A. Cardoza

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Conexant Systems Inc. Stock	P	3-5-07	$1,001 - $15,000
	Conexant Systems Inc. Stock	S	5-3-07	$1,001 - $15,000
	Sun Microsystems Inc. Stock	P	3-5-07	$1,001 - $15,000
	Sun Microsystems Inc. Stock	S	7-30-07	$1,001 - $15,000
	JDS Uniphase Corp. Stock	S	5-3-07	$1,001 - $15,000
	Bank of America Stock	S	8-9-07	$15,001 - $50,000
	Microsoft Corp. Stock	P	3-5-07	$15,001 - $50,000
	Microsoft Corp. Stock	S	7-30-07	$15,001 - $50,000

SCHEDULE VI - GIFTS

Name Dennis A. Cardoza

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Olympic Casino, Estonia	Winnings	$1,700

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Dennis A. Cardoza

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Government of Taiwan (MECCEA)	Mar. 30-Apr. 6	DC-Taipei, Taiwan-DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:35
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

John Russell Carnahan
(Full Name)

202-225-2671
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Missouri District: 3	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name Carnahan	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	*Spouse* Salary	NA
	U.S. Government, House of Representatives	salary	162,615.48
	City of St. Louis, Municipal Court	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug 13, 2007	$500

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	Block B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	Block E
SP, DC, JT	Examples: SP Mega Corp. Stock					X									X			X						X								S (partial)
	DC Simon & Schuster			Indefinite																Royalties								X			
	JT 1st Bank of Paducah, KY Accounts						X									X									X						
JT	Family Farm, Rolla, Mo						X							X							X										
JT	Comm. Bld. Rolla, Mo Carnahan Inv., LLC				X											X								X							
JT	Rental Prop. J.C. Mo.					X										X					X										
JT	St. Louis Comm Cr. Un. Checking & Savings			X													X					X									
JT	Thrift Savings Plan Birmingham AL.					X											X								X						

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carnahan

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a *brief description of any exchange* transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B ~~$1,001-$15,000~~	C $15,001-$50,000	D ~~$50,001-$100,000~~	E $100,001-$250,000	F ~~$250,001-$500,000~~	G $500,001-$1,000,000	H ~~$1,000,001-$5,000,000~~	I $5,000,001-$25,000,000	J ~~$25,000,001-$50,000,000~~	K Over $50,000,000
SP	*Example:*	Mega Coporation Common Stock (partial sale)		X		10-12-07		X								

This page may be copied if more space is required.

Name Carnahan | Page 7 of 9

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B ~~$10,001-$15,000~~	C $15,001-$50,000	D ~~$50,001-$100,000~~	E $100,001-$250,000	F ~~$250,001-$500,000~~	G $500,001-$1,000,000	H ~~$1,000,001-$5,000,000~~	I $5,000,001-$25,000,000	J ~~$25,000,001-$50,000,000~~	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Mo. Credit Un., Jefferson City, Mo	mortgage on rental prop			X							
JJ	Royal Bank, St Louis, Mo	mortgage on Farm House Rolla, Mo.		X								
SP	Sallie Mae, Wilkes Barre, PA	student loan	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	United States Congress Congressional Study Group Germany	3/31-4/8	DC-Hamburg-Berlin-DC	Y	Y	Y	3 days
	USAFMC Congressional Study Group on Turkey	5/25-6/1	DC-Ankara-Istanbul-DC	Y	Y	Y	none
	The American Shipbuilding Association	11/26-11/28	St Louis-Naples Fl-St Louis	Y	Y	N	none

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
member	Carnahan Farms LLC / Carnahan Inv. LLC.

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2008 41-219 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:22
MC
(House Use Only)
U.S. HOUSE OF REPRESENTATIVES

Christopher Paul Carney
(Full Name)

202-225-3731
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: PA District 10	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***Including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

Owner	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP	Mega Corp. Stock					X									X			X						X									S (partial)
DC	Simon & Schuster			Indefinite																Royalties									X				
JT	1st Bank of Paducah, KY Accounts						X									X									X								
JT	PNC Bank					X											X							X									
JT	TIAA Traditional					X											X								X								
JT	CREF Stock				X												X								X								
JT	CREF Growth				X												X								X								
JT	CREF Global Equities				X												X								X								
JT	TIAA Real Estate				X												X							X									

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Christopher P. Carney

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	CREF Bond Market			X													X						X								
JT	CREF Infl. Linked Bond		X														X					X									
JT	Real Property, Dimock, Pa					X								X							X										

This page may be copied if more space is required.

Name Christopher P. Carney | Page 5 of 6

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	PNC Bank, Clarks Summit, PA	Mortgage on Bare Valley Rd, Pittsburgh, PA	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name Christopher P. Carney | Page 6a of 6e

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2007	Penn State University and Christopher P. Carney	leave of absence during period of gov't service

Use additional sheets if more space is required.

GPO: 2008 41-219 (misc)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY 14 AM 11:07
(Office Use Only)

MC

André D. Carson
(Full Name)

202-225-4011
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: IN District: 7	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	N/A Yes ☐	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	N/A Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Cripe	Salary	$14,400
	Indianapolis Marion County City-County Council	Salary	$5,000
	State of Indiana	Salary	$39,000
	MSD Pike Township	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a *fair market value* exceeding $1,000 *at the end* of the reporting period, and (b) any *other asset or sources* of income which generated *more* than $200 in "unearned" income during the year. For rental property or *land, provide* a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. *For retirement* plans that are not self-directed, name *the* institution holding the account and *its value at the end of the* reporting *period. For an active* business that is not publicly *traded*, state the name of the business, the nature of *its activities*, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your *personal residence*(s) (unless there is rental income); any debt owed to you by your *spouse*, or by you or your spouse's child, parent, *or sibling*; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or *income source* is that of your spouse (SP) or *dependent* child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. *If* you use a valuation method other than *fair market* value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For *retirement* plans or accounts that do not *allow* you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset *did not generate* any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that *do* not *allow* you to choose *specific* investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the *appropriate* box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

		Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction P, S, E
SP	SP	Mega Corp Stock					X									X			X						X								S (partial)
DC, Examples:		Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X									X										X						
		Public Employee Retirement FUND (not self directed)				X									N/A							N/A											
		Hoosier Start (401a) (not self directed)			X										N/A							N/A											
		Hartford Group Investment			X											X							X (reinvested)										
		Chase Bank Savings Act			X													X					X										
		ING Savings Act			X													X					X										

For additional assets and unearned income, use next page.

Name André Carson | Page 4 of 5

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Ed Financial	Student loan			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Board Member	Citizen Neighborhood Coalition
Board Member	Indy Parks Kennedy/King Advisory Board

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

HAND DELIVERED

2008 MAY 14 AM 10: 15

MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

JOHN CARTER (Full Name)

202-225-3864 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: TX District: 31	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name JOHN CARTER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
TEXAS JUDICIAL RETIREMENT SYSTEM	JUDICIAL PENSION	$77,466.60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN CARTER

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	EDWARD JONES	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
	EXXON	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	

SCHEDULE IV - TRANSACTIONS

Name JOHN CARTER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	EXXON MOBIL CORP	S	02-20-07	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name JOHN CARTER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	UNION STATE BANK OF FLORENCE, TX	BANK LOAN	$50,001 - $100,000
	SALLIE MAE (COMB)	STUDENT LOANS	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 JUN 12 AM 9:27
(Office Use Only)

JOHN CARTER (Full Name)	202-225-3864 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 31	☐ Officer Or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☑ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

675

SCHEDULE I - EARNED INCOME

Name JOHN CARTER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
TEXAS JUDICIAL RETIREMENT SYSTEM	JUDICIAL PENSION	$77,466.60

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN CARTER

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	EXXON	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	

677

SCHEDULE IV - TRANSACTIONS

Name JOHN CARTER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	EXXON MOBIL CORP	S	02-20-07	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name JOHN CARTER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	UNION STATE BANK OF FLORENCE, TX	BANK LOAN	$50,001 - $100,000
	SALLIE MAE (COMB)	STUDENT LOANS	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERE

2008 JUN 10 PM 12:50

(Office Use Only)

MC

Michael N. Castle
(Full Name)

202-225-4165
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: DE District: 01 ☐ Officer Or Employee — Employing Office: Termination Date:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE-I - EARNED INCOME

Name Michael N. Castle

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Delaware	Pension	$38,666.82

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael N. Castle

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans *in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
14 George Street, Dewey Beach, DE (Note: for the above, gross rental only and does not reflect expenses)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	N/A
224A Justice Court, Washington, DC (Note: for the above, gross rental only and does not reflect expenses)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
4566 Parkside Drive Kiawah Island, SC	$250,001 - $500,000	RENT	$15,001 - $50,000	N/A
Legg, Mason Capital Opportunity Fund	$50,001 - $100,000	DIVIDENDS	$1 - $200	
Dodge & Cox Balanced Fund	$100,001 - *$250,000*	DIVIDENDS/CAPI *TAL GAINS*	$5,001 - $15,000	

Fidelity Tax Free Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Cohen & Steers Realty	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
Boston Partners Small Cap Value II	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	
FPA Crescent Inst. Shares	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Hennessy Focus 30 Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Marsico Focus Fund	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
Oakmark Global Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
Weitz Partner Value Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Wm. Blair Small Cap Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Vanguard Prime Money Market Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
Vanguard Inter-Term Tax Exempt Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
Vanguard Captial Value Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
Vanguard Health Care Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Vanguard Inflation Protected Securities Fund	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael N. Castle

Vanguard Emerging Markets Vipers	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Vanguard PRIMECAP Core Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Evergreen Money Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Apache Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Caci Intl Inc.	$1,001 - $15,000		NONE	
CRA International Inc. Formerly Charles River Associates	$1,001 - $15,000		NONE	
Ebay, Inc.	$1,001 - $15,000		NONE	
Electronic Arts Inc.	None		NONE	S
FiServ, Inc	$15,001 - $50,000		NONE	
Grant Prideco Inc.	$15,001 - $50,000		NONE	
iShares MSCI Canada	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
International Game Technology	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Gemstar TV Guide	$1,001 - $15,000		NONE	
Home Depot, Inc.	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael N. Castle

II-VI Inc.	$1,001 - $15,000		NONE	
Delaware State HSG Auth. Rev. Bond (due 7/1/17)	$15,001 - $50,000	INTEREST	$201 - $1,000	P
Amgen, Inc.	$15,001 - $50,000		NONE	S(part)
Nucor Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
L3 Communications	$15,001 - $50,000	DIVIDENDS	$1 - $200	
IPath MSCI India IDXET	$1,001 - $15,000		NONE	P
Powershares Zacks Micro Cap Portfolio	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Symantec Corp	$1,001 - $15,000		NONE	
PR Electric Power due 7-1-17 Zero Coupon	$1,001 - $15,000	INTEREST	$201 - $1,000	
DE State Economic Development Author. Due 5-1-07	$1,001 - $15,000	INTEREST	$201 - $1,000	Redeemed
DE General Obligation Bond due 5-1-07	$1,001 - $15,000	INTEREST	$1 - $200	Redeemed
BCE Inc. IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Equity Office Prop. (IRA)	None	DIVIDENDS	$1,001 - $2,500	S
Intel Corp. (IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael N. Castle

Kimco Realty Corp (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	S(part)
Plum Creek Timber (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Valero Energy (IRA)	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	S(part)
Fidelity Floating Rate High Income	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Artisan Mid Cap Value (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Bridgeway Aggressive Investor 2 Fund (IRA)	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
Excelsior Value & Restructuring (IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Janus Mid-Cap Value (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Columbia High Yield (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Columbia Acorn Select Class Z (IRA)	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
iShares Inc. MSCI S. Korea Index Fund (IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
FBR Focus Fund (formerly FBR Small Cap Fund) (IRA)	$1,001 - $15,000		NONE	P
Fidelity Equity Income Fund (IRA)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
Oakmark International (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P

	Oakmark Equity & Income (IRA)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Dodge & Cox International Stock Fund (IRA)	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P
	Pimco Commody Real Return CLA Strategy (IRA)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Pimco Total Return Class D (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Pimco Emerging Markets Bond (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Muhlenkamp Fund (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Wintergreen Fund (IRA)	$15,001 - $50,000		NONE	P
	Fidelity Cash Reserves (IRA)	$50,001 - $100,000	DIVIDENDS	$1 - $200	
	Valeant Pharmaceuticals Intl.	None		NONE	S
SP	Morgan Stanley Liquid Asset Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Morgan Stanley Pacific Growth Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Morgan Stanley European Equity Fund (IRA)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Morgan Stanley Focus Growth Fund Fd A (formerly Morgan Stanley American Oppor.) (IRA)	$1,001 - $15,000		NONE	
SP	Morgan Stanley Dividend Growth Securities (IRA)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SP	Alger Mid Cap Growth	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Fidelity Diver Intl.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	Fidelity Equity Inc. II	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	MSIF Sm. Co. Growth B.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Fid. Mgd. Inc. Port	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
SP	Vanguard Money Market Reserve Prime Portfolio	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Janney Montgomery Scott Money Market	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500
SP	Altria Group Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Blackrock Income Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Citigroup, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	General Electric Company	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Morgan Stanley Insured Mun. Secur.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
SP	Nuveen Municipal Value Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
SP	Putnam Premier Income Trust SBI	$1,001 - $15,000	DIVIDENDS	$201 - $1,000

SP	Wilmington Trust Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Franklin Income Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	Puerto Rico Mun. Financial Author. 4.75 % CD due 8/1/22	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	General Motors Accept. Corp. 5.125 % CD due 5/9/08	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Ford Motor Credit Co. 5.19% CD due 6/20/08	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Discover Bank Greenwood DE CD due 5/4/07	None	INTEREST	$1 - $200	S
SP	Discover Bank Greenwood DE CD due 8/24/07	None	INTEREST	$1 - $200	S
SP	Washington Mutual Bank CD due 8/31/07	None	INTEREST	$1 - $200	S
SP	Westernbank PR CD due 2/4/08	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Discover Bank Greenwood CD due 5/5/08	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	BMW Bank North America CD due 10/6/08	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Washington Mutual Bank CD due 11/12/08	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	First Bank Puerto Rico CD due 1/11/07	None	INTEREST	$201 - $1,000	S
SP	First Bank Puerto Rico CD due 3/23/09	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael N. Castle

SP	Phillip Morris 7.2% CD	None	INTEREST	$1 - $200	S
SP	Discover Bank Greenwood CD due 8/11/09	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Lehman Bros. Bank CD due 6/30/08	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Capital One 5.0% CD due 2/27/07	None	INTEREST	$1 - $200	S
SP	Lehman Bros. Coml Bank CD due 10/26/09	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Countrywide Bank Alexandria VA CD due 2/10/10	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Lehman Bros. Bank CD due 3/22/10	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Capital Income BLDR FD C	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
SP	Income FD AMER INC CL C	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
SP	Janney Montgomery Scott Money Market (Arthur DiSabatino [DCDC] IRA)	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Westernbank Puerto Rico CD due 1/16/07 (Arthur DiSabatino [DCDC] IRA)	None	INTEREST	$1 - $200	S
SP	BMW Bank North America CD due 3/15/07 (Arthur DiSabatino [DCDC] IRA)	None	INTEREST	$1 - $200	S
SP	Providian Nat'l Bank CD due 8/11/08 (Arthur DiSabatino [DCDC] IRA)	$1,001 - $15,000	INTEREST	$1 - $200	

T. Rowe Price Variable Annuity	$100,001 - $250,000		NONE	
Trust U/A 8-25-41, James M. Castle Trust #1, 16.66% Allocable share of trust income per K-1 Acct #6519006588	N/A	DIVIDENDS	$1,001 - $2,500	
JMC Trust #1 Wachovia PT Money Market	$15,001 - $50,000	As noted above	As noted above	
JMC Trust #1 Evergreen Core Bond Fund	$50,001 - $100,000	As noted above	As noted above	
JMC Trust #1 Evergreen Enhanced S&P 500 FD CL1 (formerly Evergreen Large Cap Equity Fund)	$100,001 - $250,000	As noted above	As noted above	S(part)
JMC Trust #1 Harbor International Fund	$1,001 - $15,000	As noted above	As noted above	P
JMC Trust #1 Julius Baer International Equity	$1,001 - $15,000	As noted above	As noted above	P
Trust U/A 1-21-53 James M. Castle Trust #3, 16.66% interest Allocable share of trust income per K-1 Acct. #6519006640	N/A	DIVIDENDS	$5,001 - $15,000	
JMC Trust #3 ISHARES MSCI EAFE Index Fund	$1,001 - $15,000	As noted above	As noted above	P
JMC Trust #3 ISHARES Russell Midcap Index Fund	$1,001 - $15,000	As noted above	As noted above	P
JMC Trust #3 Wachovia PT Money Market	$1,001 - $15,000	As noted above	As noted above	

JMC Trust #3 Evergreen Core Bond Fund	$50,001 - $100,000	As noted above	As noted above	P
JMC Trust #3 Diageo PLC Sponsored ADR	None	As noted above	As noted above	S
JMC Trust #3 DuPont Co.	$1,001 - $15,000	As noted above	As noted above	S(part)
JMC Trust #3 General Electric	$15,001 - $50,000	As noted above	As noted above	
JMC Trust #3 Coca Cola	None	As noted above	As noted above	S
JMC Trust #3 H.J. Heinz Co	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 Proctor & Gamble Co.	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 Exxon Mobil Corp Com	$15,001 - $50,000	As noted above	As noted above	S(part)
JMC Trust #3 Home Depot	$1,001 - $15,000	As noted above	As noted above	S(part)
JMC Trust #3 Intel Corp.	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 Motorola Inc.	None	As noted above	As noted above	S
JMC Trust #3 Wyeth	$15,001 - $50,000	As noted above	As noted above	
JMC Trust #3 Bristol Myers Squibb Co.	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 Johnson & Johnson	$1,001 - $15,000	As noted above	As noted above	

JMC Trust #3 BP PLC Sponsored ADR	$1,001 - $15,000	As noted above	As noted above	S(part)
JMC Trust #3 AT&T Inc. (formerly SBC Communications)	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 Verizon Communications	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 J.P. Morgan Chase	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #3 Bank of New York Mellon Corp (formerly Mellon Financial Corp and Bank of New York Co. -- merged)	$1,001 - $15,000	As noted above	As noted above	
Trust U/A 1-4-46, James M. Castle Trust #2, 16.66% allocable shares of trust income per K-1 Acct. #6519006597	N/A	DIVIDENDS	$1,001 - $2,500	
JMC Trust #2 Wachovia PT Money Market	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #2 Evergreen Core Bond Fund	$15,001 - $50,000	As noted above	As noted above	
JMC Trust #2 General Electric Co.	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #2 Home Depot Inc.	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #2 Abbot Labs	$15,001 - $50,000	As noted above	As noted above	
JMC Trust #2 Bristol Myers Squibb Co.	$1 - $1,000	As noted above	As noted above	

JMC Trust #2 Exxon Mobil Corp	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #2 Bank of New York Mellon (formerly Bank of New York and Mellon Financial – merged)	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #2 Cisco Systems	$1 - $1,000	As noted above	As noted above	
JMC Trust #2 Hewlett Packard Co.	$1 - $1,000	As noted above	As noted above	
JMC Trust #2 BP PLC Sponsored ADR	$1,001 - $15,000	As noted above	As noted above	
JMC Trust #2 Hospira	$1,001 - $15,000	As noted above	As noted above	
James M. Castle, Jr. 8-27-68 Allocable share trust income per K-1 Acct. #6519006347	N/A	DIVIDENDS	$15,001 - $50,000	
James M. Castle, Jr. Trust Wachovia PT Money Market	$50,001 - $100,000	As noted above	As noted above	
James M. Castle, Jr. Trust Delaware Trust Municipal Bond Fund	$250,001 - $500,000	As noted above	As noted above	
James M. Castle, Jr. Trust DuPont	$1,001 - $15,000	As noted above	As noted above	S(part)
James M. Castle, Jr. Trust General Electric	$15,001 - $50,000	As noted above	As noted above	S(part)
James M. Castle, Jr. Trust Colgate Palmolive Co.	None	As noted above	As noted above	S

James M. Castle, Jr. Trust Abbot Labs	$1,001 - $15,000	As noted above	As noted above	S(part)
James M. Castle, Jr. Trust Cigna Corp	$1,001 - $15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Glaxo Smith Kline	None	As noted above	As noted above	S
James M. Castle, Jr. Trust Hospira, Inc.	None	As noted above	As noted above	S
James M. Castle, Jr. Trust BP PLC	$1,001 - $15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Chevron/Texaco Corp	$1,001 - $15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Weststar Energy, Inc.	None	As noted above	As noted above	S
James M. Castle, Jr. Trust Alltel Corp	None	As noted above	As noted above	S
James M. Castle, Jr. Trust Wellpoint, Inc	$15,001 - $50,000	As noted above	As noted above	
James M. Castle, Jr. Trust Windstream Corp. Com	$1,001 - $15,000	As noted above	As noted above	
James M. Castle, Jr. Trust Evergreen Enhanced S&P 500 FD CL1 (formerly Evergreen Large Cap Equity Fund)	$100,001 - $250,000	As noted above	As noted above	
James M. Castle, Jr. Trust Evergreen International Equity Fund	$15,001 - $50,000	As noted above	As noted above	
James M. Castle, Jr. Trust ISHARES MSCI EAFE Index Fund	$15,001 - $50,000	As noted above	As noted above	P

James M. Castle, Jr. Trust ISHARES Russell MidCap Index Fund	$15,001 - $50,000	As noted above	As noted above	P
James M. Castle, Jr. Trust Delaware Pooled Trust International Equity	$15,001 - $50,000	As noted above	As noted above	

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Inflation Protection Securities Fund	S	06-04-07	$50,001 - $100,000
	Amgen, Inc. (100 shares)	S(part)	10-09-07	$1,001 - $15,000
	Delaware Economic Development Authority 1st Mort. RV	Redeemed	05-01-07	$1,001 - $15,000
	Delaware State General Obligation Bond	Redeemed	05-01-07	$1,001 - $15,000
	Electronic Arts, Inc.	S	10-09-07	$1,001 - $15,000
	Home Depot, Inc.	S	10-09-07	$1,001 - $15,000
SP	Capital One 5.0% CD	S	2-27-07	$1,001 - $15,000
SP	Discover Bank 4.15% CD	S	8-24-07	$1,001 - $15,000
SP	Discover Bank 4.0 % CD	S	5-4-07	$1,001 - $15,000
SP	First Bank PR 4.6 % CD	S	1-11-07	$1,001 - $15,000
SP	Phillip Morris 7.2 % CD	S	2-1-07	$1,001 - $15,000
SP	Washington Mutual Bank 4.2 % CD	S	8-31-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Western Bank 3.0 %	S	1-16-07	$1,001 - $15,000
	Intel Corp (IRA)	S(part)	1-29-07	$1,001 - $15,000
	Kimco Realty Corp (IRA)	S(part)	2-1-07	$15,001 - $50,000
	Valero Energy Corp (IRA)	S(part)	2-1-07	$1,001 - $15,000
	Dodge & Cox Internation Stock Fund (IRA)	P	2-6-07	$1,001 - $15,000
	FBR Focus Fund (Formerly FBR Small Cap Fund) (IRA)	P	2-6-07	$1,001 - $15,000
	Fidelity Equity Income (IRA)	P	2-6-07	$15,001 - $50,000
	Oakmark Internationl Fund (IRA)	P	2-6-07	$1,001 - $15,000
	Wintergreen Fund (IRA)	P	2-6-07	$15,001 - $50,000
	Equity Office Properties (IRA)	S	2-12-07	$15,001 - $50,000
	Valeant Pharmaceuticals Intl.	S	10-04-07	less than $1,000
	Nucor Corp.	P	10-6-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IPath MSCI India IDXET	P	1-3-07	$1,001 - $15,000
	Delaware State HSG AUTH. REV Sing. Fam. Due 7/1/17	P	10-09-07	$15,001 - $50,000
SP	BMW Bank North America CD due 3/15/07 (Arthur DiSabatino [DCDC] IRA)	S	3/15/07	$1,001 - $15,000
	James M. Castle, Jr. Trust Abbott Labs	S(part)	9-19-07	$1,001 - $15,000
	James M. Castle, Jr. Trust Colgate Palmolive Co.	S	9/19/07	$15,001 - $50,000
	James M. Castle, Jr. Trust DuPont Company	S(part)	9/19/07	$1,001 - $15,000
	James M. Castle, Jr. Trust General Electric Company	S(part)	9/19/07	$1,001 - $15,000
	James M. Castle, Jr. Trust Glaxo Smith Kline	S	9/19/07	$1,001 - $15,000
	James M. Castle, Jr. Trust Hospira, Inc.	S	9/19/07	$1,001 - $15,000
	James M. Castle, Jr. Trust Westar Energy, Inc.	S	9/19/07	$1,001 - $15,000
	James M. Castle, Jr. Trust ISHARES Russell MidCap Index Fund	P	9/19/07	$15,001 - $50,000
	James M. Castle, Jr. Trust ISHARES MSCI EAFE Index Fund	P	9/19/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	James M. Castle, Jr. Trust Alltel Corp.	S	11/16/07	$15,001 - $50,000
	JMC Trust #1 Evergreen Enhanced S&P 500 FD CL 1 (formerly Evergreen Large Cap Equity Fund)	S(part)	9/17/07	$15,001 - $50,000
	JMC Trust #1 Harbor International Fund	P	9/17/07	$1,001 - $15,000
	JMC Trust #1 Julius Baer International Equity	P	9/17/07	$1,001 - $15,000
	JMC Trust #3 ISHARES MSCI EAFE Index Fund	P	9/19/07	$1,001 - $15,000
	JMC Trust #3 ISHARES Russell MidCap Index Fund	P	9/19/07	$1,001 - $15,000
	JMC Trust #3 Evergreen Core Bond Fund	P	9/17/07	$1,001 - $15,000
	JMC Trust #3 Diageo PLC Sponsored ADR	S	9/19/07	$1,001 - $15,000
	JMC Trust #3 DuPont Co.	S(part)	9/19/07	$1,001 - $15,000
	JMC Trust #3 Coca Cola	S	9/19/07	$1,001 - $15,000
	JMC Trust #3 Exxon Mobil Corp.	S(part)	9/19/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Michael N. Castle

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	JMC Trust #3 Home Depot	S(part)	9/19/07	$1,001 - $15,000
	JMC Trust #3 BP PLC Sponsored ADR	S(part)	9/19/07	$1,001 - $15,000
	JMC Trust #3 Motorola	S	9/19/07	$1,001 - $15,000
SP	Capital Income BLDR FD C	P	3/20/07	$15,001 - $50,000
SP	Capital Income BLDR FD C	P	5/7/07	$1,001 - $15,000
SP	Capital Income BLDR FD C	P	10/9/07	$1,001 - $15,000
SP	Income FD AMER INC CL C	P	3/20/07	$15,001 - $50,000
SP	Income FD AMER INC CL C	P	5/7/07	$1,001 - $15,000
SP	Income FD AMER INC CL C	P	10/9/07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael N. Castle

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute - U.S. /China Relations	Mar. 31-Apr. 8	Philadelphia -- Shanghai, China -- Beijing, China -- Philadelphia	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Michael N. Castle

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	The Oquirrh Institute
Board Member	The Southern Institute on Children and Families
Board Member	James B. Hunt, Jr. Institute for Educational Leadership & Policy
Board Member, President (resigned - January 2007)	Republican Main Street Partnership

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 2:07

(Office Use Only)

MC

Katherine Anne Castor
(Full Name)

202-225-3376
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: FL District: 11 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Katherine Anne Castor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Butler Pappas Weihmuller Katz & Craig	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Vanguard Index 500	$50,001 - $100,000	Dividends / CAPITAL GAINS	$1,001 - $2,500	P
JT	Templeton Growth Fund	$15,001 - $50,000	Dividends/CAPITAL GAINS	$2,501 - $5,000	P
JT	Janus Mercury Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
JT	Janus Overseas Fund	$50,001 - $100,000	DIVIDENDS//CAPITAL GAINS	$5,001 - $15,000	P
JT	Intel Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Home Depot Stock	$1 - $1,000	DIVIDENDS	$1 - $200	PS(part)

JT	Franklin Resources, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	E*Trade Financial Corp	$1 - $1,000	None	NONE	P
JT	Dow Chemical	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	Coca Cola Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
JT	Baron Asset Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	American International Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Bank of America Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
JT	Franklin Small Cap Stock Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
JT	*Franklin Rising Dividend*	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	Franklin Mutual Discovery Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	Dodge and Cox Stock Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JT	Texas Instruments Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
JT	Verizon Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Ambcn Lg Cap Val Svc (transferred by plan from American Century Value Inc)	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor

	Alliance Bernstein IntlValfd-A (transferred by plan from Templeton Foreign Fund)	$1,001 - $15,000	None	NONE	P
	Pimco Total Return Fund AS	$1,001 - $15,000	None	NONE	P
	Wfaf Small Cap Value Fnd Z (transferred by plan from Dreyfus Prem. Sm. Cap. Value)	$15,001 - $50,000	None	NONE	P
	State St Equity 500 Index (transferred by plan from Gartmore SEP Index)	$15,001 - $50,000	None	NONE	P
JT	Amerian Century Lg Cap Growth II	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	P
SP	American Century Lg Cap Value II (Formerly Prin Large Cap Gr.)	$15,001 - $50,000	None	NONE	P
SP	Principal Global Investors lg Cap Stk Index (Formerly Prin Large Cap Stock)	$100,001 - $250,000	None	NONE	P
SP	Fidelity Md Cap Growth II (Formerly Prin Mid Cap Gr. II)	$15,001 - $50,000	None	NONE	P
SP	Mellon Equity Mid Cap Growth I (Formerly Prin Mid Cap Gr. I)	$1,001 - $15,000	None	NONE	P
SP	Principal Global Barrow Hanley Med Co Value (Formerly Principal Med Co. Val)	$15,001 - $50,000	None	NONE	P
SP	Principal Global Diversified International (Formerly Principal Divers Int. St.)	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	P
SP	Principal Fin Grop Inc. Stock (Formerly Prin Fin Group Inc.)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Anne Castor

DC	Gift 529 Aggressive Growth Portfolio	$15,001 - $50,000	None	NONE	P
DC	Gift 529 Aggressive Age Based Option - Growth Portfolio (Formerly Vanguard Aged Based 529 Plan)	$15,001 - $50,000	None	NONE	P
	T Rowe Price Blue Chip Growth (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	T Rowe Price Equity Index 500 (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Bank of America Checking/Savins Account	$100,001 - $250,000	INTEREST	$1 - $200	
	Bank of Florida Checking/Savings	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Etrade Checking/Savings	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
SP	Butler Pappas LLP	$100,001 - $250,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Kathenne Anne Castor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Vanguard Index 500	P	21 purchases throughout the year	$1,001 - $15,000
JT	Templeton Growth Fund	P	13 purchases throughout the year	$1,001 - $15,000
JT	Janus Overseas Fund	P	5/1/07; various times in 10/07; 11/5/07; 12/18/07	$1,001 - $15,000
JT	Janus Mercury Fund	P	5/1/07; 6/12/07; various times in October; 11/5/07; 11/21/07; 12/18/07	$1,001 - $15,000
JT	Intel Stock	P	3/1/07; 3/13/07; 3/27/07; 6/1/07; 6/21/07; 9/5/07; 12/3/07	$15,001 - $50,000
JT	Home Depot Stock	PS(part)	2/8/07 P; 3/1/07 P; 3/22/07 P; 7/11/07 S(part); 9/13/07 P; 12/13/07 P	$1,001 - $15,000
JT	Franklin Resources Inc, Stock	P	3/1/07; 4/13/07; 7/13/07; 10/12/07	$1,001 - $15,000
JT	E*Trade Financial Corp	P	11/23/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Katherine Anne Castor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Coca Cola Stock	PS(part)	7/18/07 S(part); 4/2/07 P; 7/2/07 P; 10/01/07 P; 12/17/07 P	$15,001 - $50,000
JT	Bank of America Stock	PS(part)	P: 2/21/07, 3/1/07, 3/13/07, 3/23/07, 6/22/07, 9/28/07, 10/31/07, 11/23/07, 12/28/07; S(part): 7/10/07	$15,001 - $50,000
JT	American International Group	P	10/31/07	$1,001 - $15,000
JT	Franklin Small Cap Stock Fund	P	10 purchases throughout the year	$1,001 - $15,000
JT	Franklin Rising Dividend	P	19 purchases throughout the year	$15,001 - $50,000
JT	Franklin Mutual Discovery Fund	P	13 purchases throughout the year	$1,001 - $15,000
JT	Dodge and Cox Stock Fund	P	16 purchases throughout the year	$1,001 - $15,000
JT	Texas Instruments Stock	PS(part)	P: 5/21/07, 8/20/07, 11/19/07; S(part): 12/31/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Katherine Anne Castor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Verizon Stock	P	2/11/07, 2/8/07, 3/1/07, 3/13/07, 5/1/07, 8/1/07, 11/1/07	$15,001 - $50,000
JT	Amerian Century Lg Cap Growth II	P	Various purchases through year	$1,001 - $15,000
SP	American Century Lg Cap Value II (Formerly Prin Large Cap Gr.)	P	Various purchases through year	$1,001 - $15,000
SP	Principal Global Investors lg Cap Stk Index (Formerly Prin Large Cap Stock)	P	Various purchases through year	$15,001 - $50,000
SP	Fidelity Md Cap Growth II (Formerly Prin Mid Cap Gr. II)	P	Various purchases through year	$1,001 - $15,000
SP	Mellon Equity Mid Cap Growth I (Formerly Prin Mid Cap Gr. I)	P	Various purchases through year	$1,001 - $15,000
SP	Principal Global Barrow Hanley Med Co Value (Formerly Principal Med Co. Val)	P	Various purchases through year	$1,001 - $15,000
SP	Principal Global Diversified International (Formerly Principal Divers Int. St.)	P	Various purchases through year	$1,001 - $15,000
DC	Gift 529 Aggressive Growth Portfolio	P	24 purchases throughout the year	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Katherine Anne Castor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	General Electric Stock	PS	P: 3/5/07; S: 7/17/07	$1,001 - $15,000
JT	Dow Chemical	P	4/13/07; 7/30/07; 10/30/07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Katherine Anne Castor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Butler Pappas (with spouse's employer)	Aug. 3-5	Tampa - San Francisco	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Katherine Anne Castor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	1000 Friends of Florida

HAND DELIVERED

HC

2008 JUN -5 PM 5:

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Donald Jules Cazayoux, Jr.
(Full Name)

202-225-3901
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: LA — District: 06 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

SCHEDULE I - EARNED INCOME

Name Donald Jules Cazayoux, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Louisiana House of Representatives	Salary	$26,808
Pointe Coupee Parish School Board	Spouse Salary	$42,770
Donald J. Cazayoux, Jr., Attorney at Law	Gross Income	$70,170

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Jules Cazayoux, Jr.

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
American Life Insurance - whole	$15,001 - $50,000	None	NONE	
BP Production	Indefinite	Royalties	$5,001 - $15,000	
Cottonport Bank	$1,001 - $15,000	None	NONE	
Energy Production Corp	Indefinite	Royalties	$1,001 - $2,500	
Guardian Life Insurance - whole	$15,001 - $50,000	None	NONE	
LA Public Employees Def. Compensation Plan (not self directed)	$50,001 - $100,000	None	NONE	

Mainstay Investments SEP IRA	$15,001 - $50,000	None	NONE	
Morgan Keegan Brokerage	None	CAPITAL GAINS	$15,001 - $50,000	S
Morgan Keegan JTIC	$100,001 - $250,000	None	NONE	P
Morgan Keegan Minor Account	$1,001 - $15,000	None	NONE	
Morgan Keegan SEP IRA	$50,001 - $100,000	None	NONE	P
New York Life - whole life	$15,001 - $50,000	None	NONE	
People's Bank	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Region's Bank	$1,001 - $15,000	None	NONE	
State Bank Account	$1 - $1,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Donald Jules Cazayoux, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Morgan Keegan SEP IRA	P		
	Morgan Keegan JTIC	P		
	Morgan Keegan Brokerage	S		

SCHEDULE V - LIABILITIES

Name Donald Jules Cazayoux, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America	Credit Card	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Donald Jules Cazayoux, Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Delegate	Louisiana Bar Association House of Delegates - uncompensated
Board Member	Big River Economic and Agricultural Development Alliance - uncompensated

CREDIT
Statement on Demand

Morgan Keegan
Morgan Keegan & Company, Inc.
Members New York Stock Exchange, SIPC
www.morgankeegan.com

Account: 71648737

Printed On: 6/4/2008 9:11:17AM

Prepared For
Accour
DONALD CAZAYOUX JR SEP IRA

Your Financial Advisor
GARY M. SMITH
445 NORTH BOULEVARD
SUITE 500
BATON ROUGE, LA 70802
(225)344-9020
(800)659-7998

Account Value	6/1/2008	06/04/2008	Asset Allocation
Cash Balance	348.44	348.44	0.48%
Stock Mutual Funds	72,399.36	71,497.65	99.52%
Market Value of Priced Assets	72,747.80	71,846.09	100.00%

The information contained herein is for illustrative purposes only. Please rely on your Morgan Keegan statements if this information varies in any way.

—PORTFOLIO INFORMATION—

An astersk (*) by the acquired date indicates an unsettled position.

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
422.588	AMERICAN FUNDS AMCAP A Symbol: AMCPX	18.800	7,945					
			Acquired Date					
			07/27/2001	141.004	17.730	2,500	151	3.69%
			09/24/2001	70.522	14.180	1,000	326	1.85%
			12/10/2001	1.090	16.490	18	3	0.03%
			12/10/2001	1.796	16.490	30	4	0.05%
			06/25/2002	1.447	14.220	21	7	0.04%
			07/22/2002	151.976	13.160	2,000	857	3.98%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
422.588	AMERICAN FUNDS AMCAP A Symbol: AMCPX	18.800	7,945					
			Acquired Date					
			12/09/2002	0.666	13.430	9	4	0.02%
			06/24/2003	0.078	15.090	1	0	0.00%
			12/06/2004	0.901	18.000	16	1	0.02%
			12/06/2004	2.559	18.000	46	2	0.07%
			06/21/2005	4.513	18.300	83	2	0.12%
			12/23/2005	1.767	19.180	34	(1)	0.05%
			12/23/2005	3.239	19.180	62	(1)	0.08%
			06/20/2006	0.427	18.740	8	0	0.01%
			06/20/2006	0.224	18.740	4	0	0.01%
			06/20/2006	2.749	18.740	52	0	0.07%
			12/22/2006	2.569	20.080	52	(3)	0.07%
			12/22/2006	8.340	20.080	167	(11)	0.22%
			06/18/2007	0.764	21.760	17	(2)	0.02%
			06/18/2007	1.710	21.760	37	(5)	0.04%
			12/17/2007	3.902	20.010	78	(5)	0.10%
			12/17/2007	20.345	20.010	407	(25)	0.53%
						6,641	1,304	11.06%
118.628	AMERICAN FUNDS EUROPACIFIC GROWTH A Symbol: AEPGX	48.970	5,809					
			Acquired Date					
			03/07/2007	108.956	45.890	5,000	338	7.43%
			12/13/2007	2.098	52.250	110	(7)	0.14%
			12/13/2007	7.574	52.250	396	(25)	0.52%
						5,505	304	8.09%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
349.001	AMERICAN FUNDS FUNDAMENTAL INVESTORS A	41.490	14,480					
	Symbol: ANCFX		Acquired Date					
			06/14/2001	93.429	31.140	2,909	967	5.40%
			07/20/2001	17.379	28.770	500	221	1.00%
			08/20/2001	17.781	28.120	500	238	1.03%
			08/21/2001	0.636	27.980	18	9	0.04%
			09/20/2001	21.195	23.591	500	379	1.22%
			09/24/2001	41.736	23.960	1,000	732	2.41%
			10/19/2001	19.623	25.480	500	314	1.13%
			11/27/2001	18.188	27.491	500	255	1.05%
			12/18/2001	1.106	26.870	30	16	0.08%
			12/20/2001	18.525	26.991	500	269	1.07%
			01/18/2002	18.755	26.660	500	278	1.08%
			02/20/2002	18.868	26.500	500	283	1.09%
			02/26/2002	1.343	26.380	35	20	0.08%
			03/20/2002	17.787	28.110	500	238	1.03%
			05/17/2002	1.352	27.620	37	19	0.08%
			08/20/2002	1.608	23.310	37	29	0.09%
			12/17/2002	1.700	22.140	38	33	0.10%
			12/17/2002	1.615	22.140	36	31	0.09%
			02/25/2003	1.815	20.920	38	37	0.10%
			05/20/2003	1.631	23.400	38	30	0.09%
			08/19/2003	1.557	24.610	38	28	0.09%
			12/16/2003	1.390	27.690	38	19	0.08%
			02/24/2004	1.306	29.560	39	16	0.08%
			05/25/2004	1.386	27.960	39	19	0.08%
			08/17/2004	1.391	27.950	39	19	0.08%
			05/21/2007	0.880	44.070	39	(2)	0.05%
			08/20/2007	0.931	41.760	39	0	0.05%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
349.001	AMERICAN FUNDS FUNDAMENTAL INVESTORS A	41.490	14,480					
			Acquired Date					
	Symbol: ANCFX		12/26/2007	0.910	42.850	39	(1)	0.05%
			12/26/2007	3.564	42.850	153	(5)	0.21%
			12/26/2007	15.241	42.850	653	(21)	0.88%
			02/19/2008	1.058	39.110	41	3	0.06%
			02/19/2008	2.309	39.110	90	6	0.13%
			05/27/2008	1.006	41.510	42	0	0.06%
						10,006	4,474	20.15%
461.964	AMERICAN FUNDS GROWTH FUND OF AMERICA A	33.580	15,513					
			Acquired Date					
	Symbol: AGTHX		09/20/2001	25.694	19.460	500	363	1.20%
			09/24/2001	101.112	19.780	2,000	1,395	4.73%
			10/19/2001	23.397	21.370	500	286	1.09%
			12/18/2001	0.776	23.410	18	8	0.04%
			12/20/2001	21.468	23.291	500	221	1.00%
			01/18/2002	21.701	23.040	500	229	1.01%
			02/20/2002	22.381	22.340	500	252	1.05%
			03/20/2002	0.947	23.580	22	9	0.04%
			07/22/2002	161.987	18.620	3,000	2,440	7.57%
			11/13/2002	50.633	19.750	1,000	700	2.37%
			12/17/2002	0.709	18.740	13	11	0.03%
			12/19/2007	4.633	33.410	155	1	0.22%
			12/19/2007	26.524	33.410	886	5	1.24%
						9,595	5,918	21.59%

Morgan Keegan
Morgan Keegan & Company, Inc.
Members New York Stock Exchange, SIPC
www.morgankeegan.com

Printed On: 6/4/2008 9:11:17AM

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
167.584	AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA A	31.070	5,207					
	Symbol: AIVSX		Acquired Date					
			07/22/2002	125.261	23.950	3,000	892	5.42%
			09/10/2002	0.674	24.140	16	5	0.03%
			12/19/2002	0.685	23.910	16	5	0.03%
			12/19/2002	1.844	23.910	44	13	0.08%
			03/05/2003	0.750	22.280	17	7	0.03%
			06/10/2003	0.657	25.590	17	4	0.03%
			09/09/2003	0.640	26.380	17	3	0.03%
			12/18/2003	0.608	27.890	17	2	0.03%
			12/18/2003	0.983	27.890	27	3	0.04%
			03/09/2004	0.577	29.760	17	1	0.02%
			06/08/2004	0.602	28.660	17	1	0.03%
			09/08/2004	0.601	28.830	17	1	0.03%
			12/15/2004	0.572	30.410	17	0	0.02%
			12/15/2004	1.563	30.410	48	1	0.07%
			03/08/2005	0.566	31.250	18	0	0.02%
			06/07/2005	0.585	30.380	18	0	0.03%
			09/07/2005	0.610	31.490	19	0	0.03%
			12/27/2005	0.610	31.610	19	0	0.03%
			12/27/2005	0.610	31.610	19	0	0.03%
			12/27/2005	3.535	31.610	112	(2)	0.15%
			03/07/2006	0.661	32.330	21	(1)	0.03%
			06/06/2006	0.652	32.930	21	(1)	0.03%
			09/05/2006	0.640	33.700	22	(2)	0.03%
			12/22/2006	0.648	33.440	22	(2)	0.03%
			12/22/2006	0.605	33.440	20	(1)	0.03%
			12/22/2006	8.909	33.440	298	(21)	0.39%
			03/05/2007	0.751	32.930	25	(1)	0.03%

727

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
167.584	AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA A	31.070	5,207					
	Symbol: AIVSX		Acquired Date					
			06/04/2007	0.688	36.140	25	(3)	0.03%
			09/10/2007	0.755	35.150	27	(3)	0.03%
			12/20/2007	0.820	32.520	27	(1)	0.04%
			12/20/2007	8.961	32.520	291	(13)	0.39%
			03/10/2008	0.961	29.480	28	2	0.04%
						4,320	887	7.25%
208.494	AMERICAN FUNDS NEW PERSPECTIVE A	32.790	6,837					
	Symbol: ANWPX		Acquired Date					
			07/22/2002	160.686	18.670	3,000	2,269	7.33%
			12/23/2002	1.494	17.960	27	22	0.07%
			12/22/2003	1.204	23.850	29	11	0.05%
			12/21/2004	1.555	27.010	42	9	0.07%
			12/29/2005	2.286	28.570	65	10	0.10%
			12/29/2005	10.478	28.570	299	44	0.48%
			12/27/2006	2.818	31.470	89	4	0.13%
			12/27/2006	11.616	31.470	366	15	0.53%
			12/13/2007	3.750	34.580	130	(7)	0.17%
			12/13/2007	12.607	34.580	436	(23)	0.58%
						4,482	2,354	9.52%
91.265	AMERICAN FUNDS NEW WORLD A	58.550	5,344					
	Symbol: NEWFX		Acquired Date					
			03/07/2007	84.299	47.450	4,000	936	6.87%
			12/13/2007	1.659	60.120	100	(3)	0.14%
			12/13/2007	0.408	60.120	25	(1)	0.03%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
91.265	AMERICAN FUNDS NEW WORLD A Symbol: NEWFX	58.550	5,344					
			Acquired Date					
			12/13/2007	4.899	60.120	295	(8)	0.40%
						4,419	925	7.44%
66.273	AMERICAN FUNDS SMALLCAP WORLD A Symbol: SMCWX	37.950	2,515					
			Acquired Date					
			07/22/2002	51.099	19.570	1,000	939	2.70%
			12/15/2003	0.058	25.820	2	1	0.00%
			12/13/2004	0.534	29.820	16	4	0.03%
			01/03/2006	0.600	35.310	21	2	0.03%
			01/03/2006	0.995	35.310	35	3	0.05%
			12/29/2006	0.948	39.120	37	(1)	0.05%
			12/29/2006	0.478	39.120	19	(1)	0.03%
			12/29/2006	4.435	39.120	174	(5)	0.23%
			12/27/2007	1.097	40.880	45	(3)	0.06%
			12/27/2007	6.029	40.880	246	(18)	0.32%
						1,594	921	3.50%
247.759	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS A Symbol: AWSHX	31.680	7,849					
			Acquired Date					
			04/07/2003	73.689	24.210	1,784	550	3.25%
			06/24/2003	0.379	26.240	10	2	0.02%
			09/23/2003	0.376	26.620	10	2	0.02%
			12/23/2003	0.357	28.140	10	1	0.02%
			12/23/2003	0.489	28.140	14	2	0.02%
			03/23/2004	0.353	28.820	10	1	0.02%
			06/22/2004	0.348	29.330	10	1	0.02%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
247.759	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS A	31.680	7,849					
	Symbol: AWSHX		Acquired Date					
			09/21/2004	0.358	29.750	11	1	0.02%
			12/21/2004	0.352	30.390	11	0	0.02%
			12/21/2004	0.100	30.390	3	0	0.00%
			12/21/2004	0.578	30.390	18	1	0.03%
			03/22/2005	0.357	30.320	11	0	0.02%
			06/21/2005	0.375	31.100	12	0	0.02%
			09/20/2005	0.374	31.300	12	0	0.02%
			12/21/2005	0.402	31.210	13	0	0.02%
			12/21/2005	1.081	31.210	34	0	0.05%
			03/21/2006	0.395	32.390	13	0	0.02%
			06/20/2006	0.407	31.630	13	0	0.02%
			09/18/2006	0.389	33.250	13	(1)	0.02%
			12/26/2006	0.398	34.660	14	(1)	0.02%
			12/26/2006	1.955	34.660	68	(6)	0.09%
			03/07/2007	145.054	34.470	5,000	(405)	6.40%
			03/26/2007	1.098	35.390	39	(4)	0.05%
			06/25/2007	1.114	37.100	41	(6)	0.05%
			09/24/2007	1.096	37.890	42	(7)	0.05%
			12/24/2007	1.228	34.000	42	(3)	0.05%
			12/24/2007	13.231	34.000	450	(31)	0.58%
			03/24/2008	1.426	31.090	44	1	0.06%
						7,748	101	10.92%
	Total Stock Mutual Funds Value		**71,498**			**54,310**	**17,187**	**99.51%**

Morgan Keegan & Company, Inc
Fifty N. Front Street, Memphis, TN 38103
(901) 524-4100

CUSTOMER NAME DONALD CAZAYOUX JR &
STATEMENT PERIOD 01/01/2007 - 12/31/2007
ACCOUNT NUMBER

YOUR FINANCIAL ADVISOR

GARY M. SMITH
445 NORTH BOULEVARD
SUITE 500
BATON ROUGE, LA 70802
225/344-9020
800/659-7998
Gary.Smith@morgankeegan.com

DONALD CAZAYOUX JR &
CHERIE CAZAYOUX TIC

ACCOUNT VALUE

	AS OF 12/31/2006	AS OF 12/31/2007	% CHANGE
Cash & Cash Equivalents	$6,705.18	$10,987.67	63.86
Stocks	$132,517.60	$131,592.98	(0.69)
Total Account Value	**$139,222.78**	**$142,580.65**	**2.41**

MOR ACCOUNT NEWS

MOR investing nags in the New Year with a look at the rising cost of a college education, and how you can be prepared to pay the tuition bill. Also, while long life is a blessing, the cost of care for old age can be daunting. See how you can plan to pay for long-term health care in your Golden Years. Also, take note of important information about your 1099 tax forms.
As always, your Morgan Keegan financial advisor is ready to help you with all your investment needs.

All of us at Morgan Keegan wish you and your family a very happy, prosperous New Year!

REALIZED GAIN(LOSS) SUMMARY

	THIS MONTH	YEAR TO DATE
Long-Term Realized Gain(Loss)	$0.00	$42,877.56
Short-Term Realized Gain(Loss)	$0.00	$391.62

This information should not be used for tax preparation without the assistance of your tax advisor.

SIPC
Investments are not FDIC insured, not bank guaranteed, may lose value.

IF ANY TRANSACTION OR OTHER INFORMATION APPEARS TO BE IN ERROR OR NOT IN ACCORDANCE WITH YOUR UNDERSTANDING OR INSTRUCTIONS, OR IF THERE ARE ANY INACCURACIES OR DISCREPANCIES REGARDING THIS STATEMENT, PLEASE NOTIFY THE MORGAN KEEGAN CUSTOMER SERVICE DEPARTMENT AT FIFTY NORTH FRONT STREET, MEMPHIS, TENNESSEE 38103 OR CALL 800-366-2358. UNLESS NOTIFIED IN WRITING TO THE CONTRARY, THIS STATEMENT WILL BE DEEMED ACCURATE AND CONCLUSIVE IF NOT OBJECTED TO WITHIN TEN (10) DAYS OF YOUR RECEIPT OR IN ANY CASE AFTER THIRTY (30) DAYS FROM THE DATE OF THE STATEMENT. THE STATED COST BASIS AND GAIN/LOSS SUMMARY FOR YOUR INVESTMENTS ARE BASED, IN PART, UPON INFORMATION OBTAINED FROM YOU, THE CLIENT, AND ITS ACCURACY IS NOT GUARANTEED. PLEASE NOTIFY MORGAN KEEGAN IF THERE IS ANY CHANGE IN YOUR INVESTMENT OBJECTIVES OR FINANCIAL SITUATION.

ANY QUESTIONS, INQUIRIES OR COMPLAINTS ABOUT THE WAY YOUR ACCOUNT HAS BEEN HANDLED SHOULD BE DIRECTED TO THE MORGAN KEEGAN CUSTOMER SERVICE DEPARTMENT AT FIFTY NORTH FRONT STREET, MEMPHIS, TENNESSEE 38103 OR CALL 800-366-2358. ANY ORAL COMMUNICATIONS SHOULD BE RE-CONFIRMED IN WRITING TO PROTECT YOUR RIGHTS, INCLUDING RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT (SIPA).

Only clients meeting certain asset requirements may participate in the Morgan Keegan MOR Account program A MOR Account is available to clients for the purpose of accessing credit interest balances, fixed balances and margin borrowing directly through your Financial Advisor or your MOR Account checking feature An optional debit card is also available in conjunction with the program

If you have a margin account, this is a combined statement of your Morgan Keegan MOR Account and a special memorandum account maintained for you as required under Section 220 6 of Regulation T issued by the Board of Governors of the Federal Reserve System The permanent record of the special memorandum account is available for your inspection upon request The account statement may also reflect other information where separate regulatory or Morgan Keegan documentation is required in order to fully utilize all of the account's features Your free credit balances are properly and separately accounted for on Morgan Keegan's books of record and are available to you upon request These balances are not segregated and may be used in the conduct of Morgan Keegan business. Securities earned in your MOR Account are deliverable to you upon payment of any indebtedness existing on Morgan Keegan records Margin Buying Power is the combination of the unused loan value of your marginable securities held by Morgan Keegan and any free credit balance in your margin account Initial margin requirements are established and defined by Regulation T of the Federal Reserve Board of Governors Interest, if any, is calculated on a daily basis and accumulated and reported to you on a monthly basis. The interest rate charged on the borrowed amount depends on several factors, including the amount borrowed Amounts borrowed on margin increase leverage and may decrease the amount you can borrow in the future Please refer to the Statement of Credit Terms in the MOR Account Application Package for a detailed explanation

If you have sold securities short, we have segregated the proceeds from such transactions into your short account Subsequent increases or decreases in the market value of any short security position, determined by daily marking to the closing price of the security, will be transferred to your margin account

Account value is determined by adding together cash and securities held in the account, less any margin loan balance Equity product values are generally based on the closing price as of the last day of the statement period Estimated annual yield is based on current market value of all securities

While Morgan Keegan strives to provide accurate and timely estimates of portfolio values, the accuracy of the values cannot be guaranteed, and there is no assurance that the prices listed are available in the market Where prices are not readily available, estimates are determined by using mathematical models or by using computer generated matrix pricing systems To obtain the price at which you can buy or sell a security today, please contact your Morgan Keegan Financial Advisor A financial statement of Morgan Keegan is available upon request

Information on commission and other charges incurred in connection with the execution of option transactions has been included in confirmations of these transactions previously sent to you, and a summary of the information is available upon request Exercise assignment notices for option contracts are allocated among customer short option positions by random computer selection. American-style options are liable for assignment at any time, including positions established on the day of assignment. European-style options may be exercised only during a specified period before the option expires A more detailed description of the random selection allocation is available upon request.

Morgan Keegan & Company, Inc. is a member of the Securities Investor Protection Corporation (SIPC). As such, eligible securities accounts are provided up to a maximum of $500,000 per customer, including up to $100,000 in cash balances. Morgan Keegan has obtained $124,500,000 in additional coverage for eligible accounts through Lloyd's of London, bringing the total coverage to $125 million, subject to a $100,000 limitation on cash balances and an aggregate limit of $400 million. Explanatory brochure available upon request Assets designated on this statement as "not held at Morgan Keegan" are presented for informational purposes only and are not eligible for SIPC protection. Please refer to the periodic statements received from the issuer for information concerning their values

SECURITIES ARE NOT DEPOSITS OR OBLIGATIONS OF ANY BANK, ARE NOT ENDORSED OR GUARANTEED BY ANY BANK, ARE NOT INSURED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC), THE FEDERAL RESERVE BOARD OR ANY OTHER GOVERNMENTAL AGENCY. INVESTMENTS IN SECURITIES INVOLVE INVESTMENT RISK, INCLUDING THE LOSS OF PRINCIPAL.

Morgan Keegan & Company, Inc is an affiliate of Regions Financial Corporation

MUTUAL FUNDS Mutual funds are held not with Morgan Keegan but at the mutual fund and are priced on your statement only for your information and convenience based upon information received from the fund custodian or sponsor.

FIXED INCOME SECURITIES (MUNICIPAL, CORPORATE AND CONVERTIBLE BONDS, GOVERNMENT SECURITIES, DERIVATIVES) Fixed income securities prices are provided by outside pricing services or by Morgan Keegan and may not reflect current market value. Prices shown for fixed income securities are to be used only as a guide for determining an approximate value if they are sold prior to maturity, the proceeds received may be less than the face amount, depending upon market conditions For more specific values, please contact your Morgan Keegan Financial Advisor Call features shown indicate the next regularly scheduled call date and price Your holdings may be subject to other redemption features including sinking funds or extraordinary calls No adjustment in cost basis due to accretion or amortization will be reflected. Please consult your tax advisor concerning gains/losses resulting from this adjustment.

ANNUITIES Annuity values are provided by the insurance companies, and the accuracy of the values is not guaranteed by Morgan Keegan. Surrender charges may apply

CERTIFICATES OF DEPOSIT Certificates of deposit are carried at current market value and do not reflect accrued or unpaid interest. Certificates with maturities of 2 years or less are shown as par Proceeds received from certificates of deposit sold prior to maturity may be more or less than the face amount, depending upon market conditions.

LIMITED PARTNERSHIPS Information on direct investments such as limited partnerships, provided for your record keeping only, are based upon a third-party investment research and consulting service, the accuracy of which is not guaranteed by Morgan Keegan. Please note that direct investments are generally illiquid, are not currently priced (the amount shown on your statement is not necessarily what you would receive upon their sale), and are included in your account value for information purposes only For more information, please contact your Morgan Keegan Financial Advisor or the General Partner.

TAXABLE STATUS The taxable status of your earnings is reliable to the best of our knowledge However, accuracy cannot be guaranteed. Taxable and non-taxable designations refer to the current federal income tax status of the securities in your account In addition, items may be subject to the Alternative Minimum Tax and other taxes. Premiums paid or received are not included in the cost basis or gain/loss calculations for securities transactions resulting from the exercise of options Please retain this statement for income tax return preparation

IMCG INVESTMENT ADVISORY ACCOUNTS/AGENCY CROSS TRANSACTIONS: Client may revoke his/her/their consent to agency cross transactions in an IMCG investment advisory account at any time by providing Morgan Keegan with written notice of such revocation.

Revised 02/2007

733

CASH FLOW SUMMARY

	THIS MONTH	YEAR TO DATE
Beginning Balance	$0.00	$6,705.18
Net Change	$0.00	$4,282.49
Ending Balance	$0.00	$10,987.67
Increase		
Securities Sold	$0.00	$6,858.00
Deposits	$0.00	$856.73
Dividends	$0.00	$3,023.02
Total Increase	$0.00	$10,737.75
Decrease		
Securities Purchased	$0.00	($4,125.28)
Reinvestments	$0.00	($208.25)
Withdrawals	$0.00	($2,121.73)
Total Decrease	$0.00	($6,455.26)

ACCOUNT HOLDINGS

Cash & Cash Equivalents *7.70 %*

DESCRIPTION	MARKET VALUE 12/31/2007	EST. ANNUAL INCOME	YIELD %
CASH	$17.64	N/A	
GENERAL MONEY MARKET CL B	$10,970.03	$428.92	3.91
Total Cash & Cash Equivalents	**$10,987.67**	**$428.92**	

Stocks *92.30 %*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/(LOSS)	EST. ANNUAL INCOME	YIELD %
ALTRIA GROUP	MO								
Acquired 06/18/2001		55.000			$34.75	$1,911.36	$2,245.54		3.96
Acquired 10/24/2002		5.000			$32.36	$161.79	$216.11		
Acquired 07/25/2003		15.000			$30.18	$452.74	$680.96		
		75.000	$75.580	$5,668.50	$33.68	$2,525.89	$3,142.61	$225.00	
AMERICAN EXPRESS CO	AXP								
Acquired 06/18/2001		115.000			$34.09	$3,920.69	$2,061.61		1.38
Acquired 08/31/2006		5.000			$52.43	$262.15	($2.05)		
		120.000	$52.020	$6,242.40	$34.86	$4,182.84	$2,059.56	$86.40	
AMERICAN INTERNATIONAL GROUP	AIG								
Acquired 06/18/2001		45.000			$82.10	$3,694.50	($1,071.00)		1.37
Acquired 07/15/2002		25.000			$54.34	$1,358.57	$98.93		
Acquired 02/04/2003		15.000			$50.73	$760.96	$113.54		
Acquired 08/31/2006		5.000			$63.74	$318.70	($27.20)		
		90.000	$58.300	$5,247.00	$68.14	$6,132.73	($885.73)	$72.00	
AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	AMP								
Acquired 06/18/2001		23.000			$24.29	$558.56	$708.97		1.08
Acquired 11/09/2005		10.000			$36.97	$369.66	$181.44		
		33.000	$55.110	$1,818.63	$28.13	$928.22	$890.41	$19.80	

735

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/LOSS	EST. ANNUAL INCOME	YIELD %
AON CORPORATION	AOC								
Acquired 10/05/2001		10.000			$43.00	$430.00	$46.90		1.25
Acquired 10/24/2002		20.000			$21.60	$431.97	$521.83		
		30.000	$47.690	$1,430.70	$28.73	$861.97	$568.73	$18.00	
BANK NEW YORK MELLON CORP	BK								
Acquired 02/28/2007		30.000			$43.04	$1,291.09	$171.71		
		30.000	$48.760	$1,462.80	$43.04	$1,291.09	$171.71	N/A	
BERKSHIRE HATHAWAY, INC. CLASS B COMMON STOCK	BRK B								
Acquired 06/18/2001		1.000			$2,190.00	$2,190.00	$2,546.00		
Acquired 07/25/2003		1.000			$2,406.00	$2,406.00	$2,330.00		
		2.000	$4,736.000	$9,472.00	$2,298.00	$4,596.00	$4,876.00	N/A	
H & R BLOCK INC	HRB								
Acquired 08/08/2003		30.000			$21.23	$636.75	($79.65)		3.06
Acquired 05/24/2004		30.000			$23.40	$702.02	($144.92)		
Acquired 08/31/2006		15.000			$20.93	$313.95	($35.40)		
		75.000	$18.570	$1,392.75	$22.04	$1,652.72	($259.97)	$42.75	
CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	CVS								
Acquired 11/18/2005		8.350			$29.79	$248.72	$83.19		0.60
Acquired 11/28/2005		33.400			$29.94	$999.95	$327.70		
Acquired 08/31/2006		16.250			$34.43	$559.51	$86.43		
		58.000	$39.750	$2,305.50	$31.18	$1,808.18	$497.32	$13.92	
CANADIAN NATURAL RESOURCES LTD	CNQ								
Acquired 12/11/2007		35.000			$66.66	$2,332.94	$226.96		0.36
		35.000	$73.148	$2,559.90	$66.66	$2,332.94	$226.96	$9.40	

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/LOSS	EST. ANNUAL INCOME	YIELD %
CARDINAL HEALTH, INC.	CAH								
Acquired 07/28/2004		25.000			$44.51	$1,112.64	$331.11		0.83
		25.000	$57.750	$1,443.75	$44.51	$1,112.64	$331.11	$12.00	
CITIGROUP INC	C								
Acquired 06/18/2001		40.000			$46.53	$1,861.29	($683.69)		7.13
Acquired 10/10/2002		25.000			$27.91	$697.75	$38.25		
		65.000	$29.440	$1,913.60	$39.37	$2,559.04	($645.44)	$140.40	
COMCAST CORP CL A SPL	CMCSK								
Acquired 01/22/2004		29.500			$23.33	$688.33	($153.79)		
Acquired 02/19/2004		45.000			$19.89	$894.84	($79.44)		
Acquired 04/20/2004		37.500			$19.35	$725.54	($46.04)		
Acquired 03/09/2005		75.000			$22.20	$1,665.00	($306.00)		
		187.000	$18.120	$3,388.44	$21.25	$3,973.71	($585.27)	N/A	
CONOCOPHILLIPS	COP								
Acquired 06/18/2001		25.000			$30.47	$761.75	$1,445.75		1.85
Acquired 10/11/2002		40.000			$22.95	$917.85	$2,614.15		
Acquired 08/31/2006		10.000			$63.24	$632.40	$250.60		
Acquired 09/14/2006		15.000			$58.83	$882.45	$442.05		
		90.000	$88.300	$7,947.00	$35.49	$3,194.45	$4,752.55	$147.60	
COSTCO WHOLESALE CORP.	COST								
Acquired 06/18/2001		80.000			$40.73	$3,258.40	$2,322.40		0.83
Acquired 12/19/2002		15.000			$27.54	$413.10	$633.30		
Acquired 08/31/2006		25.000			$47.09	$1,177.25	$566.75		
		120.000	$69.760	$8,371.20	$40.41	$4,848.75	$3,522.45	$69.60	

737

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/(LOSS)	EST. ANNUAL INCOME	YIELD %
DELL INC.	DELL								
Acquired 12/07/2005		40.000			$31.72	$1,268.87	($288.47)		
Acquired 06/16/2007		20.000			$25.06	$501.25	($11.05)		
		60.000	$24.510	$1,470.60	$29.50	$1,770.12	($299.52)	N/A	
DEVON ENERGY	DVN								
Acquired 06/18/2001		20.000			$27.68	$553.50	$1,224.70		0.62
Acquired 12/27/2001		30.000			$19.20	$576.00	$2,091.30		
		50.000	$88.910	$4,445.50	$22.59	$1,129.50	$3,316.00	$28.00	
DIAGEO PLC SPONSORED ADR (NEW)	DEO								
Acquired 02/28/2002		25.000			$48.03	$1,200.67	$945.08		1.76
		25.000	$85.830	$2,145.75	$48.03	$1,200.67	$945.08	$37.82	
DUN & BRADSTREET CORP. (NEW)	DNB								
Acquired 06/18/2001		20.000			$26.54	$530.80	$1,241.80		1.35
		20.000	$88.630	$1,772.60	$26.54	$530.80	$1,241.80	$24.00	
EOG RESOURCES INC	EOG								
Acquired 01/04/2002		50.000			$18.65	$932.59	$3,529.91		0.40
		50.000	$89.250	$4,462.50	$18.65	$932.59	$3,529.91	$18.00	
HARLEY DAVIDSON INCORPORATED	HOG								
Acquired 06/03/2005		20.000			$48.45	$968.99	($34.79)		2.56
Acquired 10/18/2005		15.000			$48.69	$730.37	($29.72)		
Acquired 08/31/2006		10.000			$59.36	$593.60	($126.50)		
		45.000	$46.710	$2,101.95	$50.95	$2,292.96	($191.01)	$54.00	

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/(LOSS)	EST. ANNUAL INCOME	YIELD %
IRON MOUNTAIN INC	IRM								
Acquired 11/22/2004		37.000			$20.33	$752.27	$617.47		
Acquired 02/07/2005		30.000			$19.13	$573.80	$536.80		
		67.000	$37.020	$2,480.34	$19.79	$1,326.07	$1,154.27	N/A	
J.P. MORGAN CHASE & CO.	JPM								
Acquired 06/18/2001		33.000			$27.65	$912.50	$527.95		3.48
Acquired 12/27/2001		19.800			$29.84	$590.91	$273.36		
Acquired 09/30/2002		26.400			$28.52	$752.80	$399.56		
Acquired 01/02/2003		26.400			$28.59	$754.80	$397.56		
Acquired 07/25/2003		12.400			$30.00	$372.00	$169.26		
Acquired 08/31/2006		15.000			$45.67	$685.05	($30.30)		
		133.000	$43.650	$5,805.45	$30.59	$4,068.06	$1,737.39	$202.16	
LOEWS CORP	LTR								
Acquired 01/04/2002		35.000			$18.14	$634.90	$1,127.00		0.49
Acquired 06/24/2003		45.000			$15.65	$704.22	$1,561.08		
		80.000	$50.340	$4,027.20	$16.74	$1,339.12	$2,688.08	$20.00	
MICROSOFT CORPORATION	MSFT								
Acquired 07/30/2002		40.000			$23.96	$958.55	$465.45		1.23
Acquired 08/03/2005		10.000			$27.32	$273.20	$82.00		
Acquired 03/30/2006		45.000			$27.17	$1,222.65	$379.35		
Acquired 08/31/2006		20.000			$25.81	$516.20	$195.80		
		115.000	$35.600	$4,094.00	$25.83	$2,970.60	$1,123.40	$50.60	
MOODY'S CORP	MCO								
Acquired 06/18/2001		30.000			$16.33	$489.90	$581.10		1.12
		30.000	$33.700	$1,071.00	$16.33	$489.90	$581.10	$12.00	

739

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/(LOSS)	EST ANNUAL INCOME	YIELD %
NEWS CORPORATION CL A	NWS A								
Acquired 04/17/2006		75.000			$16.85	$1,263.71	$273.04		0.58
Acquired 08/31/2006		45.000			$19.10	$859.50	$62.55		
		120.000	$20.490	$2,458.80	$17.69	$2,123.21	$335.59	$14.40	
OCCIDENTAL PETE CORP (DE)	OXY								
Acquired 09/09/2003		60.000			$17.55	$1,053.00	$3,566.40		1.29
Acquired 08/17/2004		15.000			$24.77	$371.59	$783.26		
		75.000	$76.990	$5,774.25	$18.99	$1,424.59	$4,349.66	$75.00	
PROCTER & GAMBLE CO	PG								
Acquired 05/05/2006		25.000			$55.79	$1,394.63	$440.87		1.90
		25.000	$73.420	$1,835.50	$55.79	$1,394.63	$440.87	$35.00	
PROGRESSIVE CORP OHIO	PGR								
Acquired 06/18/2001		120.000			$10.98	$1,318.00	$981.20		0.18
Acquired 07/25/2003		20.000			$16.38	$327.60	$55.60		
		140.000	$19.160	$2,682.40	$11.75	$1,645.60	$1,036.80	$4.90	
SEALED AIR CORP	SEE								
Acquired 06/18/2001		110.000			$19.36	$2,130.15	$415.25		1.72
		110.000	$23.140	$2,545.40	$19.37	$2,130.15	$415.25	$44.00	
SPRINT NEXTEL CORP	S								
Acquired 03/24/2006		50.000			$23.48	$1,173.94	($517.44)		0.76
Acquired 08/01/2006		35.000			$19.50	$682.50	($222.95)		
Acquired 08/31/2006		10.000			$16.79	$167.90	($36.60)		
		95.000	$13.130	$1,247.35	$21.31	$2,024.34	($776.99)	$9.50	

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL COST BASIS	UNREALIZED GAIN/(LOSS)	EST. ANNUAL INCOME	YIELD %
TRANSATLANTIC HOLDINGS INC COM	TRH								
Acquired 06/18/2001		32.000			$65.73	$1,446.00	$152.74		0.88
		32.000	$72.670	$1,598.74	$65.73	$1,446.00	$152.74	$14.08	
UNITED HEALTHCARE GROUP	UNH								
Acquired 10/23/2006		25.000			$50.88	$1,271.88	$183.12		0.05
		25.000	$58.200	$1,455.00	$50.88	$1,271.88	$183.12	$0.75	
VULCAN MATERIALS CO	VMC								
Acquired 06/18/2001		10.000			$52.35	$523.50	$267.40		2.32
		10.000	$79.090	$790.90	$52.35	$523.50	$267.40	$18.40	
WACHOVIA CORP	WB								
Acquired 06/18/2001		52.000			$30.45	$1,583.43	$394.13		6.73
		52.000	$38.030	$1,977.56	$30.45	$1,583.43	$394.13	$133.12	
WAL-MART STORES INC	WMT								
Acquired 07/12/2005		25.000			$49.89	$1,247.23	($59.00)		1.85
Acquired 08/31/2005		10.000			$44.84	$448.40	$26.90		
Acquired 12/20/2005		15.000			$48.90	$733.43	($20.48)		
Acquired 08/31/2006		10.000			$44.90	$449.00	$26.30		
		60.000	$47.530	$2,851.80	$47.97	$2,878.08	($26.28)	$52.80	
WELLS FARGO & COMPANY (NEW)	WFC								
Acquired 06/18/2001		120.000			$21.74	$2,608.80	$1,014.00		4.10
		120.000	$30.190	$3,622.80	$21.74	$2,608.80	$1,014.00	$148.80	

ACCOUNT HOLDINGS *Continued*

Stocks *92.30 % Continued*

DESCRIPTION	SYMBOL	QUANTITY	PRICE ON 12/31/2007	MARKET VALUE 12/31/2007	AVERAGE COST	TOTAL (YMT BASIS	UNREALIZED GAIN/(LOSS)	EST. ANNUAL INCOME	YIELD %
COVIDIEN LTD	COV								
Acquired 06/18/2001		11.250			$70.46	$792.68	($294.42)		1.44
Acquired 01/16/2002		4.750			$60.40	$286.88	($76.50)		
Acquired 07/26/2002		6.250			$15.22	$95.14	$181.67		
Acquired 12/23/2002		13.750			$20.63	$283.60	$325.37		
Acquired 08/31/2006		20.000			$32.91	$658.11	$227.69		
		56.000	$44.290	$2,480.24	$37.79	$2,116.41	$363.83	$35.84	
TRANSOCEAN ORDINARY (NEW)	RIG								
Acquired 05/31/2006		10.000			N/A	N/A *	$0.00		
		10.000	$143.150	$1,431.50	N/A	N/A	$0.00	N/A	
TYCO INTERNATIONAL LTD	TYC								
Acquired 06/18/2001		11.250			$86.59	$974.17	($528.11)		1.51
Acquired 01/16/2002		4.750			$74.23	$352.57	($164.23)		
Acquired 07/26/2002		6.250			$18.71	$116.94	$130.87		
Acquired 12/23/2002		13.750			$25.33	$348.52	$196.67		
Acquired 08/31/2006		20.000			$40.44	$808.81	($15.81)		
		56.000	$39.650	$2,220.40	$46.45	$2,601.01	($380.61)	$33.60	
TYCO ELECTRONICS LTD.	TEL								
Acquired 06/18/2001		11.250			$64.87	$729.77	($312.06)		1.50
Acquired 01/16/2002		4.750			$55.60	$264.12	($87.75)		
Acquired 07/26/2002		6.250			$14.02	$87.60	$144.46		
Acquired 12/23/2002		13.750			$18.99	$261.09	$249.45		
Acquired 08/31/2006		20.000			$30.29	$605.88	$136.72		
		56.000	$17.110	$2,079.28	$34.79	$1,948.46	$130.82	$31.36	
Total Stocks				**$131,592.98**		**$87,771.65** *	**$42,389.83**	**$1,955.00**	

** Not all cost basis values were available. Please provide any missing cost basis information to your Financial Advisor*

742

ACCOUNT HOLDINGS *Continued*

Total Account Holdings

Total Market Value	$142,580.65
Total Cost Basis	398,759.32
Unrealized Gain(Loss)	$42,389.83

Refer to the individual sections in Account Holdings which indicate unavailable information. Please provide any missing cost basis information to your Financial Advisor.

ACCOUNT ACTIVITY

Securities Purchased

SETTLEMENT DATE	TRADE DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	SYMBOL/CUSIP	ACCRUED INTEREST	NET AMOUNT
03/05/2007	02/28/2007	You Bought	30.000	MELLON FINANCIAL CORPORATION 1 FOR 1 STOCK MERGER CUSIP: 064058100	$43.036	58551A	N/A	($1,291.09)
08/21/2007	08/16/2007	You Bought	20.000	DELL INC	$25.062	DELL	N/A	($501.25)
12/14/2007	12/11/2007	You Bought	35.000	CANADIAN NATURAL RESOURCES LTD	$66.656	CNQ	N/A	($2,332.94)
Total Securities Purchased								**($4,125.28)**

Securities Sold

SETTLEMENT DATE	TRADE DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	SYMBOL/CUSIP	ACCRUED INTEREST	NET AMOUNT
02/21/2007	02/15/2007	You Sold	20.000	HERSHEY FOODS CORP	$52.114	HSY	N/A	$1,042.24
05/14/2007	05/09/2007	You Sold	51.000	KRAFT FOODS INC	$32.600	KFT	N/A	$1,662.57
12/05/2007	11/30/2007	You Sold	25.000	HSBC HOLDINGS PLC ADR	$86.094	HBC	N/A	$2,152.31
12/26/2007	12/20/2007	You Sold	24.000	HSBC HOLDINGS PLC ADR	$83.372	HBC	N/A	$2,000.88
Total Securities Sold								**$6,858.00**

ACCOUNT ACTIVITY *Continued*

Reinvestments

DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL	AMOUNT REINVESTED
01/19/2007	Reinvest		HSBC HOLDINGS PLC ADR PAY DATE 01/18/2007	HBC	($36.75)
05/15/2007	Reinvest		HSBC HOLDINGS PLC ADR PAY DATE 05/10/2007	HBC	($88.20)
07/06/2007	Reinvest		HSBC HOLDINGS PLC ADR PAY DATE 07/05/2007	HBC	($41.65)
10/08/2007	Reinvest		HSBC HOLDINGS PLC ADR PAY DATE 10/05/2007	HBC	($41.65)
Total Reinvestments					**($208.25)**

Securities Received and Delivered

DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CUSIP
01/02/2007	We Received	22.000	IRON MOUNTAIN INC STOCK SPLIT	IRM
02/21/2007	We Received	62.000	COMCAST CORP CL A SPL STOCK SPLIT	CMCSK
03/16/2007	We Received	55.000	SEALED AIR CORP STOCK SPLIT	SEE

744

ACCOUNT ACTIVITY *Continued*

Securities Received and Delivered *Continued*

DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CUSIP
03/28/2007	We Received	58.000	CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE STOCK MERGER @ 1.67	CVS
03/28/2007	We Delivered	-35.000	CAREMARK RX, INC STOCK MERGER @ 1.67 CUSIP: 126650100 STOCK MERGER @ 1.67	705103
04/02/2007	We Received	51.000	KRAFT FOODS INC SPINOFF FRM MO @ .692024	KFT
07/02/2007	We Delivered	-225.000	TYCO INTERNATIONAL LIMITED NEW 1 FOR 4 REVERSE SPLIT CUSIP: G9143X208 TYCO REORGANIZATION	902124
07/02/2007	We Received	56.000	COVIDIEN LTD TYCO REORGANIZATION	COV
07/02/2007	We Received	56.000	TYCO INTERNATIONAL LTD TYCO REORGANIZATION	TYC
07/02/2007	We Received	56.000	TYCO ELECTRONICS LTD. TYCO REORGANIZATION	TEL
07/03/2007	We Received	30.000	BANK NEW YORK MELLON CORP SECURITY NAME CHANGE	BK

ACCOUNT ACTIVITY *Continued*

Securities Received and Delivered *Continued*

DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CUSIP
07/03/2007	We Delivered	-30.000	MELLON FINANCIAL CORPORATION 1 FOR 1 STOCK MERGER CUSIP: 064058100 SECURITY NAME CHANGE	58551A
11/28/2007	We Received	10.000	TRANSOCEAN ORDINARY (NEW) CASH & STOCK MERGER	RIG
11/28/2007	We Delivered	-15.000	TRANSOCEAN INC. EXCH FOR $33.03 AND .6996 G90073100 CASH & STOCK MERGER	G90078

Deposits and Withdrawals

DATE	ACTIVITY	DESCRIPTION	AMOUNT
01/12/2007	WITHDRAWAL	QTR MGMT FEE	($515.07)
01/19/2007	DEPOSIT	DIV 404280406 CR REINVEST BACK	$36.75
04/09/2007	DEPOSIT	CIL FRM CVS	$14.97
04/10/2007	DEPOSIT	CIL FRM KFT	$28.18
04/12/2007	WITHDRAWAL	QTR MGMT FEE	($515.90)
05/15/2007	DEPOSIT	DIV 404280406 CR REINVEST BACK	$88.20
07/06/2007	DEPOSIT	DIV 404280406 CR REINVEST BACK	$41.65
07/12/2007	WITHDRAWAL	QTR MGMT FEE	($549.78)
07/16/2007	DEPOSIT	CIL FRM TYCO REORG	$10.74
07/16/2007	DEPOSIT	CIL FRM TYCO REORG	$12.43
07/16/2007	DEPOSIT	CIL FRM TYCO REORG	$9.80
10/08/2007	DEPOSIT	DIV 404280406 CR REINVEST BACK	$41.65
10/15/2007	WITHDRAWAL	QTR MGMT FEE	($540.98)

ACCOUNT ACTIVITY *Continued*

Deposits and Withdrawals *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
11/28/2007	DEPOSIT	CASH & STOCK MERGER	$495.45
12/03/2007	DEPOSIT	CIL RIG FRM MERGER	$59.27
12/31/2007	DEPOSIT	4TH QTR MGMT TERMINATION FEE	$17.64
Total Deposits and Withdrawals			**($1,265.00)**

Income and Expenses

DATE	ACTIVITY	DESCRIPTION	AMOUNT
01/02/2007	Dividends	H & R BLOCK INC	$10.13
01/02/2007 *	Dividends	PROGRESSIVE CORP OHIO	$1.23
01/04/2007	Dividends	WAL-MART STORES INC	$10.05
01/10/2007	Dividends	ALTRIA GROUP	$64.50
01/11/2007 *	Dividends	IRON MOUNTAIN INC IRM. CIL	$13.61
01/16/2007	Dividends	CARDINAL HEALTH, INC	$2.25
01/16/2007	Dividends	CAREMARK RX, INC. STOCK MERGER @ 1.67 CUSIP: 126650100	$3.50
01/16/2007	Dividends	OCCIDENTAL PETE CORP (DE)	$16.50
01/19/2007	Dividends	HSBC HOLDINGS PLC ADR	$36.75
01/31/2007	Dividends	EOG RESOURCES INC	$3.00
01/31/2007	Dividends	J P. MORGAN CHASE & CO.	$45.22
01/31/2007	Dividends	GENERAL MONEY MARKET CL B	$24.12
02/02/2007	Dividends	TYCO INTERNATIONAL LIMITED NEW 1 FOR 4 REVERSE SPLIT CUSIP: 09143X208	$22.50
02/09/2007	Dividends	AMERICAN EXPRESS CO	$18.00
02/14/2007	Dividends	AON CORPORATION	$4.50
02/15/2007	Dividends	PROCTER & GAMBLE CO	$7.75
02/16/2007	Dividends	AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	$3.63

747

ACCOUNT ACTIVITY *Continued*

Income and Expenses *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
02/23/2007	Dividends	CITIGROUP INC	$35.10
02/28/2007	Dividends	GENERAL MONEY MARKET CL B	$22.37
02/28/2007	Dividends	COSTCO WHOLESALE CORP	$15.60
03/01/2007	Dividends	CONOCOPHILLIPS	$36.90
03/01/2007	Dividends	WELLS FARGO & COMPANY (NEW)	$33.60
03/07/2007	Dividends	COMCAST CORP CL A SPL CMCSK, CIL	$12.57
03/08/2007	Dividends	MICROSOFT CORPORATION	$11.50
03/09/2007	Dividends	VULCAN MATERIALS CO	$4.60
03/12/2007	Dividends	LOEWS CORP	$5.00
03/12/2007	Dividends	MOODY'S CORP	$2.40
03/15/2007	Dividends	WACHOVIA CORP	$29.12
03/16/2007	Dividends	AMERICAN INTERNATIONAL GROUP	$14.85
03/16/2007	Dividends	SEALED AIR CORP	$11.00
03/16/2007	Dividends	TRANSATLANTIC HOLDINGS INC COM	$2.97
03/20/2007	Dividends	HARLEY DAVIDSON INCORPORATED	$9.45
03/27/2007	Dividends	CAREMARK RX, INC STOCK MERGER @ 1.67 CUSIP: 126650100	$262.50
03/29/2007	Dividends	DUN & BRADSTREET CORP. (NEW)	$5.00
03/30/2007	Dividends	GENERAL MONEY MARKET CL B	$24.42
03/30/2007	Dividends	DEVON ENERGY	$7.00
03/30/2007	Dividends	SPRINT NEXTEL CORP	$2.38
04/02/2007	Dividends	H & R BLOCK INC	$10.13
04/02/2007	Dividends	WAL-MART STORES INC	$13.20
04/10/2007	Dividends	ALTRIA GROUP	$64.50
04/16/2007	Dividends	CARDINAL HEALTH, INC	$2.25
04/16/2007	Dividends	OCCIDENTAL PETE CORP (DE)	$16.50
04/16/2007	Dividends	UNITED HEALTHCARE GROUP	$0.75

ACCOUNT ACTIVITY *Continued*

Income and Expenses *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
04/18/2007	Dividends	DIAGEO PLC SPONSORED ADR (NEW)	$24.69
04/18/2007	Dividends	NEWS CORPORATION CL A	$7.20
04/23/2007	Dividends	TYCO INTERNATIONAL LIMITED NEW 1 FOR 4 REVERSE SPLIT CUSIP: G9143X208	$22.50
04/30/2007	Dividends	GENERAL MONEY MARKET CL B	$23.46
04/30/2007	Dividends	EOG RESOURCES INC	$4.50
04/30/2007	Dividends	J.P. MORGAN CHASE & CO	$45.22
05/04/2007	Dividends	CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	$3.48
05/10/2007	Dividends	AMERICAN EXPRESS CO	$18.00
05/14/2007	Dividends	AON CORPORATION	$4.50
05/15/2007	Dividends	HSBC HOLDINGS PLC ADR	$88.20
05/15/2007	Dividends	MELLON FINANCIAL CORPORATION 1 FOR 1 STOCK MERGER CUSIP: 064058100	$6.60
05/15/2007	Dividends	PROCTER & GAMBLE CO	$8.75
05/18/2007	Dividends	AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	$4.95
05/18/2007	Dividends	COSTCO WHOLESALE CORP.	$17.40
05/23/2007	Dividends	CITIGROUP INC	$35.10
05/31/2007	Dividends	GENERAL MONEY MARKET CL B	$27.63
06/01/2007	Dividends	CONOCOPHILLIPS	$36.90
06/01/2007	Dividends	WELLS FARGO & COMPANY (NEW)	$33.60
06/04/2007	Dividends	WAL-MART STORES INC	$13.20
06/06/2007	Dividends	TYCO INTERNATIONAL LIMITED NEW 1 FOR 4 REVERSE SPLIT CUSIP: G9143X208	$22.50
06/11/2007	Dividends	LOEWS CORP	$5.00
06/11/2007	Dividends	MOODY'S CORP	$2.40
06/11/2007	Dividends	VULCAN MATERIALS CO	$4.60

ACCOUNT ACTIVITY *Continued*

Income and Expenses *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
06/14/2007	Dividends	MICROSOFT CORPORATION	$11.50
06/15/2007	Dividends	AMERICAN INTERNATIONAL GROUP	$14.85
06/15/2007	Dividends	DUN & BRADSTREET CORP. (NEW)	$5.00
06/15/2007	Dividends	SEALED AIR CORP	$11.00
06/15/2007	Dividends	TRANSATLANTIC HOLDINGS INC COM	$2.97
06/15/2007	Dividends	WACHOVIA CORP	$29.12
06/19/2007	Dividends	HARLEY DAVIDSON INCORPORATED	$11.25
06/29/2007	Dividends	GENERAL MONEY MARKET CL B	$30.49
06/29/2007	Dividends	DEVON ENERGY	$7.00
06/29/2007	Dividends	SPRINT NEXTEL CORP	$2.38
07/02/2007	Dividends	H & R BLOCK INC	$10.13
07/06/2007	Dividends	HSBC HOLDINGS PLC ADR	$41.65
07/10/2007	Dividends	ALTRIA GROUP	$51.75
07/16/2007	Dividends	CARDINAL HEALTH, INC	$3.00
07/16/2007	Dividends	OCCIDENTAL PETE CORP (DE)	$16.50
07/31/2007	Dividends	GENERAL MONEY MARKET CL B	$31.03
07/31/2007	Dividends	EOG RESOURCES INC	$4.50
07/31/2007	Dividends	J.P. MORGAN CHASE & CO	$50.54
08/03/2007	Dividends	BANK NEW YORK MELLON CORP	$7.20
08/03/2007	Dividends	CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	$3.48
08/10/2007	Dividends	AMERICAN EXPRESS CO	$18.00
08/14/2007	Dividends	AON CORPORATION	$4.50
08/15/2007	Dividends	PROCTER & GAMBLE CO	$8.75
08/17/2007	Dividends	AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	$4.95
08/24/2007	Dividends	CITIGROUP INC	$35.10
08/24/2007	Dividends	COSTCO WHOLESALE CORP	$17.40

750

ACCOUNT ACTIVITY *Continued*

Income and Expenses *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
08/31/2007	Dividends	GENERAL MONEY MARKET CL B	$30.29
09/04/2007	Dividends	CONOCOPHILLIPS	$36.90
09/04/2007	Dividends	WAL-MART STORES INC	$13.20
09/04/2007	Dividends	WELLS FARGO & COMPANY (NEW)	$37.20
09/10/2007	Dividends	MOODY'S CORP	$2.40
09/10/2007	Dividends	VULCAN MATERIALS CO	$4.60
09/13/2007	Dividends	LOEWS CORP	$5.00
09/13/2007	Dividends	MICROSOFT CORPORATION	$11.50
09/14/2007	Dividends	PROGRESSIVE CORP OHIO	$280.00
09/14/2007	Dividends	TRANSATLANTIC HOLDINGS INC. COM	$3.52
09/17/2007	Dividends	DUN & BRADSTREET CORP. (NEW)	$5.00
09/17/2007	Dividends	WACHOVIA CORP	$33.28
09/21/2007	Dividends	AMERICAN INTERNATIONAL GROUP	$18.00
09/21/2007	Dividends	SEALED AIR CORP	$11.00
09/28/2007	Dividends	DEVON ENERGY	$7.00
09/28/2007	Dividends	SPRINT NEXTEL CORP	$2.38
09/28/2007	Dividends	GENERAL MONEY MARKET CL B	$29.44
10/01/2007	Dividends	H & R BLOCK INC	$10.69
10/08/2007	Dividends	HSBC HOLDINGS PLC ADR	$41.65
10/10/2007	Dividends	ALTRIA GROUP	$56.25
10/11/2007	Dividends	HARLEY DAVIDSON INCORPORATED	$13.50
10/15/2007	Dividends	CARDINAL HEALTH, INC	$3.00
10/15/2007	Dividends	OCCIDENTAL PETE CORP (DE)	$18.75
10/17/2007	Dividends	NEWS CORPORATION CL A	$7.20
10/30/2007	Dividends	DIAGEO PLC SPONSORED ADR (NEW)	$40.92
10/31/2007	Dividends	GENERAL MONEY MARKET CL B	$30.02
10/31/2007	Dividends	EOG RESOURCES INC	$4.50
10/31/2007	Dividends	J.P. MORGAN CHASE & CO	$50.54

751

ACCOUNT ACTIVITY *Continued*

Income and Expenses *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
11/01/2007	Dividends	TYCO INTERNATIONAL LTD	$8.40
11/01/2007	Dividends	TYCO ELECTRONICS LTD	$7.84
11/02/2007	Dividends	BANK NEW YORK MELLON CORP	$7.20
11/02/2007	Dividends	CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	$3.48
11/09/2007	Dividends	AMERICAN EXPRESS CO	$18.00
11/09/2007	Dividends	COVIDIEN LTD	$8.96
11/14/2007	Dividends	AON CORPORATION	$4.50
11/15/2007	Dividends	PROCTER & GAMBLE CO	$8.75
11/16/2007	Dividends	AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	$4.95
11/21/2007	Dividends	CITIGROUP INC	$35.10
11/30/2007	Dividends	GENERAL MONEY MARKET CL B	$28.04
12/03/2007	Dividends	CONOCOPHILLIPS	$36.90
12/03/2007	Dividends	WELLS FARGO & COMPANY (NEW)	$37.20
12/07/2007	Dividends	TRANSATLANTIC HOLDINGS INC. COM	$3.52
12/10/2007	Dividends	MOODY'S CORP	$2.40
12/10/2007	Dividends	VULCAN MATERIALS CO	$4.60
12/13/2007	Dividends	MICROSOFT CORPORATION	$12.65
12/14/2007	Dividends	COSTCO WHOLESALE CORP.	$17.40
12/14/2007	Dividends	DUN & BRADSTREET CORP (NEW)	$5.00
12/14/2007	Dividends	LOEWS CORP	$5.00
12/17/2007	Dividends	WACHOVIA CORP	$33.28
12/21/2007	Dividends	AMERICAN INTERNATIONAL GROUP	$18.00
12/21/2007	Dividends	SEALED AIR CORP	$11.00
12/28/2007	Dividends	DEVON ENERGY	$7.00
12/28/2007	Dividends	HARLEY DAVIDSON INCORPORATED	$13.50
12/28/2007	Dividends	SPRINT NEXTEL CORP	$2.38

752

ACCOUNT ACTIVITY *Continued*

Income and Expenses *Continued*

DATE	ACTIVITY	DESCRIPTION	AMOUNT
12/31/2007	Dividends	GENERAL MONEY MARKET CL B	$32.92
Total Dividends			**$3,823.82**
Total Income and Expenses			**$3,823.82**

** Some earning entries may be paid in 2007, but reportable in 2006.*

TRADES PENDING SETTLEMENT

SETTLEMENT DATE	TRADE DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL	PRICE	ACCRUED INTEREST	NET AMOUNT
01/04/2008	12/31/2007	You Sold	75.000	ALTRIA GROUP	MO	$76.220	N/A	$5,716.41
01/04/2008	12/31/2007	You Sold	120.000	AMERICAN EXPRESS CO	AXP	$50.920	N/A	$6,110.30
01/04/2008	12/31/2007	You Sold	90.000	AMERICAN INTERNATIONAL GROUP	AIG	$57.530	N/A	$5,177.62
01/04/2008	12/31/2007	You Sold	33.000	AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	AMP	$53.960	N/A	$1,780.65
01/04/2008	12/31/2007	You Sold	30.000	AON CORPORATION	AOC	$47.390	N/A	$1,421.67
01/04/2008	12/31/2007	You Sold	30.000	BANK NEW YORK MELLON CORP	BK	$48.480	N/A	$1,454.37
01/04/2008	12/31/2007	You Sold	35.000	CANADIAN NATURAL RESOURCES LTD	CNQ	$73.580	N/A	$2,575.26
01/04/2008	12/31/2007	You Sold	2.000	BERKSHIRE HATHAWAY, INC. CLASS B COMMON STOCK	BRK B	$4,730.030	N/A	$9,459.93
01/04/2008	12/31/2007	You Sold	25.000	CARDINAL HEALTH, INC.	CAH	$57.460	N/A	$1,436.47
01/04/2008	12/31/2007	You Sold	65.000	CITIGROUP INC	C	$28.920	N/A	$1,879.77
01/04/2008	12/31/2007	You Sold	187.000	COMCAST CORP CL A SPL	CMCSK	$18.111	N/A	$3,386.70
01/04/2008	12/31/2007	You Sold	90.000	CONOCOPHILLIPS	COP	$88.070	N/A	$7,926.17
01/04/2008	12/31/2007	You Sold	120.000	COSTCO WHOLESALE CORP.	COST	$70.070	N/A	$8,408.27
01/04/2008	12/31/2007	You Sold	56.000	COVIDIEN LTD	COV	$44.500	N/A	$2,491.96
01/04/2008	12/31/2007	You Sold	58.000	CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	CVS	$39.670	N/A	$2,340.82
01/04/2008	12/31/2007	You Sold	60.000	DELL INC.	DELL	$24.390	N/A	$1,463.37
01/04/2008	12/31/2007	You Sold	50.000	DEVON ENERGY	DVN	$89.650	N/A	$4,482.43
01/04/2008	12/31/2007	You Sold	25.000	DIAGEO PLC SPONSORED ADR (NEW)	DEO	$85.960	N/A	$2,148.96
01/04/2008	12/31/2007	You Sold	20.000	DUN & BRADSTREET CORP. (NEW)	DNB	$88.480	N/A	$1,769.57

TRADES PENDING SETTLEMENT *Continued*

SETTLEMENT DATE	TRADE DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL	PRICE	ACCRUED INTEREST	NET AMOUNT
01/04/2008	12/31/2007	You Sold	50.000	EOG RESOURCES INC	EOG	$89.950	N/A	$4,497.43
01/04/2008	12/31/2007	You Sold	75.000	H & R BLOCK INC	HRB	$18.350	N/A	$1,376.22
01/04/2008	12/31/2007	You Sold	45.000	HARLEY DAVIDSON INCORPORATED	HOG	$47.280	N/A	$2,127.56
01/04/2008	12/31/2007	You Sold	67.000	IRON MOUNTAIN INC	IRM	$36.990	N/A	$2,478.29
01/04/2008	12/31/2007	You Sold	133.000	J.P. MORGAN CHASE & CO	JPM	$43.255	N/A	$5,752.83
01/04/2008	12/31/2007	You Sold	80.000	LOEWS CORP	LTR	$50.730	N/A	$4,058.33
01/04/2008	12/31/2007	You Sold	115.000	MICROSOFT CORPORATION	MSFT	$35.840	N/A	$4,121.53
01/04/2008	12/31/2007	You Sold	30.000	MOODY'S CORP	MCO	$35.950	N/A	$1,078.48
01/04/2008	12/31/2007	You Sold	120.000	NEWS CORPORATION CL A	NWS A	$20.660	N/A	$2,479.16
01/04/2008	12/31/2007	You Sold	75.000	OCCIDENTAL PETE CORP (DE)	OXY	$77.400	N/A	$5,804.91
01/04/2008	12/31/2007	You Sold	25.000	PROCTER & GAMBLE CO	PG	$73.760	N/A	$1,843.97
01/04/2008	12/31/2007	You Sold	140.000	PROGRESSIVE CORP OHIO	PGR	$18.990	N/A	$2,658.55
01/04/2008	12/31/2007	You Sold	110.000	SEALED AIR CORP	SEE	$23.141	N/A	$2,545.51
01/04/2008	12/31/2007	You Sold	95.000	SPRINT NEXTEL CORP	S	$13.140	N/A	$1,248.28
01/04/2008	12/31/2007	You Sold	22.000	TRANSATLANTIC HOLDINGS INC COM	TRH	$71.510	N/A	$1,573.19
01/04/2008	12/31/2007	You Sold	10.000	TRANSOCEAN ORDINARY (NEW)	RIG	$143.370	N/A	$1,433.67
01/04/2008	12/31/2007	You Sold	56.000	TYCO ELECTRONICS LTD	TEL	$37.070	N/A	$2,075.88
01/04/2008	12/31/2007	You Sold	56.000	TYCO INTERNATIONAL LTD	TYC	$39.420	N/A	$2,207.48
01/04/2008	12/31/2007	You Sold	25.000	UNITED HEALTHCARE GROUP	UNH	$58.060	N/A	$1,451.47
01/04/2008	12/31/2007	You Sold	10.000	VULCAN MATERIALS CO	VMC	$78.780	N/A	$787.78
01/04/2008	12/31/2007	You Sold	52.000	WACHOVIA CORP	WB	$37.670	N/A	$1,958.81
01/04/2008	12/31/2007	You Sold	60.000	WAL-MART STORES INC	WMT	$47.430	N/A	$2,848.75
01/04/2008	12/31/2007	You Sold	120.000	WELLS FARGO & COMPANY (NEW)	WFC	$29.990	N/A	$3,598.74
Total Trades Pending Settlement								**$131,397.54**

REALIZED GAIN(LOSS) DETAIL

DESCRIPTION	SYMBOL/ CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL ORIGINAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN(LOSS)
HERSHEY FOODS CORP	HSY	09/18/2002	02/15/2007	20.000	$32.02	$640.40	$52.11	$1,042.24	$401.84 LONG TERM

REALIZED GAIN(LOSS) DETAIL *Continued*

DESCRIPTION	SYMBOL/ CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL ORIGINAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN(LOSS)
CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	CVS	08/31/2006	03/28/2007	0.450	$34.43	$15.49	$33.27	$14.97	($0.52) SHORT TERM
KRAFT FOODS INC	KFT	10/24/2002	04/02/2007	0.902	$15.57	$14.04	$31.25	$28.18	$14.14 LONG TERM
KRAFT FOODS INC	KFT	07/25/2003	05/09/2007	10.380	$14.52	$150.71	$32.60	$338.38	$187.67 LONG TERM
KRAFT FOODS INC	KFT	06/18/2001	05/09/2007	38.061	$16.72	$636.24	$32.60	$1,240.77	$604.53 LONG TERM
KRAFT FOODS INC	KFT	10/24/2002	05/09/2007	2.559	$15.56	$39.82	$32.60	$83.42	$43.60 LONG TERM
COVIDIEN LTD	COV	01/16/2002	07/02/2007	0.250	$60.40	$15.10	$42.96	$10.74	($4.36) LONG TERM
TYCO INTERNATIONAL LTD	TYC	01/16/2002	07/02/2007	0.250	$74.24	$18.56	$49.72	$12.43	($6.13) LONG TERM
TYCO ELECTRONICS LTD	TEL	01/16/2002	07/02/2007	0.250	$55.56	$13.89	$39.20	$9.80	($4.09) LONG TERM
TRANSOCEAN ORDINARY (NEW)	RIG	05/31/2006	11/27/2007	0.494	$0.00	$0.00	$119.98	$59.27	$0.00 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	05/05/2004	11/30/2007	0.258	$76.40	$19.72	$86.09	$22.21	$2.49 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	05/05/2005	11/30/2007	1.000	$80.65	$80.70	$86.09	$86.09	$5.39 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	01/23/2006	11/30/2007	0.587	$81.00	$47.60	$86.09	$50.54	$2.94 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	05/15/2006	11/30/2007	1.000	$86.25	$86.26	$86.09	$86.09	($0.17) LONG TERM
HSBC HOLDINGS PLC ADR	HBC	06/18/2001	11/30/2007	22.155	$117.95	$2,613.26	$86.09	$1,907.38	($705.88) LONG TERM
HSBC HOLDINGS PLC ADR	HBC	10/02/2002	12/20/2007	7.000	$60.26	$421.81	$83.37	$583.59	$161.78 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	07/25/2003	12/20/2007	15.000	$60.59	$908.85	$83.37	$1,250.55	$341.70 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	10/09/2003	12/20/2007	1.258	$62.00	$78.00	$83.37	$104.88	$26.88 LONG TERM
HSBC HOLDINGS PLC ADR	HBC	05/05/2004	12/20/2007	0.742	$76.40	$56.73	$83.37	$61.86	$5.13 LONG TERM
ALTRIA GROUP	MO	10/24/2002	12/31/2007	5.000	$32.36	$161.79	$76.22	$381.09	$219.30 LONG TERM
ALTRIA GROUP	MO	07/25/2003	12/31/2007	15.000	$30.18	$452.74	$76.22	$1,143.28	$690.54 LONG TERM
ALTRIA GROUP	MO	06/18/2001	12/31/2007	55.000	$34.75	$1,911.36	$76.22	$4,192.03	$2,280.67 LONG TERM
AMERICAN EXPRESS CO	AXP	06/18/2001	12/31/2007	115.000	$34.09	$3,920.69	$50.92	$5,855.70	$1,935.01 LONG TERM
AMERICAN EXPRESS CO	AXP	08/31/2006	12/31/2007	5.000	$52.43	$262.15	$50.92	$254.60	($7.55) LONG TERM
AMERICAN INTERNATIONAL GROUP	AIG	06/18/2001	12/31/2007	45.000	$82.10	$3,694.50	$57.53	$2,588.81	($1,105.69) LONG TERM
AMERICAN INTERNATIONAL GROUP	AIG	07/25/2002	12/31/2007	25.000	$54.34	$1,358.57	$57.53	$1,438.23	$79.66 LONG TERM
AMERICAN INTERNATIONAL GROUP	AIG	02/04/2003	12/31/2007	15.000	$50.73	$760.96	$57.53	$862.94	$101.98 LONG TERM
AMERICAN INTERNATIONAL GROUP	AIG	08/31/2006	12/31/2007	5.000	$63.74	$318.70	$57.53	$287.65	($31.05) LONG TERM
AMERIPRISE FINL INC SPINOFF FRM AMERICAN EXPRESS	AMP	06/18/2001	12/31/2007	23.000	$24.29	$558.56	$53.96	$1,241.06	$682.50 LONG TERM

755

REALIZED GAIN(LOSS) DETAIL *Continued*

DESCRIPTION	SYMBOL/ CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL ORIGINAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN(LOSS)
AMERIPRISE FINL INC SPINOFF FKM AMERICAN EXPRESS	AMP	11/09/2005	12/31/2007	10.000	$36.97	$369.66	$53.96	$539.59	$169.93 LONG TERM
AON CORPORATION	AOC	10/24/2002	12/31/2007	20.000	$21.60	$431.97	$47.39	$947.78	$515.81 LONG TERM
AON CORPORATION	AOC	10/05/2001	12/31/2007	10.000	$43.00	$430.00	$47.39	$473.89	$43.89 LONG TERM
BANK NEW YORK MELLON CORP	BK	02/28/2007	12/31/2007	30.000	$43.04	$1,291.09	$48.48	$1,454.37	$163.28 SHORT TERM
BERKSHIRE HATHAWAY, INC. CLASS B COMMON STOCK	BRK B	06/18/2001	12/31/2007	1.000	$2,190.00	$2,190.00	$4,729.98	$4,729.98	$2,539.98 LONG TERM
BERKSHIRE HATHAWAY, INC CLASS B COMMON STOCK	BRK B	07/25/2003	12/31/2007	1.000	$2,406.00	$2,406.00	$4,729.98	$4,729.98	$2,323.98 LONG TERM
H & R BLOCK INC	HRB	09/08/2003	12/31/2007	30.000	$21.23	$636.75	$18.35	$550.49	($86.26) LONG TERM
H & R BLOCK INC	HRB	05/24/2004	12/31/2007	30.000	$23.40	$702.02	$18.35	$550.49	($151.53) LONG TERM
H & R BLOCK INC	HRB	08/31/2006	12/31/2007	15.000	$20.93	$313.95	$18.35	$275.24	($38.71) LONG TERM
CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	CVS	11/18/2005	12/31/2007	8.350	$29.79	$248.72	$39.67	$331.24	$82.52 LONG TERM
CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	CVS	11/28/2005	12/31/2007	33.400	$29.94	$999.95	$39.67	$1,324.95	$325.00 LONG TERM
CVS/CAREMARK CORP F/K/A CVS CORP DELAWARE	CVS	08/31/2006	12/31/2007	16.250	$34.43	$559.51	$39.67	$644.63	$85.12 LONG TERM
CANADIAN NATURAL RESOURCES LTD	CNQ	12/11/2007	12/31/2007	35.000	$66.66	$2,332.94	$73.58	$2,575.26	$242.32 SHORT TERM
CARDINAL HEALTH, INC	CAH	07/28/2004	12/31/2007	25.000	$44.51	$1,112.64	$57.46	$1,436.47	$323.83 LONG TERM
CITIGROUP INC	C	10/10/2002	12/31/2007	25.000	$27.91	$697.75	$28.92	$722.99	$25.24 LONG TERM
CITIGROUP INC	C	06/18/2001	12/31/2007	40.000	$46.53	$1,861.29	$28.92	$1,156.78	($704.51) LONG TERM
COMCAST CORP CL A SPL	CMCSK	02/19/2004	12/31/2007	45.000	$19.89	$894.34	$18.11	$814.98	($79.86) LONG TERM
COMCAST CORP CL A SPL	CMCSK	04/20/2004	12/31/2007	37.500	$19.35	$725.54	$18.11	$679.15	($46.39) LONG TERM
COMCAST CORP CL A SPL	CMCSK	03/09/2005	12/31/2007	75.000	$22.20	$1,665.00	$18.11	$1,358.30	($306.70) LONG TERM
COMCAST CORP CL A SPL	CMCSK	01/22/2004	12/31/2007	29.500	$23.33	$688.33	$18.11	$534.27	($154.06) LONG TERM
CONOCOPHILLIPS	COP	10/11/2002	12/31/2007	40.000	$22.95	$917.85	$88.07	$3,522.74	$2,604.89 LONG TERM
CONOCOPHILLIPS	COP	06/18/2001	12/31/2007	25.000	$30.47	$761.75	$88.07	$2,201.71	$1,439.96 LONG TERM
CONOCOPHILLIPS	COP	08/31/2006	12/31/2007	10.000	$63.24	$632.40	$88.07	$880.69	$248.29 LONG TERM
CONOCOPHILLIPS	COP	09/14/2006	12/31/2007	15.000	$58.83	$882.45	$88.07	$1,321.03	$438.58 LONG TERM
COSTCO WHOLESALE CORP.	COST	06/18/2001	12/31/2007	80.000	$40.73	$3,258.40	$70.07	$5,605.51	$2,347.11 LONG TERM

REALIZED GAIN(LOSS) DETAIL *Continued*

DESCRIPTION	SYMBOL/ CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL ORIGINAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN(LOSS)
COSTCO WHOLESALE CORP	COST	12/19/2002	12/31/2007	15.000	$27.54	$413.10	$70.07	$1,051.03	$637.93 LONG TERM
COSTCO WHOLESALE CORP	COST	08/31/2006	12/31/2007	25.000	$47.09	$1,177.25	$70.07	$1,751.72	$574.47 LONG TERM
DELL INC	DELL	12/07/2005	12/31/2007	40.000	$31.72	$1,268.87	$24.39	$975.58	($293.29) LONG TERM
DELL INC	DELL	08/16/2007	12/31/2007	20.000	$25.06	$501.25	$24.39	$487.79	($13.46) SHORT TERM
DEVON ENERGY	DVN	12/27/2001	12/31/2007	30.000	$19.20	$576.00	$89.65	$2,689.46	$2,113.46 LONG TERM
DEVON ENERGY	DVN	06/18/2001	12/31/2007	20.000	$27.68	$553.50	$89.65	$1,792.97	$1,239.47 LONG TERM
DIAGEO PLC SPONSORED ADR (NEW)	DEO	02/28/2002	12/31/2007	25.000	$48.03	$1,200.67	$85.96	$2,148.96	$948.29 LONG TERM
DUN & BRADSTREET CORP. (NEW)	DNB	06/18/2001	12/31/2007	20.000	$26.54	$530.80	$88.48	$1,769.57	$1,238.77 LONG TERM
EOG RESOURCES INC	EOG	01/04/2002	12/31/2007	50.000	$18.65	$932.59	$89.93	$4,497.43	$3,564.84 LONG TERM
HARLEY DAVIDSON INCORPORATED	HOG	06/03/2005	12/31/2007	20.000	$48.45	$968.99	$47.28	$945.58	($23.41) LONG TERM
HARLEY DAVIDSON INCORPORATED	HOG	10/18/2005	12/31/2007	15.000	$48.69	$730.37	$47.28	$709.19	($21.18) LONG TERM
HARLEY DAVIDSON INCORPORATED	HOG	08/31/2006	12/31/2007	10.000	$59.36	$593.60	$47.28	$472.79	($120.81) LONG TERM
IRON MOUNTAIN INC	IRM	02/07/2005	12/31/2007	30.000	$19.13	$573.80	$36.99	$1,109.68	$535.88 LONG TERM
IRON MOUNTAIN INC	IRM	11/22/2004	12/31/2007	37.000	$20.33	$752.27	$36.99	$1,368.61	$616.34 LONG TERM
J P MORGAN CHASE & CO	JPM	06/18/2001	12/31/2007	33.000	$27.65	$912.50	$43.25	$1,427.39	$514.89 LONG TERM
J.P. MORGAN CHASE & CO	JPM	12/27/2001	12/31/2007	19.800	$29.84	$590.91	$43.25	$856.44	$265.53 LONG TERM
J.P. MORGAN CHASE & CO	JPM	09/30/2002	12/31/2007	26.400	$28.52	$752.80	$43.25	$1,141.92	$389.12 LONG TERM
J.P. MORGAN CHASE & CO	JPM	01/02/2003	12/31/2007	26.400	$28.59	$754.80	$43.25	$1,141.92	$387.12 LONG TERM
J.P. MORGAN CHASE & CO	JPM	07/25/2003	12/31/2007	12.400	$30.00	$372.00	$43.25	$536.35	$164.35 LONG TERM
J.P. MORGAN CHASE & CO	JPM	08/31/2006	12/31/2007	15.000	$45.67	$685.05	$43.25	$648.82	($36.23) LONG TERM
LOEWS CORP	LTR	06/24/2003	12/31/2007	45.000	$15.65	$704.22	$50.73	$2,282.81	$1,578.59 LONG TERM
LOEWS CORP	LTR	01/04/2002	12/31/2007	35.000	$18.14	$634.90	$50.73	$1,775.52	$1,140.62 LONG TERM
MICROSOFT CORPORATION	MSFT	07/30/2002	12/31/2007	40.000	$23.96	$958.55	$35.84	$1,433.58	$475.03 LONG TERM
MICROSOFT CORPORATION	MSFT	08/03/2005	12/31/2007	10.000	$27.32	$273.20	$35.84	$358.39	$85.19 LONG TERM
MICROSOFT CORPORATION	MSFT	03/30/2006	12/31/2007	45.000	$27.17	$1,222.65	$35.84	$1,612.77	$390.12 LONG TERM
MICROSOFT CORPORATION	MSFT	08/31/2006	12/31/2007	20.000	$25.81	$516.20	$35.84	$716.79	$200.59 LONG TERM
MOODY'S CORP	MCO	06/18/2001	12/31/2007	30.000	$16.33	$489.90	$35.95	$1,078.48	$588.58 LONG TERM
NEWS CORPORATION CL A	NWS A	04/17/2006	12/31/2007	75.000	$16.85	$1,263.71	$20.66	$1,549.47	$285.76 LONG TERM
NEWS CORPORATION CL A	NWS A	08/31/2006	12/31/2007	45.000	$19.10	$859.50	$20.66	$929.68	$70.18 LONG TERM

REALIZED GAIN(LOSS) DETAIL *Continued*

DESCRIPTION	SYMBOL/ CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL ORIGINAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN/(LOSS)
OCCIDENTAL PETE CORP (DE)	OXY	09/09/2003	12/31/2007	60.000	$17.55	$1,053.00	$77.40	$4,643.93	$3,590.93 LONG TERM
OCCIDENTAL PETE CORP (DE)	OXY	08/17/2004	12/31/2007	15.000	$24.77	$371.59	$77.40	$1,160.98	$789.39 LONG TERM
PROCTER & GAMBLE CO	PG	05/05/2006	12/31/2007	25.000	$55.79	$1,394.63	$73.76	$1,843.97	$449.34 LONG TERM
PROGRESSIVE CORP OHIO	PGR	06/18/2001	12/31/2007	120.000	$10.98	$1,318.00	$18.99	$2,278.76	$960.76 LONG TERM
PROGRESSIVE CORP OHIO	PGR	07/25/2003	12/31/2007	20.000	$16.38	$327.68	$18.99	$379.79	$52.19 LONG TERM
SEALED AIR CORP	SEE	06/18/2001	12/31/2007	110.000	$19.36	$2,130.15	$23.14	$2,545.51	$415.36 LONG TERM
SPRINT NEXTEL CORP	S	03/24/2006	12/31/2007	50.000	$23.48	$1,173.94	$13.14	$656.99	($516.95) LONG TERM
SPRINT NEXTEL CORP	S	08/01/2006	12/31/2007	35.000	$19.50	$682.50	$13.14	$459.89	($222.61) LONG TERM
SPRINT NEXTEL CORP	S	08/31/2006	12/31/2007	10.000	$16.79	$167.90	$13.14	$131.40	($36.50) LONG TERM
TRANSATLANTIC HOLDINGS INC COM	TRH	06/18/2001	12/31/2007	22.000	$65.73	$1,446.00	$71.51	$1,573.19	$127.19 LONG TERM
UNITED HEALTHCARE GROUP	UNH	10/23/2006	12/31/2007	25.000	$50.88	$1,271.88	$58.06	$1,451.47	$179.59 LONG TERM
VULCAN MATERIALS CO	VMC	06/18/2001	12/31/2007	10.000	$52.35	$523.50	$78.78	$787.78	$264.28 LONG TERM
WACHOVIA CORP	WB	06/18/2001	12/31/2007	52.000	$30.45	$1,583.43	$37.67	$1,958.81	$375.38 LONG TERM
WAL-MART STORES INC	WMT	07/12/2005	12/31/2007	25.000	$49.89	$1,247.25	$47.48	$1,186.98	($60.27) LONG TERM
WAL-MART STORES INC	WMT	08/31/2005	12/31/2007	10.000	$44.84	$448.40	$47.48	$474.79	$26.39 LONG TERM
WAL-MART STORES INC	WMT	12/20/2005	12/31/2007	15.000	$48.90	$733.43	$47.48	$712.19	($21.24) LONG TERM
WAL-MART STORES INC	WMT	08/31/2006	12/31/2007	10.000	$44.90	$449.00	$47.48	$474.79	$25.79 LONG TERM
WELLS FARGO & COMPANY (NEW)	WFC	06/18/2001	12/31/2007	120.000	$21.74	$2,608.80	$29.99	$3,598.74	$989.94 LONG TERM
COVIDIEN LTD	COV	07/26/2002	12/31/2007	6.250	$15.23	$95.14	$44.50	$278.12	$182.98 LONG TERM
COVIDIEN LTD	COV	12/23/2002	12/31/2007	13.750	$20.63	$283.60	$44.50	$611.87	$328.27 LONG TERM
COVIDIEN LTD	COV	06/18/2001	12/31/2007	11.250	$70.46	$792.68	$44.50	$500.62	($292.06) LONG TERM
COVIDIEN LTD	COV	08/31/2006	12/31/2007	20.000	$32.91	$658.11	$44.50	$889.99	$231.88 LONG TERM
COVIDIEN LTD	COV	01/16/2002	12/31/2007	4.750	$60.40	$286.88	$44.50	$211.37	($75.51) LONG TERM
TRANSOCEAN ORDINARY (NEW)	RIG	05/31/2006	12/31/2007	10.000	$0.00	$0.00	$143.37	$1,433.67	$0.00 LONG TERM
TYCO INTERNATIONAL LTD	TYC	07/26/2002	12/31/2007	6.250	$18.71	$116.94	$39.42	$246.37	$129.43 LONG TERM
TYCO INTERNATIONAL LTD	TYC	12/23/2002	12/31/2007	13.750	$25.35	$348.52	$39.42	$542.02	$193.50 LONG TERM
TYCO INTERNATIONAL LTD	TYC	06/18/2001	12/31/2007	11.250	$86.59	$974.17	$39.42	$443.47	($530.70) LONG TERM
TYCO INTERNATIONAL LTD	TYC	08/31/2006	12/31/2007	20.000	$40.44	$808.81	$39.42	$788.39	($20.42) LONG TERM

REALIZED GAIN(LOSS) DETAIL *Continued*

DESCRIPTION	SYMBOL/ CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL ORIGINAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN(LOSS)
TYCO INTERNATIONAL LTD	TYC	01/16/2002	12/31/2007	4.750	$74.23	$352.57	$39.42	$187.24	($165.33) LONG TERM
TYCO ELECTRONICS LTD.	TEL	07/26/2002	12/31/2007	6.250	$14.02	$87.60	$37.07	$231.68	$144.08 LONG TERM
TYCO ELECTRONICS LTD.	TEL	12/23/2002	12/31/2007	13.750	$18.99	$261.09	$37.07	$509.70	$248.61 LONG TERM
TYCO ELECTRONICS LTD.	TEL	06/18/2001	12/31/2007	11.250	$64.87	$729.77	$37.07	$417.03	($312.74) LONG TERM
TYCO ELECTRONICS LTD.	TEL	08/31/2006	12/31/2007	20.000	$30.29	$605.88	$37.07	$741.39	$135.51 LONG TERM
TYCO ELECTRONICS LTD.	TEL	01/16/2002	12/31/2007	4.750	$55.60	$264.12	$37.07	$176.08	($88.04) LONG TERM
Realized Capital Gain(Loss) This Year						**$95,628.83**		**$138,398.95**	**$43,269.18**
Long Term Gain(Loss) This Year						**$89,488.06**		**$133,058.56**	**$42,877.56**
Short Term Gain(Loss) This Year						**$4,140.77**		**$4,532.39**	**$391.62**

This information should not be used for tax preparation without the assistance of your tax advisor.

REPORT

Statement on Demand

Account: 1

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange, SIPC
www.morganKeegan.com

Printed On: 6/4/2008 9:09:55AM

Prepared For
Account: 01679331
DONALD CAZAYOUX JR &
CHERIE CAZAYOUX JTIC

Your Financial Advisor
GARY M. SMITH
445 NORTH BOULEVARD
SUITE 500
BATON ROUGE, LA 70802
(225)344-9020
(800)659-7998

Account Value	6/1/2008	06/04/2008	Asset Allocation
Cash Balance	2,084.31	2,084.31	0.99%
Bond Mutual Funds	44,352.90	44,652.93	21.23%
Stock Mutual Funds	165,656.19	163,627.80	77.78%
Market Value of Priced Assets	212,093.40	210,365.05	100.00%

The information contained herein is for illustrative purposes only. Please rely on your Morgan Keegan statements if this information varies in any way.

—PORTFOLIO INFORMATION—

An asterisk (*) by the acquired date indicates an unsettled position.

Bond Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
3,669.099	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	12.170	44,653					
			Acquired Date					
	Symbol: AFTEX		06/14/2001	1,082.642	12.570	13,609	(433)	6.26%
			07/03/2001	3.536	12.090	43	0	0.02%
			08/02/2001	9.490	12.220	116	0	0.05%
			09/05/2001	9.466	12.380	117	(2)	0.05%
			10/02/2001	8.228	12.300	101	(1)	0.05%

REPORT

Statement on Demand

Morgan Keegan
Morgan Keegan & Company, Inc.
Member: New York Stock Exchange, SIPC
www.morgankeegan.com

Account

Printed On: 6/4/2008 9:09:55AM

Bond Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
3,669.099	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	12.170	44,653					
	Symbol: AFTEX		Acquired Date					
			11/02/2001	4.226	12.360	52	(1)	0.02%
			12/04/2001	4.314	12.150	52	0	0.02%
			12/04/2001	0.828	12.150	10	0	0.00%
			12/04/2001	2.115	12.150	26	0	0.01%
			01/03/2002	4.608	12.010	55	1	0.03%
			02/04/2002	4.575	12.120	55	0	0.03%
			03/04/2002	4.636	12.220	57	0	0.03%
			04/02/2002	4.525	11.970	54	1	0.03%
			05/02/2002	4.468	12.130	54	0	0.03%
			06/04/2002	4.459	12.160	54	0	0.03%
			07/02/2002	4.413	12.240	54	0	0.03%
			08/02/2002	4.369	12.340	54	(1)	0.03%
			09/04/2002	4.339	12.410	54	(1)	0.03%
			10/02/2002	4.254	12.600	54	(2)	0.02%
			11/04/2002	4.322	12.340	53	(1)	0.03%
			12/03/2002	4.336	12.220	53	0	0.03%
			12/03/2002	1.921	12.220	23	0	0.01%
			01/03/2003	4.265	12.420	53	(1)	0.02%
			02/04/2003	4.268	12.310	53	(1)	0.02%
			03/04/2003	4.257	12.460	53	(1)	0.02%
			04/02/2003	4.197	12.410	52	(1)	0.02%
			05/02/2003	4.311	12.450	54	(1)	0.02%
			06/03/2003	4.183	12.700	53	(2)	0.02%
			07/02/2003	4.244	12.580	53	(2)	0.02%
			08/04/2003	4.377	12.130	53	0	0.03%
			09/03/2003	4.389	12.170	53	0	0.03%
			10/02/2003	4.316	12.470	54	(1)	0.02%

Account: -

Printed On: 6/4/2008 9:09:55AM

Bond Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
3,669.099	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	12.170	44,653					
	Symbol: AFTEX		Acquired Date					
			11/04/2003	4.326	12.380	54	(1)	0.03%
			12/02/2003	4.293	12.480	54	(1)	0.02%
			01/05/2004	4.279	12.520	54	(1)	0.02%
			02/03/2004	4.179	12.540	52	(2)	0.02%
			03/02/2004	4.172	12.700	53	(2)	0.02%
			04/02/2004	4.302	12.600	54	(2)	0.02%
			05/04/2004	4.378	12.300	54	(1)	0.03%
			06/02/2004	4.432	12.230	54	0	0.03%
			07/02/2004	4.421	12.240	54	0	0.03%
			08/03/2004	4.409	12.320	54	(1)	0.03%
			09/02/2004	4.327	12.510	54	(1)	0.03%
			10/04/2004	4.317	12.530	54	(2)	0.02%
			11/02/2004	4.366	12.580	55	(2)	0.03%
			12/02/2004	4.363	12.450	54	(1)	0.03%
			01/04/2005	4.333	12.540	54	(2)	0.03%
			02/02/2005	4.259	12.580	54	(2)	0.02%
			03/02/2005	4.381	12.510	55	(1)	0.03%
			04/04/2005	4.417	12.390	55	(1)	0.03%
			05/03/2005	4.417	12.520	55	(2)	0.03%
			06/02/2005	4.371	12.580	55	(2)	0.03%
			07/05/2005	4.297	12.620	54	(2)	0.02%
			08/02/2005	4.420	12.520	55	(2)	0.03%
			09/02/2005	4.453	12.600	56	(2)	0.03%
			10/04/2005	4.472	12.490	56	(1)	0.03%
			11/02/2005	4.461	12.380	55	(1)	0.03%
			12/02/2005	4.445	12.390	55	(1)	0.03%
			01/04/2006	4.373	12.450	54	(1)	0.03%

Bond Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
3,669.099	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	12.170	44,653					
	Symbol: AFTEX		Acquired Date					
			02/02/2006	4.346	12.440	54	(1)	0.03%
			03/02/2006	4.415	12.470	55	(1)	0.03%
			04/04/2006	4.465	12.360	55	(1)	0.03%
			05/02/2006	4.562	12.320	56	(1)	0.03%
			06/02/2006	4.596	12.340	57	(1)	0.03%
			07/05/2006	4.664	12.260	57	0	0.03%
			08/01/2006	4.653	12.360	58	(1)	0.03%
			09/01/2006	4.638	12.490	58	(1)	0.03%
			10/02/2006	4.619	12.540	58	(2)	0.03%
			11/01/2006	4.673	12.560	59	(2)	0.03%
			12/01/2006	4.639	12.620	59	(2)	0.03%
			01/03/2007	4.603	12.530	58	(2)	0.03%
			02/01/2007	4.648	12.460	58	(1)	0.03%
			03/01/2007	4.670	12.570	59	(2)	0.03%
			04/02/2007	4.666	12.500	58	(2)	0.03%
			05/01/2007	4.685	12.500	59	(2)	0.03%
			06/01/2007	4.735	12.400	59	(1)	0.03%
			07/02/2007	4.792	12.300	59	(1)	0.03%
			08/01/2007	4.818	12.320	59	(1)	0.03%
			09/04/2007	4.887	12.190	60	0	0.03%
			10/01/2007	4.909	12.320	60	(1)	0.03%
			11/01/2007	4.929	12.300	61	(1)	0.03%
			12/03/2007	4.934	12.300	61	(1)	0.03%
			12/28/2007	2,153.110	12.540	27,000	(797)	12.46%
			01/02/2008	5.000	12.240	61	0	0.03%
			02/01/2008	4.929	12.370	61	(1)	0.03%
			02/01/2008	6.565	12.370	81	(1)	0.04%

Account:

Printed On. 8/4/2008 9:09:55AM

Bond Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
3,669.099	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	12.170	44,653					
	Symbol: AFTEX		Acquired Date					
			03/03/2008	7.628	11.790	90	3	0.04%
			03/03/2008	5.148	11.790	61	2	0.03%
			04/01/2008	5.289	12.020	64	1	0.03%
			04/01/2008	7.830	12.020	94	1	0.05%
			05/01/2008	7.666	12.090	93	1	0.04%
			05/01/2008	5.175	12.090	63	0	0.03%
			06/02/2008	5.092	12.130	62	0	0.03%
			06/02/2008	7.543	12.130	92	0	0.04%
						45,956	(1,303)	21.23%
	Total Bond Mutual Funds Value		**44,653**			**45,956**	**(1,303)**	**21.23%**

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
331.630	AMERICAN FUNDS AMCAP A	18.800	6,235					
	Symbol: AMCPX		Acquired Date					
			06/14/2001	137.212	18.220	2,500	80	1.23%
			06/26/2001	4.609	16.820	78	9	0.04%
			09/24/2001	141.044	14.180	2,000	652	1.26%
			12/10/2001	1.458	16.490	24	3	0.01%
			12/10/2001	2.401	16.490	40	6	0.02%
			06/25/2002	1.936	14.220	28	9	0.02%
			12/09/2002	0.522	13.430	7	3	0.00%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
331.630	AMERICAN FUNDS AMCAP A Symbol: AMCPX	18.800	6,235 Acquired Date					
			06/24/2003	0.062	15.090	1	0	0.00%
			12/06/2004	0.707	18.000	13	1	0.01%
			12/06/2004	2.009	18.000	36	2	0.02%
			06/21/2005	3.542	18.300	65	2	0.03%
			12/23/2005	1.387	19.180	27	(1)	0.01%
			12/23/2005	2.542	19.180	49	(1)	0.02%
			06/20/2006	0.338	18.740	6	0	0.00%
			06/20/2006	0.176	18.740	3	0	0.00%
			06/20/2006	2.157	18.740	40	0	0.02%
			12/22/2006	2.016	20.080	40	(3)	0.02%
			12/22/2006	6.544	20.080	131	(8)	0.06%
			06/18/2007	0.600	21.760	13	(2)	0.01%
			06/18/2007	1.342	21.760	29	(4)	0.01%
			12/17/2007	3.062	20.010	61	(4)	0.03%
			12/17/2007	15.966	20.010	319	(19)	0.14%
						5,511	724	2.96%
312.691	AMERICAN FUNDS CAPITAL INCOME-A Symbol: CAIBX	59.780	18,693 Acquired Date					
			12/28/2007	309.981	64.520	20,000	(1,469)	8.81%
			03/24/2008	2.710	57.150	155	7	0.08%
						20,155	(1,462)	8.89%
218.098	AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME A Symbol: CWGIX	42.830	9,341 Acquired Date					
			12/28/2007	217.014	46.080	10,000	(705)	4.42%

Account:

Printed On: 6/4/2008 9:09:55AM

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
218.098	AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME A	42.830	9,341					
			Acquired Date					
	Symbol: CWGIX		03/24/2008	1.084	40.020	43	3	0.02%
						10,043	(702)	4.44%
191.681	AMERICAN FUNDS EUROPACIFIC GROWTH A	48.970	9,387					
			Acquired Date					
	Symbol: AEPGX		12/31/2007	191.681	52.170	10,000	(613)	4.46%
230.891	AMERICAN FUNDAMENTAL INVESTORS A	41.490	9,580					
			Acquired Date					
	Symbol: ANCFX		12/28/2007	227.998	43.860	10,000	(540)	4.50%
			02/19/2008	0.700	39.110	27	2	0.01%
			02/19/2008	1.527	39.110	60	4	0.03%
			05/27/2008	0.666	41.510	28	0	0.01%
						10,115	(535)	4.55%
905.016	AMERICAN FUNDS GROWTH FUND OF AMERICA A	33.580	30,390					
			Acquired Date					
	Symbol: AGTHX		06/14/2001	191.718	26.080	5,000	1,438	3.06%
			09/24/2001	227.503	19.760	4,500	3,140	3.63%
			12/18/2001	0.806	23.410	19	8	0.01%
			12/17/2002	0.426	18.740	8	6	0.01%
			12/16/2003	0.108	23.750	3	1	0.00%
			12/17/2004	1.423	26.600	38	10	0.02%
			12/21/2005	2.602	30.820	80	7	0.04%
			12/21/2005	3.081	30.820	95	9	0.05%
			12/19/2006	3.545	33.040	117	2	0.06%
			12/19/2006	14.238	33.040	470	8	0.23%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
905.016	AMERICAN FUNDS GROWTH FUND OF AMERICA A	33.580	30,390					
	Symbol: AGTHX		Acquired Date					
			12/19/2007	4.790	33.410	160	1	0.08%
			12/19/2007	27.426	33.410	916	5	0.44%
			12/28/2007	427.350	35.100	15,000	(650)	6.82%
						26,406	3,984	14.45%
457.326	AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA A	31.070	14,209					
	Symbol: AIVSX		Acquired Date					
			06/14/2001	158.278	31.590	5,000	(82)	2.34%
			09/11/2001	0.742	27.750	21	2	0.01%
			09/24/2001	172.678	26.060	4,500	865	2.55%
			12/19/2001	1.527	28.240	43	4	0.02%
			12/19/2001	4.111	28.240	116	12	0.06%
			03/05/2002	1.551	28.270	44	4	0.02%
			03/05/2002	1.313	28.270	37	4	0.02%
			06/11/2002	1.633	27.090	44	7	0.02%
			09/10/2002	1.841	24.140	44	13	0.03%
			12/19/2002	1.869	23.910	45	13	0.03%
			12/19/2002	5.031	23.910	120	36	0.07%
			03/05/2003	2.045	22.280	46	18	0.03%
			06/10/2003	1.791	25.590	46	10	0.03%
			09/09/2003	1.748	26.380	46	8	0.03%
			12/18/2003	1.660	27.890	46	5	0.02%
			12/18/2003	2.682	27.890	75	9	0.04%
			03/09/2004	1.575	29.760	47	2	0.02%
			06/08/2004	1.542	28.660	47	4	0.02%
			09/08/2004	1.640	28.830	47	4	0.02%
			12/15/2004	1.562	30.410	48	1	0.02%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
457.326	AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA A	31.070	14,209					
	Symbol: AIVSX		Acquired Date					
			12/15/2004	4.265	30.410	130	3	0.06%
			03/08/2005	1.544	31.250	48	0	0.02%
			06/07/2005	1.595	30.380	48	1	0.02%
			09/07/2005	1.684	31.490	52	(1)	0.02%
			12/27/2005	1.665	31.610	53	(1)	0.02%
			12/27/2005	1.665	31.610	53	(1)	0.02%
			12/27/2005	9.646	31.610	305	(5)	0.14%
			03/07/2006	1.805	32.330	58	(2)	0.03%
			06/06/2006	1.780	32.930	59	(3)	0.03%
			09/05/2006	1.747	33.700	59	(5)	0.03%
			12/22/2006	24.313	33.440	813	(58)	0.36%
			12/22/2006	1.769	33.440	59	(4)	0.03%
			12/22/2006	1.651	33.440	55	(4)	0.02%
			03/05/2007	2.050	32.930	68	(4)	0.03%
			06/04/2007	1.877	36.140	68	(10)	0.03%
			09/10/2007	2.060	35.150	72	(8)	0.03%
			12/20/2007	2.237	32.520	73	(3)	0.03%
			12/20/2007	24.454	32.520	795	(35)	0.36%
			03/10/2008	2.622	29.480	77	4	0.04%
						13,407	802	6.75%
358.551	AMERICAN FUNDS NEW ECONOMY A	25.040	8,978					
	Symbol: ANEFX		Acquired Date					
			12/31/2007	358.551	27.890	10,000	(1,022)	4.27%
476.472	AMERICAN FUNDS NEW PERSPECTIVE A	32.790	15,624					
			Acquired Date					

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
476.472	AMERICAN FUNDS NEW PERSPECTIVE A	32.790	15,624					
			Acquired Date					
	Symbol: ANWPX		06/14/2001	102.166	24.470	2,500	850	1.59%
			09/24/2001	52.621	19.040	1,000	722	0.82%
			12/21/2001	1.945	21.480	42	22	0.03%
			12/23/2002	1.457	17.960	26	22	0.02%
			03/13/2003	212.542	16.300	3,464	3,505	3.31%
			12/22/2003	2.751	23.850	66	25	0.04%
			12/21/2004	3.553	27.010	96	21	0.06%
			12/29/2005	5.225	28.570	149	22	0.08%
			12/29/2005	23.846	28.570	684	101	0.37%
			12/27/2006	6.439	31.470	203	8	0.10%
			12/27/2006	26.545	31.470	835	35	0.41%
			12/13/2007	8.571	34.580	296	(15)	0.13%
			12/13/2007	28.811	34.580	996	(52)	0.45%
						10,358	5,265	7.43%
163.292	AMERICAN FUNDS NEW WORLD A	58.550	9,561					
	Symbol: NEWFX		Acquired Date					
			12/28/2007	163.292	61.240	10,000	(439)	4.54%
238.152	AMERICAN FUNDS SMALLCAP WORLD A	37.950	9,038					
			Acquired Date					
	Symbol: SMCWX		12/28/2007	238.152	41.990	10,000	(962)	4.30%
161.050	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS A	31.680	5,102					
			Acquired Date					
	Symbol: AWSHX		03/13/2003	130.316	21.790	2,840	1,289	1.96%
			04/01/2003	0.781	22.530	18	7	0.01%

769

Account

Printed On: 6/4/2008 9:09:55AM

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
161.050	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS A	31.680	5,102					
	Symbol: AWSHX		Acquired Date					
			06/24/2003	0.675	26.240	18	4	0.01%
			09/23/2003	0.668	26.620	18	3	0.01%
			12/23/2003	0.635	28.140	18	2	0.01%
			12/23/2003	0.871	28.140	25	3	0.01%
			03/23/2004	0.627	28.820	18	2	0.01%
			06/22/2004	0.620	29.330	18	1	0.01%
			09/21/2004	0.636	29.750	19	1	0.01%
			12/21/2004	0.626	30.390	19	1	0.01%
			12/21/2004	0.179	30.390	5	0	0.00%
			12/21/2004	1.026	30.390	31	1	0.02%
			03/22/2005	0.636	30.320	19	1	0.01%
			06/21/2005	0.667	31.100	21	0	0.01%
			09/20/2005	0.666	31.300	21	0	0.01%
			12/21/2005	0.716	31.210	22	0	0.01%
			12/21/2005	1.924	31.210	60	1	0.03%
			03/21/2006	0.703	32.390	23	0	0.01%
			06/20/2006	0.723	31.830	23	0	0.01%
			09/18/2006	0.691	33.250	23	(1)	0.01%
			12/26/2006	0.708	34.660	25	(2)	0.01%
			12/26/2006	3.478	34.660	121	(10)	0.05%
			03/26/2007	0.714	35.390	25	(3)	0.01%
			06/25/2007	0.724	37.100	27	(4)	0.01%
			09/24/2007	0.713	37.890	27	(4)	0.01%
			12/24/2007	0.798	34.000	27	(2)	0.01%
			12/24/2007	8.600	34.000	292	(20)	0.13%
			03/24/2008	0.927	31.090	29	1	0.01%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
						3,830	1,272	2.43%
292.141	HARTFORD GROWTH OPPORTUNITIES FUND CLASS A Symbol: HGOAX	29.980	8,758 Acquired Date 12/31/2007	292.141	34.230	10,000	(1,242)	4.16%
429.553	NUVEEN NWQ MULTI-CAP VALUE FUND A Symbol: NQVAX	20.330	8,733 Acquired Date 12/31/2007	429.553	23.280	10,000	(1,267)	4.15%
	Total Stock Mutual Funds Value		**163,628**			**159,825**	**3,803**	**77.78%**

Securities Received or Delivered

Recv'd	7.543	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	DIV REINV .042 @ 12.130
Recv'd	5.092	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A	DIV REINV .042 @ 12.130

Morgan Keegan
Morgan Keegan & Company, Inc.
Members New York Stock Exchange, SIPC
www.morgankeegan.com

—EARNINGS DETAIL—

Mutual Fund Direct Dividends		Earnings	Charges
06/03/2008	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A A/F TX DIV REINV		91.50
06/03/2008	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A A/F TX DIV REINV		61.77
	Total Mutual Fund Direct Dividends	.00	153.27

Dividends		Earnings	Charges
06/03/2008	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A A/F TX DIVIDEND	91.50	
06/03/2008	AMERICAN FUNDS TAX EXEMPT BOND FUND OF AMERICA A A/F TX DIVIDEND	61.77	
	Total Dividends	153.27	.00

Earnings Summary - All Categories	Earnings	Charges
	153.27	153.27

772

YETS (Year-End Tax Statement)

Morgan Keegan
Morgan Keegan & Company, Inc.
Members New York Stock Exchange

TAX YEAR 2007

MORGAN KEEGAN & COMPANY, INC.
BATON ROUGE
445 NO. BOULEVARD
BATON ROUGE LA 70802

Account Executive: SMITH, GARY M.
(225) 344-9020

Payer's Federal I.D. Number | **Recipient's I.D. Number** | **Account Number**

DONALD CAZAYOUX JR &
CHERIE CAZAYOUX TIC

Dividends and Distributions

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	02209S103	ALTRIA GROUP									
01/10/2007			64.50	64.50							
04/10/2007			64.50	64.50							
07/10/2007			51.75	51.75							
10/10/2007			56.25	56.25							
	Subtotal		**237.00**	**237.00**							
	025816109	AMERICAN EXPRESS CO									
02/09/2007			18.00	18.00							
05/10/2007			18.00	18.00							
08/10/2007			18.00	18.00							
11/09/2007			18.00	18.00							
	Subtotal		**72.00**	**72.00**							
	026874107	AMERICAN INTERNATIONAL GROUP									
03/16/2007			14.85	14.85							

FORM 10-121

773

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	026874107	AMERICAN INTERNATIONAL GROUP									
06/15/2007			14.85	14.85							
09/21/2007			18.00	18.00							
12/21/2007			18.00	18.00							
	Subtotal		65.70	65.70							
	03076C106	AMERIPRISE FINL INC									
02/16/2007			3.63	3.63							
05/18/2007			4.95	4.95							
08/17/2007			4.95	4.95							
11/16/2007			4.95	4.95							
	Subtotal		18.48	18.48							
	037389103	ADN CORPORATION									
02/14/2007			4.50	4.50							
05/14/2007			4.50	4.50							
08/14/2007			4.50	4.50							
11/14/2007			4.50	4.50							
	Subtotal		18.00	18.00							
	064058100	BANK NEW YORK MELLON CORP									
08/03/2007			7.20	7.20							
11/02/2007			7.20	7.20							
	Subtotal		14.40	14.40							
	093671105	H & R BLOCK INC									
01/02/2007			10.13	10.13							
04/02/2007			10.13	10.13							
07/02/2007			10.13	10.13							
10/01/2007			10.69	10.69							
	Subtotal		41.08	41.08							
	126650100	CVS/CAREMARK CORP									
05/04/2007			3.48	3.48							
08/03/2007			3.48	3.48							
11/02/2007			3.48	3.48							
	Subtotal		10.44	10.44							
	14149Y108	CARDINAL HEALTH, INC.									
01/15/2007			2.25	2.25							
04/15/2007			2.25	2.25							
07/15/2007			3.00	3.00							

FORM 6-72151

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	14149Y108	CARDINAL HEALTH, INC.									
10/15/2007			3.00	3.00							
	Subtotal		10.50	10.50							
	141705103	CAREMARK RX, INC.									
01/15/2007			3.50	3.50							
03/26/2007			262.50	262.50							
	Subtotal		266.00	266.00							
	172967101	CITIGROUP INC									
02/23/2007			35.10	35.10							
05/25/2007			35.10	35.10							
08/24/2007			35.10	35.10							
11/21/2007			35.10	35.10							
	Subtotal		140.40	140.40							
	20030N200	COMCAST CORP CL A SPL									
02/21/2007			12.57	12.57							
	Subtotal		12.57	12.57							
	20825C104	CONOCOPHILLIPS									
03/01/2007			36.90	36.90							
06/01/2007			36.90	36.90							
09/04/2007			36.90	36.90							
12/03/2007			36.90	36.90							
	Subtotal		147.60	147.60							
	22160K105	COSTCO WHOLESALE CORP.									
02/28/2007			15.60	15.60							
05/18/2007			17.40	17.40							
08/24/2007			17.40	17.40							
12/14/2007			17.40	17.40							
	Subtotal		67.80	67.80							
	25179M103	DEVON ENERGY									
03/30/2007			7.00	7.00							
06/29/2007			7.00	7.00							
09/28/2007			7.00	7.00							
12/28/2007			7.00	7.00							
	Subtotal		28.00	28.00							
	25243Q205	DIAGEO PLC SPONSORED ADR (NEW)									
04/16/2007			24.69	24.69							

FORM 10-0213

775

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	252430205	DIAGEO PLC SPONSORED ADR (NEW)									
10/26/2007			40.92	40.92							
	Subtotal		65.61	65.61							
	26483E100	DUN & BRADSTREET CORP. (NEW)									
03/29/2007			5.00	5.00							
06/15/2007			5.00	5.00							
09/17/2007			5.00	5.00							
12/14/2007			5.00	5.00							
	Subtotal		20.00	20.00							
	26875P101	EOG RESOURCES INC									
01/31/2007			3.00	3.00							
04/30/2007			4.50	4.50							
07/31/2007			4.50	4.50							
10/31/2007			4.50	4.50							
	Subtotal		16.50	16.50							
	404280406	HSBC HOLDINGS PLC ADR									
01/18/2007			36.75	36.75							
05/10/2007			88.20	88.20							
07/05/2007			41.65	41.65							
10/05/2007			41.65	41.65							
	Subtotal		208.25	208.25							
	412822108	HARLEY DAVIDSON INCORPORATED									
03/20/2007			9.45	9.45							
06/19/2007			11.25	11.25							
10/11/2007			13.50	13.50							
12/28/2007			13.50	13.50							
	Subtotal		47.70	47.70							
	46625H100	J.P. MORGAN CHASE & CO.									
01/31/2007			45.22	45.22							
04/30/2007			45.22	45.22							
07/31/2007			50.54	50.54							
10/31/2007			50.54	50.54							
	Subtotal		191.52	191.52							
	540424108	LOEWS CORP									
03/12/2007			5.00	5.00							
06/11/2007			5.00	5.00							

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	540424108	LOEWS CORP									
09/13/2007			5.00	5.00							
12/14/2007			5.00	5.00							
	Subtotal		20.00	20.00							
	58551A108	MELLON FINANCIAL CORPORATION									
05/15/2007			6.60	6.60							
	Subtotal		6.60	6.60							
	594918104	MICROSOFT CORPORATION									
03/08/2007			11.50	11.50							
06/14/2007			11.50	11.50							
09/13/2007			11.50	11.50							
12/13/2007			12.65	12.65							
	Subtotal		47.15	47.15							
	615369105	MOODY'S CORP									
03/10/2007			2.40	2.40							
06/10/2007			2.40	2.40							
09/10/2007			2.40	2.40							
12/10/2007			2.40	2.40							
	Subtotal		9.60	9.60							
	65248E104	NEWS CORPORATION CL A									
04/18/2007			7.20	7.20							
10/17/2007			7.20	7.20							
	Subtotal		14.40	14.40							
	674599105	OCCIDENTAL PETE CORP (DE)									
01/15/2007			16.50	16.50							
04/15/2007			16.50	16.50							
07/15/2007			16.50	16.50							
10/15/2007			18.75	18.75							
	Subtotal		68.25	68.25							
	742718109	PROCTER & GAMBLE CO									
02/15/2007			7.75	7.75							
05/15/2007			8.75	8.75							
08/15/2007			8.75	8.75							
11/15/2007			8.75	8.75							
	Subtotal		34.00	34.00							

FORM 10 (01/01)

777

Payer's Federal I.D. Number

Morgan Keegan

Recipient's I.D. Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	743315103	PROGRESSIVE CORP OHIO									
09/14/2007			280.00	280.00							
	Subtotal		280.00	280.00							
	81211K100	SEALED AIR CORP									
03/16/2007			11.00	11.00							
06/15/2007			11.00	11.00							
09/21/2007			11.00	11.00							
12/21/2007			11.00	11.00							
	Subtotal		44.00	44.00							
	852061100	SPRINT NEXTEL CORP									
03/30/2007			2.38	2.38							
06/29/2007			2.38	2.38							
09/28/2007			2.38	2.38							
12/28/2007			2.38	2.38							
	Subtotal		9.52	9.52							
	893521104	TRANSATLANTIC HOLDINGS INC.									
03/16/2007			2.97	2.97							
06/15/2007			2.97	2.97							
09/14/2007			3.52	3.52							
12/07/2007			3.52	3.52							
	Subtotal		12.98	12.98							
	902124106	TYCO INTERNATIONAL LIMITED NEW									
02/02/2007			22.50	22.50							
04/23/2007			22.50	22.50							
06/06/2007			22.50	22.50							
	Subtotal		67.50	67.50							
	91324P102	UNITED HEALTHCARE GROUP									
04/16/2007			0.75	0.75							
	Subtotal		0.75	0.75							
	929160109	VULCAN MATERIALS CO									
03/09/2007			4.60	4.60							
06/11/2007			4.60	4.60							
09/10/2007			4.60	4.60							
12/10/2007			4.60	4.60							
	Subtotal		18.40	18.40							

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
	929903102	WACHOVIA CORP									
03/15/2007			29.12	29.12							
06/15/2007			29.12	29.12							
09/17/2007			33.28	33.28							
12/17/2007			33.28	33.28							
	Subtotal		**124.80**	**124.80**							
	931142103	WAL-MART STORES INC									
01/02/2007			10.05	10.05							
04/02/2007			13.20	13.20							
06/04/2007			13.20	13.20							
09/04/2007			13.20	13.20							
	Subtotal		**49.65**	**49.65**							
	949746101	WELLS FARGO & COMPANY (NEW)									
03/01/2007			33.60	33.60							
06/01/2007			33.60	33.60							
09/01/2007			37.20	37.20							
12/01/2007			37.20	37.20							
	Subtotal		**141.60**	**141.60**							
	G2552X108	COVIDIEN LTD									
11/09/2007			8.96	8.96							
	Subtotal		**8.96**	**8.96**							
	G9143X208	TYCO INTERNATIONAL LTD									
11/01/2007			8.40	8.40							
	Subtotal		**8.40**	**8.40**							
	G9144P105	TYCO ELECTRONICS LTD.									
11/01/2007			7.84	7.84							
	Subtotal		**7.84**	**7.84**							
		Dreyfus Gen M/Mkt Class B									
01/31/2007			24.12								
02/28/2007			22.37								
03/30/2007			24.42								
04/30/2007			23.46								
05/31/2007			27.53								
06/29/2007			30.49								
07/31/2007			31.03								
08/31/2007			30.29								

FORM 1099B

779

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company Inc.
Member New York Stock Exchange

Recipient's I.D. Number

Account Number

Dividends and Distributions (Continued)

Date Paid or Posted	CUSIP	Descr	Total Ordinary Dividends	Qualified Dividends	Total Capital Gain Distr.	Unrecap. Sec. 1250 Gain	Nondividend Distributions	Federal Income Tax W/H	Foreign Tax Paid	Foreign Country or US Pos	Cash Liquidation Distr.
		Dreyfus Gen MVMkt Class B									
09/28/2007			29.44								
10/31/2007			30.02								
11/30/2007			28.04								
12/31/2007			32.92								
	Subtotal		334.23								
	TOTAL		3,008.18	2,673.95							

Payer's Federal I.D. Number

Morgan Keegan
Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Proceeds From Broker and Barter Exchange Transactions

Quantity	Description	CUSIP	Date Acquired	Date Sold	Proceeds (Net)[1]	Cost or Other Basis	Short-Term Gain (Loss)	Long-Term Gain (Loss)
0.500	IRON MOUNTAIN INC	462846106	11/22/2004	01/02/2007		10.17		
20.000	HERSHEY FOODS CORP	427866108	09/18/2002	02/15/2007	1,042.24	640.40		401.84
0.500	COMCAST CORP CL A SPL	20030N200	01/22/2004	02/22/2007		11.67		
0.450	CVS/CAREMARK CORP	126650100	08/31/2006	03/28/2007	14.97	15.49	(.52)	
0.902	KRAFT FOODS INC	50075N104	10/24/2002	04/02/2007	28.18	14.04		14.14
10.380	KRAFT FOODS INC	50075N104	07/25/2003		338.38	150.71		187.67
38.061			06/18/2001		1,240.77	636.24		604.53
2.559			10/24/2002		83.42	39.82		43.60
51.000				05/09/2007	1,662.57			
0.250	COVIDIEN LTD	G2552X108	01/16/2002	07/02/2007	10.74	15.10		(4.36)
0.250	TYCO INTERNATIONAL LTD	G9143X208	01/16/2002	07/02/2007	12.43	18.56		(6.13)
0.250	TYCO ELECTRONICS LTD.	G9144P105	01/16/2002	07/02/2007	9.80	13.89		(4.09)
0.494	TRANSOCEAN ORDINARY (NEW)	G90073100	05/31/2006	11/27/2007	59.27			
0.258	HSBC HOLDINGS PLC ADR	404280406	05/05/2004		22.21	19.72		2.49
1.000			05/05/2005		86.09	80.70		5.39
0.587			01/23/2006		50.54	47.60		2.94
1.000			05/15/2006		86.09	86.26		(.17)
22.155			08/18/2001		1,907.38	2,613.26		(705.88)
25.000				11/30/2007	2,152.31			
7.000	HSBC HOLDINGS PLC ADR	404280406	10/02/2002		583.59	421.81		161.78
15.000			07/25/2003		1,250.55	908.85		341.70
1.258			10/09/2003		104.88	78.00		26.88
0.742			05/05/2004		61.86	56.73		5.13
24.000				12/20/2007	2,000.88			
5.000	ALTRIA GROUP	02209S103	10/24/2002		381.09	161.79		219.30
15.000			07/25/2003		1,143.28	452.74		690.54
55.000			06/18/2001		4,192.03	1,911.36		2,280.67
75.000				12/31/2007	5,716.40			
115.000	AMERICAN EXPRESS CO	025816109	06/18/2001		5,855.70	3,920.69		1,935.01

FORM 10-52151

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

782

Proceeds From Broker and Barter Exchange Transactions (Continued)

Quantity	Description	CUSIP	Date Acquired	Date Sold	Proceeds (Net)[1]	Cost or Other Basis	Short-Term Gain (Loss)	Long-Term Gain (Loss)
5.000	AMERICAN EXPRESS CO	025816109 (Cont)	08/31/2006		254.80	262.15		(7.55)
120.000				12/31/2007	6,110.30			
45.000	AMERICAN INTERNATIONAL GROUP	026874107	06/18/2001		2,588.81	3,694.50		(1,105.69)
25.000			07/25/2002		1,438.23	1,358.57		79.66
15.000			02/04/2003		862.94	760.96		101.98
5.000			08/31/2006		287.05	318.70		(31.05)
90.000				12/31/2007	5,177.63			
23.000	AMERIPRISE FINL INC	03076C106	06/18/2001		1,241.06	558.56		682.50
10.000			11/09/2005		539.59	369.66		169.93
33.000				12/31/2007	1,780.65			
20.000	AON CORPORATION	037389103	10/24/2002		947.78	431.97		515.81
10.000			10/05/2001		473.89	430.00		43.89
30.000				12/31/2007	1,421.67			
30.000	BANK NEW YORK MELLON CORP	064058100	02/28/2007	12/31/2007	1,454.37	1,291.09	163.28	
1.000	BERKSHIRE HATHAWAY, INC.	084670207	06/18/2001		4,729.98	2,190.00		2,539.98
1.000			07/25/2003		4,729.98	2,406.00		2,323.98
2.000				12/31/2007	9,459.96			
30.000	H & R BLOCK INC	093671105	08/08/2003		550.49	636.75		(86.26)
30.000			05/24/2004		550.49	702.02		(151.53)
15.000			08/31/2006		275.24	313.95		(38.71)
75.000				12/31/2007	1,376.22			
8.350	CVS/CAREMARK CORP	126650100	11/18/2005		331.24	248.72		82.52
33.400			11/28/2005		1,324.95	999.95		325.00
16.250			08/31/2006		644.63	559.51		85.12
58.000				12/31/2007	2,300.82			
35.000	CANADIAN NATURAL RESOURCES LTD	136385101	12/11/2007	12/31/2007	2,575.26	2,332.94	242.32	
25.000	CARDINAL HEALTH, INC.	14149Y108	07/28/2004	12/31/2007	1,436.47	1,112.64		323.83
25.000	CITIGROUP INC	172967101	10/10/2002		722.99	697.75		25.24
40.000			06/18/2001		1,156.78	1,861.29		(704.51)
65.000				12/31/2007	1,879.77			
45.000	COMCAST CORP CL A SPL	20030N200	02/19/2004		814.98	894.84		(79.86)
37.500			04/20/2004		679.15	725.54		(46.39)

Form 10-101

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Member New York Stock Exchange

Recipient's I.D. Number

Account Number

Proceeds From Broker and Barter Exchange Transactions (Continued)

Quantity	Description	CUSIP	Date Acquired	Date Sold	Proceeds (Net)[1]	Cost or Other Basis	Short-Term Gain (Loss)	Long-Term Gain (Loss)
75.000	COMCAST CORP CL A SPL	20030N200 (Cont)	03/09/2005		1,358.30	1,665.00		(306.70)
29.500			01/22/2004		534.27	688.33		(154.06)
187.000				12/31/2007	3,386.70			
40.000	CONOCOPHILLIPS	20825C104	10/11/2002		3,522.74	917.85		2,604.89
25.000			06/18/2001		2,201.71	761.75		1,439.96
10.000			08/31/2006		880.69	632.40		248.29
15.000			09/14/2006		1,321.03	882.45		438.58
90.000				12/31/2007	7,926.17			
80.000	COSTCO WHOLESALE CORP.	22160K105	06/18/2001		5,605.51	3,258.40		2,347.11
15.000			12/19/2002		1,051.03	413.10		637.93
25.000			08/31/2006		1,751.72	1,177.25		574.47
120.000				12/31/2007	8,408.26			
40.000	DELL INC.	24702R101	12/07/2005		975.58	1,268.87		(293.29)
20.000			06/16/2007		487.79	501.25	(13.46)	
60.000				12/31/2007	1,463.37			
30.000	DEVON ENERGY	25179M103	12/27/2001		2,689.46	576.00		2,113.46
20.000			06/18/2001		1,792.97	553.50		1,239.47
50.000				12/31/2007	4,482.43			
25.000	DIAGEO PLC SPONSORED ADR (NEW)	252432205	02/28/2002	12/31/2007	2,148.96	1,200.67		948.29
20.000	DUN & BRADSTREET CORP. (NEW)	26483E100	06/18/2001	12/31/2007	1,769.57	530.80		1,238.77
50.000	EOG RESOURCES INC	26875P101	01/04/2002	12/31/2007	4,497.43	932.59		3,564.84
20.000	HARLEY DAVIDSON INCORPORATED	412822108	06/03/2005		945.58	968.99		(23.41)
15.000			10/18/2005		709.19	730.37		(21.18)
10.000			08/31/2006		472.79	593.60		(120.81)
45.000				12/31/2007	2,127.56			
30.000	IRON MOUNTAIN INC	462846106	02/07/2005		1,109.68	573.80		535.88
37.000			11/22/2004		1,368.61	752.27		616.34
67.000				12/31/2007	2,478.29			
33.000	J.P. MORGAN CHASE & CO.	46625H100	06/18/2001		1,427.39	912.50		514.89
19.800			12/27/2001		856.44	590.91		265.53
26.400			09/30/2002		1,141.92	752.80		389.12
26.400			01/02/2003		1,141.92	754.80		387.12
12.400			07/25/2003		536.35	372.00		164.35

FORM 10.08161

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Proceeds From Broker and Barter Exchange Transactions (Continued)

Quantity	Description	CUSIP	Date Acquired	Date Sold	Proceeds (Net)[1]	Cost or Other Basis	Short-Term Gain (Loss)	Long-Term Gain (Loss)
15.000	J.P. MORGAN CHASE & CO.	46625H100 (Cont)	08/31/2006		648.82	685.05		(36.23)
133.000				12/31/2007	5,752.84			
45.000	LOEWS CORP	540424108	06/24/2003		2,282.81	704.22		1,578.59
35.000			01/04/2002		1,775.52	634.90		1,140.62
80.000				12/31/2007	4,058.33			
40.000	MICROSOFT CORPORATION	594918104	07/30/2002		1,433.58	958.55		475.03
10.000			08/03/2005		358.39	273.20		85.19
45.000			03/30/2006		1,612.77	1,222.65		390.12
20.000			08/31/2006		716.79	516.20		200.59
115.000				12/31/2007	4,121.53			
30.000	MOODY'S CORP	615369105	06/18/2001	12/31/2007	1,078.48	489.90		588.58
75.000	NEWS CORPORATION CL A	65248E104	04/17/2006		1,549.47	1,263.71		285.76
45.000			08/31/2006		929.68	859.50		70.18
120.000				12/31/2007	2,479.15			
60.000	OCCIDENTAL PETE CORP (DE)	674599105	09/09/2003		4,643.93	1,053.00		3,590.93
15.000			08/17/2004		1,160.98	371.59		789.39
75.000				12/31/2007	5,804.91			
25.000	PROCTER & GAMBLE CO	742718109	05/05/2006	12/31/2007	1,843.97	1,394.63		449.34
120.000	PROGRESSIVE CORP OHIO	743315103	06/18/2001		2,278.76	1,318.00		960.76
20.000			07/25/2003		379.79	327.60		52.19
140.000				12/31/2007	2,658.55			
110.000	SEALED AIR CORP	81211K100	06/18/2001	12/31/2007	2,545.51	2,130.15		415.36
50.000	SPRINT NEXTEL CORP	852061100	03/24/2006		656.99	1,173.94		(516.95)
35.000			08/01/2006		459.89	682.50		(222.61)
10.000			08/31/2006		131.40	167.90		(36.50)
95.000				12/31/2007	1,248.28			
22.000	TRANSATLANTIC HOLDINGS INC.	893521104	06/18/2001	12/31/2007	1,573.19	1,446.00		127.19
25.000	UNITED HEALTHCARE GROUP	91324P102	10/23/2006	12/31/2007	1,451.47	1,271.88		179.59
10.000	VULCAN MATERIALS CO	929160109	06/18/2001	12/31/2007	787.78	523.50		264.28

Payer's Federal I.D. Number

Morgan Keegan

Morgan Keegan & Company, Inc.
Members New York Stock Exchange

Recipient's I.D. Number

Account Number

Proceeds From Broker and Barter Exchange Transactions (Continued)

Quantity	Description	CUSIP	Date Acquired	Date Sold	Proceeds (Net)[1]	Cost or Other Basis	Short-Term Gain (Loss)	Long-Term Gain (Loss)
52.000	WACHOVIA CORP	929903102	06/18/2001	12/31/2007	1,958.81	1,583.43		375.38
25.000	WAL-MART STORES INC	931142103	07/12/2005		1,186.98	1,247.25		(60.27)
10.000			08/31/2005		474.79	448.40		26.39
15.000			12/20/2005		712.19	733.43		(21.24)
10.000			08/31/2006		474.79	449.00		25.79
60.000				12/31/2007	2,848.75			
120.000	WELLS FARGO & COMPANY (NEW)	949746101	06/18/2001	12/31/2007	3,598.74	2,608.80		989.94
6.250	COVIDIEN LTD	G2552X108	07/26/2002		278.12	95.14		182.98
13.750			12/23/2002		611.87	283.60		328.27
11.250			06/18/2001		500.62	792.68		(292.06)
20.000			08/31/2006		889.99	658.11		231.88
4.750			01/16/2002		211.37	286.88		(75.51)
56.000				12/31/2007	2,491.97			
10.000	TRANSOCEAN ORDINARY (NEW)	G90073100	05/31/2006	12/31/2007	1,433.67			
6.250	TYCO INTERNATIONAL LTD	G9143X208	07/26/2002		246.37	116.94		129.43
13.750			12/23/2002		542.02	348.52		193.50
11.250			06/18/2001		443.47	974.17		(530.70)
20.000			08/31/2006		788.39	808.81		(20.42)
4.750			01/16/2002		187.24	352.57		(165.33)
56.000				12/31/2007	2,207.49			
6.250	TYCO ELECTRONICS LTD.	G9144P105	07/26/2002		231.68	87.60		144.08
13.750			12/23/2002		509.70	261.09		248.61
11.250			06/18/2001		417.03	729.77		(312.74)
20.000			08/31/2006		741.39	605.88		135.51
4.750			01/16/2002		176.08	264.12		(88.04)
56.000				12/31/2007	2,075.88			

[1]Differences in totals may be the result of rounding on individual tax lots.

This Year-End Tax Statement was designed for informational purposes only and is not reported to the IRS. Cost or other basis information may include values provided by the client. Additional data contained herein was obtained from recognized sources and is believed to be reliable. Morgan Keegan does not make any guarantees as to the accuracy, completeness, or reliability of such data inclusive of cost figures, summaries or pricing. Morgan Keegan does not provide tax advice and this infomation is distributed with the understanding that Morgan Keegan is not rendering any legal, accounting, tax or professional advice or opinion. Please consult an attorney, accountant or other appropriate industry professional for advice regarding your particular situation.

785

MORGAN KEEGAN & COMPANY, INC.
50 NORTH FRONT STREET
MEMPHIS TN 38103

(225)344-9020

PAYERS FEDERAL ID	RECIPIENTS ID NUMBER	ACCOUNT NUMBER	PAGE
			1 OF 3

BT05

*** TAX YEAR 2007 ***

FORM 1099

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

DONALD CAZAYOUX JR &
CHERIE CAZAYOUX TIC

DIVIDENDS AND DISTRIBUTIONS (1099-DIV) OMB # 1545-0110	TOTAL
1a. TOTAL ORDINARY DIVIDENDS (Includes 1b)	3,008.18
1b. QUALIFIED DIVIDENDS	2,673.95
2a. TOTAL CAPITAL GAIN DISTR. (Includes 2b, 2c, and 2d)	.00
2b. UNRECAPTURED SECTION 1250 GAIN	.00
2c. SECTION 1202 GAIN	.00
2d. COLLECTIBLES (28%) GAIN	.00
3. NONDIVIDEND DISTRIBUTIONS	.00
4. FEDERAL INCOME TAX WITHHELD	.00
5. INVESTMENT EXPENSES	.00
6. FOREIGN TAX PAID	.00
7. FOREIGN COUNTRY OR U.S. POSSESSION:	
8. CASH LIQUIDATION DISTR.	.00
9. NON-CASH DISTRIBUTION	.00

INTEREST INCOME (1099-INT) OMB # 1545-0112	TOTAL
1. INTEREST INCOME	.00
2. EARLY WITHDRAWAL PENALTY	.00
3. INTEREST ON U.S. SAVINGS BONDS AND TREAS. OBLIGATIONS	.00
4. FEDERAL INCOME TAX WITHHELD	.00
5. INVESTMENT EXPENSES	.00
6. FOREIGN TAX PAID	.00
7. FOREIGN COUNTRY OR U.S. POSSESSION:	.00
8. TAX-EXEMPT INTEREST	.00
9. SPECIFIED PRIVATE ACTIVITY BOND INTEREST	.00

MISCELLANEOUS INCOME (1099-MISC) OMB # 1545-0115	TOTAL
1. RENTS	.00
2. ROYALTIES	.00
3. OTHER INCOME	.00
4. FEDERAL INCOME TAX WITHHELD	.00
5. FISHING BOAT PROCEEDS	.00
6. MEDICAL & HEALTH CARE PAYMENTS	.00
7. NONEMPLOYEE COMPENSATION	.00
8. SUBSTITUTE PAYMENTS IN LIEU OF DIVS OR INT	.00
9. PAYER MADE DIRECT SALES OF $500 OR MORE	.00
10. CROP INSURANCE PROCEEDS	.00
13. EXCESS GOLDEN PARACHUTE PROCEEDS	.00
14. GROSS PROCEEDS PAID TO AN ATTORNEY	.00

IF YOU HAVE QUESTIONS ABOUT THIS STATEMENT, PLEASE CONTACT YOUR FINANCIAL ADVISOR AT THE PHONE NUMBER ABOVE FOR ASSISTANCE.

MORGAN KEEGAN & COMPANY, INC.
50 NORTH FRONT STREET
MEMPHIS TN 38103

(225)344-8020

PAYERS FEDERAL ID	RECIPIENTS ID NUMBER	ACCOUNT NUMBER	PAGE

*** TAX YEAR 2007 ***

FORM 1099

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

DONALD CAZAYOUX JR &
CHERIE CAZAYOUX TTC

ORIGINAL ISSUE DISCOUNT SUMMARY (1099-OID) OMB # 1545-0117
NO REPORTABLE 1099-OID INFORMATION

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (1099-B) OMB #1545-0715

DATE OF 1a. SALE	1b. CUSIP	QUANTITY	2. PROCEEDS (NET)	4. FED.INC TAX W/H	7. DESCRIPTION
02/15/07	427866108	20.000	1,042.24	.00	HERSHEY FOODS
04/09/07	126650100	.450	14.97	.00	CVS CORP
04/10/07	50075N104	.901	28.18	.00	KRAFT FOODS INC
05/09/07	50075N104	51.000	1,662.57	.00	KRAFT FOODS INC
07/16/07	G2552X108	.250	10.74	.00	COVIDIEN LTD
07/16/07	G9143X208	.250	12.43	.00	TYCO INTL LTD
07/16/07	G9144P105	.250	9.80	.00	TYCO ELECTRONIC
11/28/07	G90078109	15.000	495.45	.00	TRANSOCEAN
11/30/07	404280406	25.000	2,152.31	.00	HSBC HLDGS PLC
12/03/07	G90073100	.494	59.27	.00	TRANSOCEAN ORD
12/20/07	404280406	24.000	2,000.88	.00	HSBC HLDGS PLC
12/31/07	02209S103	75.000	5,716.41	.00	ALTRIA GROUP
12/31/07	025816109	120.000	6,110.30	.00	AMER EXPRESS CO
12/31/07	026874107	90.000	5,177.62	.00	AMER INTL GROUP
12/31/07	03076C106	33.000	1,780.65	.00	AMERIPRISE FINL
12/31/07	037389103	30.000	1,421.67	.00	AON CORP
12/31/07	064058100	30.000	1,454.37	.00	BONY MELLON CRP
12/31/07	084670207	2.000	9,459.95	.00	BERKSHIRE CL B
12/31/07	093671105	75.000	1,376.22	.00	BLOCK H & R INC
12/31/07	126650100	58.000	2,300.82	.00	CVS CORP
12/31/07	136385101	35.000	2,575.26	.00	CANADIAN NAT RE
12/31/07	14149Y108	25.000	1,436.47	.00	CARDINAL HEALTH
12/31/07	172967101	65.000	1,879.77	.00	CITIGROUP INC
12/31/07	20030N200	187.000	3,386.70	.00	COMCAST CLA SPL
12/31/07	20825C104	90.000	7,926.17	.00	CONOCOPHILLIPS
12/31/07	22160K105	120.000	8,408.27	.00	COSTCO
12/31/07	24702R101	60.000	1,463.37	.00	DELL INC
12/31/07	25179M103	50.000	4,482.43	.00	DEVON ENERGY

IF YOU HAVE QUESTIONS ABOUT THIS STATEMENT, PLEASE CONTACT YOUR FINANCIAL ADVISOR AT THE PHONE NUMBER ABOVE FOR ASSISTANCE.

MORGAN KEEGAN & COMPANY, INC.
50 NORTH FRONT STREET
MEMPHIS TN 38103

(225)344-9020

PAYERS FEDERAL ID	RECIPIENTS ID NUMBER	ACCOUNT NUMBER	PAGE
			3 OF 3

*** TAX YEAR 2007 ***

FORM 1099

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

DONALD CAZAYOUX JR &
CHERIE CAZAYOUX TIC

DATE OF 1a. SALE	1b. CUSIP	QUANTITY	2. PROCEEDS (NET)	4. FED.INC TAX W/H	7. DESCRIPTION
12/31/07	252430205	25.000	2,148.96	.00	DIAGEO PLC ADR
12/31/07	26483E100	20.000	1,769.57	.00	DUN & BRADSTRT
12/31/07	26875P101	50.000	4,497.43	.00	EOG RESOURCES
12/31/07	412822108	45.000	2,127.56	.00	HARLEY DAVIDSON
12/31/07	462846106	67.000	2,478.29	.00	IRON MOUNTAIN
12/31/07	46625H100	133.000	5,752.83	.00	JP MORGAN CHASE
12/31/07	540424108	80.000	4,058.33	.00	LOEWS CORP
12/31/07	594918104	115.000	4,121.53	.00	MICROSOFT CORP
12/31/07	615369105	30.000	1,078.48	.00	MOODY'S CORP
12/31/07	65248E104	120.000	2,479.16	.00	NEWS CORP
12/31/07	674599105	75.000	5,804.91	.00	OCCY PETE (DE)
12/31/07	742718109	25.000	1,843.97	.00	PROCTR & GAMBLE
12/31/07	743315103	140.000	2,658.55	.00	PROGRESSIVE OH
12/31/07	81211K100	110.000	2,545.51	.00	SEALED AIR CORP
12/31/07	852061100	95.000	1,248.28	.00	SPRINT NEXTEL
12/31/07	893521104	22.000	1,573.19	.00	TRANSATLANTIC
12/31/07	91324P102	25.000	1,451.47	.00	UNITED HEALTH
12/31/07	929160109	10.000	787.78	.00	VULCAN MATERIAL
12/31/07	929903102	52.000	1,958.81	.00	WACHOVIA CORP
12/31/07	931142103	60.000	2,848.75	.00	WAL-MART STORES
12/31/07	949746101	120.000	3,598.74	.00	WELLS FARGO NEW
12/31/07	G2552X108	56.000	2,491.96	.00	COVIDIEN LTD
12/31/07	G90073100	10.000	1,433.87	.00	TRANSOCEAN ORD
12/31/07	G9143X208	56.000	2,207.48	.00	TYCO INTL LTD
12/31/07	G9144P105	56.000	2,075.88	.00	TYCO ELECTRONIC
			138,886.38	.00	

IF YOU HAVE QUESTIONS ABOUT THIS STATEMENT, PLEASE CONTACT YOUR FINANCIAL ADVISOR AT THE PHONE NUMBER ABOVE FOR ASSISTANCE.

REPORT
Statement on Demand

Morgan Keegan
Morgan Keegan a company, Inc.
Members New York Stock Exchange, SIPC
www.morgankeegan.com

Account

Printed On: 6/4/2008 9:12:50AM

Prepared For

DONALD CAZAYOUX, JR C/F
MICHAEL H CAZAYOUX UTMA/LA

Your Financial Advisor

GARY M. SMITH
445 NORTH BOULEVARD
SUITE 500
BATON ROUGE, LA 70802
(225)344-9020
(800)659-7998

Account Value	6/1/2008	06/04/2008	Asset Allocation
Money Funds	1.40	1.40	0.04%
Stock Mutual Funds	3,785.68	3,734.77	99.96%
Market Value of Priced Assets	3,787.08	3,736.17	100.00%

The information contained herein is for illustrative purposes only. Please rely on your Morgan Keegan statements if this information varies in any way.

—PORTFOLIO INFORMATION—

An asterisk (*) by the acquired date indicates an unsettled position.

Money Funds

Total Shares	Description	Market Price	Market Value
1.400	REGIONS FDIC ACCOUNT TIER 1	1	1

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
9.874	AMERICAN FUNDS CAPITAL INCOME-A	59.780	590					
			Acquired Date					
	Symbol: CAIBX		07/19/2006	8.720	57.339	500	21	13.95%
			09/21/2006	0.048	58.110	3	0	0.08%
			12/21/2006	0.072	60.760	4	0	0.12%
			12/21/2006	0.043	60.760	3	0	0.07%
			12/21/2006	0.169	60.760	10	0	0.27%
			03/21/2007	0.075	61.410	5	0	0.12%
			06/21/2007	0.071	64.620	5	0	0.11%
			09/21/2007	0.070	65.850	5	0	0.11%
			12/21/2007	0.076	61.610	5	0	0.12%
			12/21/2007	0.083	61.610	5	0	0.13%
			12/21/2007	0.361	61.610	22	(1)	0.58%
			03/24/2008	0.086	57.150	5	0	0.14%
						571	19	15.60%
14.950	AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME A	42.830	640					
			Acquired Date					
	Symbol: CWGIX		07/19/2006	12.771	39.151	500	47	14.64%
			09/20/2006	0.064	39.760	3	0	0.07%
			12/21/2006	0.048	41.620	2	0	0.05%
			12/21/2006	0.031	41.620	1	0	0.04%
			12/21/2006	0.555	41.620	23	1	0.64%
			03/19/2007	0.065	41.100	3	0	0.07%
			06/18/2007	0.117	46.210	5	0	0.13%
			09/24/2007	0.072	47.370	3	0	0.08%
			12/18/2007	0.048	43.250	2	0	0.06%
			12/18/2007	0.048	43.250	2	0	0.06%
			12/18/2007	0.022	43.250	1	0	0.03%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
14.950	AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME A	42.830	640					
			Acquired Date					
	Symbol: CWGIX		12/18/2007	1.037	43.260	45	0	1.19%
			03/24/2008	0.074	40.020	3	0	0.08%
						593	47	17.14%
14.537	AMERICAN FUNDS FUNDAMENTAL INVESTORS A	41.490	603					
			Acquired Date					
	Symbol: ANCFX		07/19/2006	12.788	39.099	500	31	14.20%
			08/21/2006	0.039	38.780	2	0	0.04%
			12/28/2006	0.038	40.140	2	0	0.04%
			12/28/2006	0.032	40.140	1	0	0.04%
			12/28/2006	0.502	40.140	20	1	0.56%
			02/20/2007	0.039	41.260	2	0	0.04%
			02/20/2007	0.020	41.260	1	0	0.02%
			05/21/2007	0.037	44.070	2	0	0.04%
			08/20/2007	0.039	41.760	2	0	0.04%
			12/26/2007	0.038	42.850	2	0	0.04%
			12/26/2007	0.148	42.850	6	0	0.16%
			12/26/2007	0.635	42.850	27	(1)	0.71%
			02/19/2008	0.044	39.110	2	0	0.05%
			02/19/2008	0.096	39.110	4	0	0.11%
			05/27/2008	0.042	41.510	2	0	0.05%
						573	31	16.14%
17.391	AMERICAN FUNDS GROWTH FUND OF AMERICA A	33.580	584					
			Acquired Date					
	Symbol: AGTHX		07/19/2006	15.571	32.111	500	23	13.99%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
17.391	AMERICAN FUNDS GROWTH FUND OF AMERICA A	33.580	584					
	Symbol: AGTHX		Acquired Date					
			12/19/2006	0.129	33.040	4	0	0.12%
			12/19/2006	0.518	33.040	17	0	0.47%
			12/19/2007	0.174	33.410	6	0	0.16%
			12/19/2007	0.999	33.410	33	0	0.90%
						561	23	15.63%
13.397	AMERICAN FUNDS NEW WORLD A	58.550	784					
	Symbol: NEWFX		Acquired Date					
			07/19/2006	11.609	43.070	500	180	18.19%
			12/27/2006	0.222	47.710	11	2	0.35%
			12/27/2006	0.058	47.710	3	1	0.09%
			12/27/2006	0.485	47.710	23	5	0.76%
			12/13/2007	0.244	60.120	15	0	0.38%
			12/13/2007	0.060	60.120	4	0	0.09%
			12/13/2007	0.719	60.120	43	(1)	1.13%
						598	186	20.99%
16.814	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS A	31.680	533					
	Symbol: AWSHX		Acquired Date					
			07/19/2006	15.002	33.329	500	(25)	12.72%
			08/18/2006	0.072	33.250	2	0	0.06%
			12/28/2006	0.074	34.660	3	0	0.06%
			12/26/2006	0.363	34.660	13	(1)	0.31%
			03/26/2007	0.075	35.390	3	0	0.06%
			06/25/2007	0.076	37.100	3	0	0.06%
			09/24/2007	0.074	37.890	3	0	0.06%

Stock Mutual Funds

Total Shares	Description	Market Price	Market Value	Shares Purchased	Purchase Price	Cost Basis	Unrealized Gain / (Loss)	% of Portfolio
16.814	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS A	31.680	533					
			Acquired Date					
	Symbol: AWSHX		12/24/2007	0.083	34.000	3	0	0.07%
			12/24/2007	0.896	34.000	31	(2)	0.78%
			03/24/2008	0.097	31.090	3	0	0.08%
						562	(30)	14.28%
	Total Stock Mutual Funds Value		3,735			3,457	277	99.96%

KEY POLICY INFORMATION

POLICY INFORMATION

Policy Number:		Insured:	MR DONALD J CAZAYOUX JR		
Policy Date:	12/22/2004	Plan:	WHOLE LIFE INSURANCE		
Policy Status:	PREM PAY	Face Amount:	375,000.00		AD101
Paid to Date:	12/22/2008	Policy Rating:	PREFERRED	Tax Qualified:	NO
Premium Mode:	ANNUAL	Issue Age:	41	Insured DOB:	01/17/1964
Premium Amount:	6,221.85	Sex:	M	Prepared On:	06/04/2008
Issue Date:	12/22/2004				

CLIENT INFORMATION

Name	Client Role	Phone	Tax ID
DONALD J CAZAYOUX JR	OTHER COVERED INSURED		
DONALD J CAZAYOUX JR	OWNER/INSURED		

Owner's Address:
[illegible] /760-0158

Beneficiary: 12/22/2004 Whole Life Insurance - Primary Beneficiary - INSD D B 1ST CHERIE CAZAYOUX SPOUSE 2ND CHLDRN OCH D B 1ST CHERIE CAZAYOUX SPOUSE 2ND CHLDRN ;

POLICY VALUES

Cash Value		Death Benefit	
Policy Cash Value:	7,740.00	Policy Death Benefit:	375,000.00
Paid Up Additions:	730.34	Paid Up Additions:	2,437.00
OPP Rider Cash Value:	9,353.87	OPP Rider Death Benefit:	27,600.00
		1-Year Term Death Benefit:	
Outstanding Loan(s) Amt:		Term Rider(s) Death Benefit:	250,000.00
Loan Interest Due:		Outstanding Loan(s) Amt:	
		Loan Interest Due:	
**Accumulated Adjust:	3,428.14	**Accumulated Adjust:	3,348.55
**Net Cash Value:	21,260.35	Net Death Benefit:	658,883.55
Modified Endowment:	NO		
Dividend Option:	PAID UP ADDITIONS	**Surrender Federal/State Gain:	.00
1 Year Term Premium:		Surrender Cost Basis:	31,708.35
Last Anniversary Date:	2007	Current Year Increase :	6,375.00
Last Anniversary Dividend:	393.14		
Prior Year Increase:	4,875.00		
Total Prior Year Increase:	5,268.14		
Base Annualized Premium:	5,732.50	Net Annualized Premium:	6,221.85
Policy Loan Amount:		Policy Loan Interest Due:	
Loan Interest Rate:	5.87%	Loan Int Paid to Date:	
*Max Loan Available:	20,578.00	*Max Dividends Available:	10,094.21
*Federal Withholding:		* Federal Withholding:	
*State Withholding:		*State Withholding:	
*Loan Value Net Withholding:	20,578.00	*Dividends Net of Withholding:	10,094.21

* Signed withholding election form needed to avoid tax withholdings
** Includes unused premium, premium due, surrender charges, termination dividend, etc.

* As of the Prepared On Date shown above
** May be subject to withholding

POLICY COMPONENTS

Component	Face Amount	Premium	Name	Rating
BASE PLAN	375,000.00	5,632.50	DONALD J CAZAYOUX JR	PREFERRED
BASE FEES	375,000.00	100.00	DONALD J CAZAYOUX JR	PREFERRED
BASE - WP	375,000.00	226.75	DONALD J CAZAYOUX JR	1.0
SYTRNS/BASE	250,000.00	232.50	DONALD J CAZAYOUX JR	PREFERRED
SYTRNS/WP	250,000.00	27.80	DONALD J CAZAYOUX JR	PREFERRED

Billable OPP Premium:	.00	Billable OPP Mode:	
APL Provision:	2 YEAR	DPPO:	
Current POP Status:	POP-UNAVAILABLE - RENEWABLE TRM RIDER	POP/UNPOP Date:	

Alternative Premium Modes:	ANNUAL	6,221.85	SEMI-ANNUAL	3,240.27	QUARTERLY 1,654.38
	MONTHLY	566.52	NYLIAVCOM	546.71	1/12TH ANNUAL 517.39

MUTUAL FUNDS

MAINSTAY FAMILY OF FUNDS

Customer Name: DONALD J CAZAYOUX
As Of: 06/04/2008

Total Asset Value: 22,168.82
Tax ID/SSN:

PORTFOLIO SUMMARY

Registration: NEW YORK LIFE TRUST COMPANY
CUST FOR THE SEP IRA
DONALD J CAZAYOUX JR

Fund	Date Inst.	Total Shares	Price	Account Value
BALANCEDA	04/16/2008	.0000	25.4700	.00
SMLCAPOPPA	04/16/2008	.0000	13.8600	.00
S&P500INDA	04/15/2008	.0000	31.7000	.00
BALANCEINV	03/28/2008	650.0140	25.4800	16,562.35
S&P500INV	03/28/2008	99.8980	31.7100	3,167.77
SMLCPOPINV	03/28/2008	176.5340	13.8600	2,438.69
			Sub-Total:	22,168.82

ACCOUNT OPTIONS

Registration: NEW YORK LIFE TRUST COMPANY
CUST FOR THE SEP IRA

Account Number: Fund: BALANCEDA

Automated Clearing House:	NO	Account Status:	CLOSED
Listbill:	NO	Date of Birth:	01/17/1964
Expedited Redemption:	NO	Dividend Option:	REINVEST
Expedited Exchange:	YES	Capital Gains Option:	REINVEST
Certificates Issued:	NO	Check Writing:	NO
Pre-Authorized Checks:	NO	Rights of Accumulation:	055820798
Letter of Intent:	NO	Letter of Intent Amount:	
Systematic Withdrawal Plan:	NO	Account Type:	IRA SEP

Beneficiary Name	Relationship	Designation
CHERIE CAZAYOUX 100%	SPOUSE	PRIMARY

SWP Payment Amount SWP Payment Months

*Systematic Withdrawal Payments are processed on the 5th day

Account Number: Fund: SMLCAPOPPA

Automated Clearing House:	NO	Account Status:	CLOSED
Listbill:	NO	Date of Birth:	01/17/1964
Expedited Redemption:	NO	Dividend Option:	REINVEST
Expedited Exchange:	YES	Capital Gains Option:	REINVEST
Certificates Issued:	NO	Check Writing:	NO
Pre-Authorized Checks:	NO	Rights of Accumulation:	055820798
Letter of Intent:	NO	Letter of Intent Amount:	
Systematic Withdrawal Plan:	NO	Account Type:	IRA SEP

Beneficiary Name	Relationship	Designation
CHERIE CAZAYOUX 100%	SPOUSE	PRIMARY

SWP Payment Amount SWP Payment Months

*Systematic Withdrawal Payments are processed on the 5th day

Account Number: Fund: S&P500INDA

Automated Clearing House:	NO	Account Status:	CLOSED
Listbill:	NO	Date of Birth:	01/17/1964

MUTUAL FUNDS

ACCOUNT OPTIONS

Expedited Redemption:	NO	Dividend Option:	REINVEST
Expedited Exchange:	YES	Capital Gains Option:	REINVEST
Certificates Issued:	NO	Check Writing:	NO
Pre-Authorized Checks:	NO	Rights of Accumulation:	065520796
Letter of Intent:	NO	Letter of Intent Amount:	
Systematic Withdrawal Plan:	NO	Account Type:	IRA SEP

Beneficiary Name	Relationship	Designation
CHERIE CAZAYOUX 100%	SPOUSE	PRIMARY

SWP Payment Amount SWP Payment Months

*Systematic Withdrawal Payments are processed on the 8th day of the month

Account Number: Fund: BALANCEINV

Automated Clearing House:	NO	Account Status:	OPEN
Listbill:	NO	Date of Birth:	01/17/1964
Expedited Redemption:	NO	Dividend Option:	REINVEST
Expedited Exchange:	YES	Capital Gains Option:	REINVEST
Certificates Issued:	NO	Check Writing:	NO
Pre-Authorized Checks:	NO	Rights of Accumulation:	065520796
Letter of Intent:	NO	Letter of Intent Amount:	
Systematic Withdrawal Plan:	NO	Account Type:	IRA SEP

Beneficiary Name	Relationship	Designation
CHERIE CAZAYOUX 100%	SPOUSE	PRIMARY

SWP Payment Amount SWP Payment Months

*Systematic Withdrawal Payments are processed on the 8th day of the month

Account Number: Fund: S&P500INV

Automated Clearing House:	NO	Account Status:	OPEN
Listbill:	NO	Date of Birth:	01/17/1964
Expedited Redemption:	NO	Dividend Option:	REINVEST
Expedited Exchange:	YES	Capital Gains Option:	REINVEST
Certificates Issued:	NO	Check Writing:	NO
Pre-Authorized Checks:	NO	Rights of Accumulation:	065520796
Letter of Intent:	NO	Letter of Intent Amount:	
Systematic Withdrawal Plan:	NO	Account Type:	IRA SEP

Beneficiary Name	Relationship	Designation
CHERIE CAZAYOUX 100%	SPOUSE	PRIMARY

SWP Payment Amount SWP Payment Months

*Systematic Withdrawal Payments are processed on the 8th day of the month

Account Number: Fund: SMLCPOPINV

Automated Clearing House:	NO	Account Status:	OPEN
Listbill:	NO	Date of Birth:	01/17/1964
Expedited Redemption:	NO	Dividend Option:	REINVEST
Expedited Exchange:	YES	Capital Gains Option:	REINVEST
Certificates Issued:	NO	Check Writing:	NO
Pre-Authorized Checks:	NO	Rights of Accumulation:	065520796
Letter of Intent:	NO	Letter of Intent Amount:	
Systematic Withdrawal Plan:	NO	Account Type:	IRA SEP

Beneficiary Name	Relationship	Designation
CHERIE CAZAYOUX 100%	SPOUSE	PRIMARY

SWP Payment Amount SWP Payment Months

MUTUAL FUNDS

ACCOUNT OPTIONS

***Systematic Withdrawal Payments are processed on the 5th day of the month*

YEARLY SUMMARY

Registration: NEW YORK LIFE TRUST COMPANY
CUST FOR THE SEP IRA
[illegible] JR

Account Number: **Fund:** BALANCEDA

Contributions	Current Year	Prior Year	Year to Date Summary	Current Year	Prior Year
Employee:			Interest/Dividends:	79.14	286.43
Employer:			Short-Term Capital Gains:		192.90
			Long-Term Capital Gains:		863.28
			Total:	79.14	1,342.61

Dividends Reinvested to Account Number:

Account Number: **Fund:** SMLCAPOPPA

Contributions	Current Year	Prior Year	Year to Date Summary	Current Year	Prior Year
Employee:			Interest/Dividends:		20.31
Employer:			Short-Term Capital Gains:		34.58
			Long-Term Capital Gains:		275.56
			Total:		330.45

Dividends Reinvested to Account Number:

Account Number: **Fund:** S&P500INDA

Contributions	Current Year	Prior Year	Year to Date Summary	Current Year	Prior Year
Employee:			Interest/Dividends:		47.59
Employer:			Short-Term Capital Gains:		
			Long-Term Capital Gains:		
			Total:		47.59

Dividends Reinvested to Account Number:

Account Number: **Fund:** BALANCEINV

Contributions	Current Year	Prior Year	Year to Date Summary	Current Year	Prior Year
Employee:			Interest/Dividends:		
Employer:			Short-Term Capital Gains:		
			Long-Term Capital Gains:		
			Total:		

Dividends Reinvested to Account Number:

Account Number: **Fund:** S&P500INV

Contributions	Current Year	Prior Year	Year to Date Summary	Current Year	Prior Year
Employee:			Interest/Dividends:		
Employer:			Short-Term Capital Gains:		
			Long-Term Capital Gains:		
			Total:		

Dividends Reinvested to Account Number:

Account Number: **Fund:** SMLCPOPINV

Contributions Year to Date Summary

MUTUAL FUNDS

YEARLY SUMMARY

	Current Year	Prior Year		Current Year	Prior Year
Employee:			Interest/Dividends:		
Employer:			Short-Term Capital Gains:		
			Long-Term Capital Gains:		
			Total:		
			Dividends Reinvested to Account Number:		

ACCOUNT TRANSACTIONS

Registration: NEW YORK LIFE TRUST COMPANY
CUST FOR THE SEP IRA

Account Number: Fund: BALANCEDA

Trade Date	Transaction	Shares	Price	Total
03/28/2008	CLASS A CONV TO 2535	850.2810	24.3300	16,621.34
03/26/2008	INCOME REINVEST 0.1223	3.2160	24.6100	79.14
12/12/2007	INCOME REINVEST 0.09503897	2.5260	26.3400	61.28
12/07/2007	CAP GAIN REIN 1.42665	32.3930	26.5500	863.28
12/07/2007	SHRTTERM CG REIN 0.31878	7.2380	26.5500	192.90
10/16/2007	2007 ANNUAL MAINT FEE RCVD			17.00
09/25/2007	INCOME REINVEST 0.1239	2.6780	27.9000	74.64
06/27/2007	INCOME REINVEST 0.1275	2.8760	26.5800	76.47
03/28/2007	INCOME REINVEST 0.124	2.6300	28.1500	74.04
12/14/2006	INCOME REINVEST 0.1304	2.7810	27.8700	77.50

Account Number: Fund: SMLCAPOPPA

Trade Date	Transaction	Shares	Price	Total
03/28/2008	CLASS A CONV TO 2568	175.6860	13.2600	2,329.80
12/12/2007	INCOME REINVEST 0.1164877	1.3810	15.0300	20.31
12/07/2007	CAP GAIN REIN 1.78662	17.8590	15.4300	275.56
12/07/2007	SHRTTERM CG REIN 0.22421	2.2410	15.4300	34.58

Account Number: Fund: S&P500INDA

Trade Date	Transaction	Shares	Price	Total
03/28/2008	CLASS A CONV TO 2565	99.8580	30.1900	3,015.92
12/12/2007	INCOME REINVEST 0.46313309	1.4010	33.9500	47.59
12/19/2005	INCOME REINVEST 0.423	1.2620	32.6000	41.13

Account Number: Fund: BALANCEINV

Trade Date	Transaction	Shares	Price	Total
03/28/2008	CLASS A CONV FROM 1523	850.0140	24.3400	16,621.34

Account Number: Fund: S&P500INV

Trade Date	Transaction	Shares	Price	Total

MUTUAL FUNDS

ACCOUNT TRANSACTIONS

03/28/2008	CLASS A CONV FROM 1569	99.6980	30.1900	3,013.92

Account Number:	Fund:	BMLCPOPINV

Trade Date	Transaction	Shares	Price	Total
03/28/2008	CLASS A CONV FROM 1526	175.5540	13.2700	2,329.80

AGENT DETAILS

Name: JOSEPH HENRY THIBAUT JR
Status: ACTIVE Code: 006767 Phone: 225-635-3000
GC: BATON ROUGE GENERAL OFFICE

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:22
U.S. HOUSE OF REPRESENTATIVES
MC

STEVEN J. CHABOT
(Full Name)

(513)-662-8000
(Daytime Telephone)

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives — State: OHIO District: FIRST	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

800

Name	Page ___ of ___
STEVEN J CHABOT	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ST. XAVIER HIGH SCHOOL INC.	SPOUSE SALARY	657.44

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page ___ of ___
STEVEN J CHABOT	

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
NONE				

This page may be copied if more space is required.

Name | Page ___ of ___

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, *provide a complete address*. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is *not* publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the *instruction booklet*.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

		Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP		SP	Mega Corp. Stock				X									X			X						X								S (partial)
DC	Examples:		Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY Accounts					X									X										X						
			CHARLES SCHWAB + CO (IRA)			X										X									X								S (PARTIAL)
			ACTUANT CORP. COM STOCK IN ABOVE SCHWAB IRA			X										X							X										
			CASTLE AM + CO COM STOCK IN ABOVE SCHWAB IRA			X										X							X										
			EAGLE MATERIALS INC COM STOCK IN ABOVE SCHWAB IRA			X										X								X									
			RAVEN INDUSTRIES INC COM ST. IN ABOVE SCHWAB IRA			X										X								X									
			UNIVERSAL STAIN + ALLOY COM STOCK IN ABOVE SCHWAB IRA			X									X							X											

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name STEVEN J CHABOT

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCERPTED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MARINE PRODS CORP COM ST.			X											X								X									
	MATRIX SERV CORP " "				X									X							X											
	MED ACT INDS " "				X									X							X											
	MERIT MED SYS INC " "			X										X							X											
	MULTI COLOR CORP " "				X										X								X									
	SIGMATRON INT. INC " "			X										X							X											

This page may be copied if more space is required.

Name STEVEN J CHABOT | Page ___ of ___

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	CHARLES SCHWAB + CO. MM IN IRA (PARTIAL SALE)		X		7/9/07			X							
	PURCHASED FOLLOWING 5 STOCKS IN SAME SCHWAB IRA LISTED BELOW														
	ACTUANT CORP COM STOCK	X			7/9/07	X									
	CASTLE AM + CO. COM STOCK	X			7/9/07		X								
	EAGLE MATERIALS INC COM STOCK	X			7/9/07	X									
	RAVEN INDUSTRIES INC COM STOCK	X			7/9/07		X								
	UNIVERSAL STAIN + ALLOY COM STOCK	X			7/9/07		X								

This page may be copied if more space is required.

Name STEVEN J CHABOT | Page ___ of ___

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

Name	Page ___ of ___
STEVEN J CHABOT	

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	HERITAGE FOUNDATION	FEB 1-3	DC-BALT. MD-CINTI.	Y	Y	N	NONE
	CLUB FOR GROWTH	MAR. 29-31	DC-PALM BEACH FLA-CINTI.	Y	Y	N	NONE

This page may be copied if more space is required.

Name	Page ___ of ___
STEVEN J CHABOT	

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
NONE	

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
DEC 85-94 PAID INTO	OHIO PUBLIC EMPLOYEES RETIREMENT SYSTEM (PERS)	UPON RETIREMENT
MAY 90-DEC 94 PAID INTO	" " " DEFERRED COMP.	AT AGE 55 or RETIREMENT

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

CLERK'S OFFICE
2008 MAY 14 AM 10: 48
(Office Use Only).
CLERK OF THE HOUSE OF REPRESENTATIVES

Honorable Albert Benjamin Chandler, III
(Full Name)

202-225-4706
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: KY District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

608

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable Albert Benjamin Chandler, III

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. *If you so choose, you may indicate that an asset or income source is* that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Woodford Sun, Inc. Stock Versailles, KY Newspaper	$15,001 - $50,000	Dividends	$2,501 - $5,000	P
JT	Polly Place Farm, Inc. Stock 191 Elm St., Versailles, KY (300 acre farm)	$100,001 - $250,000	Dividends	$2,501 - $5,000	P
JT	Lipid Sciences, Inc. Stock	$1,001 - $15,000	NA	NA	NA
JT	JP Morgan stock	$1 - $1,000	NA	NA	NA
	KY Public Employees Deferred Compensation Account	$50,001 - $100,000	NA	NA	NA
	KY Retirement Systems Account-Fidelity Contra	$50,001 - $100,000	Dividends Reinvested	$2,501 - $5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable Albert Benjamin Chandler, III

JT	Rental Unit, 975 Pisgah Pike, Versailles, KY	$1,001 - $15,000	Rental	$2,501 - $5,000	NA
JT	Commonwealth Credit Union, Frankfort, KY	$1,001 - $15,000	Interest	$1 - $200	NA
	KY Public Employees Deferred Compensation Account-Gross Fund of America	$15,001 - $50,000	Dividends Reinvested	$1 - $200	NA
JT	Community Trust Bank	$15,001 - $50,000	Interest	$1 - $200	
SP	KY Public Employees Retirement Systems	$15,001 - $50,000	NA	NA	NA
JT	USEE Bonds	$50,001 - $100,000	Bonds held, not cashed	NA	NA

SCHEDULE IV - TRANSACTIONS

Name Honorable Albert Benjamin Chandler, III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	KY Retirement Systems Account-Fidelity Contra	P	Quarterly	$50,001 - $100,000
	Woodford Sun, Inc. Stock Versailles, KY Newspaper	P	Quarterly	$15,001 - $50,000
	Polly Place Farm, Inc. Stock 191 Elm St., Versailles, KY (300 acre farm)	P	Quarterly	$100,001 - $250,000

SCHEDULE IX - AGREEMENTS

Name Honorable Albert Benjamin Chandler, III

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01-01-92	Commonwealth of KY Retirement Systems	Defined Benefit Plan
12-21-92	Commonwealth of KY Public Employees Deferred Comp	Defined Contribution Plan

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 3:13
HC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Donna M. Christensen (202) 225-1790
(Full Name) (Daytime Telephone)

Filer Status	[x] Member of the U.S. House of Representatives — State: VA District: At-Large	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x] No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Armed Forces Retirement Pension	Spouse	NA
	Survivor Benefits	Spouse	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Donna M. Christensen

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on **each asset** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	Off. Bldg. 102 Richmond, VI							✓								✓											✓					
	3-Bed Condo, Questa Verde, VI						✓														✓											
	.197 Acres 40ED, LaGrange, VI			✓																	✓											
	Off. Bldg. 42 Company St., VI							✓													✓											

For additional assets and unearned income, use next page.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	VI Community Bank, St. Croix, VI	Mortgage-102 Est.Richmond				✓						
	First Bank, St. Croix, Virgin Islands	Mortgage-#E31 Est. QueataVde.		✓								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Carib News Foundation	Nov. 8-9	NY-Antigua-St. Thomas	Y	Y	N	None
	Congressional Black Caucus Foundation	Nov.26-Dec.2	DC-Johannesburg-DC	Y	Y	N	None
	National Black Leadership Commission on AIDS	Oct.8-9	St. Croix -NY -DC	Y	Y	N	None
	Alliance for Health Reform	Jan.10-11	St. Croix-Philadelphia-St. Thomas	Y	Y	N	None
	Let's Talk, Let's Test Foundation	May2-3	DC - Chicago - DC	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Member	Virgin Islands Medical Institute
Member	Board of the Institute for the Advancement of Multicultural & Minority Medicine
Member	Maya Angelou Research Center on Minority Health Advisory Board
Member	National Black Leadership Council on AIDS Board
Member	Congressional Black Caucus Foundation Board

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 4:33

U.S. HOUSE OF REPRESENTATIVES

MC (Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

Yvette D. Clarke (Full Name)

202-225-6231 (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $405 from one source)? If yes, complete and attach Schedule VII.	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER

2008 MAY -7 PM 3:28

MC

(Office Use Only)

WM. LACY CLAY
(Full Name)

202-225-2406
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: MO District: 01 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	No ✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

SCHEDULE I - EARNED INCOME

Name WM. LACY CLAY

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
St. Louis Development Corp.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name WM. LACY CLAY

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	First Community Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
SP	St. Louis Development Corp. Employee Retirement Plan (General American)	$15,001 - $50,000	Retirement	N/A	
	State of Missouri Deferred Comp. Plan (T.R. Price International Stock Fund	$1,001 - $15,000	Retirement	N/A	
	Congressional FCU personal account	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name WM. LACY CLAY

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Mar. 31 - Apr. 8	St. Louis, MO - Shanghai - Nanjing - Beijing - St. Louis, MO	Y	Y	Y	None
Community Clinic Association of Los Angeles County	Jul. 20 - 23	St. Louis, MO - San Diego - St. Louis, MO	Y	Y	Y	2 days
Congressional Black Caucus Political Education & Leadership Institute	Aug. 9 - 12	St. Louis, MO - Tunica, MS - St. Louis, MO	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name WM. LACY CLAY

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	William Lacy Clay Scholarship and Research Fund
Director	Congressional Black Caucus Foundation, Inc.
Director	Project Vote Smart

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 14 PM 3:54

(Office Use Only)

Emanuel Cleaver, II
(Full Name)

202-225-4535
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MO District: 5th	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑	No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑	No ☐

826

SCHEDULE I - EARNED INCOME

Name Emanuel Cleaver, II

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
St. James-Paseo United Methodist Church; Kansas City, Missouri	Salary	$23,946
Self Employment, Consulting; Kansas City, Missouri	Spouse Salary	N/A
KCMO Pension Plan, The Northern Trust Company, F.B.O. KCMO Employees; Kansas City, Missouri	Benefit Received from Pension Plan, Per Agreement between Emanuel Cleaver and KCMO City Government	$19,366

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Emanuel Cleaver, II

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). *For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold.* For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Allianz Life Insurance Company, 10% Bonus POWERDEX ELITE ANNUITY	$100,001 - $250,000	Other: (Individual Retirement Account)	$5,001 - $15,000	
SP	Allianz Life Insurance Company, SIMPLE RETIREMENT PLAN	$15,001 - $50,000	Other: (Individual Retirement Account)	$1,001 - $2,500	
JT	The Cleaver Co., LLC; Grandview, Missouri; The Grandview Auto Wash (Auto Laundry Business)	$100,001 - $250,000	Partnership Income	NONE	

	THE GENERAL BOARD OF PENSION AND HEALTH BENEFITS OF THE UNITED METHODIST CHURCH - MINISTERIAL PENSION PLAN - DOMESTIC BOND FUND	$15,001 - $50,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH BENEFITS OF THE UNITED METHODIST CHURCH - MINISTERIAL PENSION PLAN - DOMESTIC STOCK FUND	$50,001 - $100,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH BENEFITS OF THE UNITED METHODIST CHURCH - MINISTERIAL PENSION PLAN - INTERNATIONAL STOCK FUND	$15,001 - $50,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH BENEFITS OF THE UNITED METHODIST CHURCH - PERSONAL INVESTMENT PLAN - INTERNATIONAL STOCK FUND	$1,001 - $15,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH OF THE UNITED METHODIST CHURCH - MINISTERIAL PENSION PLAN - INFLATION PROTECTION FUND	$15,001 - $50,000	Interest	$201 - $1,000	

	THE GENERAL BOARD OF PENSION AND HEALTH OF THE UNITED METHODIST CHURCH - PERSONAL INVESTMENT PLAN - DOMESTIC BOND FUND	$1,001 - $15,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH OF THE UNITED METHODIST CHURCH - PERSONAL INVESTMENT PLAN - DOMESTIC BOND FUND	$1,001 - $15,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH OF THE UNITED METHODIST CHURCH - PERSONAL INVESTMENT PLAN - DOMESTIC STOCK FUND	$15,001 - $50,000	Interest	$201 - $1,000	
	THE GENERAL BOARD OF PENSION AND HEALTH OF THE UNITED METHODIST CHURCH - PERSONAL INVESTMENT PLAN - INFLATION PROTECTION FUND	$1,001 - $15,000	Interest	$1 - $200	
	USB FINANCIAL SERVICES, INC. - General Electric Stock Options	None	CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE IV - TRANSACTIONS

Name Emanuel Cleaver, II

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	UBS FINANCIAL SERVICES, INC. - General Electric Stock Options	S	5-31-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Emanuel Cleaver, II

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	FNMA Co-signator for Willoris McNeel (neice)	Student Loan	$10,001 - $15,000

SCHEDULE IX - AGREEMENTS

Name Emanuel Cleaver, II

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1/1/06	Emanuel Cleaver; The City of Kansas City, Missouri	Agreement between Emanuel Cleaver and the City of Kansas City, Missouri; Continuing Interest in Pension Plan Related to Former Employment

HAND DELIVERE.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

James E. Clyburn
(Full Name)

803-799-1100
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: SC — District: 6th ☐ Officer Or Employee — Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name James E. Clyburn

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
South Carolina Retirement System (This income is not subject to the outside earned income limit)	Retirement from the State of South Carolina	$49,920
U.S. Department of Veterans Affairs	Retirement Income	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James E. Clyburn

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	645-47 W. Liberty Street Sumter, SC (50% ownership)	$50,001 - $100,000	RENT	$2,501 - $5,000	
	Automated Data Processing	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Bank of America	$15,001 - $50,000	IRA/Other: Cash only	$201 - $1,000	
SP	Bank of America	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Bank of America	$1,001 - $15,000	INTEREST	$1 - $200	
	Fort Jackson Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James E. Clyburn

	Investment Entrepreneurs, LLC (10% Investment) Commerce Business Plaza Conway, S.C.	$100,001 - $250,000	None	NONE
	Merrill Lynch, Columbia, SC	$15,001 - $50,000	IRA (please see attachment)	NONE
	SCANA Corporation	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	South Carolina State Credit Union	$1 - $1,000	INTEREST	$1 - $200
	Wright-Patman Congressional Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James E. Clyburn

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
PhRMA	Feb. 19	Charleston, S.C.-Atlanta-Charleston	N	Y	N	None
Transportation Trades Department, AFL-CIO	March 3-4	Montgomery-Las Vegas-DC	Y	Y	N	None
Congressional Black Caucus Political	Aug. 9-12	DC-Memphis-Columbia, S.C.	Y	Y	Y	None
Federation of Southern Cooperatives	Aug. 16-17	Columbia, SC-Birmingham-Charlotte	Y	N	N	None
Harvard University Kennedy School of Gov't	Sept. 16	Charleston, SC-Atlanta-Columbia, SC	N	Y	N	None
Nat'l Black Leadership Commission on AIDS	Oct. 8-9	Birmingham--NYC-DC	Y	Y	N	None
Gammon Theological Seminary	Oct. 9-10	DC-Atlanta-DC	Y	Y	N	None
Congressional Black Caucus Political Education and Leadership Inst.	Oct. 12-14	DC-Dallas-DC	Y	Y	N	None
NAACP-- Boston, MA Branch	Oct. 16-17	DC-Boston-DC	Y	N	N	None

SCHEDULE VIII - POSITIONS

Name James E. Clyburn

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Allen University
Trustee	Brookgreen Gardens
Board Member	CBC PAC
Board Member	CBC Political Education & Leadership Institute
Board Member	Palmetto Conservation Foundation

SCHEDULE III – Merryl Lynch IRA

Merrill Lynch IRA -

- Muhlenkamp Fund
- Hartford Growth Opportunities Fund
- Matthews Asia Pacific Fund
- DWS Dreman High Return Equity Fund
- Davis New York Venture Fund
- Ivy Global Natural Resources Fund
- Franklin Small Cap Growth Fund
- Neuberg & Bermn Genesis Trust
- Columbia Marisco Focused Equity Fund
- Thornburg International Growth Fund
- AIM International Growth Fund
- Templeton Developing Markets Trust
- Oppenheimer Capital Appreciation Fund

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:40 MC
(Office Use Only)

John Howard Coble
(Full Name)

202.225.3065
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: NC District: 06 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Mainstay Map Mutual Fund	None	DIVIDENDS	NONE	Other
BB&T Large Company Value A Mutual Fund (BB&T Value B Mutual Fund merged into this fund)	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
BB&T Mid Cap Growth A Mutual Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
BB&T Mid Cap Growth B Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
BB&T Mid Cap Value A Mutual Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
BB&T Mid Cap Value B Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

BB&T NC Intermediate Tax Free Investment Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
BB&T Bank Savings Account	$15,001 - $50,000	INTEREST	$1,001 - $2,500
Wright Patman Federal Credit Union Savings Account	$50,001 - $100,000	INTEREST	$201 - $1,000
Wachovia Cap Asset Management Account	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500
Federated Bond Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
Federated Bond Large Cap Growth Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
Federated American Leaders Mutual Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
Bank of America Checking Account	$15,001 - $50,000	INTEREST	$1 - $200
Bank of North Carolina Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
Hartford Insurance Variable Annuity	$50,001 - $100,000	DIVIDENDS	NONE
MassMutual Insurance Flex Extra Annuity	$50,001 - $100,000	DIVIDENDS	NONE
MassMutual Insurance Transitions Annuity	$50,001 - $100,000	DIVIDENDS	NONE
Phoenix Life Insurance Annuity	$15,001 - $50,000	DIVIDENDS	NONE
State Employees Credit Union Savings Account	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

Merrill Lynch Cash Management Account	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	PS(part)
Merrill Lynch IRA	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS(part)
78 acre farm, Henry County, Virginia	$50,001 - $100,000	NONE	NONE	
Condo, Watauga County, North Carolina	$250,001 - $500,000	NONE	NONE	
NewBridge Bank Stock (formerly Lexington State Bank)	$1,001 - $15,000	INTEREST	$1 - $200	
Van Kampen Equity and Income Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Van Kampen Comstock Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
New World Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Capital World Growth and Income Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Yadkin Valley Bank and Trust Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
OM Financial Life Insurance Annuity (formerly Fidelity & Guaranty)	$1,001 - $15,000	DIVIDENDS	NONE	
Allianz Life Insurance Annuity	$15,001 - $50,000	DIVIDENDS	NONE	
Dreyfus Premier Worldwide Growth Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
MassMutual Insurance Variable Annuity	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Howard Coble

MassMutual Insurance Transitions Select Variable Annuity	$50,001 - $100,000	DIVIDENDS	NONE	P
Smith Barney Investment Account (merged with Mainstay Map Mutual Fund)	$15,001 - $50,000	DIVIDENDS	NONE	PS(part)
Bea Systems (in the Merrill Lynch CMA)	None	CAPITAL GAINS	$201 - $1,000	S
BJs Wholesale Club (in the Merrill Lynch CMA)	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S(part)
Lehman Brothers (in the Merrill Lynch CMA)	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S(part)
Lowe's Company (in the Merrill Lynch CMA)	None	CAPITAL GAINS	$201 - $1,000	S
Savient Pharmaceuticals (in the Merrill Lynch CMA)	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S(part)

SCHEDULE IV - TRANSACTIONS

Name John Howard Cobie

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Merrill Lynch Cash Management Account	PS(part)	various (see attached)	various (see attached)
	Merrill Lynch IRA	PS(part)	various (see attached)	various (see attached)
	MassMutual Insurance Transitions Select Variable Annuity	P	8-27-07	$15,001 - $50,000
	Smith Barney Investment Account	PS(part)	various (see attached)	$15,001 - $50,000
	Mainstay Map Mutual Fund (merged into Smith Barney Investment Account)	Other	2-20-07	$1,001 - $15,000
	Bea Systems (in the Merrill Lynch CMA)	S	12-02-07	$1,001 - $15,000
	BJs Wholesale Club (in the Merrill Lynch CMA)	S(part)	2-01-07	$1,001 - $15,000
	Lehman Brothers (in the Merrill Lynch CMA)	S(part)	2-01-07	$1,001 - $15,000
	Lowe's Company (in the Merrill Lynch CMA)	S	7-10-07	$1,001 - $15,000
	Savient Pharmaceuticals (in the Merill Lynch CMA)	S(part)	2-01-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name John Howard Coble

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Visitors	Guilford College, Greensboro, North Carolina
Member, Congresisonal Advisory Board	Greensboro College, Greensboro, North Carolina
Member, Congressional Advisory Board	DECA: An Association of Marketing Students, Reston, Virginia
Member, Congresional Advisory Board	American Conservative Union, Alexandria, Virginia
Member, Congressional Council	The Faith & Poltics Institute, Washington, D.C.
Member, Congressional Advisory Board	ThanksUSA, McLean, Virginia

TOTAL MERRILL

[redacted]

Account Number: [redacted]

24-Hour Assistance: (800) MERRILL

MR J HOWARD COBLE
[redacted]

Net Portfolio Value: *$263,438.59*

Your Financial Advisor:
ROBERT C KETNER
[redacted]

CMA® ACCOUNT

December 01, 2007 - December 31, 2007

ASSETS

	December 31	November 30
Cash/Money Accounts	739.30	583.69
Fixed Income	-	-
Equities	134,199.19	134,676.84
Mutual Funds	128,500.10	128,739.39
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	*263,438.59*	*263,999.92*
TOTAL ASSETS	$263,438.59	$263,999.92

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	$263,438.59	$263,999.92
MARGIN AVAILABLE CREDIT	144,576.00	

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	$583.69	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	16.26
Subtotal	-	*16.26*
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	(125.00)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	-	*(125.00)*
Net Cash Flow	-	($108.74)
Dividends/Interest Income	1,027.71	6,302.87
Dividend Reinvestments	(672.16)	(4,985.26)
Security Purchases/Debits	-	(25,705.93)
Security Sales/Credits	-	25,047.88
Closing Cash/Money Accounts	$739.30	
Securities You Transferred In/Out	40.44	1,995.03

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2007 - December 31, 2007

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest to Deposits	Closing Balance
Merrill Lynch Bank USA	581	651	2.90	1.58	738
TOTAL ML Bank Deposit Program	581			1.58	738

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
AMER EXPRESS COMPANY	Opinion Downgraded		MORGAN STANLEY	Opinion Downgraded	
AMGEN INC COM PV $0.0001	Opinion Downgraded		SAVIENT PHARMACEUTICALS	Opinion Upgraded	
BANK OF AMERICA CORP	Opinion Downgraded				

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1.30	1		1.30		
ML Bank Deposit Program	738.00	738	1.0000	738.00	21	2.90
TOTAL		739		739.30	21	2.90

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALLIANCEBERNSTEIN HLDG LP	AB	07/10/07	55	89.1369	4,902	75.2500	4,138.75	(763)	264	6.37
AMER EXPRESS COMPANY	AXP	07/24/01	125	34.0510	4,257	52.0200	6,502.50	2,245	90	1.30
AMGEN INC COM PV $0.0001	AMGN	04/12/01	103	32.1700	3,313	46.4400	4,783.32	1,469		
		04/18/01	44	32.1700	1,415	46.4400	2,043.36	627		
Subtotal			147		4,728		6,826.68	2,096		
APPLIED MATERIAL INC	AMAT	11/27/01	200	22.0581	4,411	17.7600	3,552.00	(859)	48	1.35

TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [redacted]

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ATMEL CORP COM	ATML	09/19/00	170	18.9817	3,226	4.3200	734.40	(2,492)		
		08/20/02	330	3.0224	997	4.3200	1,425.60	428		
Subtotal			500		4,224		2,160.00	(2,064)		
‡ BANK OF AMERICA CORP	BAC	08/10/07	75	50.1309	3,759	41.2600	3,094.50	(666)	192	6.20
BJS WHOLESALE CLUB INC	BJ	04/22/03	200	14.4203	2,884	33.8300	6,766.00	3,881		
CEPHALON INC COM	CEPH	04/27/06	75	67.4304	5,057	71.7600	5,382.00	324		
CORNING INC	GLW	11/02/06	245	20.8228	5,101	23.9900	5,877.55	775	49	.83
EMC CORPORATION MASS	EMC	11/02/06	400	12.6072	5,042	18.5300	7,412.00	2,369		
FLEXTRONICS INTL LTD	FLEX	12/04/06	340	11.9824	4,074	12.0500	4,100.40	26		
GOODYEAR TIRE RUBBER	GT	09/23/05	320	16.1003	5,152	28.2200	9,030.40	3,878		
INTEL CORP	INTC	04/27/06	250	20.7168	5,179	26.6600	6,665.00	1,485	112	1.68
KING PHARMACEUTICALS INC	KG	10/31/07	475	11.0013	5,225	10.2400	4,864.00	(361)		
KNIGHT CAPITAL GROUP INC	NITE	07/24/01	500	10.1357	5,067	14.4000	7,200.00	2,132		
LEHMAN BROTHRS HLDGS CON	LEH	09/30/99	170	14.8883	2,531	65.4400	11,124.80	8,593	102	.91
MICRON TECHNOLOGY INC	MU	04/22/03	415	9.7606	4,050	7.2500	3,008.75	(1,041)		
MILLENNIUM PHARMS INC	MLNM	06/27/05	165	9.3933	1,549	14.9800	2,471.70	921		
		06/27/05	100	9.3609	936	14.9800	1,498.00	561		
Subtotal			265		2,485		3,969.70	1,482		
‡ MORGAN STANLEY	MS	11/02/05	65	63.6561	4,137	53.1100	3,452.15	(685)	70	2.03
PFIZER INC DEL PV$0.05	PFE	02/01/07	185	27.3954	5,068	22.7300	4,205.05	(863)	236	5.63
RF MICRO DEVICES INC	RFMD	04/27/06	280	9.6585	2,704	5.7100	1,598.80	(1,105)		
		02/01/07	100	8.0882	806	5.7100	571.00	(235)		
		02/01/07	200	8.0980	1,619	5.7100	1,142.00	(477)		
Subtotal			580		5,130		3,311.80	(1,817)		
‡ SAVIENT PHARMACEUTICALS INC	SVNT	04/18/01	450	7.7846	3,503	22.9700	10,336.50	6,833		
SCHWAB CHARLES CORP NEW	SCHW	11/27/01	270	15.8931	4,291	25.5500	6,898.50	2,607	54	.78
SUN MICROSYSTEMS INC	JAVA	11/02/06	230	22.2243	5,111	18.1300	4,169.90	(941)		
TRANSMETA CORP DEL	TMTA	04/19/01	11	447.2927	4,920	13.6800	150.26	(4,769)		
TOTAL					110,299		134,199.19	23,898	1,218	.91

MR J HOWARD COBLE Account Number: [redacted] 24 Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

RESEARCH RATINGS

Security	Symbol	ML Rating	Independent Research Provider	Independent Research Provider	Jaywalk Consensus Rating SELL HOLD BUY
AMER EXPRESS COMPANY	AXP	B-3-7	Buy -Morningstar	Buy -Argus Fundamental Research	1.63
AMGEN INC COM PV $0.0001	AMGN	C-2-9	Buy -Morningstar	Hold -Rochdale Securities	1.69
APPLIED MATERIAL INC	AMAT	C-2-7	Buy -Morningstar	Buy -Atlantis Research	1.56
BJS WHOLESALE CLUB INC	BJ	N/A	Hold -Morningstar	Hold -Channel Trend Inc.	1.69
BANK OF AMERICA CORP	BAC	A-2-7	Buy -Morningstar	Hold -Argus Fundamental Research	1.85
CEPHALON INC COM	CEPH	C-2-9	Hold -Morningstar	Buy -Buckingham Research	2.07
CORNING INC	GLW	C-2-7	Buy -Morningstar	Buy -Argus Fundamental Research	1.39
EMC CORPORATION MASS	EMC	N/A	Buy -Morningstar	Hold -Ativo Research	1.52
FLEXTRONICS INTL LTD	FLEX	C-1-9	Hold -Morningstar	Sell -Rochdale Securities	1.31
GOODYEAR TIRE RUBBER	GT	C-1-9	Buy -Morningstar	Buy -Market Edge Research	1.91
INTEL CORP	INTC	C-2-7	Hold -Morningstar	Buy -Ford Equity Research	1.47
KING PHARMACEUTICALS INC	KG	C-2-9	Buy -Morningstar	Buy -Ford Equity Research	1.65
KNIGHT CAPITAL GROUP INC	NITE	N/A	Buy -Morningstar	Hold -GARP Research	2.00
LEHMAN BROTHRS HLDGS CON	LEH	C-2-7	Buy -Morningstar	Buy -Boyar's Alternative Viewpoint	1.84
MILLENNIUM PHARMS INC	MLNM	C-2-9	Hold -Morningstar	Sell -Ford Equity Research	1.91
MORGAN STANLEY	MS	C-2-7	Buy -Morningstar	Buy -Buckingham Research	1.92
MICRON TECHNOLOGY INC	MU	C-2-9	Buy -Morningstar	Hold -Channel Trend Inc.	2.22
PFIZER INC DEL PV$0.05	PFE	B-2-7	Buy -Morningstar	Hold -TheStreet.com Ratings	1.85
RF MICRO DEVICES INC	RFMD	C-2-9	Hold -Morningstar	Sell -Ativo Research	1.73
SAVIENT PHARMACEUTICALS	SVNT	C-1-9	Hold -Morningstar	Buy -Market Edge Research	2.56
SCHWAB CHARLES CORP NEW	SCHW	B-1-7	Hold -Morningstar	Buy -Weiss Ratings, Inc	1.48
SUN MICROSYSTEMS INC	JAVA	N/A	Hold -Morningstar	No Coverage	2.00
ALLIANCEBERNSTEIN HLDG	AB	B-1-7	Hold -Morningstar	Buy -Buckingham Research	1.63

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR MERRILL LYNCH AND INDEPENDENT RESEARCH RATINGS.

+

TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [redacted]

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DAVIS NY VENTURE FD A	2,018	44,046	36,693	1419	40.0100	80,718	31,320	861	1.06
	43			N/A	40.0100	1,143	N/A	18	1.03
(.6890 FRACTIONAL SHARE)				N/A	40.0100	1.56	N/A		1.03
SYMBOL: NYVTX Initial Purchase: 02/09/96									
FEDERATED KAUFMANN FUND CL A	7,385	28,663	17,346	4315	6.2300	46,055	5,863		
(.5430 FRACTIONAL SHARE)				N/A	6.2300	.38	N/A		
SYMBOL: KAUAX Initial Purchase: 01/14/04									
Subtotal (Equity Funds)			54,036	1734		128,510	36,983	880	.69
TOTAL			54,038	1764		128,510	36,983	880	.69

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment.
Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased & still held, as well as cost of shares acquired through reinvestment). Provided for Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and/or sale of mutual fund shares with short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain/sell to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	200,803	253,438.59	60,881		2,120	.80

Total values exclude N/A items

852

MR J HOWARD COBLE

Account Number: [redacted]

24-Hour Assistance: (800) MERRILL

YOUR CMA TRANSACTIONS

December 01, 2007 - December 31, 2007

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/31	¤ Bank Interest		BANK DEPOSIT INTEREST		.58	
	Income Total		ML Bank Deposit Program		1.00	
	Subtotal (Interest)				1.58	24.69
12/03	* Dividend		INTEL CORP		28.13	
			HOLDING 250.0000			
			PAY DATE 12/01/2007			
12/04	* Dividend		PFIZER INC DEL PV$0.05		53.65	
			HOLDING 185.0000			
			PAY DATE 12/04/2007			
12/05	* Dividend		DAVIS NY VENTURE FD A		872.10	
			PAY DATE 12/04/2007			
12/05	Reinvestment		DAVIS NY VENTURE FD A	(872.10)		
12/05	Divd Reinv	21	DAVIS NY VENTURE FD A			
			REINV AMOUNT $872.10			
			REINV PRICE $40.20000			
			QUANTITY BOT 21.6910			
			AS OF 12/03			
12/06	* Dividend		APPLIED MATERIAL INC		12.00	
			HOLDING 200.0000			
			PAY DATE 12/06/2007			
12/14	* Dividend		CORNING INC		12.25	
			HOLDING 245.0000			
			PAY DATE 12/14/2007			
12/28	* Dividend		BANK OF AMERICA CORP		48.00	
			HOLDING 75.0000			
			PAY DATE 12/28/2007			
	Subtotal (Dividends)				1,026.13	6,148.38
	Subtotal (Non-Reportable Dividends)					129.80
	NET TOTAL			(872.10)	1,027.71	6,302.87

+

853

TOTAL MERRILL

MR J HOWARD COBLE

Account Number: [redacted]

YOUR CMA TRANSACTIONS

December 01, 2007 - December 31, 2007

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
Subtotal (Long-Term)							3,021.11
Subtotal (Short-Term)							243.21
TOTAL							**3,264.32**

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2007 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/05	DAVIS NY VENTURE FD A FULL SHARE ACCUM SHARE VALUE $40.44	Journal Entry	1	40.44	
	NET TOTAL			**40.44**	**1,995.63**

YOUR CMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/03	ML Bank Deposit Program		2.00	12/17	ML Bank Deposit Program		12.00
12/10	ML Bank Deposit Program		94.00	12/31	ML Bank Deposit Program		48.00
	NET TOTAL						**156.00**

[redacted]

TOTAL MERRILL

[redacted] Account Number: [redacted] 24-Hour Assistance: (800) MERRILL

MLPF & S CUST FBO
J HOWARD COBLE IRA
FBO J HOWARD COBLE
[redacted]

Net Portfolio Value: *$44,283.82*

Your Financial Advisor:
ROBERT C KETNER
[redacted]

RETIREMENT ACCOUNT

December 01, 2007 - December 31, 2007

ASSETS

	December 31	November 30
Cash/Money Accounts	259.90	223.24
Fixed Income	-	-
Equities	40,393.75	39,139.65
Mutual Funds	3,630.17	3,613.12
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	*44,283.82*	*42,976.01*
TOTAL ASSETS	**$44,283.82**	$42,976.01

LIABILITIES

Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$44,283.82**	$42,976.01

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	$223.24	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Other Debits	-	(100.00)
Subtotal	-	*(100.00)*
Net Cash Flow	-	($100.00)
Dividends/Interest Income	47.24	805.50
Dividend Reinvestments	(10.54)	(343.75)
Security Purchases/Debits	-	(8,482.52)
Security Sales/Credits	-	8,097.75
Closing Cash/Money Accounts	$259.90	
Securities You Transferred In/Out	40.44	(1,896.48)

855

FBO J HOWARD COBLE Account Number [redacted] 24-Hour Assistance: (800) MERRILL

ITEMS FOR ATTENTION

December 01, 2007 - December 31, 2007

Security	Message	Date	Security	Message	Date
SAVIENT PHARMACEUTICALS	Opinion Upgraded				

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.77	0		.77		
ML BANK USA RASP	259.13	259	1.0000	259.13	1	.69
TOTAL		259		259.90	1	.69

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
APPLIED MATERIAL INC	AMAT	11/27/01	150	22.1371	3,320	17.7600	2,664.00	(656)	36	1.35
ATMEL CORP COM	ATML	09/19/00	225	19.0620	4,288	4.3200	972.00	(3,316)		
BJS WHOLESALE CLUB INC	BJ	04/22/03	165	14.5084	2,393	33.8300	5,581.95	3,188		
FLEXTRONICS INTL LTD	FLEX	02/01/07	210	12.1453	2,550	12.0600	2,532.60	(17)		
KING PHARMACEUTICALS INC	KG	10/31/07	300	11.0413	3,312	10.2400	3,072.00	(240)		
LEHMAN BROTHRS HLDGS COM	LEH	09/30/99	90	14.9185	1,342	65.4400	5,889.60	4,546	54	.91
MICRON TECHNOLOGY INC	MU	04/22/03	250	9.8157	2,453	7.2500	1,812.50	(641)		
MILLENNIUM PHARMS INC	MLNM	06/27/05	300	9.3505	2,805	14.9800	4,494.00	1,688		
		06/27/05	60	9.3326	559	14.9800	898.80	338		
Subtotal			*360*		*3,365*		*5,392.80*	*2,026*		
PFIZER INC DEL PV$0.05	PFE	02/01/07	95	27.5746	2,619	22.7300	2,159.35	(460)	121	5.63
SAVIENT PHARMACEUTICALS INC	SVNT	04/12/02	210	5.4112	1,136	22.9700	4,823.70	3,687		
SCHWAB CHARLES CORP NEW	SCHW	11/27/01	215	15.9237	3,423	26.5500	5,493.25	2,069	43	.78
TOTAL					30,207		40,393.76	10,186	254	.63

858

857

[redacted]

TOTAL MERRILL

FBO J HOWARD COBLE

Account Number: [redacted]

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2007 - December 31, 2007

RESEARCH RATINGS

Security	Symbol	ML Rating	Independent Research Provider	Independent Research Provider	Jaywalk Consensus Rating (SELL HOLD BUY)
APPLIED MATERIAL INC	AMAT	C-2-7	Buy -Morningstar	Buy -Atlantis Research	1.66
BJS WHOLESALE CLUB INC	BJ	N/A	Hold -Morningstar	Hold -Channel Trend Inc.	1.69
FLEXTRONICS INTL LTD	FLEX	C-1-9	Hold -Morningstar	Sell -Rochdale Securities	1.31
KING PHARMACEUTICALS INC	KG	C-2-9	Buy -Morningstar	Buy -Ford Equity Research	1.65
LEHMAN BROTHRS HLDGS COM	LEH	C-2-7	Buy -Morningstar	Buy -Boyar's Alternative Viewpoint	1.84
MILLENNIUM PHARMS INC	MLNM	C-2-9	Hold -Morningstar	Sell -Ford Equity Research	1.91
MICRON TECHNOLOGY INC	MU	C-2-9	Buy -Morningstar	Hold -Channel Trend Inc	2.22
PFIZER INC DEL PV$0.05	PFE	B-2-7	Buy -Morningstar	Hold -TheStreet.com Ratings	1.85
SAVIENT PHARMACEUTICALS	SVNT	C-1-9	Hold -Morningstar	Buy -Market Edge Research	2.56
SCHWAB CHARLES CORP NEW	SCHW	B-1-7	Hold -Morningstar	Buy -Weiss Ratings, Inc.	1.48

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR MERRILL LYNCH AND INDEPENDENT RESEARCH RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DAVIS NY VENTURE FD A	25		1,000	699	40.0100	1,000.25	301	10	1.06
(0.150 FRACTIONAL SHARE)				*N/A*	*40.0100*	*.60*	*N/A*		*1.06*
SYMBOL: NVVIX Initial Purchase: RCNIV									
FEDERATED KAUFMANN FUND CL A	422	1,369	1,259	2,316	6.2300	2,629.06	312		
(0.420 FRACTIONAL SHARE)				*N/A*	*6.2300*	*.28*	*N/A*		
SYMBOL: KAUAX Initial Purchase: 01/14/04									
Subtotal (Equity Funds)			*2,259*	*3,015*		*3,630.17*	*613*	*10*	*.29*

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2007 - December 31, 2007

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TOTAL			2,259	3,015		3,630.17	613	10	.29

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment.
Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	33,483	44,283.82	10,799		267	.60

Total values exclude N/A items.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
12/04	* Dividend		PFIZER INC DEL PV$0.05		27.55	
			HOLDING 95.0000			
			PAY DATE 12/04/2007			
12/05	* Dividend		DAVIS NY VENTURE FD A		10.58	
			PAY DATE 12/04/2007			
12/05	Reinvestment		DAVIS NY VENTURE FD A	(10.58)		
12/05	Dwd Reinv		DAVIS NY VENTURE FD A			
			REINV AMOUNT $10.58			
			REINV PRICE $40.20000			

FBO J HOWARD COBLE

Account Number: [redacted]

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2007 - December 31, 2007

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
			QUANTITY BOT .2630			
			AS OF 12/03			
12/06	*Dividend		APPLIED MATERIAL INC		9.00	
			HOLDING 150.0000			
			PAY DATE 12/06/2007			
	Income Total		ML BANK USA RASP		.11	
	Subtotal (Non-Reportable Dividends)				*47.24*	*805.50*
	NET TOTAL			**(10.58)**	**47.24**	**805.50**

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
Subtotal (Long-Term)							*3,357.98*
TOTAL							**3,357.98**

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2007 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/05	DAVIS NY VENTURE FD A	Journal Entry	1	40.44	
	FULL SHARE ACCUM				
	SHARE VALUE $40.44				
	NET TOTAL			**40.44**	**(1,896.48)**

FBO J HOWARD COBLE Account Number: [redacted] 24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2007 - December 31, 2007

FUNDS RECEIVED, WITHDRAWALS, AND CHARGES

Date	Transaction Type	Quantity	Description	Debit	Credit
12/05	Fund Delivery	27	ML BANK USA RASP		
12/05	Subscription	27	ML BANK USA RASP	27.00	
12/07	Fund Delivery	9	ML BANK USA RASP		
12/07	Subscription	9	ML BANK USA RASP	9.00	
	NET TOTAL			**36.00**	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year End Plan Value as of December 31, 2007: $44,283.82 **Life-To-Date Nondeductible Contributions: $2,000.00**

Contributions after December 31, 2006 for 2006: $.00

Distributions	Tax Year 2007	Tax Year 2006
Normal	$1,928.45	$1,968.66

For purposes of calculating required minimum distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/07. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA Roth IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

861

[redacted]

Ref: [redacted]

WORKING WEALTH
AT SMITH BARNEY

Client Statement

December 1 - December 31, 2007

[redacted]

J HOWARD COBLE

[redacted]

Account number [redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
DEREK DEGRASS

[redacted]

Branch Phone: 800-456-0456

Citigroup Global Markets Inc., member all principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value	Last period	This period	%
Cash balance	$ 0.00	$ 48.38	.19
Money fund	531.98	564.78	2.20
Closed end funds	25,760.57	25,008.60	97.61
Unsettled purchases/sales	0.00	88.68	
Total value	$ 26,292.55	$ 25,710.35	100.00

Unsettled purchases/sales are reflected in the "Portfolio details" section.

Earnings summary	This period Taxable	This period Non-taxable	This year Taxable	This year Non-taxable
Other dividends	$ 48.38	$ 0.00	$ 203.66	$ 0.00
Money fund earnings	2.09	0.00	96.54	0.00
Total	$ 50.47	$ 0.00	$ 300.20	$ 0.00

Cash, money fund, bank deposits	This period	This year
Opening balance	$ 531.98	
Securities bought and other subtractions	(8,018.98)	
Securities sold and other additions	8,139.38	
Net unsettled purchases/sales	(88.68)	
Deposits	0.00	20,000.00
Withdrawals	0.00	(338.33)
Dividends credited	48.38	
Money fund earnings reinvested	2.09	
Closing balance	$ 613.17	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	$ 26,292.55	$ 4,178.04
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	19,661.67
Beginning value net of deposits/withdrawals	26,292.55	23,840.71
Total value as of 12/31/2007 (excl. accr. int.)	$ 25,710.35	$ 25,710.35
Total return	($ 582.20)	$ 1,869.64

citi smith barney

Ref: [redacted]

WORKING WEALTH.
AT SMITH BARNEY

Client Statement

December 1 - December 31, 2007

[redacted]

J HOWARD COBLE

Account number [redacted]

Gain/loss summary	This period	This year
Realized gain or (loss)	($ 17.61)	$ 242.71 LT $ 495.17 ST
Unrealized gain or (loss) to date	943.81	

PORTFOLIO DETAIL

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 12/31/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated income (annualized)
564.79	WESTERN ASSET MONEY MARKET FUND CLASS A	$ 564.79		4.61%	$ 26.47
Total money funds		$ 564.79	$ 0.00	4.61%	$ 26.47

Closed end funds

Citi Investment Research ratings may be shown for certain closed end funds. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2,3) is based upon Citi Investment Research's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing Citi Investment Research ratings.

Closed end investment companies are grouped below by our portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
16	ISHARES MSCI AUSTRALIA INDEX FUND Equity portfolio	EWA	03/01/07	$ 380.31	$ 23.769	$ 28.82	$ 461.12	$ 80.81 ST		
3			03/09/07	73.38	24.46	28.82	86.46	13.08 ST		
4			03/16/07	99.45	24.863	28.82	115.28	15.83 ST		
3			03/26/07	77.60	25.834	28.82	86.46	8.86 ST		
4			03/30/07	100.68	25.82	28.82	115.28	14.60 ST		
3			04/09/07	80.46	26.82	28.82	86.46	6.00 ST		

citi smith barney

863

[redacted]

Ref: [redacted]

WORKING WEALTH. AT SMITH BARNEY

Client Statement

December 1 - December 31, 2007

J HOWARD COBLE

Account number [redacted]

Closed end funds *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
3	ISHARES MSCI AUSTRALIA INDEX FUND Equity portfolio	EWA	04/13/07	$ 82.38	$ 27.46	$ 28.82	$ 86.46	$ 4.08 ST		
8			09/14/07	230.36	28.795	28.82	230.56	.20 ST		
44				1,127.62	25.625		1,268.08	140.56	3.616	45.40
15	ISHARES MSCI BELGIUM INDEX FUND Equity portfolio	EWK	03/01/07	376.76	25.05	24.40	366.00	(9.76) ST		
3			03/09/07	77.23	25.742	24.40	73.20	(4.03) ST		
5			03/16/07	126.45	25.29	24.40	122.00	(4.45) ST		
3			03/26/07	79.27	26.422	24.40	73.20	(6.07) ST		
3			03/30/07	79.92	26.64	24.40	73.20	(6.72) ST		
4			04/09/07	109.08	27.27	24.40	97.60	(11.48) ST		
3			04/13/07	82.32	27.44	24.40	73.20	(8.12) ST		
13			09/14/07	338.57	26.89	24.40	317.20	(19.37) ST		
49				1,268.59	25.649		1,198.60	(70.99)	1.807	21.61
53	ISHARES MSCI UNITED KINGDOM INDEX FUND Equity portfolio	EWU	12/04/07	1,338.61	25.256	24.06	1,276.24	(62.37) ST	3.376	43.09
34	ISHARES MSCI FRANCE INDEX FUND Equity portfolio	EWQ	12/04/07	1,313.94	38.646	38.03	1,293.02	(20.92) ST	1.22	15.78
21	ISHARES MSCI SPAIN INDEX FUND Equity portfolio	EWP	10/02/07	1,281.41	61.495	63.65	1,336.65	45.24 ST	.601	8.04
12	ISHARES RUSSELL MIDCAP VALUE INDEX FD Equity portfolio	IWS	12/31/07	1,698.73	141.394	141.06	1,692.72	(4.01) ST	2.908	49.24
41	POWERSHARES EXCHANGE-TRADED FD TR INTL DIV ACHIEVERS PORT Equity portfolio	PID	03/01/07	748.45	18.254	20.58	843.78	95.33 ST		
11			03/09/07	204.73	18.612	20.58	226.38	21.65 ST		
10			03/16/07	185.63	18.563	20.58	205.80	20.17 ST		
8			03/26/07	172.91	19.212	20.58	165.22	12.31 ST		
10			03/30/07	192.40	19.24	20.58	205.80	13.40 ST		
11			04/09/07	215.49	19.59	20.58	226.38	10.89 ST		
9			04/13/07	178.11	19.79	20.58	185.22	7.11 ST		
35			09/14/07	719.55	20.53	20.58	720.30	1.75 ST		
135				2,618.27	19.237		2,798.88	182.61	2.216	62.02
26	POWERSHARES DYNAMIC MID CAP GROWTH PORTFOLIO Equity portfolio	PWJ	03/01/07	486.90	19.475	24.26	606.60	119.60 ST		
11			03/09/07	221.65	20.15	24.26	266.86	45.21 ST		
11			03/16/07	220.22	20.02	24.26	266.86	46.64 ST		
10			03/26/07	205.75	20.575	24.26	242.60	36.85 ST		

citi smith barney

Ref: [redacted]

WORKING WEALTH. AT SMITH BARNEY

Client Statement

December 1 - December 31, 2007

[redacted]

J HOWARD COBLE Account number [redacted]

Closed end funds *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
12	POWERSHARES DYNAMIC MID CAP GROWTH PORTFOLIO Equity portfolio	PWJ	03/30/07	$ 243.12	$ 20.26	$ 24.26	$ 291.12	$ 48.00 ST		
10			04/09/07	209.00	20.90	24.26	242.60	33.60 ST		
11			04/13/07	229.02	20.82	24.26	266.86	37.84 ST		
90				1,815.68	20.174		2,183.40	367.74	.032	.72
19	POWERSHARES DYNAMIC SMALL CAP VALUE PORTFOLIO Equity portfolio	PWY	03/01/07	334.06	17.581	15.95	303.05	(31.01) ST		
7			03/09/07	126.39	17.912	15.95	111.65	(13.74) ST		
6			03/16/07	107.36	17.891	15.95	95.70	(11.66) ST		
7			03/28/07	128.96	18.422	15.95	111.65	(17.31) ST		
7			03/30/07	127.54	18.22	15.95	111.65	(15.89) ST		
7			04/09/07	129.15	18.45	15.95	111.65	(17.50) ST		
6			04/13/07	111.00	18.50	15.95	95.70	(15.30) ST		
59				1,063.46	18.025		841.05	(122.40)	12.025	113.16
18	POWERSHARES DYNAMIC SMALL CAP GROWTH PORTFOLIO Equity portfolio	PWT	03/01/07	313.95	17.441	17.47	314.46	.51 ST		
7			03/09/07	124.34	17.762	17.47	122.29	(2.05) ST		
6			03/16/07	106.28	17.713	17.47	104.82	(1.46) ST		
7			03/28/07	127.70	18.242	17.47	122.29	(5.41) ST		
7			03/30/07	126.84	18.12	17.47	122.29	(4.55) ST		
7			04/09/07	128.73	18.39	17.47	122.29	(6.44) ST		
7			04/13/07	129.29	18.47	17.47	122.29	(7.00) ST		
59				1,057.13	17.917		1,030.73	(26.40)		
36	SPDR SER TR DJ WILSHIRE LARGE CAP GROWTH Equity portfolio	ELG	03/01/07	1,902.24	52.84	58.71	2,113.56	211.32 ST		
13			03/09/07	688.97	53.767	58.71	763.23	64.26 ST		
12			03/16/07	636.42	53.034	58.71	704.52	68.10 ST		
11			03/28/07	696.63	54.238	58.71	646.81	49.18 ST		
13			03/30/07	689.14	53.78	58.71	763.23	64.09 ST		
11			04/09/07	605.88	55.08	58.71	646.81	39.93 ST		
12			04/13/07	662.40	55.20	58.71	704.52	42.12 ST		
108				5,901.69	53.719		6,340.68	529.00	.844	53.57
65	VANGUARD VALUE ETF Equity portfolio	VTV	12/31/07	3,670.70	56.74	56.39	3,665.45	(19.25) ST	3.343	122.10
Total closed end fund equity allocation							$ 25,008.80			
Total closed end funds				$ 24,084.68			[illegible]	$ 949.81 ST	3.18	
								$ 0.00 LT		(57.07)
Total portfolio value				$ 24,084.68			[illegible]	$ 949.81 ST	3.20	
								$ 0.00 LT		$ 883.20

citi smith barney

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE OFFICE CENTER
2008 MAY 14 AM 9:32
(Office Use Only)
CLERK, HOUSE OF REPRESENTATIVES

Stephen Ira Cohen
(Full Name)

202-225-3265
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: TN, District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Amgen Inc.	$15,001 - $50,000	None	NONE	
	AMR Corp.	$1 - $1,000	None	NONE	
	AT&T	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Barrick Gold Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Berkshire Hathaway Inc.	$50,001 - $100,000	None	NONE	P
	Boeing Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

	Buckeye Technologies	None	CAPITAL GAINS	$1,001 - $2,500	S
	Calvert Income Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Carnival Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Chevron Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Coca Cola	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Cohen & Steers Quality REIT	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Cohen & Steers Total Return	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Comcast Corp.	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	S - partial
	Commercial Bank & Trust Memphis, TN	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Coventry Health Corp.	$50,001 - $100,000	None	NONE	
	Daimler AG	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Developers Diversified Realty	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	DirecTV Group	$1,001 - $15,000	None	NONE	
	Eli Lilly and Company	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

	ExxonMobil Corp.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	FedEx Corp.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Fidelity Contra Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Fidelity Mageallan Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Fidelity OTC	$15,001 - $50,000	None	NONE	
	Fidelity Puritan Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Fidelity Retirement (Tennessee State Pension Plan - not self-directed)	$50,001 - $100,000	DIVIDENDS	$1 - $200	
	First Data Corp.	None	CAPITAL GAINS	$2,501 - $5,000	S
	First Horizon National Corp.	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
	Fluor Corp.	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	General Electric	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	General Motors	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	GlaxoSmithKline	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Health Care Property Investors	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	

	Host Hotels and Resorts	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	IBM	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Ingram Micro Inc.	$1,001 - $15,000	None	NONE	
	Marriott	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Massey Energy Co.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Midwest Air Group	$1,001 - $15,000	None	NONE	
	National Semiconductor	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Newscorp	$1 - $1,000	None	NONE	
	Northop Grumman	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	PepsiCo	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Pfizer	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Raytheon	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Regions Financial Corp.	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	Schering Plough	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

	Schlumberger Ltd.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Smith Barney (Money Fund)	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Sony Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Spectra Energy Corp.	$1,001 - $15,000	None	NONE	
	Talbots	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Tim Hortons	$15,001 - $50,000	None	NONE	
	Time Warner, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	TiVo Inc.	$1,001 - $15,000	None	NONE	
	Transocean Inc.	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	U.S. Steel	$100,001 - $250,000	DIVIDENDS	$1 - $200	
	Walt Disney Company	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Western Union	$1,001 - $15,000	None	NONE	
	Weyerhauser	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wild Oats Markets	$0	CAPITAL GAINS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen Ira Cohen

	WWE Entertainment, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Stephen Ira Cohen

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AT&T	P	2-6-07	$15,001 - $50,000
	Berkshire Hathaway Inc.	P	6-4-07	$15,001 - $50,000
	Buckeye Technologies	S	4-30-07	$1,001 - $15,000
	Comcast Corp.	S - partia	9-24-07	$1,001 - $15,000
	First Data Corp.	S	9-24-07	$1,001 - $15,000
	First Horizon National Corp.	P	10-24-07	$1,001 - $15,000
	Buckeye Technologies	S	10-24-07	$1,001 - $15,000
	First Horizon National Corp.	S	12-28-07	$1,001 - $15,000
	Transocean	S	11-27-07	$1,001 - $15,000
	Wild Oats Markets	S	8-20-07	$15,001 - $50,000
	General Electric	P	6-4-07	$15,001 - $50,000
	Micron Technology	S	12-28-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Stephen Ira Cohen

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board	Memphis Zoological Society
Board Member	Circuit Playhouse, Inc.

STEVE COHEN
9TH DISTRICT, TENNESSEE

1004 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515

TELEPHONE: (202) 225-3265
FAX: (202) 225-5663

167 NORTH MAIN STREET
SUITE 369
MEMPHIS, TN 38103

TELEPHONE: (901) 544-4131
FAX: (901) 544-4329

Congress of the United States
House of Representatives
Washington, DC 20515–4209

COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE

SUBCOMMITTEES:
AVIATION
HIGHWAYS AND TRANSIT
ECONOMIC DEVELOPMENT, PUBLIC BUILDINGS AND EMERGENCY MANAGEMENT

COMMITTEE ON THE JUDICIARY

SUBCOMMITTEES:
CONSTITUTION, CIVIL RIGHTS, AND CIVIL LIBERTIES
COURTS, THE INTERNET, AND INTELLECTUAL PROPERTY
COMMERCIAL AND ADMINISTRATIVE LAW

June 11, 2008

HAND DELIVERED

2008 JUN 11 PM 2:26
LEGISLATIVE RESOURCE CENTER
HOUSE OF REPRESENTATIVES

MC

Lorraine Miller, Clerk of the House
U.S. Capitol, Room H154
Washington, DC 20515-6601

Dear Ms. Miller,

When I submitted my 2007 Financial Disclosure Form, I failed to include the trips I took relating to my congressional duties. Please accept the attached Schedule VII form as an amendment to my previously submitted disclosure form.

As always, I remain,

Most sincerely,

Stephen I. Cohen
Member of Congress

PRINTED ON RECYCLED PAPER

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephen Ira Cohen

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
United States Federation of Former Members of Congress's Congressional Study Group on Turkey	May 25 - June 1	Washington, DC - Ankara, Turkey - Instanbul, Turkey - Memphis, TN	Y	Y	Y	None
American Israel Education Foundation	Aug. 11 - Aug. 19	Memphis, TN - Tel Aviv, Israel - Memphis, TN	Y	Y	Y	None

HAND DELIVERED

[illegible] 9:26

(Office Use Only) MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Thomas Jeffrey Cole
(Full Name)

2022256165
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: OK, District: 04	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

876

SCHEDULE I - EARNED INCOME

Name Thomas Jeffrey Cole

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Cole, Hargrave, Snodgrass and Associates	Management Fee	$20,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	10 acres of land in Johnson County, Oklahoma (owned jointly with brother John Cole)	$1,001 - $15,000	None	NONE	
	Cole, Hargrave, Snodgrass & Assoc., Oklahoma City (stock)	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	
	Strategic Communication, Moore, Oklahoma (stock)	$250,001 - $500,000	DIVIDENDS	$50,001 - $100,000	
JT	Personal Residence	$100,001 - $250,000	None	NONE	
JT	Midfirst Bank Money Market	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
JT	Burke and Herbet Money Market	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

JT	Fidelity Spartan Money Market	$50,001 - $100,000	INTEREST	$2,501 - $5,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	MUTUAL FUNDS				
JT	American Century Tax Free Bond Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
JT	American Century Int'l Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	American Century Value Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	American Century Global Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Fidelity Municipal Income Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

JT	Fidelity Contrafund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	Fidelity Select Health Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Fidelity Dividend Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Fidelity Spartan 500 Index Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JT	Fidelity Select Financial Services Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Vanguard Total Bond Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
JT	Vanguard High Yield Tax Exempt Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JT	Vanguard Total Int'l Stock Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
JT	Vanguard Total Stock Market Index Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	P
JT	Columbia Real Estate Equity Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	Baron Asset Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	T. Rowe Price Equity Income *Fund*	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	P
	STOCKS				
JT	Int'l Business Machines	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

JT	Spectra Energy Corp.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS&E
JT	Wells Fargo & Co.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Duke Energy	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	Exxon Corp.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	Alliant Energy Corp.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	Regions Financial	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	Pfizer	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Tronox Inc.	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Walgreen Corp.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Walmart Stores Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Johnson Controls	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	AT&T Inc.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	Equifax Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Microsoft Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

JT	Hewlett Packard	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Dell Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Becton Dickinson & Co.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	TOM COLE'S FIDELITY IRA				
	Fidelity Cash Reserves	$1 - $1,000	INTEREST	$1 - $200	
	Fidelity Total Bond Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
	Fidelity Contrafund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	Fidelity Diversified Int'l Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
	Fidelity Dividend Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	Fidelity Spartan 500 Index Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
	ELLEN COLE'S FIDELITY IRA				
SP	Fidelity Cash Reserves	$1 - $1,000	INTEREST	$1 - $200	
SP	Fidelity Total Bond Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Fidelity Contrafund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Fidelity Diversified Int'l Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Fidelity Dividend Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Fidelity Spartan 500 Index Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	ANNUITY				
JT	Fidelity VIP Individual Freedom 2020 Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and *mutual funds (do not use ticker symbols)*. For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business *that is not publicly traded, state the name of the business, the nature of* its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings *accounts; any financial interest in or income derived from* U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** *at close of reporting* year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is *included only because* it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset *did not generate* any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For *example*: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	TOM COLE'S FEDERAL THRIFT SAVINGS PLAN				
	G Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	F Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	C Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
	S Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	I Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	TOM COLE'S Cole, Hargrave, Snodgrass 401K				
	Credit Suisse Capital Appreciation Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Franklin MSF Mutual Share Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Franklin Small/Mid Cap Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Franklin Balance Sheet Investment Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Franklin Income Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	Lord Abbott Core Fixed Income Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Lord Abbott Mid Cap Value Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Oppenheimer Main Street Fund Class A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Oppenheimer Global Fund Class A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Pimco High Yield Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Putnam Int'l Equity Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Strong Government Securities Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Templeton Foreign Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	VanKamp Common Stock Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	TOM COLE'S 457 Sooner Savings				
	T. Rowe Price Balance Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Europacific A Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	American Century Income and Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Columbia Acorn Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Janus Small Cap. Value Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	T. Rowe Price Blue Chip Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Dreyfus Premium Leader A Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	BGI SDP Stock Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more *than* $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are *self directed* (i.e., plans in which you have the power, even if not exercised, to select the specific *investments*), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (*unless there is rental income*); *any* debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. *For all* other assets, indicate the category of income by checking the appropriate box below. Dividends, even *if reinvested*, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	ELLEN COLE'S 401K				
SP	Fidelity Cash Reserves	$1 - $1,000	INTEREST	$1 - $200	
SP	Fidelity Ginnie May Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Fidelity Diversified International Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Fidelity Spartan 500 Index Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Fidelity Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

SP	Fidelity Blue Chip Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Fidelity OTC Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Fidelity Aggressive Growth Fund	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Fidelity Capital Income Fund	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Jeffrey Cole

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	TOM COLE'S ANNUITY				
	State Farm Insurance Annuity	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	ELLEN COLE'S ANNUITY				
SP	State Farm Insurance Annuity	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Thomas Jeffrey Cole

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Vanguard Total Int'l Stock Fund	P	01-03-07	$1,001 - $15,000
JT	Vanguard Total Int'l Stock Fund	P	02-13-07	$1,001 - $15,000
	Fidelity Diversified Int'l Fund (IRA)	P	03-12-07	$1,001 - $15,000
	Fidelity Spartan 500 Index Fund (IRA)	P	03-12-07	$1,001 - $15,000
	Fidelity Contrafund (IRA)	P	03-12-07	$1,001 - $15,000
	Fidelilty Fund (IRA)	S	03-12-07	$1,001 - $15,000
	Fidelity Total Bond Fund (IRA)	P	03-12-07	$1,001 - $15,000
	Fidelity Dividend Growth Fund (IRA)	P	03-12-07	$1,001 - $15,000
SP	Fidelity Diversified Int'l Fund (IRA)	P	03-12-07	$1,001 - $15,000
SP	Fidelilty Spartan 500 Index Fund (IRA)	P	03-12-07	$1,001 - $15,000
SP	Fidelity Contrafund (IRA)	P	03-12-07	$1,001 - $15,000
SP	Fidelity Fund (IRA)	PS&E	03-12-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Jeffrey Cole

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Fidelity Total Bond Fund (IRA)	P	03-12-07	$1,001 - $15,000
SP	Fidelity Dividend Growth Fund (IRA)	P	03-12-07	$1,001 - $15,000
JT	Fidelity Muni-Income Fund	P	03-13-07	$1,001 - $15,000
JT	T. Rowe Price Equity Income Fund	P	03-15-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	04-01-07	$1,001 - $15,000
JT	Vanguard Total Bond Market Index Fund	S	05-02-07	$100,001 - $250,000
JT	Vanguard High Yield Tax Exempt Fund	P	05-21-07	$100,001 - $250,000
JT	Vanguard Total Int'l Fund	P	07-09-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	08-24-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	09-04-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	10-08-07	$1,001 - $15,000
JT	Fidelity Contrafund	P	10-08-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Jeffrey Cole

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Fidelity Total Bond Fund (IRA)	P	03-12-07	$1,001 - $15,000
SP	Fidelity Dividend Growth Fund (IRA)	P	03-12-07	$1,001 - $15,000
JT	Fidelity Muni-Income Fund	P	03-13-07	$1,001 - $15,000
JT	T. Rowe Price Equity Income Fund	P	03-15-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	04-01-07	$1,001 - $15,000
JT	Vanguard Total Bond Market Index Fund	S	05-02-07	$100,001 - $250,000
JT	Vanguard High Yield Tax Exempt Fund	P	05-21-07	$100,001 - $250,000
JT	Vanguard Total Int'l Fund	P	07-09-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	08-24-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	09-04-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	10-08-07	$1,001 - $15,000
JT	Fidelity Contrafund	P	10-08-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Thomas Jeffrey Cole

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Fidelity Municipal Income Fund	P	10-08-07	$1,001 - $15,000
JT	Fidelity Diversified Int'l Fund	P	10-16-07	$1,001 - $15,000
JT	Vanguard Total Int'l Fund	P	12-09-07	$1,001 - $15,000
	CMA - Final Payment	S	12-15-07	$15,001 - $50,000
JT	Spectra Energy Corp.	E	01-02-07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Thomas Jeffrey Cole

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the *Foreign Gifts and Decorations Act* (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	Aug. 13-20	DC-Chicago-Brussels-Berlin-London-Chicago-OK-04	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Thomas Jeffrey Cole

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Fulbright Assn. (Uncompensated)
Partner	Strategic Communications (Unearned income, dividends and capital gains)
Partner	Cole, Hargrave, Snodgrass and Associates (unearned income: dividends and capital gains and management fee)
Board of Directors	Aspen Institute (Uncompensated)

SCHEDULE IX - AGREEMENTS

Name Thomas Jeffrey Cole

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
04-20-03	Cole, Hargrave, Snodgrass and Associates	Quarterly Management Fee of $5,000 from personal business (Approved by Committee on Standards)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 9:56

(Office Use Only)

HOUSE OF REPRESENTATIVES

K. Michael Conaway
(Full Name)

202-225-3605
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 11	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment ☐ Termination		Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Michael Conaway

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SEE ATTACHED				

902

K. MICHAEL CONAWAY
Financial Disclosure Statement
December 31, 2007
Schedule III
ASSETS AND "UNEARNED INCOME"

SP/DC/JT	Block A Assets and Income Source	Block B Value of Assets	Block C Type of Income	Block D Amount of Income Current Year	Block E P,S,E
	Publicly traded common stock				
SP Trust	Novell	$1 - $1,000		NA	
SP Trust	CISCO Systems	$1,001 - $15,000		NA	
SP Trust	Micron Technology	$1,001 - $15,000		NA	
SP Trust	Teradyne Inc	$1,001 - $15,000		NA	
SP Trust	Microsoft Corp	$1,001 - $15,000	Dividend	$1 - $200	
SP Trust	Clayton Williams Energy	$15,001 - $50,000		NA	
SP Trust	Southwest Airlines	$1,001 - $15,000	Dividend	$1 - $200	
	Money Market accounts				
SP Trust	Centennial Money Market Trust	$1,001 - $15,000	Dividend	$1 - $200	
SP Trust	Merrill Lynch Money Fund	$15,001 - $50,000	Interest	$201 - $1,000	
SP Trust	RMA Money Market-UBS Paine Weber	$1,001 - $15,000	Dividend	$201 - $1,000	
	Publicly traded mutual funds				
SP Trust	Dreyfus Premier Tax Managed Growth Fd Cl A	$15,001 - $50,000	Dividend	$201 - $1,000	
SP Trust	Dreyfus Premier Tax Managed Growth Fd Cl B	$15,001 - $50,000	Dividend	$1 - $200	
SP Trust	Eaton Vance Tax Managed Growth Fd Cl B	$15,001 - $50,000	Dividend	$1 - $200	E
SP Trust	Eaton Vance Tax Managed Growth Fd Cl A	$15,001 - $50,000	Dividend	$201 - $1,000	E
SP Trust	Magnolia Texas ISD Bonds	$50,001 - $100,000	Interest	$2,501 - $5,000	
SP Trust	Federated Municipal High Yield Advantage Fd Cl B	$50,001 - $100,000	Dividend	$2,501 - $5,000	P
SP Trust	MFS Municipal High Income Fd Cl A	$100,001 - $250,000	Dividend	$5,001 - $15,000	P
SP Trust	Southwest Airlines	$1,001 - $15,000	Dividend	$1 - $200	
SP Trust	Micron Technology	$1,001 - $15,000		NA	

SP/DC/JT	Block A Assets and Income Source	Block B Value of Assets	Block C Type of Income	Block D Amount of Income Current Year	Block E P,S,E
SP Trust	Wells Fargo Checking - Midland, Texas	$15,001 - $50,000	Interest	$1 - $200	
SP Trust	Eaton Vance Tax Managed Growth Fund	$15,001 - $50,000	Dividend	$201 - $1,000	
SP Trust	Franklin Templeton Founding Fund	$50,001 - $100,000	Dividend	$1,001 - $2,500	P
SP Trust	Franklin Templeton Founding Fund	$50,001 - $100,000	Capital Gain	$1,001 - $2,500	P
SP Trust	UBS Money Fund Fund	$1,001 - $15,000	Dividend	$201 - $1,000	
SP Trust	Centennial Money Market Trust	$15,001 - $50,000	Dividend	$1,001 - $2,500	
	Managed Trusts				
SP Trust	Merrill Lynch Managed Account-Acct N	$250,001 - $500,000	Dividend & Interest	$5,001 - $15,000	P
SP Trust	Merrill Lynch Managed Account-Acct N	$250,001 - $500,000	Capital Gain	$15,001 - $50,000	P
SP Trust	Kidwell Exploration-S Corp, Midland, Texas	$15,001 - $50,000	Oil & Gas Royalty	$2,501 - $5,000	
SP Trust	Oil & Gas Mineral Interests	$15,001 - $50,000	Oil & Gas Royalty	$2,501 - $5,000	
SP Trust	La Otra Cattle Co - Partnership - Midland, Texa	$50,001 - $100,000	Oil & Gas Royalty	$5,001 - $15,000	
SP Trust	Telephonica Moviles Argentina SA BA Ord	*Price not available*		NA	
SP Trust	Telephonica de Argentina SA Ord Cl B	$1,001 - $15,000		NA	
JT	Conawell Corporation-S Corp, Midland, Texas	$250,001 - $500,000	Dividend	$15,001 - $50,000	
JT	Merrill Lynch Money Fund	$15,001 - $50,000	Interest	$1,001 - $2,500	
JT	Clayton Williams Energy - common stock	$15,001 - $50,000		NA	
JT	Wells Fargo Bank - checking - Midland, Texas	$15,001 - $50,000	Interest	$201 - $1,000	
JT	Wells Fargo Bank - savings - Midland, Texas	$1,001 - $15,000	Interest	$1 - $200	
JT	Wright Patman Federal Credit Union - checking	$1,001 - $15,000		NA	
JT	Wright Patman Federal Credit Union - savings	$1 - $1,000	Interest	NA	
JT	Novell, Inc	$1 - $1,000		NA	
JT	Turbosonic Technologies	$1 - $1,000		NA	
JT	Centennial Money Market	$15,001 - $50,000	Dividend	$1,001 - $2,500	
KMC	Lario Oil & Gas Co. - Oil & Gas - Midland, Te	$15,001 - $50,000	Oil & Gas Royalty	$2,501 - $5,000	

SP/DC/JT	Block A Assets and Income Source	Block B Value of Assets	Block C Type of Income	Block D Amount of Income Current Year	Block E P,S,E
KMC	KMC, Inc. - Investments - Midland, Texas	$1,001 - $15,000	Dividend	$1 - $200	
KMC	Conabain Corp - Oil & Gas - Midland, Texas	$1 - $1,000	Oil & Gas Royalty	$1 - $200	
	Publicly traded common stock				
KMC	Harkin Energy	$1 - $1,000		NA	
	Publicly traded mutual funds				
SP	Van Kampen Tax Exempt High Yield Fund	$100,001 - $250,000	Dividend	$5,001 - $15,000	P
SP	Oppenheimer Rochester Nat'l Muni Fund Cl A	$50,001 - $100,000	Dividend	$2,501 - $5,000	P
SP	Merrill Lynch Bank & Trust	$250,001 - $500,000	Interest	$5,001 - $15,000	
SP	Pioneer Municipal High Income Advantage	$100,001 - $250,000	Dividend-TE	$5,001 - $15,000	P
SP	UBS Bank USA Dep Acct	$1,001 - $15,000	Dividend	$201 - $1,000	
SP	Federated Strategic Income Fund	$15,001 - $50,000	Dividend	$201 - $1,000	P
SP	Federated Strategic Income Fund	$15,001 - $50,000	Capital Gain	$1 - $200	
SP	Federated Market Opportunities Fund	$15,001 - $50,000	Dividend	$201 - $1,000	
SP	Federated Kaufman Fund	$15,001 - $50,000	Capital Gain	$1,001 - $2,500	
SP	Federated Kaufman Fund	$15,001 - $50,000	Dividend	NA	
SP	Federated Capital Appreciation Fund	$15,001 - $50,000	Dividend	$1 - $200	P
SP	Federated Capital Appreciation Fund	$15,001 - $50,000	Capital Gain	$2,501 - $5,000	P
SP	Franklin Templeton Founding Fund	$1,001 - $15,000	Dividend	$1,001 - $2,500	P
SP	Franklin Templeton Founding Fund	$1,001 - $15,000	Capital Gain	$201 - $1,000	P
SP	DWS Short Term Muni Bond Fund Cl A	$500,001 - $1,000,000	Dividend-TE	$15,001 - $50,000	P
SP	Houston Texas Water & Sewer Bonds 5.25%	NA	Interest-TE	NA	
SP	Westernbank CD 3.45% 05/11/06	NA	Interest	NA	
SP	Custodial Trust Co CD 4.900% 11/02/2007	NA	Interest	$1,001 - $2,500	P
SP	Custodial Trust Co CD 4.900% 11/02/2007	NA	Interest	NA	S
SP	Eurobank CD 5.10% 01/10/07	NA	Interest	$1,001 - $2,500	S
SP	GMAC Bank Midvale 05.25% 07/12/07	NA	Interest	$2,501 - $5,000	S
SP	FirstBank of PR CD 5.000% 06/20/08	$50,001 - $100,000	Interest	NA	P
SP	Capital One Bank CD 3.85% 05/18/07	NA	Interest	$1,001 - $2,500	S
SP	Pinniacle NB CD 06/20/08	$50,001 - $100,000	Interest	NA	P
SP	Westernbank CD 3.85% 05/18/07	NA	Interest	$1,001 - $2,500	S

SP/DC/JT	Block A Assets and Income Source	Block B Value of Assets	Block C Type of Income	Block D Amount of Income Current Year	Block E P,S,E
SP	Westernbank CD 4.90% 11/02/07	NA	Interest	$1,001 - $2,500	P
SP	Westernbank CD 4.90% 11/02/07	NA	Interest	NA	S
SP	Magnolia Texas ISD Bonds 5.125% 08	$50,001 - $100,000	Interest	$2,501 - $5,000	
SP	Wells Fargo Bank - CD 5.88% 01/06/08	$15,001 - $50,000	Interest	$201 - $1,000	P
SP	Wells Fargo Bank - Midland, Texas	$1,001 - $15,000	Interest	$1 - $200	
SP	La Otra Cattle Co - Partnership - Midland, Texa	$50,001 - $100,000	Oil & Gas Royalty	$5,001 - $15,000	
SP	Kidwell Exploration, S Corp-Midland, Texas	$15,001 - $50,000	Oil & Gas Royalty	$2,501 - $5,000	
SP	SV Investments, Ltd.	$15,001 - $50,000	Investment Partnership	NA	
SP	Prudential SSA	NA	Structured Settlement Payment	$50,001 - $100,000	
SP	Met life Insurance	NA	Structured Settlement Payment	$50,001 - $100,000	
SP	Allstate Insurance	NA	Structured Settlement Payment	$15,001 - $50,000	
JT-IRA	JP Morgan Chase common stock	$50,001 - $100,000	Dividend	$1,001 - $2,500	P
JT-IRA	IBM common stock	$15,001 - $50,000	Dividend	$201 - $1,000	
	The following were reported as JT-IRA s/b SP				
SP	AIM Capital Development Fund	$15,001 - $50,000	Dividend	NA	P
SP	AIM Capital Development Fund	$15,001 - $50,000	Capital Gain	$2,501 - $5,000	P
SP	Alliance Bernstein Growth Fund	$15,001 - $50,000	Dividend	NA	
SP	Federated Strategic Income Fund	$1,001 - $15,000	Dividend	$1 - $200	
SP	Federated Strategic Income Fund	$1,001 - $15,000	Capital Gain	$1 - $200	
SP	Federated Market Opportunities Fund	$1,001 - $15,000	Dividend	$1 - $200	
SP	Federated Market Opportunities Fund	$1,001 - $15,000	Capital Gain	NA	
SP	Federated Kaufman Fund	$1,001 - $15,000	Dividend	$201 - $1,000	
SP	Federated Kaufman Fund	$1,001 - $15,000	Capital Gain	NA	
SP	Federated Capital Appreciation Fund	$1,001 - $15,000	Dividend	NA	P
SP	Federated Capital Appreciation Fund	$1,001 - $15,000	Capital Gain	$1,001 - $2,500	P
SP	UBS U.S. Allocation Fund	$50,001 - $100,000	Dividend	$1,001 - $2,500	P
SP	MFS Capital Opportunities Fund	NA	Dividend	NA	E
SP	MFS Core Equity Fund Class A	$15,001 - $50,000	Capital Gain	$1,001 - $2,500	E/P

SP/DC/JT	Block A Assets and Income Source	Block B Value of Assets	Block C Type of Income	Block D Amount of Income Current Year	Block E P,S,E
SP	UBS PW Retirement Money Fund	$1 - $1,000	Dividend	$1 - $200	
JT-IRA	AIM Constellation Fund	$1,001 - $15,000		NA	
JT-IRA	Valley National Bancorp	$1,001 - $15,000	Dividend	$1 - $200	
JT-IRA	ML Bank USA RASP	$1 - $1,000	Dividend	$1 - $200	
JT-IRA	Centennial Money Market Trust	$1,001 - $15,000	Dividend	$1 - $200	
JT-IRA	Putnam Health Science Trust	$1,001 - $15,000	Dividend	$1 - $200	
JT-IRA	Putnam Health Science Trust	$1,001 - $15,000	Capital Gains	$1 - $200	
JT-IRA	Eaton Vance Special Investment Trust	$1,001 - $15,000	Dividend	$1 - $200	
JT-IRA	Eaton Vance Special Investment Trust	$1,001 - $15,000	Capital Gains	$201 - $1,000	
JT-IRA	US Treasury Strips	$1,001 - $15,000		NA	
SP	Edward Jones Money Market	$1,001 - $15,000	Dividend	$1 - $200	
SP	Port Seattle Washington Rev Bd 10/01/08	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Chicago Il Midway Rev Bd 01/01/2011	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Dallas/Ft Worth Intl Airport Rev Bd 11/01/2009	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Van Kampen Unit Trust 490 Series 18	$1,001 - $15,000	Dividend	$1 - $200	
SP	AT&T Common Stock	$1,001 - $15,000	Dividend	$1 - $200	
SP	Campbell Soup Common Stock	$1,001 - $15,000	Dividend	$1 - $200	
SP	Coca-Cola Common Stock	$1,001 - $15,000	Dividend	$1 - $200	
SP	Microsoft Corp common Stock	$1,001 - $15,000	Dividend	$1 - $200	
SP	AMCAP Fund Cl A	$1,001 - $15,000	Dividend	$1 - $200	
SP	AMCAP Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	American Balanced Fund Cl A	$1,001 - $15,000	Dividend	$201 - $1,000	
SP	American Balanced Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	American Mutual Fund Cl A	$1,001 - $15,000	Dividend	$201 - $1,000	
SP	American Mutual Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	Capital Income Builder Fund Cl A	$15,001 - $50,000	Dividend	$201 - $1,000	P
SP	Capital Income Builder Fund Cl A	$15,001 - $50,000	Capital Gains	$201 - $1,000	P
SP	Capital World Growth & Income Fund Cl A	$50,001 - $100,000	Dividend	$1,001 - $2,500	P
SP	Capital World Growth & Income Fund Cl A	$50,001 - $100,000	Capital Gains	$2,501 - $5,000	P
SP	Columbia Tax Exempt Fund Cl A	$15,001 - $50,000	Interest-exempt	$1,001 - $2,500	

SP/DC/JT	Block A Assets and Income Source	Block B Value of Assets	Block C Type of Income	Block D Amount of Income Current Year	Block E P,S,E
SP	Euro Pacific Growth Fund Cl A	$15,001 - $50,000	Dividend	$201 - $1,000	P
SP	Euro Pacific Growth Fund Cl A	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	P
SP	Federated Equity Income Fund Cl A	NA	Dividend	$201 - $1,000	S
SP	Fundamental Investors Fund Cl A	$50,001 - $100,000	Dividend	$1,001 - $2,500	P
SP	Fundamental Investors Fund Cl A	$50,001 - $100,000	Capital Gains	$2,501 - $5,000	P
SP	Growth Fund of America Cl A	$15,001 - $50,000	Dividend	$201 - $1,000	P
SP	Growth Fund of America Cl A	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	P
SP	Hartford Global Health Fund Cl A	$1,001 - $15,000	Dividend	NA	
SP	Hartford Global Health Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	Hartford Stock Fund Cl A	$1,001 - $15,000	Dividend	$1 - $200	
SP	Investment Company of America Cl A	$15,001 - $50,000	Dividend	$201 - $1,000	P
SP	Investment Company of America Cl A	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	P
SP	New World Fund Cl A	$1,001 - $15,000	Dividend	$1 - $200	
SP	New World Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	Putnam Health Sciences Trust Fund Cl A	$1,001 - $15,000	Dividend	$1 - $200	
SP	Putnam Health Sciences Trust Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	Tax Exempt Bond Fund of America Cl A	$1,001 - $15,000	Dividend	$1 - $200	
SP	Washington Mutual Investors Fund Cl A	$50,001 - $100,000	Dividend	$1,001 - $2,500	P
SP	Washington Mutual Investors Fund Cl A	$50,001 - $100,000	Capital Gains	$2,501 - $5,000	P
SP-IRA	Washington Mutual Investors Fund Cl A	$1,001 - $15,000	Dividend	$1 - $200	
SP-IRA	Washington Mutual Investors Fund Cl A	$1,001 - $15,000	Capital Gains	$201 - $1,000	
SP	Farm Land Eastland County, Texas 2/3 undivided interest in 89 acres	$100,001 - $250,000		NA	
SP	Various Louisiana oil & gas royalty interests	$15,001 - $50,000	Oil & Gas Royalty interests	$2,501 - $5,000	
SP	Wells Fargo Bank Account-Beneficial Interest	$15,001 - $50,000	Interest	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name K. Michael Conaway

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SEE ATTACHED			

K. MICHAEL CONAWAY
Financial Disclosure Statement
December 31, 2007
Schedule IV
TRANSACTIONS

SP/DC/JT	Asset	Type of Transaction			Date	Amount
		Purchase	Sale	Exchange		
SP	Federated Equity Income Fund Cl A		X		11/30/07	$15,001 - $50,000
SP	Fundamental Investors Fund Cl A	X			11/30/07	$15,001 - $50,000
SP	Custodial Trust Co CD 4.900% 11/02/2007	X			05/02/07	$50,001 - $100,000
SP	Custodial Trust Co CD 4.900% 11/02/2007		X		11/02/07	$50,001 - $100,000
SP	Eurobank CD 5.10% 01/10/07		X		01/10/07	$50,001 - $100,000
SP	GMAC Bank Midvale 05.25% 07/12/07		X		07/12/07	$50,001 - $100,000
SP	Capital One Bank CD 3.85% 05/18/07		X		05/18/07	$50,001 - $100,000
SP	Westernbank CD 3.85% 05/25/07		X		05/25/07	$50,001 - $100,000
SP	Westernbank CD 4.90% 11/02/07	X			05/02/07	$50,001 - $100,000
SP	Westernbank CD 4.90% 11/02/07		X		11/02/07	$50,001 - $100,000
SP	FirstBank of PR CD 5.000% 06/20/08	X			06/11/07	$50,001 - $100,000
SP	Pinniacle NB CD 06/20/08	X			06/11/07	$50,001 - $100,000
JT	Wells Fargo Bank - CD 5.88% 01/06/08	X			08/06/07	$15,001 - $50,000
SP	Eaton Vance Tax Managed Growth Fd Cl B			X	02/21/07	$15,001 - $50,000
SP	Eaton Vance Tax Managed Growth Fd Cl A			X	02/21/07	$15,001 - $50,000
	tax free exchange Class B to Class A shares					
JT	MFS Capital Opportunities Fund			X	06/27/07	$15,001 - $50,000
JT	MFS Core Equity Fund Class A			X	06/27/07	$15,001 - $50,000
	tax free exchange					
	The following are multiple dividend and capital gain reinvestment during the year					
SP	Capital Income Builder Fund Cl A	X				$1,001 - $15,000
SP	Capital World Growth & Income Fund Cl A	X				$1,001 - $15,000
SP	Euro Pacific Growth Fund Cl A	X				$1,001 - $15,000
SP	Fundamental Investors Fund Cl A	X				$1,001 - $15,000
SP	Growth Fund of America Cl A	X				$1,001 - $15,000
SP	Investment Company of America Cl A	X				$1,001 - $15,000
SP	Washington Mutual Investors Fund Cl A	X				$1,001 - $15,000
SP Trust	Franklin Templeton Founding Fund	X				$1,001 - $15,000
SP Trust	Federated Municipal High Yield Advantage Fd C	X				$1,001 - $15,000
SP Trust	MFS Municipal High Income Fd Cl A	X				$1,001 - $15,000
JT-IRA	JP Morgan Chase common stock	X				$1,001 - $15,000
SP	AIM Capital Development Fund	X				$1,001 - $15,000
SP	Federated Capital Appreciation Fund	X				$1,001 - $15,000
SP	UBS U.S. Allocation Fund	X				$1,001 - $15,000
SP	MFS Core Equity Fund Class A	X				$1,001 - $15,000
SP	Van Kampen Tax Exempt High Yield Fund	X				$1,001 - $15,000
SP	Oppenheimer Rochester Nat'l Muni Fund Cl	X				$1,001 - $15,000
SP	Pioneer Municipal High Income Advantage	X				$1,001 - $15,000
SP	Federated Kaufman Fund	X				$1,001 - $15,000
SP	Federated Capital Appreciation Fund	X				$1,001 - $15,000
SP	Franklin Templeton Founding Fund	X				$1,001 - $15,000
SP Trust	Merrill Lynch Managed Account-Acct N	X				$15,001 - $50,000
SP	DWS Short Term Muni Bond Fund Cl A	X				$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name K. Michael Conaway

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
		SEE ATTACHED	N	N	N	

K. MICHAEL CONAWAY
Financial Disclosure Statement
December 31, 2007
Schedule VII
Travel Payments And Reimbursements

Source	Date(s)	Point of Departure-Destination-Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expenses
American Sheep Industry	Jan 26-Jan 27	Baltimore, MD-San Antonio, TX-Austin, TX-Midland, TX	Y	Y	N	0
National Association of State Boards of Accountancy, Inc.	Oct 26-Oct 31	Washington, DC -Lahaina, HI - Washington, DC	Y	Y	Y	0

SCHEDULE VIII - POSITIONS

Name K. Michael Conaway

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
SEE ATTACHED	

K. MICHAEL CONAWAY

Financial Disclosure Statement

December 31, 2007

Schedule VIII

POSITIONS

Position	Name of Organization	2007	2006
Board member - Lifetime	United Way of Midland	Yes	Yes
School of Business Advisory Council	University of Texas Permian Basin	Yes	Yes
Board of Directors and Rodeo Committee	Sandhills Hereford & Quarterhorse Show, Inc.	Yes	Yes
Board of directors	Texas Society of Certified Public Accountants	No	Yes
Sole Proprietor	K. Michael Conaway, CPA	Yes	Yes
Board of directors - President	Conawell Corporation	Yes	Yes
Board of directors - President	Conabain Corporation	Yes	Yes
Board of directors - President	KMC, Inc.	Yes	Yes
Board of directors - Vice-President	Kidwell Exploration	Yes	Yes

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:21
(Office Use Only)

John Conyers, Jr
(Full Name)

202.225.5126
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 14th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Council Member for the City of Detroit, Michigan	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	NA			

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples: Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	SP Investment Property 3351 Charlevoix St. Detroit, MI 48207				X									X							X											—

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Conyers, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	NA																															

This page may be copied if more space is required.

Name John Conyers, Jr | Page 7 of 12

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example*	Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
	NA															

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Congressional Federal Credit Union	Overdraft Reserve	X									
	Sallie Mae	unsecured loan	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NA		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Rainbow Push Coalition	Jan 7-8	DTW - LGA - DTW	Y	N	N	none
Cong Steve Cohen	Feb 22-23	Memphis - DTW	Y	N	N	none
Harold Washington Archives and Collections Advisory Comm	Apr 15	Charlotte-Chicago - DCA	N	N	N	none
Community Clinic Assoc. of Los Angeles	July 20-22	TAU - San Diego -	Y	Y	N	none
American Sociological Assn	Aug 13-14	DTW - NY - DTW	Y	Y	N	none
Health Care Now	Aug 25	DTW - Newark - DTW	N	N	N	none
Cincinnati Branch NAACP	Oct. 5-6	DCA - Cinci. - DTW	Y	Y	N	none
Institute of the Black World 21st Century Haiti Support Proj	Oct 7-8	DCA - Haiti - DCA	Y	Y	N	none
Coalition of Labor Union Women	Oct 12-13	DCA - LV - DTW	Y	Y	N	none
UAW Intl	Oct 14-15	DTW - Pelstone - DCA	Y	Y	N	none
Evanston North Shore Branch NAACP	Oct 20-21	DTW - Chic - DTW	Y	Y	N	none
The Brennan Center	Oct 25 26	DCA - NY - DTW	Y	Y	N	none
The New School	Oct 29	DTW - LGA - DCA	N	N	N	none
Global Family Day Program	Dec 7-8	Chic - LGA - DTW	Y	Y	N	none

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
	NA

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

Use additional sheets if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

2008 JUN 11 PM 12: 53 MC

James H.S. ("Jim") Cooper (Full Name)

202-225-4311 (Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives State: TN District: 5 ☐ Officer Or Employee Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name James H.S. ("Jim") Cooper

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Owen School of Management, Vanderbilt University, Adjunct Professor	Approved Teaching Free	$22,500

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name James H.S. ("Jim") Cooper

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
American Bar Association, Edward R. Finch Award for Best Law Day Speech	Speech	Feb. 14, 2007	$1,000 to Girl Scout Council of Cumberland Valley

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. ("Jim") Cooper

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
2319 Woodmont Blvd., Nashville, TN (vacant lot of 1.5+ acres adjoining home). Address changed from 2317B to 2319 in order to make lot more saleable in future.	$500,001 - $1,000,000	None	NONE	
Adam's Properties, Gulfport, MS, 1504 Beach Drive, vacant lot	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	Other (Insurance Proceeds)
ATT stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Berkshire Hathaway stock	$100,001 - $250,000	CAPITAL GAINS	NONE	
Centennial Money Market Shares	$250,001 - $500,000	INTEREST	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. ("Jim") Cooper

Centennial Tax Exempt Trust	$1,001 - $15,000	INTEREST	$1 - $200	
Coca Cola stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Comcast A stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Congressional Credit Union checking and savings accounts	$15,001 - $50,000	INTEREST	$201 - $1,000	
Cooper Bros. Partnership, farm land and lots, Shelbyville, TN and Smith Mills, KY, and Nashville, TN (see attached schedule for details)	$500,001 - $1,000,000	Partnership Income	K-1 Not yet filed	
Discovery Fund, L.P.	$1 - $1,000	CAPITAL GAINS	$15,001 - $50,000	S(part)
DNP (Duff & Phelps) Select Income Shares	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Essent Health Care stock, hospital company, Nashville, TN	$50,001 - $100,000	CAPITAL GAINS	NONE	
Federated GNMA shares	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Fidelity Contrafund shares	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Fidelity Growth and Income shares	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
Fidelity Municipal Money Market shares	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Fifth Third Bank stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. ("Jim") Cooper

	General Electric stock	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	Hamilton Fairfax, LLC, Nashville, TN, 2106 Fairfax, apartment building	$100,001 - $250,000	Partnership Income	K-1 Not yet filed	
	Harpeth Green Properties, LLC, Brentwood, TN, Heritage Retirement Facilities, LLC, and Heritage Health Center LLC, Heritage Way	$100,001 - $250,000	Partnership Income	K-1 Not yet filed	
	Hewlett Packard stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IBM stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Ilinc Communications (formerly EDT Learning Co.)	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Intel stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Lennox International stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Microsoft stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Nortel stock	$1,001 - $15,000	DIVIDENDS	NONE	
	Northern Trust Inst. Gov. Portfolio	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Phoenix Companies stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. ("Jim") Cooper

	PLC Properties, LLC, Brentwood, TN, Virginia Way, undeveloped land, and Orinda, TN, 5557 Hwy 31 West, warehouse	$500,001 - $1,000,000	Partnership Income	K-1 Not yet filed	
	Procter and Gamble stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Qualcomm stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Small Town Cable Partners, cable TV company, Brentwood, TN	None	None	NONE	
	SunTrust Bank checking account	$15,001 - $50,000	INTEREST	$1 - $200	
	SunTrust Bank stock	$100,001 - $250,000	CAPITAL GAINS	$2,501 - $5,000	
	Thoma Cressey Friends Funds VI and VII, venture capital funds, Chicago, IL	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	PS(part)
	TIAA-CREF	$15,001 - $50,000	INTEREST/CAPITAL GAINS	$1,001 - $2,500	
	Wachovia stock	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name James H.S. ("Jim") Cooper

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Adam's Properties, Gulfport, MS, 1504 Beach Drive, vacant lot	Other	Mar. 22, 2007	$15,001 - $50,000
	Thoma Cressey Friends Funds VI and VII, venture capital funds, Chicago, IL	PS(part)	Various dates	$15,001 - $50,000
	Discovery Fund, L.P.	S(part)	Oct. 15, 2007	$15,001 - $50,000
	Centennial Money Market	P	Various dates	$15,001 - $50,000
	Northern Trust Inst. Gov. Portfolio	P	Various dates	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James H.S. ("Jim") Cooper

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Policy Reform	Jan. 12-14	Nashville-Miami-Nashville	Y	Y	N	3 days
Center for Democracy in the Americas	Mar. 15-19	DC-Miami-Havana-Miami-DC	Y	Y	N	5 days
Interoptimability in Human Services Conference at Yale School of Management	Oct. 30-31	No travel expenses; Registration fee covered by Annie E. Casey Foundation	N	Y	N	1 day
Fresh Thinking Project at Stanford University	Nov. 29-30	Nashville-San Francisco-Nashville	Y	Y	N	2 days

SCHEDULE VIII - POSITIONS

Name James H.S. ("Jim") Cooper

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	PLC Properties LLC
Member	Hamilton Fairfax LLC
Partner	Cooper Bros. Land Company
Limited Partner	Thoma Cressey Friends Funds VI and VII, L.P.
Limited Partner	Small Town Communications Partners I, L.P.
Limited Partner	The Discovery Fund, L.P.
Member	Harpeth Green Properties, LLC
Director	Health Care Solutions Group Advisory Board, Center for Better Health, Vanderbilt University (a non-profit think tank)
Adjunct Professor	Owen School of Management, Vanderbilt University

James H.S. ("Jim") Cooper

2007 Financial Disclosure Statement
Supplementary Schedule

Schedule III

Cooper Brothers Partnership

Assets:

Located in or near Shelbyville, TN

- Lots at 756 Madison Street
  - Purchased 12/31/65, 7/29/77, and 2/16/88; tax appraisal value $665,400
- Lot on Holland Street
  - Purchased 7/29/77, 12/27/72, and 1/31/70; tax appraisal $43,300
- Lot on Depot Street
  - Purchased 12/31/85; tax appraisal $35,500
- Lots at 319, 401, 409, and 414 East Lane Street, and 410 Deery Street
  - Purchased 7/1/54, tax appraisal value $21,000 – Sold on Dec.13, 2006
  - Purchased 11/25/03, tax appraisal value $111,700
  - Purchased 12/30/89, tax appraisal value $26,700
  - Purchased 12/28/92, tax appraisal value $59,500
  - Purchased 410 Deery St. on 10/19/06 for $34,000
- Farm at 497 Shofner Bridge Road, 463 acres
  - Purchased 7/29/77; tax appraised value $447,200
- Field on Thompson Creek Road, 80 acres
  - Purchased 12/31/87, tax appraisal $30,300

Located in or near Smith Mills, KY (near Henderson, KY)

- Bank appraised value of all KY farms in 1999 at $1.6 million
- Tweedy and Floyd Farms, Hwy. 266, 575 acres
  - Purchased 5/18/69 and 3/27/74
- Utley Farms, Burbank Road, 75 acres
  - Purchased 1979
- Mueth and Brahm Farms, Alzey-Uniontown Road, 270 acres
  - Purchased 1/28/87
- Klondike Farm, Klondike Road, 453 acres
  - Purchased 5/18/69, 1988

Located in Brentwood, TN

- Park Center Partnership I, owns 21% of Gambro Bldg.
  - Purchased 9/30/00; tax appraised value $9,352,300
- Park Center Partnership II, owns 21% of LBMC Bldg.
  - Purchased 9/30/00; tax appraised value $8,853,200

These partnerships were formed to develop the Gambro and LBMC Financial Center office buildings on Virginia Way in Maryland Farms

Located in Nashville, TN

- Lot 6A at 648 Grassmere Office Park, Trousdale Pike, Nashville, TN
  - This lot was contributed to a development entity, Grassmere Five LLC in return for a 58% ownership interest; tax appraised value $656,900

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:20
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

James M. Costa
(Full Name)

(202) 225-3341
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: CA — District: 20 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name James M. Costa

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
California Public Employees Retirement System	Legislative Pension	$3,399
California Legislators Retirement System	Legislative Pension	$6,655
Costa Farms	Farm Income	$87,004

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Costa

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a *fair market* value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income *during the year*. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other *retirement* plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), *provide the value and income* information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name *the institution holding the* account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the *nature of its activities, and its geographic* location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check *all columns* that apply. Check "None" if asset did not *generate any* income during the calendar year. If other than one of the listed categories, specify the type of income *by writing* a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "*NA*" for income. *For all* other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in *reporting year*.
	408 5th Street St. SE, Washington D.C.	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
JT	Bank of America Accounts	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Bank of America Brokerage (account closed 10/24/2007)	$100,001 - $250,000	INTEREST	$1 - $200	
	Bank of America Checking	$1,001 - $15,000	INTEREST	$1 - $200	
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
	Costa Farms Fresno, CA	$1,000,001 - $5,000,000	Farm Real Estate	$100,001 - $1,000,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Costa

	Premier Valley Bank Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Wachovia Securities (account closed 10/24/2007)	$1 - $1,000	DIVIDENDS	$1 - $200	
	Wachovia Securities 401K (not self-directed)	$100,001 - $250,000	Retirement Plan	$5,001 - $15,000	
	West America Bancorp Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name James M. Costa

Report liabilities of over $10,000 owed to any one *creditor* at any *time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any *mortgage on your personal* residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (*i.e.*, credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	United Security Bank	Personal	$100,001 - $250,000
	The National Capital Bank	Mortgage on 408 5th St. SE., Washington DC	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James M. Costa

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	Aug. 14-18	Frankfurt-Berlin	Y	Y	N	5 Days

SCHEDULE VIII - POSITIONS

Name James M. Costa

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	National Conference of State Legislatures Foundation
Board Member	The Maddy Institute, CA State University - Fresno

FOOTNOTES

Name James M. Costa

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Power of Attorney privileage on account with elderly parent. Account was closed on 10/24/2007.	Wachovia Securities
2	Schedule III	Power of Attorney privileage on account with elderly parent. Account was closed on 10/24/2007.	Bank of America Brokerage
3	Schedule III	Power of Attorney privileage on account with elderly parent	Bank of America Accounts

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 3:28
(Office Use Only) HOUSE OF REPRESENTATIVES

JERRY F. COSTELLO
(Full Name)

WM. B SCHALTENBRAND
618-398-4173
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative — State: IL, District: 12	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name JERRY F. COSTELLO

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
ST CLAIR COUNTY EDUCATION COOP BOARD	SPOUSE SALARY	N/A
STATE OF ILLINOS	SPOUSE SALARY	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JERRY F. COSTELLO

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	State of Illinois	Defined Benefit Plan	Illinois Teacher's Retirement	$15,001 - $50,000 PENSION FUND DISTRIBUTIONS	
	State of Illinois- IMRF.	$15,001 - $50,000	Illinois Retirement	$15,001 - $50,000 PENSION FUND DISTRIBUTIONS	
	Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Goldman Sachstr Fin'l Square MMKT FD-CL-I-IRA	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	P
	Putnam Int'l New Opportunities FD-CL-A-IRA	None	CAPITAL GAINS	$1,001 - $2,500	S
	Hartford CAP. Apprec. FD-A-IRA	None	CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JERRY F. COSTELLO

	Alliance Bernstein Int'l FD-CL-A-IRA	None	CAPITAL GAINS	$201 - $1,000	S
	John Hancock Bank & Thrift Opportunity FD-IRA	None	DIVIDENDS	$201 - $1,000	S
	Olin Corp - IRA	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	MHI Hospitality Corp - IRA	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	Lord Abbet Mid-Cap Value FD-CL-B-IRA	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	Foxhollow Technologies, INC - IRA	None	None	NONE	PS
	Aberdeen Australia Equity FD-Inc - IRA	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	Peabody Energy Corp - IRA	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	Newmont Mining Corp - IRA	None	DIVIDENDS	$1 - $200	PS
	Dow Chemical CO - IRA	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	American Nat'l Bk of MN Bond - IRA	None	INTEREST	$201 - $1,000	S
	Hilliard-Lyons Gov't M/M FD-IRA	None	DIVIDENDS	$201 - $1,000 TRANSFERRED TO WACHOVIA SECURITIES	
	Bank Deposit Sweep Option Mmkt FD-IRA	$1 - $1,000	INTEREST	$201 - $1,000	
SP	Putnam Int'l - New Opportunities FD-CL-A-IRA	None	CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JERRY F. COSTELLO

SP	Hartford Cap Apprec. FD-CL-A-IRA	None	CAPITAL GAINS	$201 - $1,000	PS
SP	Alliance Bernstein Int'l Value FD-CL-A-IRA	None	CAPITAL GAINS	$201 - $1,000	S
SP	John Hancock Bank & Thrift Opportunity FD-IRA	None	DIVIDENDS	$201 - $1,000	S
SP	Olin Corp-IRA	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	MHI Hospitality Corp - IRA	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
SP	Lord Abbet MID-CAP Value FD-CL-B-IRA	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Aberdeen Australia Equity FD-Inc-IRA	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
SP	Templeton Growth Fund Inc - CL-A-IRA	None	None	NONE	PS
SP	Mutual Series FD In Shares FD-CL-A-IRA	None	DIVIDENDS	$1 - $200	PS
SP	Franklin Income Fund- CL-A-IRA	None	DIVIDENDS	$1 - $200	PS
SP	Celtic Bk-Salt Lake City UT CTF Dep Bond - IRA	None	INTEREST	$1 - $200	S
SP	Peabody Energy Corp - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Dow Chemical Co - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Newmont Mining Corp-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JERRY F. COSTELLO

SP	Patriot Coalcorp-IRA	$1 - $1,000	None	NONE SPIN OFF FROM PEABODY COAL	
SP	Hilliard-Lyons Gov't m/m FD-IRA	None	DIVIDENDS	$201 - $1,000 TRANSFERRED TO WACHOVIA SECURITIES	
SP	Bank Deposit Sweep Option Mmkt FD-IRA	$100,001 - $250,000	INTEREST	$201 - $1,000	
SP	Foxhollow Technologies Inc-IRA	None	None	NONE	PS

SCHEDULE IV - TRANSACTIONS

Name JERRY F. COSTELLO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Goldman Sachs Tr Fin'l Square Mmkt FD-CL-I-IRA	P	10-9-07 11-1-07 12-3-07	$100,001 - $250,000
	Putnam Int'l- New Opportunities FD-CL-A-IRA	S	6-13-07	$1,001 - $15,000
	Hartfort Capital Apprec. FD-CL-A-IRA	P	1-5-07	$1,001 - $15,000
	Hartford Capital Apprec. FD-CL-A-IRA	S	6-13-07	$15,001 - $50,000
	Alliance Bernstein Int'l Value FD-CL-A-IRA	S	6-14-07	$15,001 - $50,000
	John Hancock Bank & Thrift Opportunity FD-IRA	S	6-13-07	$1,001 - $15,000
	Olin Corp - IRA	S	6-13-07	$1,001 - $15,000
	MHI Hospitality Corp - IRA	PS	P 1-17-07 S 6-13-07	$1,001 - $15,000
	Lord Abbet Mid-Cap Value FD-CL-B-IRA	S	6-13-07	$1,001 - $15,000
	Foxhollow Technologies, INC - IRA	PS	P 1-12-07 S 6-13-07	$1,001 - $15,000
	Aberdeen Australia Equity FD-Inc - IRA	PS	P 2-8-07 S 6-13-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name JERRY F. COSTELLO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Peabody Energy Corp - IRA	PS	P 1-3-07 S 6-13-07	$1,001 - $15,000
	Newmont Mining Corp - IRA	PS	P 1-11-07 S 6-13-07	$1,001 - $15,000
	Dow Chemical CO - IRA	PS	P 1-9-07 S 6-13-07	$1,001 - $15,000
	American National Bk of MN Bond - IRA	S	3-29-07	$15,001 - $50,000
SP	Putnam Int'l - New Opportunities FD-CL-A-IRA	S	6-12-07	$1,001 - $15,000
SP	Hartford Capital Apprec. FD-CL-A-IRA	P	1-5-07	$1,001 - $15,000
SP	Alliance Bernstein Int'l Value FD-CL-A-IRA	S	6-12-07	$15,001 - $50,000
SP	John Hancock Bank & Thrift Opportunity FD-IRA	S	6-14-07	$1,001 - $15,000
SP	MHI Hospitality Corp - IRA	PS	P 1-17-07 S 6-14-07	$1,001 - $15,000
SP	Olin Corp-IRA	S	6-14-07	$1,001 - $15,000
SP	Lord Abbet MID-CAP Value FD-CL-B-IRA	S	6-14-07	$1,001 - $15,000
SP	Aberdeen Australia Equity FD-Inc-IRA	PS	P 2-8-07 S 6-14-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name JERRY F. COSTELLO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Templeton Growth Fund Inc - CL-A-IRA	PS	P 6-11-07 P 7-8-07 S 8-7-07	$15,001 - $50,000
SP	Mutual Series FD In Shares FD-CL-A-IRA	P	6-11-07 6-19-07 7-10-07	$15,001 - $50,000
SP	Franklin Income Fund- CL-A-IRA	P	6-11-07 7-10-07 8-03-07	$15,001 - $50,000
SP	Celtic Bk-Salt Lake City UT CTF Dep Bond - IRA	S	3-19-07	$15,001 - $50,000
SP	Peabody Energy Corp - IRA	P	1-3-07	$1,001 - $15,000
SP	Dow Chemical Co - IRA	P	1-9-07	$1,001 - $15,000
SP	Newmont Mining Corp-IRA	P	1-11-07	$1,001 - $15,000
SP	Hartford Capital Apprec. FD-CL-A-IRA	S	6-12-07	$15,001 - $50,000
SP	FoxHollow Technologies Inc-IRA	PS	P 1-12-07 S 6-14-07	$1,001 - $15,000
SP	Mutual Series FD In Shares FD-CL-A-IRA	S	8-7-07	$15,001 - $50,000
SP	Franklin Income Fund CL-A-IRA	S	8-7-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name JERRY F. COSTELLO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Bank Deposit Sweep Option Mmkt FD-IRA	P	Multiple Purchases	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2009 MAY 15 PM 3:14 MC
(Office Use Only)

Joseph D. Courtney
(Full Name)

202.225.2076
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: CT District 02 — ☐ Officer Or Employee — Employing Office

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTION

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTION

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Joseph D. Courtney

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Boyan, Balskus and Foran, LLC	Compensation for legal services rendered prior to becoming a member of Congress	$9,504.77
St. Francis Hospital and Medical Center	Spouse Salary	N/A
Windham Hospital	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph D. Courtney

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Merrill Lynch IRA-In entirety	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
-IRA Holding: American Growth Fund of America, CLC (Symbol: GFACX) *Rollover from Merrill Lynch SRA that was consolidated in 2007 with the Merrill Lynch IRA	$15,001 - $50,000	DIVIDENDS	$1 - $200	
-IRA Holding: American Small Cap World, FD C LA, (Symbol: SMCWX)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
-IRA Holding: Blackrock Large Cap Core , FD C, (Symbol: MCLRX)	$15,001 - $50,000	None	NONE	

-IRA Holding: Blackrock Focus, Growth Fund Inc C, (Symbol: MCFOX) *Rollover from Merrill Lynch SRA that was consolidated in 2007 with the Merrill Lynch IRA	$1,001 - $15,000	None	NONE
-IRA Holding: J Hancock Financial Ind, FD CL A, (Symbol: FIDAX)	$15,001 - $50,000	DIVIDENDS	$1 - $200
-IRA Holding: Lord Abbett, Small Cap Blend Cl C, (Symbol: LSBCX) *Rollover from Merrill Lynch SRA that was consolidated in 2007 with the Merrill Lynch IRA	$1,001 - $15,000	None	NONE
-IRA Holding: Putnam Intl New Opps C, (Symbol: PIOCX) *Rollover from Merrill Lynch SRA that was consolidated in 2007 with the Merrill Lynch IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200
-IRA Holding: Van Kampen Global, Franchise FD CL C, (Symbol: VGFCX)	$15,001 - $50,000	DIVIDENDS	$1 - $200
-IRA Holding: Lord Abbett Large Cap, Core Fund CL C, (Symbol: LLRCX) *Rollover from Merrill Lynch SRA that was consolidated in 2007 with the Merrill Lynch IRA	$15,001 - $50,000	None	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph D. Courtney

Merrill Lynch (College Savings Account) in entirety	$15,001 - $50,000	INTEREST	$5,001 - $15,000
-529 Holding: Franklin Flex Cap Growth Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin Large Cap Value Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin Small-Mid Cap Growth Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin Mutual Shares Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin Mutual European Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin Templeton Foreign Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin US Govt. Securities Fund	$1,001 - $15,000	None	NONE
-529 Holding: Franklin Strategic Income Fund	$1,001 - $15,000	None	NONE
-529: Holding: Franklin Total Return Fund	$1,001 - $15,000	None	NONE
-529 Holding: Templeton Global Bond Fund	$1,001 - $15,000	None	NONE

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Joseph D. Courtney

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's
American Shipbuilding Association	November 26-28	Hartford-Naples	Y	Y	N	1 Days

SCHEDULE VIII - POSITIONS

Name Joseph D. Courtney

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organizatio
Director	Connecticut Health Policy Project

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:32
MC
(Office Use Only)

Robert Edward Cramer, Jr.
(Full Name)

202-225-4801
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: AL — District: 05 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child *because they meet all three tests for exemption?*	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Edward Cramer, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	527 East Beach Boulevard, #802, Gulf Shores, Alabama	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
	Alabama State Employees Deferred Income Account (Nationwide, Fixed) Annuity Mass. Fixed Annuity	$15,001 - $50,000	None	NONE	
	Congressional Federal Credit Union Checking Account	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Congressional Federal Credit Union, Money Market Account	$100,001 - $250,000	INTEREST	$5,001 - $15,000	

SCHEDULE V - LIABILITIES

Name Robert Edward Cramer, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Regions Bank Mortgage, Huntsville Alabama	Mortgage on #802, 527 East Beach Boulevard, Gulf Shores Alabama	$500,001 - $1,000,000

SCHEDULE VIII - POSITIONS

Name Robert Edward Cramer, Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board Member	National Center for Missing and Exploited Children, Alexandria VA

HAND DELIVERED

RECEIPT CENTER
2008 MAY -8 PM 3:26
(Office Use Only)
CLERK
HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Alexander M. Crenshaw
(Full Name)

202-225-2501
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: FL District: 04	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander M. Crenshaw

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Alamo Storage Partners-(self storage-Dallas, TX)	$15,001 - $50,000	RENT	$2,501 - $5,000	
Atlas Pipeline Partners	$15,001 - $50,000	INTEREST/DIVIDENDS	$2,501 - $5,000	P
Bank of Florida	$1,000,001 - $5,000,000	INTEREST	$50,001 - $100,000	
Bankshares Fla. Inc.-stock	$15,001 - $50,000	DIVIDENDS	NONE	
Berkshire Hathaway HLDG., Inc. CL.A.	$100,001 - $250,000	DIVIDENDS	$1 - $200	
Berkshire Hathaway Inc. Class B	$15,001 - $50,000	INTEREST	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander M. Crenshaw

	Cisco Systems, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
	Credit Suisse Bond Iinvestment(formerly Donaldson,Lufkin & Jenrette Alliance/Front Growth Fund)401(k)retirement	$100,001 - $250,000	DIVIDENDS	N/A	
	Direct Mail Systems, Inc.-Direct Mail, St. Pete, FL	$100,001 - $250,000	DIVIDENDS	$50,001 - $100,000	
	J.B. Morgan Chase & Co.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Merrill Lynch & Co., Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Money Market Fund-Merrill Lynch & Co., Inc.	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	Resolution Trust FDG	$100,001 - $250,000	INTEREST	NONE	
	Schulmberger Ltd.com	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	Southern Company	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	State of Florida	None	Retirement	$5,001 - $15,000	
	TEREX Corp.	None	None	N/A	S
	Trinity Asset Holdings	$100,001 - $250,000	INTEREST	NONE	P
	Uniprovident Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander M. Crenshaw

	Wachovia	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	Wells Fargo & Co.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Alexander M. Crenshaw

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Trinity Asset Holding	P	01-10-07	$100,001 - $250,000
	Berkshire Hathaway Inc. Class B	P	12-14-07	$15,001 - $50,000
	J.B. Morgan Chase & Co.	P	02-06-07	$15,001 - $50,000
	Schlumberger Ltd.com	P	02-06-07	$15,001 - $50,000
	Atlas Pipeline Partners	P	02-06-07	$15,001 - $50,000
	TEREX Corp.	S	01-23-07	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Alexander M. Crenshaw

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	May 28-June 3, 2007	Washington, DC-Ljubiana, Slovenia, Jacksonville, FL	Y	Y	Y	2 Days

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY 14 AM 11:51
(Office Use Only)
MC

Joseph Crowley
(Full Name)

202-225-3965
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: NY, District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph Crowley

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	1 Farley Drive, Rensselaer, NY	$15,001 - $50,000	RENT	$2,501 - $5,000	
DC	New York's College Savings Program held by member of Congress for DC-2	$1,001 - $15,000	Other: not self-directed	NA	
DC	New York's College Savings Program held by spouse for DC-1	$1,001 - $15,000	Other: not self-directed/None	NA	
DC	New York's College Savings Program held by spouse for DC-3	$1 - $1,000	Other: not self-directed	NA	
SP	Northwestern Mutual IRA - Allianz NFJ Small Cap Value Fund	$1,001 - $15,000	CAP GAINS/INTERES T/DIVIDEND	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph Crowley

SP	Northwestern Mutual IRA - American Balanced Fund Class B	$1,001 - $15,000	DIVIDENDS/CAP GAINS	$1 - $200	
SP	Northwestern Mutual IRA - American High Income Trust Class B	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Northwestern Mutual IRA - Europacific Growth Fund Class B	$1,001 - $15,000	CAP GAINS//INTERES T/DIVIDEND	$201 - $1,000	
SP	Northwestern Mutual IRA - Federated MID-CAP Index Fund	$1,001 - $15,000	DIVIDEND	$1 - $200	
SP	Northwestern Mutual IRA - Fidelity Advisor Small Cap Fund	$1,001 - $15,000	CAPITAL GAINS/INTERES T	NONE	
SP	Northwestern Mutual IRA - Growth Fund of America Class B	$1,001 - $15,000	CAPITAL GAINS/DIVIDEN D	$201 - $1,000	
SP	Northwestern Mutual IRA - Washington Mutual Investors Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDEN D	$201 - $1,000	
SP	Reliastar Life Insurance Company-Qualified Annuity	$15,001 - $50,000	Other: Retirement Account	$1,001 - $2,500	

SCHEDULE V - LIABILITIES

Name Joseph Crowley

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) *only if the balance at the close of the preceding calendar year exceeded* $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase Manhattan Mortgage	Mortgage on 1 Farley Drive, Rensselaer, NY	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Joseph Crowley

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, *your spouse, or a dependent child during the reporting period.* Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American-Israel Education Foundation	Aug. 11-16	Newark-Tel Aviv-Newark	Y	Y	N	None
International Management and Development Institute	Feb. 17-23	DC-Frankfurt-Hamburg-Munich-DC	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

SECRET
HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 5:49
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Barbara Cubin
(Full Name)

202-225-2311
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative	State: WY District: AL	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Barbara Cubin

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Fred W. Cubin, MD (RET)	Pension	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Cubin

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Grey Wolf Inc.	$1,001 - $15,000	None	NONE	
	Glaxo Smithkline	$1,001 - $15,000	None	$201 - $1,000	
	MedCo	$1,001 - $15,000	None	NONE	
	Merck	$1,001 - $15,000	None	$1 - $200	
	Pfizer	$1,001 - $15,000	None	$1 - $200	
	Universal Display Corp.	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Cubin

	Investment Company of America	$1,001 - $15,000	None/INTEREST	$201 - $1,000	
	Smith Barney Money Fund	$1 - $1,000	INTEREST	$1 - $200	
	Brigham Exploration (IRA)	$1,001 - $15,000	None	NONE	P
	Income Fund of America (IRA)	$15,001 - $50,000	None	NONE	P
	State Street Money Fund	$15,001 - $50,000	None	$201 - $1,000	
	Casper Mountain Land	$50,001 - $100,000	None	NONE	
	Identix	$1,001 - $15,000	None	NONE	
	Garfield Peak Land	$100,001 - $250,000	None	NONE	
	TSP	$100,001 - $250,000	None	NONE	
	CFCU Savings	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Barbara Cubin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Brigham Exploration	P	08-14-07	$1,001 - $15,000
	Income Fund of America	P	07-05-07	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Barbara Cubin

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	National Rifle Assocation

COMMITTEE ON
ENERGY AND COMMERCE
SUBCOMMITTEES
TELECOMMUNICATIONS AND THE INTERNET
HEALTH

DEPUTY WHIP
www.house.gov/cubin

Congress of the United States
House of Representatives
BARBARA CUBIN
WYOMING—AT LARGE

WASHINGTON OFFICE
1114 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2311
FAX (202) 225-3057

WYOMING OFFICES:
100 EAST B STREET
SUITE 4003
P.O. BOX 44003
CASPER, WY 82602-5014
(307) 261-6596
FAX (307) 261-6597

2120 CAPITOL AVENUE
SUITE 2015
CHEYENNE, WY 82001
(307) 772-2895
FAX (307) 772-2597

2515 FOOTHILL BOULEVARD
SUITE 204
ROCK SPRINGS, WY 82901
(307) 362-4095
FAX (307) 362-4097

June 6, 2008

HAND DELIVERED

MC

U.S. HOUSE OF REPRESENTATIVES
2008 JUN -6 AM 11:49
LEGISLATIVE RESOURCE CENTER

The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 CHOB

To Whom It May Concern:

In filing my 2008 Financial Disclosure Statement, the type of income was not indicated for four Asset and/or Income Source items under Schedule III – Assets and "Unearned" Income.

State Street Money Fund should be listed under Block C as Interest Income. Merck, Pfizer, and Glaxo-Smith-Kline should be listed under Block C as Dividends.

Thank you for your attention to this matter.

Sincerely,

Barbara Cubin

Barbara Cubin
MOC

PRINTED ON RECYCLED PAPER

HAND DELIVER.

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

ENRIQUE R. CUELLAR
(Full Name)

956-726-9320
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 28	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

983

SCHEDULE I - EARNED INCOME

Name ENRIQUE R. CUELLAR

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
COMPTROLLER OF PUBLIC ACCOUNTS	SPOUSE SALARY	N/A
EMPLOYEES RETIREMENT SYSTEM OF TEXAS	RETIREMENT	$38,596.08

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ENRIQUE R. CUELLAR

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	602 REYNOLDS LAREDO, TX 78040	$15,001 - $50,000	RENT	$5,001 - $15,000	
	4700 MARCELLA LAREDO, TX 78040	$50,001 - $100,000	RENT	$5,001 - $15,000	
	604 REYNOLDS LAREDO, TX 78040	$1,001 - $15,000	RENT	$1,001 - $2,500	
JT	1519 WASHINGTON LAREDO, TX 78040	$250,001 - $500,000	RENT	$50,001 - $100,000	
JT	1520 VICTORIA LAREDO, TX 78040	$100,001 - $250,000	RENT	$15,001 - $50,000	
JT	H.C. INT'L TRADE, INC. LAREDO, TX 78040 Rentals	$15,001 - $50,000	S - Corp Income	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ENRIQUE R CUELLAR

JT	H.C. AIR, INC. (FMV) LAREDO, TX 78040 Airplane Rental	$100,001 - $250,000	S-corp Income -	$2,501 - $5,000	
JT	COLOMBIA MONEY MARKET	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	COLOMBIA MONEY MARKET	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	INT'L BANK OF COMMERCE CD	$1,001 - $15,000	INTEREST	$1 - $200	
SP	401 K STATE OF TEXAS STATE COMPTROLLER	$50,001 - $100,000	DIVIDENDS	NONE: until retirement	
JT	ABST 467 LOT, LA MOCA LAREDO, TX (50% OWNED)	$1,001 - $15,000	None	NONE	
SP	COMMERCE BANK (CD)	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name ENRIQUE R. CUELLAR

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	INT'L BANK OF COMMERCE LAREDO, TX 78040	BUSINESS & PERSONAL LOANS	$100,001 - $250,000
	EAST VAL, INC. GALVESTON, TX 77552	MORTGAGE ON 1520 VICTORIA	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name ENRIQUE R. CUELLAR

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	H.C. AIR, INC.
PRESIDENT	H.C. INTERNATIONAL TRADE, INC.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:24
HAND DELIVERED
U.S. HOUSE OF REPRESENTATIVES (Office Use Only)

JOHN ABNEY CULBERSON
(Full Name)

202-225-2571
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: Texas District: 7	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500

This page may be copied if more space is required.

Name John Cullum

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Examples: SP Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples: Simon & Schuster		Indefinite																	Royalties									X			
JT	Examples: 1st Bank of Paducah, KY Accounts						X									X									X							
	OUR HOUSTON HOME						X													N/A												N/A

For additional assets and unearned income, use next page.

992

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Cullum

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	STATE OF TEXAS RETIREMENT						X													ANNUITY					N/A							

This page may be copied if more space is required.

Name John Culberson

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	IBM STOCK		X		10/25/07	X									
	MICROSOFT STOCK (VALUE LESS THAN $1000)		X		10/25/07	(2) X									

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	AMERICAN EXPRESS	REVOLVING CHARGE ACCOUNT	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
	N/A

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

MAY 14 2008
LEGISLATIVE RESOURCE CENTER
2008 MAY 21 PM 4:49
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Elijah E. Cummings (Full Name)

410-685-9199 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MD — District: 07	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Elijah E. Cummings

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Baltimore County, MD Schools	Spouse Salary	$80,000

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Elijah E. Cummings

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
University of Maryland Eastern Shore Princess Anne, MD	Speech	Feb 22, 2007	$250
Notre Dame AmeriCorps Baltimore, MD	Speech	Apr. 2, 2007	$200
Christian Community Church of God Baltimore, MD	Speech	May 1, 2007	$250
Morning Star Baptist Church Catonsville, MD	Speech	May 4, 2007	$500
Timothy Baptist Church Baltimore, MD	Speech	May 7, 2007	$100
Collective Banking Group Landover (PG County), MD	Speech	June 6, 2007	$500
Greater Mt. Nebo AME Church Bowie, MD	Speech	June 18, 2007	$200
Mt. Lebanon Baptist Church Baltimore, MD	Speech	June 30, 2007	$500
ADT/Tycho Washington, DC	Speech	Aug. 20, 2007	$1,000
Oak Street AME Church Baltimore, MD	Speech	Sept. 30, 2007	$200
Howard University Washington, DC	Speech	Oct. 21, 2007	$1,000
Galilee Missionary Baptist Church Winston-Salem, NC	Speech	Nov. 18, 2007 Dec. 28, 2007	$700

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Elijah E. Cummings

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investment(s), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
2014 Madison Ave. Baltimore, MD	$250,001 - $500,000	RENT	$5,001 - $15,000	
2225 St. Paul Street Baltimore, MD	None	None	NONE	
Elijah Cummings, PA Baltimore, MD	None	No income per House Rules. Continued existence for resolving claims only.	NONE	

SCHEDULE V - LIABILITIES

Name Elijah E. Cummings

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	CitiMortgage, Inc. O'Fallon, Missouri	Mortgage on 2014 Madison Ave., Baltimore, MD	$50,001 - $100,000
	CitiBank Home Equity Lince of Credit, PO Box 7690006, San Antonio, TX	Home Equity Mortgage	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Elijah E. Cummings

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Community Resource & Development (Jacksonville, FL Leadership Coalition)	June 23, 2007	BWI, MD-Jacksonville-BWI, MD	N	Y	N	None
Galilee Missionary Baptist Church, Winston-Salem, NC	Nov. 17-18, 2007	Dulles, VA-Greensboro, NC-Dulles	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Elijah E. Cummings

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Baltimore Zoological Society
Director	Nantional Aquarium in Baltimore
Member	Morgan State University Board of Regents
Member	U.S. Naval Academy Board of Visitors

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 20 PM 2:46
(Office Use Only)

Artur Genestre Davis — (Full Name)
202-225-2665 — (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: AL District: 07	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☑	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? ***If yes, complete and attach Schedule V.***	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1005

Name Artur Davis	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
None			

For payments to charity in lieu of honoraria, use Schedule II.

1006

Name Artur Davis	Page ___ of ___

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	American Cast Iron Pipe Company (Kid One Transport)	Speech	Nov. 20, 2001	$350.00

This page may be copied if more space is required.

Name Artur Davis | Page ___ of ___

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" *if asset did not generate* any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP / DC / JT	Examples				
SP	Mega Corp. Stock	X	X; X	X	S (partial)
DC	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY Accounts	X	X	X	
	None				

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name _____ Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

This page may be copied if more space is required.

Name Artur Davis | Page ___ of ___

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependant child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example*	Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	None															

This page may be copied if more space is required.

Name Arthur Davis | Page ___ of ___

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Alabama Commission on Higher Education	student loan		✓								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

Name Artur Davis	Page ___ of ___

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
None							

This page may be copied if more space is required.

Name Artur Davis | Page ___ of ___

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Board Member	Alabama Center for Law & Civic Education
Member-Board of Trustees	Tuskegee University (resigned Oct. 19, 2007)

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12: 24
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

DANNY K DAVIS
(Full Name)

202 225 5006
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative — State: IL District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

SCHEDULE I - EARNED INCOME

Name DANNY K DAVIS

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
STATE OF ILLINOIS	SPOUSE PENSION	$35,973.24
DAVIS FOR CONGRESS	SPOUSE SALARY	$13,000
SOCIAL SECURITY	SPOUSE SOCIAL SECURITY	$2,352.60
SOCAL SECURITY	MEMBERS SOCAIL SECURITY	$12,362.30
LOTTERY WINNER	MEMBER	$5,000
VALIC WITHDRAWL	SPOUSE	$12,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DANNY K DAVIS

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	EQUITABLE	$1 - $1,000	INTEREST	$1 - $200	
JT	BANK ONE	$1,001 - $15,000	INTEREST	$1 - $200	
JT	COMMUNITY BANK OF LAWNDALE	$1,001 - $15,000	INTEREST	$1 - $200	
JT	AUSTIN BANK	$1,001 - $15,000	INTEREST	$1 - $200	
SP	SECURITIES INCOME	$1,001 - $15,000	DIVIDENDS	NONE	
JT	KNIGHT INS. STOCK	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DANNY K DAVIS

SP	PEGASUS FUND	$1 - $1,000	DIVIDENDS	NONE	
SP	UNITED CREDIT UNION	$1 - $1,000	INTEREST	$1 - $200	
JT	SOUTHSIDE CREDIT UNION	$1 - $1,000	INTEREST	$1 - $200	
SP	VALIC ANNUITY	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
JT	VALIC ANNUITY	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	LEGENT CONS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	CHASE BANK	$1,001 - $15,000	INTEREST	$1 - $200	
JT	NICOR GAS	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name DANNY K DAVIS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
JOHN JAY COLLEGEOF CRIMINAL JUSTICE - SPEECH	FEB 23	CHICAGO-NYC-TEXAS	N	N	N	NONE
PAUL QUINN COLLEGE-SPPECH	FEB 23-24	TEXAS-CHICAGO	Y	Y	N	NONE
NAACP-SPEECH	JULY 9	CHICAGO-DETROIT-CHICAGO	N	N	N	NONE
KNIGHTS OF PETER CLAVER-SPEECH	JULY 22-23	CHICAGO-DETROIT-CHICAGO	Y	Y	N	NONE
VOLUNTEERS OF AMERICA-SPEECH	AUG 13	CHICAGO-KANSAS CITY-CHICAGO	N	N	N	NONE
BLACKS IN GOV'T-SPEECH	AUG 16	CHICAGO-NASHVILLE-CHICAGO	N	N	N	NONE
COMMUNITY RESOURCE ONE STOP SYSTEM-SPEECH	AUG 19-21	CHICAGO-TEXAS-CHICAGO	Y	Y	N	NONE
DURHAM COMMITTEE ON THE AFFAIRS OF BLACK PEOPLE-SPEECH	AUG 25-26	CHICAGO-DURHAM-CHICAGO	Y	Y	N	NONE
SOURCE OF LIGHT CENTER-SPEECH	JUNE 23-24	CHICAGO-SAN ANTONIO-CHICAGO	Y	Y	N	NONE

SCHEDULE VIII - POSITIONS

Name DANNY K DAVIS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	CONGRESSIONAL BLACK CAUCUS FOUNDATION
BOARD MEMBER	STREETWISE NEWSPAPER
BOARD MEMBER	EAST WEST UNIVERSITY
BOARD MEMBER	PREVENTION PARTNERSHIP

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2009 MAY 15 AM 9:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

David Davis
(Full Name)

202-225-6356
(Daytime Telephone)

(Check Box Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: TN, District: 1st	☐ Officer Or Employee — Employing Office:	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1020

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Davis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	AllianzAnnuity	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
JT	Carter County Bank	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Congressional Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Priamerica LMP Division Stragety 1	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	Primerica LMP Division Strategy 1	$15,001 - $50,000	DIVIDENDS/INTEREST	$1,001 - $2,500	
DC2	Primerica LMP Division Strategy 1	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Davis

JT	Primerica LMPDiv. Strategy 1-IRA	$15,001 - $50,000	DIVIDENDS/INTEREST	$2,501 - $5,000	
SP	Prudential Conservative Balanced Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
JT	Prudential Conservative Balanced Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	Prudential Equity Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
JT	Prudential Equity Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
JT	Prudential Flex Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	Prudential Flex Managed Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
SP	Prudential Government Income Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
JT	Putnam Fund for Growth & Income (Banc of America)	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
SP	Putnam Fund for Growth & Income (Banc of America)	$1 - $1,000	DIVIDENDS/INTEREST	NONE	
JT	Shared Health Services, Inc, Johnson City TN	$1,000,001 - $5,000,000	None	NONE	
JT	State of Tennessee 401k	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	Transamerica Capital Guardian Value	$1 - $1,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	Transamerica Equity Fund	$1 - $1,000	DIVIDENDS/INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Davis

SP	Transamerica T.Rowe Price Equity Income	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name David Davis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC2	Primerica LMP Division Strategy 1	S(part)	1/26/07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name David Davis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Presdient Council Member	Milligan College
Board Member	Fresh Start Surgical Gifts
President	Shared Health Services, Inc.

FOOTNOTES

Name David Davis

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	owns 51%of stock - 20% given to employees/not sold	Shared Health Services, Inc. (Hyberbaric oxygen)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:07
(Office Use Only)
MC

Geoffrey Clark Davis
(Full Name)

202-225-3465
(Daytime Telephone)

Filer Status — ☑ Member of the U.S. House of Representative — State: KY — District: 4 — Officer Or Employee — Employing Office:

Report Type — ☑ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1027

SCHEDULE I - EARNED INCOME

Name Geoffrey Clark Davis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Republic Consulting, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Geoffrey Clark Davis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	FERS Thrift Savings Plan	$50,001 - $100,000	None	NONE	
JT	Pentagon Federal CU	$1,001 - $15,000	INTEREST	$1 - $200	
JT	USAA Federal Savings Bank	$15,001 - $50,000	INTEREST	$1 - $200	
JT	Republic Consulting, Inc.	$50,001 - $100,000	None	NONE	

SCHEDULE V - LIABILITIES

Name Geoffrey Clark Davis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Heritage Bank, Burlington, KY	Campaign Loan	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Geoffrey Clark Davis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American-Israel Public Affairs Committee	Aug. 5-12, 2007	CVG-JFL-Tel Aviv-EWR-CVG	Y	Y	Y	None
Home School Legal Defense Association	Sept. 28-29, 2007	DC-Albuquerque-CVG	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 JUN 11 PM 3:58
(Office Use Only)
CLERK
U.S. HOUSE OF REPRESENTATIVES

Lincoln Edward Davis
(Full Name)

202-225-6831
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: TN District: 04 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $308 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $308 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

SCHEDULE I - EARNED INCOME

Name Lincoln Edward Davis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Pickett County Board of Education	Spouse Salary	N/A N/A
State of Tennessee Retirement	Pension	$8,820

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lincoln Edward Davis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the *specific investments*), *provide the value and income information on* each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Diversified Construction Company Assets include equipment and real estate	$250,001 - $500,000	CAPITAL GAINS	$50,001 - $100,000	
JT	Farm land: 507 acres co-owned with Brother in Fentress & Pickett County	$500,001 - $1,000,000	None	NONE	

SCHEDULE VIII - POSITIONS

Name Lincoln Edward Davis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	Diversified Construction Company PO Box 358 Brydstown, TN

HAND DELIVERED

2008 MAY 15 PM 4:52

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Susan A. Davis
(Full Name)

202-225-2040
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 53	☐ Officer Or Employee — Employing Office:	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1036

SCHEDULE I - EARNED INCOME

Name Susan A. Davis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Self Employment	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Susan A Davis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	4113-15 Arbor Vitae San Diego, CA	$250,001 - $500,000	RENT	$15,001 - $50,000	
JT	American Advantage Mileage Fund ADVXX	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	American Beacon FDS	None	None	NONE	S
JT	American International Group AIG	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Ariel Fund	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Ariel Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Susan A. Davis

JT	Cal-Amer Inc. Prop IV	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
JT	Cisco Systems, Inc CSCO	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Costco Wholesale Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Dreyfus GNMA Fund	None	DIVIDENDS	$201 - $1,000	
SP	Dreyfus GNMA Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Dwight Asset Mgt Stb Val	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Fidelity Canada	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Fidelity Cash Reserves (FDRXX)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
SP	Fidelity Contrafund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	Fidelity Emerging Markets	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
JT	Fidelity Select Portfolios FSLBX	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Fidelity Southeast Asia	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JT	General Electric	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
JT	Home Depot	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Susan A. Davis

SP	ICON Energy Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	
JT	ICON Funds ICENX	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	ICON Healthcare (ICHCX)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Janus Invt Fund Mid Cap Value Fund JMCVX	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Julius Baer Invt Funds BJBIX	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Pamassus Income Trust Equity Income Fund PRBLX	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	PIMCO FDS PAC Invst mgmt SER PRRDX	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Pimco Fds Pac Invt Mgmt Ser	$1,001 - $15,000	DIVIDENDS	$2,501 - $5,000	
JT	Procter & Gamble	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Selected American Shares, Inc. SLASX	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	SPP MD CAP MGFD Growth	$15,001 - $50,000	DIVIDENDS	NONE	
SP	SPP MD CAP MGFD Growth	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Svgs Pls LrgCp Bind Fd	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Svgs Pls LrgCp Bind Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Susan A. Davis

	Svgs Pls SmCp Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	T. Rowe Price Emerg Euro $ Mediterranean TREMX	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
SP	T. Rowe Price Emerg Euro $ Mediterranean TREMX	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S(part)
SP	T. Rowe Price Equity Income	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
JT	T. Rowe Price Intl Funds TREMX	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	T. Rowe Price Mid Cap GR PAMCX	$50,001 - $100,000	DIVIDENDS	NONE	
	T. Rowe Price Mid Cap GR PAMCX	$15,001 - $50,000	DIVIDENDS	NONE	
SP	TD Waterhouse MMF US Govt Portfolio SWEEP CMRUZ	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	TD Waterhouse Money Market	$1 - $1,000	DIVIDENDS	NONE	
SP	TD Waterhouse Money Market CMFMZ	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	TDAM Money Market Portfolio Sweep	$1 - $1,000	DIVIDENDS	$201 - $1,000	
	Third Avenue Value Fund, Inc TAVFX	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Vanguard Fixed Income SEC FD VFINX	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Vanguard Fixed Income SEC VFSTX	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Susan A. Davis

SP	Vanguard Index Trust 500 VFINX	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
JT	Vanguard Limited Term Tax Exempt Fund VMLTX	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	Washington Mutual	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Wells Fargo Advantage Small Cap Value Fund Class Z SSMVX	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Wells Fargo Small Cap Opptty Admin NVSOX	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE IV - TRANSACTIONS

Name Susan A. Davis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	T. Rowe Price Emerg Euro $ Mediterranean TREMX	S	8-1-07	$15,001 - $50,000
SP	Fidelity Emerging Markets	S	8-1-07	$15,001 - $50,000
JT	Home Depot	S	11-5-07	$15,001 - $50,000
SP	Fidelity Canada	P	10-9-07	$15,001 - $50,000
SP	Fidelity Southeast Asia	P	10-9-07	$15,001 - $50,000
JT	American Beacon FDS	S	5-1-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Susan A. Davis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Washington Mutual	Mortgage on 4112-15 Arbor Vitae	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Susan A. Davis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Mar. 31 - Apr. 6	San Diego-China-San Diego	Y	Y	Y	None
The Aspen Institute	May 28 - June 3	San Diego-Slovenia-Dulles-San Diego	Y	Y	Y	None
The Aspen Institute	Feb. 20 - 25	Dulles-Puerto Rico-Dulles	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
HAND DELIVERED

THOMAS M. DAVIS III
(Full Name)

202-225-1492
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: VA District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SENATE OF VIRGINIA	SPOUSE SALARY	NA
	ICG GOVERNMENT, LLC	SPOUSE SALARY	NA
	STUDENT LOAN MARKETING ASSOCIATION	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name *Thomas M. Davis, III* | Page *3* of *8*

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on **each asset** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets **including all IRAs**, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. *For all other assets, including all IRAs*, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Asset	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: NONE	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED/BLIND TRUST	C: Other Type of Income (Specify For Example, Partnership Income or Farm Income)	D: None	D: I $1 – $200	D: II $201 – $1,000	D: III $1,001 – $2,500	D: IV $2,501 – $5,000	D: V $5,001 – $15,000	D: VI $15,001 – $50,000	D: VII $50,001 – $100,000	D: VIII $100,001 – $1,000,000	D: IX $1,000,001 – $5,000,000	D: X Over $5,000,000	E
SP	Examples: Mega Corp. Stock					X									X			X							X							S (partial)
DC	Examples: Simon & Schuster		Indefinite																	Royalties										X		
JT	Examples: 1st Bank of Paducah, KY Accounts						X									X											X					
	VA BEACH, VA OCEANA PROP. PARTNERSHIP	X																X										X				S
	BANK OF AMERICA (MONEY MKT SVGS)					X											X								X							
	NEW YORK LIFE			X											X								X									
	BLACK AND DECKER STOCK			X											X								X									
	JER INVESTORS TRUST				X										X		X									X						
	RTI STOCK				X										X										X							

For additional assets and unearned income, use next page.

Continuation Sheet (if needed)

Name THOMAS M. DAVIS, III

Page 4 of 3

SP. DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	AMERICAN CENTURY (BONDS)				X										X		X							X								P
	JANUS OVERSEAS FUND			X											X						X											
	JP MORGAN BOND SELECT					X									X						[redacted]				X							P
	LANDIS SMALL-CAP MARKET					X									X			X					X									
	LOOMIS SAYLES BOND FUND					X									X						[redacted]				X							P
	MANNING & NAPIER WORLD					X									X			X					X									P
	ISHARES TR MSCI EAFE INDEX FUND			X											X							X										
	PIMCO TOTAL RETURN FUND					X									X						[redacted]			X								
	ISHARES TR RUSSELL 2000 INDEX FUND			X											X							X										
	ISHARES TR S&P 500 INDEX FUND			X											X							X										
	SCHWAB CASH RESERVES (Savings)					X									X								X									
	SCHWAB CORE EQUITY FUND					X									X			X					X									P
	SCHWAB DIVIDEND EQUITY					X									X			X					X									
	SCHWAB LARGE-CAP GROWTH					X									X							X										P
	SCHWAB PREMIER EQUITY				X										X			X					X									P
	SCHWAB VALUE ADVANTAGE				X										X									X								
	SSGA INTNL STOCK					X									X			X						X								
	WELLS FARGO ADV					X									X			X						X								P
	SCHWAB GOVT MONEY FUND	X													X							X										
	SCHWAB YIELD PLUS SELECT	X													X							X										
	FEDERAL HOME LOAN BANK	X															X							X								

This page may be copied if more space is required.

Name THOMAS M. DAVIS, III

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	OCEANA PROPERTY PARTNERSHIP		X		8-1-07		X								
	JP MORGAN BOND SELECT	X			3-23-07			X							
	LOOMIS SAYLES BOND FUND	X			1-10-07	[redacted]		X							
	MANNING & NAPIER WORLD	X			9-21-07			X							
	SCHWAB CORE EQUITY FUND	X			1-10-07			X							
	SCHWAB LARGE-CAP GROWTH	X			1-10-07			X							
	SCHWAB PREMIER EQUITY	X			1-10-07		X								
	WELLS FARGO ADV.	X			1-18-07			X							
	AMERICAN FUND CAPITAL		X		1-10-07		X								
	JANUS GROWTH & INCOME FUND		X		1-10-07		X								
	AMERICAN CENTURY EQUITY		X		1-21-07		X								
	EXCELSIOR VALUE		X		1-21-07		X								
	JANUS MIDCAP VALUE FUND		X		1-31-07		X								
	MARISCO INTL FUND		X		1-31-07		X								
	SCHWAB HEALTH CARE FUND		X		1-31-07	X		[redacted]							
	UMB SCOUT WORLDWIDE		X		2-7-07			X							

This page may be copied if more space is required.

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS M DAVIS III

Page 6 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	SCHWAB MUNI MINIFUND	X													X		X					X										
	AMERICAN FD CAPITAL	X													X						X											S
	JANUS GROWTH INCOME	X													X		X				X											S
	AMERICAN CENTURY EQUITY	X													X		X				X											S
	EXCELSIOR VALUE	X													X		X				X											S
	JANUS MIDCAP VALUEFUND	X													X		X				X											S
	MARISCO INTL FUND	X													X		X				X											S
	SCHWAB HEALTH CAREFUND	X													X		X				X											S
	UMB SCOUT WORLDWIDE	X													X		X				X											S
	VALUE LINE EMERGING OPP	X													X		X				X											S
	SCHWAB SMALL CAP EQUITY	X													X		X				X											S
	LAZARD INTL SMALL CAP	X													X						X											S
	TransAmerica Life Ins Annuity				X										X		X						X									
	Virginia Retirement Sys 1%			X											X		X						X									

This page may be copied if more space is required.

Name THOMAS R DAVIS JR

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	VALUE LINE EMERGING OPP		X		2-1-07			X							
	SCHWAB SMALL CAP EQUITY		X		10-1-07		X								
	LAZARD INT SMALL CAP		X		9-20-07	X									
	American Century Bond	X			6-31-07		X								
	Ishares TR MSCI EAFE Index Fund	X			6-31-07	X									
	Ishares TR Russell 2000 Index Fund	X			6-31-07	X									
	Ishares TR S+P 500 Index	X			6-31-07	X									
	Discover Bank CD			X	10-1-07			X							
	GMAC CD			X	10-1-07		X								
	American Chartter CD			X	10-1-07		X								
	Orion Bank CD			X	10-1-07		X								
	Sterling Colonial CD			X	10-1-07		X								
	Washington Mutual CD			X	10-1-07		X								
	Federal Home Loan CD			X	10-1-07		X								
	Colonial Bank CD			X					X						

MATURED

This page may be copied if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and *the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor* or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CONSUMER ELECTRONIC ASSOC LEADERS IN TECHNOLOGY PROGRAM	JAN 7-8	DC- LAS VEGAS-DC	Y	Y	N	NONE

This page may be copied if more space is required.

Name Thomas M. Thissell | Page 2 of 2

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Commissioner	Clark Griffith Baseball League

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2008 41-219 (TMC)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

INSIDE MAIL
RESOURCE CENTER
2008 APR 30 AM 11: 16
HOUSE OF REPRESENTATIVES
MC

Peter A. DeFazio
(Full Name)

2023225416
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: OR District: 04	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. GOVT.	SELF SALARY	N/A
	CITY OF EUGENE	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name DeFazio

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated *more than* $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use *ticker symbols*). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, *name the* institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, *and its geographic location* in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only *because it* generated income, the value should be "None."

BLOCK C — Type of Income

Check *all* columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. *For all other assets, **including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC, Examples	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY Accounts						X									X									X							
	WRIGHT PATMAN FCU			X													X					X										
	SELCO CU.			X													X					X										
	BANK OF NEW ZEALAND			X													X						X									
	ASB BANK			X													X						X									
	IRA-COMMONWEALTH FUND			X										X							X											
	OAKMARK SELECT			X											X			X					X									P, S (PARTIAL)

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DeFazio

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Dominion Resources	X	X X	X	S
SP	Pacific Cascade FCU	X	X	X	
SP	IRA Oakmark Eq/Y	X	X (Reinvested)	X (Div Reinvested)	P Div Reinvest
SP	Bank of New Zealand	X	X	X	
SP	Sentinel Balanced	X	X (Reinvested)	X Reinvested	P Div Reinvest
SP	Def. Comp ING	(X Total distributed as below)			
SP	Fidelity Contra	X			
SP	ING Fixed	X	NO INCOME Deferred Compensation		
SP	Pax World Balanced	X X	Acct/Any all earnings automatically		S Transferred
SP	ING VP Index	X X	Reinvested reflected in RR and		S Exchanged
SP	EQ/Rd Pac Growth	X	value as are no contributions		
SP	Baron Growth	X			
SP	Vanguard Inst Index	X			S Transferred
SP	Van Kampen Comstock	X X			S Transferred
JT	Y4 Share Rental 36 Hampshan Array NZ.	X	X	X	
JT	Rental 33 Angking Angking NZ	X	X	X	

This page may be copied if more space is required.

Name DeFazio

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SELF	Oakmark Select (Partial)		X		1/10	X									
SELF	Dominion Resources		X		8/15	X									
SELF	Oakmark Select (MO Payroll Ded)	X				X									
SP	Sentinel Balanced	X				X	(Auto Reinv of Cap Gains PAY)								
SP	ING VP Index		X	X	1/17		X								
SP	Vanguard Mid Cap	X	(exchange fm 1/17 above)	X			X								
SP	Pax World Balanced		X	X	11/26	X	(Trans to Fixed)								
SP	Baron Growth (Partial)		X	X	11/26		X	(Trans to Fixed)							
SP	Vanguard Inst Index		X	X	11/26	X	(Trans to Fixed)								
SP	Van Kampen Comstock		X	X	11/13	X	(Trans to Fixed)								

This page may be copied if more space is required.

1060

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CITI MORTGAGE MORT	1083 S. 70TH SPFLD OR				X						
JT	COUNTRYWIDE MORT	33880 PINE GROVE LANE OR		X								
JT	BANK OF NEW ZEALAND MORT	36 HEMPLEMAN AVENUE NZ		X								
JT	BANK OF NEW ZEALAND MORT	335 ANAKINA AVENUE NZ			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
	N/A	

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	N/A						

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

N/A

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

N/A

Use additional sheets if more space is required.

GPO: 2006 41-219 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:26
(Office Use Only)
HOUSE OF REPRESENTATIVES

Diana DeGette
(Full Name)

(202) 225-4431
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: CO — District: 01 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $308 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Diana DeGette

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
McKenna Long & Aldridge LLP	Spouse's share of parntership income	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Diana DeGette

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	AIM Small Cap Growth (McKenna Long & Aldridge 401(k))SP	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	AIM Small Cap Growth (McKenna Long & Aldridge Profit Sharing Plan (SP)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
SP	Baron Partners Fund (SP/IRA)	$15,001 - $50,000	Capital Gains	$201 - $1,000	P
	Fidelity Aggressive Growth (IRA)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
SP	Fidelity Cash Reserves (IRA)	$1 - $1,000	DIVIDENDS	$1 - $200	P
JT	Fidelity Cash Reserves (JT)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P

1066

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Diana DeGette

	Fidelity Cash Reserves (SP,IRA)	$50,001 - $100,000	Dividends	$1 - $200	P
SP	Fidelity Contrafund (SP,IRA)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
SP	Fidelity Growth Discovery Fund (IRA)	$1,001 - $15,000	N/A	NONE	
SP	Fidelity Independence Fund (SP/IRA)	$1,001 - $15,000	N/A	NONE	
	Fidelity Leveraged Co. Stock Fund (IRA)	$1,001 - $15,000	Dividends/Capital Gains	$201 - $1,000	P
SP	Fidelity Leveraged Co. Stock Fund (SP, IRA)	$15,001 - $50,000	Dividends/Capital Gains	$1,001 - $2,500	P
SP	GS Small Cap Value I (McKenna Long & Aldridge 401(k))	$15,001 - $50,000	DIVIDENDS	$5,001 - $15,000	P
SP	GS Small Cap Value I (McKenna Long & Aldridge Profit Sharing Plan	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	P
SP	Hennessy Focus 30 Fund (SP, IRA)	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	P
SP	McKenna Long & Aldridge LLP Cash Balance Plan	$15,001 - $50,000	Interest	$1,001 - $2,500	P
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge 401(k))	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge Profit Sharing Plan)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	Public Employees Retirement Ass'n	$1,001 - $15,000	INTEREST	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Diana DeGette

JT	Wells Fargo Bank West, N.A.	$1,001 - $15,000	INTEREST	$1 - $200	N/A
	Westerra Credit Union	$1 - $1,000	Dividends	$1 - $200	N/A

SCHEDULE IV - TRANSACTIONS

Name Diana DeGette

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AIM Small Cap Growth (McKenna Long & Aldridge 401(k	P	01-05-2007	$1,001 - $15,000
SP	AIM Small Cap Growth (McKenna Long & Aldridge Profit Sharing Plan	P	03-02-2007	$1,001 - $15,000
SP	GS Small Cap Value I (McKenna Long & Aldridge 401(k)	P	01-05-2007	$1,001 - $15,000
SP	GS Small Cap Value I (McKenna Long & Aldridge Profit Sharing Plan)	P	03-02-2007	$1,001 - $15,000
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge 401(k)	P	01-05-2007	$1,001 - $15,000
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge Profit Sharing Plan)	P	03-02-2007	$1,001 - $15,000
SP	AIM Small Cap Growth (McKenna Long & Aldridge 401(k)	P	03-02-2007	$0-$1000
SP	GS Small Cap Value I (McKenna Long & Aldridge 401(k)	P	03-02-2007	$1,001 - $15,000
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge 401(k)	P	03-02-2007	$0-$1000
SP	AIM Small Cap Growth (McKenna Long & Aldridge 401(k)	P	07-30-2007	$0-$1000
SP	GS Small Cap Valuel (McKenna Long & Aldridge 401(k)	P	07-30-2007	$0-$1000
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge 401(k)	P	07-30-2007	$0-$1000

SCHEDULE IV - TRANSACTIONS

Name Diana DeGette

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AIM Small Cap Growth (McKenna Long & Aldridge 401(k)	P	12-13-2007	$1,001 - $15,000
SP	GS Small Cap Value (McKenna Long & Aldridge 401(k)	P	12-14-2007	$1,001 - $15,000
SP	Murder Mid Cap Core Growth Fund (McKenna Long & Aldridge 401(k)	P	12/31/2007	$0-$1000
SP	AIM Small Cap Growth (McKenna Long & Aldridge Profit Sharing Plan)	P	07-30-2007	$0-$1000
SP	GS Small Cap Value (McKenna Long & Aldridge Profit Sharing Plan)	P	07-30-2007	$0-$1000
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge Profit Sharing Plan)	P	07-30-2007	$0-$1000
SP	AIM Small Cap Growth (McKenna Long & Aldridge Profit Sharing Plan)	P	12-30-2007	$15,001 - $50,000
SP	GS Small Cap Value I (McKenna Long & Aldridge Profit Sharing Plan)	P	12-14-2007	$1,001 - $15,000
SP	Munder Mid Cap Core Growth Fund (McKenna Long & Aldridge Profit Sharing Plan)	P	12-31-2007	$1,001 - $15,000
	Fidelity Aggressive Growth (IRA)	P	02-02-2007	$0-$1000
SP	Fidelity Contrafund (IRA)	P	02-02-2007	$0-$1000
SP	Baron Partners Funds (IRA)	P	03-27-2007	$0-$1000

SCHEDULE IV - TRANSACTIONS

Name Diana DeGette

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Fidelity Balanced Fund	P	04-04-2007	$0-$1000
SP	Fidelity Select Medical Delivery Fund (IRA)	P	04-13-2007	$0-$1000
	Fidelity Select Leisure Fund (IRA)	P	04-13-2007	$0-$1000
SP	Stratton Multi-Cap Fund (IRA)	P	06-2-2007	$0-$1000
SP	Fidelity Leveraged Co. Stock Fund (IRA)	P	09-07-2007	$1,001 - $15,000
	Fidelity Leveraged Co. Stock Fund (IRA)	P	09-07-2007	$0-$1000
SP	Hodges Fund (IRA)	P	11-16-2007	$0-$1000
SP	Hennessy Focus 30 Fund (IRA)	P	11-29-2007	$1,001 - $15,000
JT	Fidelity Balanced Fund	P	12-21-2007	$0-$1000
SP	Fidelity Leveraged Co. Stock Fund (IRA)	P	12-14-2007	$0-$1000
SP	Fidelity Select Medical Delivery Fund (IRA)	P	12-14-2007	$1,001 - $15,000
SP	Stratton Multi-Cap Fund (IRA)	P	12-07-2007	$0-$1000

SCHEDULE IV - TRANSACTIONS

Name Diana DeGette

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Chase Growth Fund (IRA)	P	12-07-2007	$0-$1000
SP	Baron Partners Fund (IRA)	P	12-20-2007	$0-$1000
SP	Baron Small Cap Fund (IRA)	P	12-20-2007	$0-$1000
SP	Fidelity Contrafund (IRA)	P	12-28-2007	$0-$1000
	Fidelity Leveraged Co. Stock Fund (IRA)	P	12-14-2007	$0-$1000
	Fidelity Select Leisure Fund (IRA)	P	12-21-2007	$0-$1000
SP	Fidelity Select Medical Delivery Fund (IRA)	S	12-27-2007	$15,001 - $50,000
	Fidelity Growth Discovery Fund (IRA)	P	12-27-2007	$1,001 - $15,000
	Fidelity Select Leisure Fund (IRA)	S	12-27-2007	$1,001 - $15,000
JT	Fidelity Balanced Fund	S	12-28-2007	$1,001 - $15,000
SP	Hodges Fund	S	12-28-2007	$15,001 - $50,000
SP	Chase Growth Fund (IRA)	S	12-28-2007	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Diana DeGette

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Baron Small Cap Fund (IRA)	S	12-28-2007	$1,001 - $15,000
SP	Stratton Multi-Cap Fund (IRA)	S	12-28-2007	$15,001 - $50,000
SP	Fidelity Independence Fund (IRA)	P	12-27-2007	$1,001 - $15,000
SP	Fidelity Cash Reserves (IRA)	P	12-27-2007	$15,001 - $50,000
JT	Fidelity Cash Reserves	P	12-27-2007	$0-$1000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Diana DeGette

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	Feb 18-22	Denver-Charleston, SC-Denver	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 15 PM 5:07

(Office Use Only) MC

William D. DelaHunt — (Full Name)
202.225.3111 — (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: MA District: 10	☐ Officer or Employee	Employing Office: Rep. William DelaHunt
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COMMONWEALTH OF MASSACHUSETTS RETIREMENT SYSTEM	PENSION	$57,444

For payments to charity in lieu of honoraria, use Schedule II.

Name William D. Delahunt | Page 3 of 5

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For ***all other assets including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchase (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

		Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples	Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X									X									X							
CITIZENS BANK, QUINCY, MA					X													X					X										
COMMONWEALTH OF MASSACHUSETTS DEFERRED COMPENSATION PLAN									X									X								X							

For additional assets and unearned income, use next page.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	FRIEDRICH NAUMANN FOUNDATION, GERMAN MARSHALL FUND OF U.S. & ASSOC. FORMER MEMBERS OF CONGRESS	MAR 30 – APR 5	DC - HAMBURG & BERLIN, GERMANY - DC	Y	Y	N	NONE

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
BOARD OF TRUSTEES	MIDDLEBURY College, MIDDLEBURY, VERMONT

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 3:10
MC
(Office Use Only)

Rosa L. DeLauro
(Full Name)

202-225-3661
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: CT District: 3rd	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

1080

SCHEDULE I - EARNED INCOME

Name Rosa L. DeLauro

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Greenberg Quinlan Rosner Research, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. DeLauro

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Chase Home Finance-Escrow	$1,001 - $15,000	INTEREST	$1 - $200	
JT	United Bank Checking	$100,001 - $250,000	INTEREST	$201 - $1,000	
	United Bank Checking	$1,001 - $15,000	INTEREST	$1 - $200	
	Citizens Bank-Scholarships	$1,001 - $15,000	None	NONE	
JT	ML-Blackrock Global	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	ML-First Trst Morningstar	None	DIVIDENDS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. DeLauro

JT	ML-Columbia Marsico 21st	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	ML-Evergreen Fds Em Mkts	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
JT	ML-US Treasury	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
JT	ML-US Treasury	None	INTEREST/CAPITAL GAINS	$1 - $200	PS
JT	ML-CD Bank of Baroda NY	$15,001 - $50,000	None	NONE	P
JT	ML-MMFunds	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	
	ML-Blackrock Enhanced Eq Yld	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	ML-Powershares ETF Trust	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	ML-Blackrock Global	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
	ML-CD Bank of Baroda NY	$1,001 - $15,000	None	NONE	P
	ML-US Treasury	None	INTEREST/CAPITAL GAINS	$1 - $200	PS
	ML-MMFunds	$1,001 - $15,000	INTEREST	$1 - $200	
SP	TIAA CREF- TIAA Traditional Retirement	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	TIAA CREF- CREF Stock Retirement	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. DeLauro

	TIAA CREF- TIAA Traditional Retirement	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	TIAA CREF-CREF Stock Retirement	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	
SP	JH Rtrmt-Pimco Total Return	$15,001 - $50,000	None	NONE	P
SP	JH Rtrmt-Weitz Partners Value	$50,001 - $100,000	None	NONE	P
SP	JH Rtrmt-Franklin Balance Sheet	$50,001 - $100,000	None	NONE	P
SP	JH Rtrmt-Lord Abbett All Value	$50,001 - $100,000	None	NONE	P
SP	JH Rtrmt-Lord Abbett Mid Cap Value	$50,001 - $100,000	None	NONE	P
SP	JH Rtrmt-DWS RREEF Real Estate	$50,001 - $100,000	None	NONE	P
SP	JH-Rtrmt-Excelsior Value & Restruct	$50,001 - $100,000	None	NONE	P
SP	JH Rtrmt-Jennison Growth	$50,001 - $100,000	None	NONE	P
SP	JH Rtrmt-Templeton Foreign	None	None	NONE	PS
SP	JH Rtrmt-AIM Small Cap Growth	$15,001 - $50,000	None	NONE	P
SP	JH Rtrmt-Int'l Value Fund	$50,001 - $100,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rosa L. DeLauro

SP	Greenberg Quinlan Rosner Research Inc. 67% Owner 10 G Street, NE WDC 20002 Polling/Consulting	$5,000,001 - $25,000,000	See Statement I	NONE	
SP	Greenberg Research Inc. 100% Owner 10 G Street,NE WDC 20002 Strategic Consulting	$1,001 - $15,000	See Statement II	NONE	
SP	Sun Surveys LLC 60% Owner 9425 Sunset Drive Miami, FL Phone Surveys	$1,001 - $15,000	None	NONE	
SP	JH Rtrmt Small Cap Index Fund	$15,001 - $50,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Rosa L. DeLauro

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or yourdependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	ML-Blackrock Global	P	4-19-07	$1,001 - $15,000
JT	ML-First Trst Morningstar	P	4-23-07	$15,001 - $50,000
JT	ML-Columbia Marsico 21st	P	4-23-07	$15,001 - $50,000
JT	ML-Evergreen Fds Em Mkts	P	4-23-07	$1,001 - $15,000
JT	ML-US Treasury	P	4-24-07	$1,001 - $15,000
JT	ML-US Treasury	P	5-25-07	$1,001 - $15,000
JT	ML-CD Bank of Baroda NY	P	9-21-07	$15,001 - $50,000
	ML-Blackrock Global	P	4-19-07	$15,001 - $50,000
	ML-CD Bank of Baroda NY	P	9-21-07	$1,001 - $15,000
	ML-US Treasury	P	5-25-07	$1,001 - $15,000
SP	JH Rtrmt-Pimco Total Return	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-Weitz Partners Value	P	Monthly	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Rosa L. DeLauro

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or yourdependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JH Rtrmt-Franklin Balance Sheet	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-Lord Abbett All Value	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-Lord Abbett Mid Cap Value	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-DWS RREEF Real Estate	P	Monthly	$1,001 - $15,000
SP	JH-Rtrmt-Excelsior Value & Restruct	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-Jennison Growth	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-Templeton Foreign	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-AIM Small Cap Growth	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt-Int'l Value Fund	P	Monthly	$1,001 - $15,000
JT	ML-First Trst Morningstar	S	12-27-07	$15,001 - $50,000
JT	ML-US Treasury	S	8-09-07	$1,001 - $15,000
JT	ML-US Treasury	S	8-09-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Rosa L. DeLauro

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ML US Treasury	S	8-09-07	$1,001 - $15,000
	ML-Blackrock Global	P	12-18-07	$1,001 - $15,000
SP	JH Rtrmt Small Cap Index Fund	P	Monthly	$1,001 - $15,000
SP	JH Rtrmt Templeton Foreign	S	10-26-07	$50,001 - $100,000
SP	JH Rtrmt Int'l Value Fund	P	10-26-07	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name Rosa L. DeLauro

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Chase Auto Phoenix, Az	Auto Loan	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Rosa L. DeLauro

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Fellow	Timothy Dwight College - Yale University
Member of Board of Directors	Women's Campaign School - Yale University
Honorary Board Member	Special Olympics - Connecticut
Honorary Board Member	Sisters Jorney
Advisory Board Member	Roosevelt Institution
National Advisory Board	National Research Center for Women & Families

Rosa L. DeLauro
49 Huntington Street
New Haven, CT 06511

House of Representatives, CT, 3rd District

ATTACHMENTS: BASIS OF VALUATION

Statement I, Schedule III, Page 6:

Greenberg Quinlan Rosner Research Inc. – Ownership value based on an independent business valuation.

Statement II, Schedule III, Page 6:

Greenberg Research, Inc. – Ownership value based on an independent business valuation.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:29
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Lincoln Diaz-Balart
(Full Name)

305-470-8555
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: FL District: 21 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1092

SCHEDULE I - EARNED INCOME

Name Lincoln Diaz-Balart

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Transatlantic Bank, Miami, FL	Spouse Salary	N/A
Bank United FSB, Coral Gables, FL	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lincoln Diaz-Balart

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Fidelity Puritan Fund	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	AG Edwards & Sons IRA - Van Kampen Equity Trust Select Growth Fund	None	DIVIDENDS	$1 - $200	S/E
SP	Citibank Savings	$1,001 - $15,000	INTEREST	$1 - $200	
	New England Life Insurance	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	Prudential Guaranteed Income Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Oakmark Equity and Income Fund	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lincoln Diaz-Balart

SP	Small Cap Granahan Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	AG Edwards & Sons IRA - Van Kampen Equity Trust II Technology Fund	None	DIVIDENDS	$1 - $200	S/E
SP	AG Edwards Capital Income Builder	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P/E
SP	Bank United FSB Restricted Stock (Received, not Purchased, as a Bonus, 11/07)	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Bank United Savings	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Lincoln Diaz-Balart

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AG Edwards Capital Income Builder (Purchased with funds from AG Edwards Trust II Tech. Fund and AG Edwards Equity Trust Select Growth Fund)	P/E	05-11-07	$1,001 - $15,000
SP	Bank United Savings	P	03-2007	$1,001 - $15,000
SP	AG Edwards & Sons IRA - Van Kemp Trust II Technology Fund (Sold - Funds moved to AG Edwards Capital Income Builder)	S/E	05-11-07	$1,001 - $15,000
SP	AG Edwards & Sons IRA - Van Kemp Equity Trust Select Growth Fund (Sold - Funds moved to AG Edwards Capital Income Builder)	S/E	05-11-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Lincoln Diaz-Balart

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America	Line of Credit	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Lincoln Diaz-Balart

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman	Congressional Hispanic Leadership Institute

HAND DELIVERED

RECORDS CENTER

2008 MAY 14 AM 9:35

HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

Mario Diaz-Balart
(Full Name)

305-225-6866
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: FL District: 25 — Officer Or Employee — Employing Office.

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ✓ No

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes No ✓

If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ✓ No

If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes No ✓

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes No ✓

If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes No ✓

If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes No ✓

If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No ✓

1099

SCHEDULE I - EARNED INCOME

Name Mario Diaz-Balart

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Gordon/Diaz-Balart	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mario Diaz-Balart

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP 16558 NE 26th Avenue #5C, North Miami Beach, Florida 33160	$100,001 - $250,000	None	NONE	

SCHEDULE V - LIABILITIES

Name Mario Diaz-Balart

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Hay Hurst Mortgage, Coconut Grove, Florida	Mortgage on 16558 NE 26th Avenue, #5C, North Miami Beach, Florida 33160	$100,001 - $250,000

HAND DELIVERED

MAIL LIVING RECORDS CENTER
2008 MAY 13 PM 1:50
HC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

NORMAN D. DICKS (Full Name)

5-5916 (Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: WA, District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1103

SCHEDULE I - EARNED INCOME

Name NORMAN D. DICKS

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US Capitol Historical Society	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NORMAN D. DICKS

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. *Exclude:* Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Lincoln Life - AFIS Growth Retirement annuity P.O. Box 2340, Fort Wayne, IN 46801	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	Lincoln Life - AFIS International Retirement annuity P.O. Box 2340, Fort Wayne, IN 46801	$15,001 - $50,000	DIVIDENDS	$5,001 - $15,000	P
SP	Lincoln Life - Del Small Cap Value Retirement Annuity P.O. Box 2340, Fort Wayne, IN 46801	$15,001 - $50,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NORMAN D. DICKS

SP	Lincoln Life - NB Mid Cap Value Retirement annuity P.O. Box 2340, Fort Wayne, IN 46801	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	Massachusetts Mutual Life Ins, Panorama Premier, American Century VP Value, Springfield, MA - IRA	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Wachovia Bank, N.A	$1,001 - $15,000	None	NONE	
	Wright Patman Congressional FCU	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name NORMAN D. DICKS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Lincoln Life - AFIS Growth Retirement Annuity P.O. Box 2340, Fort Wayne, IN 46801	P	Bi-weekly retirement contributions Dividends reinvested during year	$1,001 - $15,000
SP	Lincoln Life - AFIS International Retirement annuity P.O. Box 2340, Fort Wayne, IN 46801	P	Bi-weekly retirement contributions Dividends reinvested during year	$1,001 - $15,000
SP	Lincoln Life - Del Small Cap Value Retirement Annuity P.O. Box 2340, Fort Wayne, IN 46801	P	Bi-weekly retirement contributions Dividends reinvested during year	$1,001 - $15,000
SP	Lincoln Life - NB Mid Cap Value Retirement annuity P.O. Box 2340, Fort Wayne, IN 46801	P	Bi-weekly retirement contributions Dividends reinvested during year	$1,001 - $15,000
SP	Massachusetts Mutual Life Ins, Panorama Premier, American Century VP Value, Springfield, MA - IRA	P	Dividends reinvested during year	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name NORMAN D. DICKS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board (unpaid)	Congressional Charity Tennis Classic
Trustee (unpaid)	Washington National Opera

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RECORDS OFFICE

2008 MAY 15 PM 4: 2

(Office Use Only)

Hon. John D. Dingell (Full Name)

202-225-4071 (Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: MI — District: 15 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☑ No ☐

1109

SCHEDULE I - EARNED INCOME

Name Hon. John D. Dingell

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
General Motors Corporation	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Adams Express Co. Maryland	None	None	NONE	S
SP	AIM Constellation Fund	None	None	NONE	S
SP	American International Group Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Bank Hapoalim B.M. - NY: CD	None	INTEREST	$201 - $1,000	S
	Bank of America Corp.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	Bank of America Corp. (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

SP	Bernstein Divers Val (previously reported as FID Equity Income)	$15,001 - $50,000	N/A	N/A	PS
JT	Brandywine Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
JT	Chase Bank (formerly reported as Bank One)	$1,001 - $15,000	INTEREST	$1 - $200	
	Citibank NA South Dakota Bank Deposit Program	$1 - $1,000	INTEREST	$201 - $1,000	
SP	Citigroup (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Citigroup Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Columbia Acorn Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
SP	Conagra Foods Inc.	None	None	NONE	S
JT	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
SP	ConocoPhillips	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	CVS Caremark Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Davis New York Venture Fund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Davis New York Venture Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

SP	Davis New York Venture Fund (previously reported as a single asset)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Detroit MICH CAP IMPT-SER A FSA	None	INTEREST	$201 - $1,000	
SP	DirectTV Group Inc.	$15,001 - $50,000	None	NONE	
JT	Dodge & Cox International Stock Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
JT	Dodge & Cox Stock Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S(part)
	E I Du Pont De Nemours & Co.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Eaton Vance Michigan Municipal Income Trust SBI	$1,001 - $15,000	INTEREST/DIVIDENDS	$201 - $1,000	P
JT	Evergreen Asset Allocation Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	Evergreen Asset Allocation Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Exelon Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Federal Farm Credit Bank Cons Bonds	None	INTEREST	$201 - $1,000	S
SP	FID Diversified Intl.	$100,001 - $250,000	N/A	N/A	
SP	FID Growth Company	$1,001 - $15,000	N/A	N/A	
	Gabelli Asset Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

	General Electric Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	General Electric Co. (previously reported as a single asset)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	General Motors Common Stock	$100,001 - $250,000	N/A	N/A	
SP	General Motors Corp.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	General Motors Corp. Stock Options	$500,001 - $1,000,000	N/A	N/A	
SP	General Motors Pension Fund	N/A as pension is vested	N/A	N/A	
	Heartland Value Fund Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Income Fund of America	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JT	Income Fund of America	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	Income Fund of America (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	IRA: Citibank NA South Dakota Bank Deposit Program	$1 - $1,000	INTEREST	$1 - $200	
	IRA: Citibank NA South Dakota Bank Deposit Program	$1 - $1,000	INTEREST	$1 - $200	
SP	IRA: Davis New York Venture Fund Class A (previously reported as a single asset)	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

SP	IRA: Dodge & Cox Stock Fund (previously reported as a single asset)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	IRA: Evergreen Asset Allocatin Fund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	IRA: Legg Mason Value Trust	None	None	NONE	S
SP	IRA: Legg Mason Value Trust (previously reported as a single asset)	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
	IRA: Legg Mason Value Trust Inc.	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
	IRA: Mutual Shares Fund (previously reported as a singel asset)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	IRA: Spectra Fund	None	None	NONE	S
	IRA: Strategic Growth Fund Class B (formerly reported as Van Kampen Emerging Growth Fund)	None	None	NONE	S
SP	IRA: Davis New York Venture Fund Class B (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA: Detroit MICH CAP IMPT-SER A FSA (previously reported as a single asset)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	IRA: Income Fund of America Class C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Istar Finl Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

JT	Ivy Asset Strategy Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Ivy Asset Strategy Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Ivy Asset Strategy Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Kent Hospital FIN AUTH MICH RV VAR RFDG-Spectrum HLTH-B MBIA	None	INTEREST	$2,501 - $5,000	S
JT	Legg Mason Partners Appreciation Fund	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	Legg Mason Value Trust	$50,001 - $100,000	CAPITAL GAINS	$201 - $1,000	
JT	Livonia MICh MUN BLDG AUTH RFDG MBIA	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	P
SP	Marsh & McLennan COS Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Merck & Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Michigan St. Trunk Line RFDG	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	P
JT	Mutual Beacon Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Mutual Qualified Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

	Mutual SER FD INC SHS Fund (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Mutual SER FD Inc. - Beacon Fund (previously reported as a single asset)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	Mutual SER FD Inc. - Qualified Income Fund (previously reported as a single asset)	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Mutual Shares Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Newell Rubbermaid Inc.	None	CAPITAL GAINS	$201 - $1,000	S
	Nicholas Fund	None	CAPITAL GAINS	$201 - $1,000	S
	Oppenheimer Global Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	Oppenheimer Global Fund (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Oppenheimer Global OPPTYS Fund	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
SP	Pfizer Inc.	None	None	NONE	S
SP	Praxair Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Procter & Gamble Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Promark Income Fund	$250,001 - $500,000	N/A	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

SP	Promark LG Cap Index	$15,001 - $50,000	N/A	N/A	
SP	Quintana Maritime Ltd..	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Smith Barney Municipal Money Market FD CL A	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Smith Barney Municipal Money Market Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Spring Lake MICH PUB SCHS	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	P
SP	Spring Lake MICH PUB SCHS	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	Stryker Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Stryker Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	The Torray Fund	None	None	NONE	PS
	Thornburg INVT Tr International Value Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Thornburg MTG Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Thornburg MTG Inc. (previously reported as a single asset)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	Time Warner Inc.	None	None	NONE	S
	UTS Uncommon Values TR #2007 Monthly PMT Series	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hon. John D. Dingell

JT	Wachovia Bank	$100,001 - $250,000	INTEREST	$201 - $1,000	
JT	Wachovia Securities	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Wal-Mart Stores Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Washington Mutual Bank: CD	None	INTEREST	$1,001 - $2,500	S
	Washington Mutual Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Washington Mutual Inc. (previously reported as a single asset)	$1 - $1,000	DIVIDENDS	$201 - $1,000	
SP	Washington Mututal Bank: CD	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
SP	WellsFargo & Co New	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Western Asset Muncipal Money Market Fund	$1,001 - $15,000	INTEREST/CAPITAL GAINS	$201 - $1,000	
SP	Western Asset Municipal Money Market Fund CL A	None	INTEREST	$201 - $1,000	S
JT	Wyoming MICH PUB SCHS RFDG	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	P

SCHEDULE IV - TRANSACTIONS

Name Hon. John D. Dingell

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AIM Constellatin Fund	S	4-20-07	$1,001 - $15,000
SP	Conagra Foods Inc.	S	12-06-07	$1,001 - $15,000
SP	Federal Farm Credit Bank Cons Bonds	S	11-06-07	$1,001 - $15,000
SP	Newell Rubbermaid Inc.	S	12-06-07	$1,001 - $15,000
SP	Pfizer Inc.	S	12-06-07	$1,001 - $15,000
SP	Stryker Corp.	P	4-17-07	$15,001 - $50,000
SP	Time Warner Inc.	S	9-21-07	$1,001 - $15,000
SP	Evergreen Asset Allocation Fund	P	12-06-07	$15,001 - $50,000
SP	Ivy Asset Strategy Fund	P	4-20-07	$1,001 - $15,000
SP	Spring Lake MICH PUB SCHS	P	8-03-07	$15,001 - $50,000
SP	Washington Mutual Bank: CD	P	4-16-07	$15,001 - $50,000
JT	Eaton Vance Michigan Municipal Income Trust SBI	P	9-07-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Hon. John D. Dingell

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Evergreen Asset Allocation Fund	P	12-06-07	$15,001 - $50,000
JT	Income Fund of America	P	3-05-07	$15,001 - $50,000
JT	Ivy Asset Strategy Fund	P	12-06-07	$15,001 - $50,000
JT	Spring Lake MICH PUB SCHS	P	8-03-07	$15,001 - $50,000
JT	Michigan St. Trunk Line RFDG	P	8-29-07	$15,001 - $50,000
JT	Livonia MICH MUN BLDG AUTH RFDG MBIA	P	8-29-07	$15,001 - $50,000
JT	Wyoming MICH PUB SCHS RFDG	P	8-27-07	$15,001 - $50,000
JT	Oppenheimer Global OPPTYS Fund	S	12-31-07	$1,001 - $15,000
JT	Oppenheimer Global OPPTYS Fund	S	12-06-07	$15,001 - $50,000
JT	Legg Mason Partners Appreciation Fund	S	3-05-07	$15,001 - $50,000
JT	Kent Hospital FIN AUTH MICH RV VAR RFDG-Spectrum HLTH-B MBIA	S	8-09-07	$50,001 - $100,000
	Adams Express Co. Maryland	S	3-12-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Hon. John D. Dingell

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Stryker Corp.	P	4-17-07	$1,001 - $15,000
	Income Fund of America	P	3-12-07	$15,001 - $50,000
	Ivy Asset Strategy Fund	P	3-12-07	$15,001 - $50,000
	Ivy Asset Strategy Fund	P	12-07-07	$1,001 - $15,000
	Thornburg INVT Tr International Value Fund	P	8-16-07	$15,001 - $50,000
	UTS Uncommon Values TR #2007 Monthly PMTSeries	S	8-16-07	$15,001 - $50,000
	IRA: Evergreen Asset Allocation Fund	P	12-06-07	$1,001 - $15,000
SP	IRA: Income Fund of America Class C	P	8-03-07	$1,001 - $15,000
JT	Dodge & Cox Stock Fund	S(part)/P	6-11-07 to 7-06-07	$1,001 - $15,000
JT	Dodge & Cox International Stock Fund	P	7-06-07	$1,001 - $15,000
JT	Dodge & Cox International Stock Fund	P	12-17-07	$15,001 - $50,000
	IRA: Legg Mason Value Trust	S	3-21-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Hon. John D. Dingell

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nicholas Fund Inc.	S	10-03-07	$1,001 - $15,000
	IRA: Strategic Growth Fund Class B	S	3-13-07	$1,001 - $15,000
	Spectra Fund	S	4-10-07	$1,001 - $15,000
JT	The Torray Fund	P	11-07-07	$1,001 - $15,000
JT	The Torray Fund	S	11-12-07	$15,001 - $50,000
SP	Washington Mutual Bank: CD	S	4-12-07	$15,001 - $50,000
SP	Bank Hapoalim B.M. - NY: CD	S	8-01-07	$15,001 - $50,000
SP	Western Asset Municipal Money Market Fund CL A	S	12-11-07	$15,001 - $50,000
SP	Bernstein Divers Val	PS	6-07	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Hon. John D. Dingell

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Trustees	Nature Conservancy

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 10: 36

U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Lloyd Doggett
(Full Name)

2022254865
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives State: TX District: 25 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Lloyd Doggett

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Texas ERS	Annuity	$64,906
Institute for Educational Leadership	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. *For retirement* plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
1157 San Bernard St, Austin, TX (residence garage apt. Only)	$100,001 - $250,000	RENT	$5,001 - $15,000	
1200 Baylor #401, Austin TX	$250,001 - $500,000	Rent	$5,001 - $15,000	
21.12 Acres, Northern Dona Ana City, NM (1/24 interest)	$1,001 - $15,000	Rent	$1 - $200	
3800 Kennelwood Austin, TX	$250,001 - $500,000	None	NONE	
6734 Spicewood Springs, Travis Co. TX	$1,000,001 - $5,000,000	Rent	$50,001 - $100,000	
711 N. Piedras, El Paso, TX (1/12 interest)	$1,001 - $15,000	Rent	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

8.16 acres Lohman's Ford Crossing Road., Travis Co., TX	$15,001 - $50,000	None	NONE	
AIM Basic Balanced Fund	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
AIM Small Company Growth	$250,001 - $500,000	Capital Gains	$15,001 - $50,000	P
Alcatel/Lucent (formerly Lucent Tech)	$1,001 - $15,000	None	NONE	
Alltel Corp.	None	Dividends	$1 - $200	S
American Century Ultra Fund	$100,001 - $250,000	Capital Gains	$15,001 - $50,000	P
Anadarko Petroleum	$1,001 - $15,000	Dividends	$1 - $200	
Apache Corp	$50,001 - $100,000	Dividends	$201 - $1,000	
Applied Materials Inc	$15,001 - $50,000	Dividends	$201 - $1,000	
AT&T Corp Com	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Bank of America Corp	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Baxter International	$1,001 - $15,000	Dividends	$201 - $1,000	
Bristol-Myers Squibb Co	$1,001 - $15,000	Dividends	$201 - $1,000	
Burlington Northern Santa Fe Common	$50,001 - $100,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

CBS Corp	$1,001 - $15,000	Dividends	$201 - $1,000	
Charles Schwab Corp	$15,001 - $50,000	Dividends	$1,001 - $2,500	
Checkpoint Software	$15,001 - $50,000	None	NONE	
Chevron Corp Com	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
Cisco Systems Inc	$15,001 - $50,000	None	NONE	
Citigroup Inc	$15,001 - $50,000	Dividends	$1,001 - $2,500	
Coca-Cola Company	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
Comcast	$1,001 - $15,000	None	NONE	
Computer Sciences Corp	$15,001 - $50,000	None	NONE	
Congressional Federal Credit Union	$15,001 - $50,000	Interest	$201 - $1,000	
Credit Suisse Cap Apprec Fund	$100,001 - $250,000	DIVIDENDS	$1 - $200	
Dell Computer Corp	$1,001 - $15,000	None	NONE	
Dominion	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Edwards A.G. Inc	None	Dividends	$201 - $1,000	S - Wachovia Merger

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

Energy East	$15,001 - $50,000	Dividends	$1,001 - $2,500	
Exxon Mobil Corp Com	$100,001 - $250,000	Dividends	$2,501 - $5,000	P
First Data Corp Common	None	Dividends	$1 - $200	S
First Energy	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Franklin Mutual Shares Fund	$100,001 - $250,000	Dividends	$5,001 - $15,000	P
General Electric Co Com	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Home Depot Inc Com	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Honeywell International	$15,001 - $50,000	Dividends	$201 - $1,000	
INSD MUN INCM TR SR 86 Semi-Annual	$1,001 - $15,000	Interest	$1 - $200	
Intel Corp Com	$50,001 - $100,000	Dividends	$201 - $1,000	
International Business Machines	$100,001 - $250,000	Dividends	$2,501 - $5,000	P
International Paper Co.	$1,001 - $15,000	Dividends	$201 - $1,000	
J P Morgan Chase & Co Com	$50,001 - $100,000	Dividends	$2,501 - $5,000	P
Janus Fund	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

Janus Mid Cap Value Fund	$100,001 - $250,000	Dividends/Gains	$5,001 - $15,000	P
Janus Twenty Fund	$50,001 - $100,000	Dividends	$1 - $200	
Jensen Portfolio Fund	$15,001 - $50,000	Dividends	$201 - $1,000	
Johnson & Johnson	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
Lewisville TX Indep School District Due 8/15/07	None	None	NONE	S - Matured
Luminex Corp Com New	$15,001 - $50,000	None	NONE	
Merck & Co Inc	$100,001 - $250,000	Dividends	$2,501 - $5,000	P
Mineral Interest, McFarland Tract, Ward Co., TX	$1,001 - $15,000	Royalty	$201 - $1,000	
Newfield Expl. Co.	None	None	NONE	S
Nokia Corp Sponsored ADR	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
Nuveen Inter Duration Muni Bond Fund	$1,001 - $15,000	Dividends	$1 - $200	
Nuveen Large Cap Value Fund	$15,001 - $50,000	Dividends/Gains	$201 - $1,000	
Nuveen Muni Adv Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Oakmark International Fund	$250,001 - $500,000	Dividends/Gains	$50,001 - $100,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

One Earth Bank Holdings (preoperating funds)	$15,001 - $50,000	None	NONE	P
Oppenheimer Strategic	$1,001 - $15,000	Dividends	$201 - $1,000	
Oracle Corp Com	$15,001 - $50,000	None	NONE	
Pfizer Inc	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
Phoenix Focused Value	$250,001 - $500,000	Dividends/Gains	$15,001 - $50,000	P
PPG Industries Inc	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
Price Science and Technology	$250,001 - $500,000	None	NONE	
Proctor & Gamble Co	$250,001 - $500,000	Dividends	$5,001 - $15,000	P
Prosperity Bank	$50,001 - $100,000	Interest	$1,001 - $2,500	
Schwab Muni Money Fund	$100,001 - $250,000	Dividends	$5,001 - $15,000	S,P
Selected American Shares	$100,001 - $250,000	Dividends	$1,001 - $2,500	P
SprintNextel Corp	$15,001 - $50,000	Dividends	$201 - $1,000	
Supertex Inc	$15,001 - $50,000	None	NONE	
Texas Instruments Inc	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

TIAA-CREF Retirement - Global Equities	$15,001 - $50,000	Gains	$2,501 - $5,000	P
TIAA-CREF Retirement - Real Estate	$100,001 - $250,000	Gains	$5,001 - $15,000	P
TIAA-CREF Retirement - Stock	$15,001 - $50,000	Gains	$2,501 - $5,000	P
Time Warner Inc Com	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Union Pacific Corp	$15,001 - $50,000	Dividends	$201 - $1,000	
Vanguard Convertible Securities	$500,001 - $1,000,000	Dividends/Gains	$50,001 - $100,000	P
Vanguard Growth Index Fund Admiral	$250,001 - $500,000	Dividends	$1,001 - $2,500	P
Vanguard Int. Term Tax-Exempt Fund Admiral	$250,001 - $500,000	Dividends	$15,001 - $50,000	P
Vanguard Long-Term Corp Admiral	$100,001 - $250,000	Dividends	$5,001 - $15,000	S
Vanguard Ltd. Term Tax-Exempt Fund Admiral	$100,001 - $250,000	Dividends	$5,001 - $15,000	P
Vanguard PRIMECAP Fund Admiral	$500,001 - $1,000,000	Dividends/Gains	$15,001 - $50,000	P
Vanguard Selected Value Fund	$250,001 - $500,000	Dividends/Gains	$15,001 - $50,000	P
Vanguard Small-Cap Index Fund Inv	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
Vanguard Tax Exempt MM	$15,001 - $50,000	Dividends	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lloyd Doggett

Vanguard Total Stock Market	$250,001 - $500,000	Dividends/Gains	$5,001 - $15,000	P
Vanguard U.S. Growth Fund Admiral	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
Vanguard Wellesley Income fund	$1,001 - $15,000	Dividends	$201 - $1,000	
Vanguard Windsor II Fund	$50,001 - $100,000	Dividends	$2,501 - $5,000	P
Verizon Communications Com	$15,001 - $50,000	Dividends	$201 - $1,000	
Wachovia	$50,001 - $100,000	Dividends	$201 - $1,000	AG Edwards Merger
Waste Management Inc	$15,001 - $50,000	Dividends	$201 - $1,000	
Weitz Value	$250,001 - $500,000	Dividends/Gains	$15,001 - $50,000	P
Western Union	$1,001 - $15,000	Dividends	$1 - $200	
Whole Foods Mkt Inc	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
Xerox Corporation	$1,001 - $15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Lloyd Doggett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Exxon Mobil	P	Periodic Reinvestment	$1,001 - $15,000
	Franklin Mutual Shares Fund	P	Periodic Reinvestment	$1,001 - $15,000
	JP Morgan Chase	P	Periodic Reinvestment	$1,001 - $15,000
	PPG Industries	P	Periodic Reinvestment	$1,001 - $15,000
	Proctor & Gamble	P	Periodic Reinvestment	$1,001 - $15,000
	Phoenix Focused Value	P	Periodic Reinvestment	$15,001 - $50,000
	Oakmark International Fund	P	Periodic Reinvestment	$50,001 - $100,000
	Weitz Value Fund	P	Periodic Reinvestment	$15,001 - $50,000
	Vanguard Growth Index Fund Admiral	P	Periodic Reinvestment	$1,001 - $15,000
	Vanguard Int. Term Tax - Exempt Fund Admiral	P	Periodic Reinvestment	$15,001 - $50,000
	Vanguard Ltd. Term Tax - Exempt Fund Admiral	P	Periodic Reinvestment	$1,001 - $15,000
	Vanguard Convertible Securities	P	Periodic Reinvestment	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name Lloyd Doggett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Selected Value	P	Periodic Reinvestment	$50,001 - $100,000
	Vanguard Total Stock Market Ind Inv	P	Periodic Reinvestment	$1,001 - $15,000
	Vanguard Windsor II	P	Periodic Reinvestment	$1,001 - $15,000
	Nuveen Muni Advantage Fund	P	Dividend Reinvestment	$1,001 - $15,000
	Chevron Corp Com	P	Dividend Reinvestment	$1,001 - $15,000
	Coca-Cola Company	P	Dividend Reinvestment	$1,001 - $15,000
	International Business Machines	P	Dividend Reinvestment	$1,001 - $15,000
	Merck & Co Inc	P	Dividend Reinvestment	$1,001 - $15,000
	AT&T	P	Dividend Reinvestment	$1,001 - $15,000
	AIM Small Company Growth	P	Periodic Reinvestment	$15,001 - $50,000
	Janus Midcap Value Fund	P	Periodic Reinvestment	$1,001 - $15,000
	Selected American Shares	P	Periodic Reinvestment	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Lloyd Doggett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TIAA-CREF Retirement - Stock	P	Monthly	$1,001 - $15,000
	TIAA-CREF Retirement - Global Equities	P	Monthly	$1,001 - $15,000
	Vanguard PRIMECAP Fund Admiral	P	Dividend Reinvestment	$15,001 - $50,000
	Schwab Muni Money Fund	S	1-5-07	$15,001 - $50,000
	Schwab Muni Money Fund	S	1-9-07	$1,001 - $15,000
	Schwab Muni Money Fund	S	5-1-07	$15,001 - $50,000
	Schwab Muni Money Fund	P	8-16-07	$15,001 - $50,000
	Schwab Muni Money Fund	S	9-6-07	$15,001 - $50,000
	Schwab Muni Money Fund	S	9-18-07	$1,001 - $15,000
	Schwab Muni Money Fund	P	9-25-07	$15,001 - $50,000
	Schwab Muni Money Fund	P	9-26-07	$50,001 - $100,000
	Schwab Muni Money Fund	P	10-4-07	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name Lloyd Doggett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab Muni Money Fund	S	10-10-07	$15,001 - $50,000
	Schwab Muni Money Fund	P	11-19-07	$15,001 - $50,000
	Schwab Muni Money Fund	S	11-27-07	$50,001 - $100,000
	Schwab Muni Money Fund	S	11-29-07	$15,001 - $50,000
	Schwab Muni Money Fund	S	12-6-07	$1,001 - $15,000
	First Data Corp Common	S	9-25-07	$15,001 - $50,000
	First Energy	P	Periodic Reinvestment	$1,001 - $15,000
	Home Depot	P	Periodic Reinvestment	$1,001 - $15,000
	Johnson & Johnson	P	Periodic Reinvestment	$1,001 - $15,000
	Pfizer, Inc.	P	Periodic Reinvestment	$1,001 - $15,000
	Alltel	S	11-16-07	$15,001 - $50,000
	American Century Ultra Fund	P	Periodic Reinvestment	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Lloyd Doggett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab Muni Money Fund	P	Periodic Reinvestment	$1,001 - $15,000
	Edwards A.G. Inc. (Wachovia Merger)	S	10-3-07	$50,001 - $100,000
	AIM Basic Balanced Fund	P	Dividend Reinvestment	$1,001 - $15,000
	General Electric Co Com	P	Dividend Reinvestment	$1,001 - $15,000
	TIAA-CREF Retirement - Real Estate	P	Monthly	$1,001 - $15,000
	Vanguard Int. Term Tax-Exempt Fund Admiral	P	1-23-07	$100,001 - $250,000
	Vanguard Long Term Tax-Exempt Fund Admiral	S	1-22-07	$100,001 - $250,000
	Vanguard Small Cap Index Fund	P	Periodic Reinvestment	$1,001 - $15,000
	Vanguard Tax Exempt MM	P	Periodic Reinvestment	$1,001 - $15,000
	Bank of America	P	Dividend Reinvestment	$1,001 - $15,000
	Lewisville TX Indep School District Due 8/15/07	S-Matured	8-15-07	$15,001 - $50,000
	One Earth Bank Holdings (preoperating funds)	P	11-21-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Lloyd Doggett

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Newfield Expl. Co	S	12-26-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Lloyd Doggett

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Liberty Bank, Austin	Letter of Credit on 6734 Spicewood Springs	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Lloyd Doggett

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Congressional Program of the Aspen Institute	August 10-19	U.S - Czech Republic - Germany - U.S.	Y	Y	Y	3 Days
The Congressional Program of the Aspen Institute	May 25 - June 5	U.S. - Slovenia - U.S.	Y	Y	Y	6 Days
The Congressional Program of the Aspen Institute	March 31 - April 10	U.S. - China - U.S.	Y	Y	Y	2 Days

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12:57
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Joseph S. Donnelly
(Full Name)

574-272-0475
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: IN — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on *Standards of Official Conduct* and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Joseph S. Donnelly

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Black, Mann and Graham	Legal Services	$2,100
University of Notre Dame	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph S. Donnelly

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (*do not use* ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. *If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.*		BLOCK B **Year-End Value of Asset** *at close of reporting* year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1930 Lakeshore Drive, Michigan City, IN	$500,001 - $1,000,000	Rental	$1,001 - $2,500	
SP	Fidelity IRA, Dividend Growth Fund	$15,001 - $50,000	DIVIDENDS/INTEREST	$2,501 - $5,000	
	Fidelity IRA, Money Market Fund	$1,001 - $15,000	INTEREST	$1 - $200	
JT	IBM Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Manulife Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Notre Dame Credit Union IRA, Money Market Fund	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph S. Donnelly

SP	Notre Dame Credit Union IRA, Money Market Fund	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Notre Dame Credit Union Savings Account	$1,001 - $15,000	INTEREST	$1 - $200	
SP	TIAA-CREF IRA Account, Growth & Income Fund	$50,001 - $100,000	DIVIDENDS/INTEREST	$2,501 - $5,000	

SCHEDULE V - LIABILITIES

Name Joseph S. Donnelly

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Horizon Home Mortgage	Mortgage on 1930 Lakeshore Drive, Michigan City, IN	$250,001 - $500,000
JT	Bank of America	Credit Card Payment	$15,001 - $50,000

FOOTNOTES

Name Joseph S. Donnelly

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule I	Payment for legal services rendered in 2006.	Black, Mann and Graham
2	Schedule V	The First Horizon Home Mortgage includes three refinanced liabilities that were reported on my 2007 personal financial disclosure form. Those liabilities were: 1) a mortgage on 1930 Lakeshore Drive, Michigan City, Indiana then-held by St. Joseph Capital Bank; 2) a second mortgage on 1930 Lakeshore Drive, Michigan City, Indiana then-held by Links Mortgage Corporation; and 3) a business loan then-held by St. Joseph Capital Bank.	First Horizon Home Mortgage

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:42
MC
U.S. HOUSE OF REPRESENTATIVES

John Taylor Doolittle
(Full Name)

202.225.2511
(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representatives — State: CA District: 04 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. *Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period?* Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. *Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?* Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☑ No ☐
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name John Taylor Doolittle

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sierra Dominion Financial Solutions, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Taylor Doolittle

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Applied Materials, Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
California Legislative Retirement Systems(not self-directed)	$100,001 - $250,000	None	NONE	
Chevron USA, Inc (Doolittle family trust--50% beneficiary)	$15,001 - $50,000	Other: Royalties	$5,001 - $15,000	
Cisco Systems, Inc	$1,001 - $15,000	None	NONE	
Congressional FCU Account (Doolittle family trust--50% beneficiary)	$1,001 - $15,000	INTEREST	$1 - $200	
Evergreen Enhanced S&P 500 Fund	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Taylor Doolittle

	Folsom Lake Bank	$1,001 - $15,000	None	NONE	P
	Iberdrola S.A.	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Intel Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Inter-Tel, Inc	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
	Kohls Corp	$1,001 - $15,000	None	NONE	
	Krispy Kreme Doughnut Corp	$1,001 - $15,000	None	NONE	
	Microsoft Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Nordstrom, Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Novamed, Inc	$1,001 - $15,000	None	NONE	
	Oil, gas and mineral rights to Property: Sec 28, T4S, R21E, Slm/Vernal, Utah (Doolittle family trust--50% beneficiary)	$1 - $1,000	None	NONE	
	Pepsico, Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Redback Networks, Inc	None	CAPITAL GAINS/Other: Warrants	$1,001 - $2,500	S
	Research In Motion	$15,001 - $50,000	None	NONE	

	Schwab Cash Fund/Money Market	$1 - $1,000	INTEREST	$1 - $200	
	Sirius Sat Radio	$1,001 - $15,000	None	NONE	
	Teppco Crude Oil, L.P. (Doolittle family trust--50% beneficiary)	$1,001 - $15,000	Other: Royalties	$1 - $200	
	Time Warner, Inc	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S(part)
	Tivo	$1,001 - $15,000	None	NONE	
	Wells Fargo Bank(Doolittle Family Trust--50% beneficiary)	$1,001 - $15,000	Other: (Working Interest)	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name John Taylor Doolittle

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Folsom Lake Bank	P	3-16-07	$1,001 - $15,000
	Evergreen Enhanced S&P 500 Fund	S	11-27-07	$1,001 - $15,000
	Redback Networks, Inc	S	2-6-07 and 2-23-07	$1,001 - $15,000
	Time Warner	S(part)	3-15-07	$1,001 - $15,000
	Inter-tel	S	8-24-07	$1,001 - $15,000
	Iberdrola	S	12-14-07 and 4/27/07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name John Taylor Doolittle

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase United Milage Plus Visa Wilmington, DE	Revolving Charge Account	$15,001 - $50,000

SCHEDULE VI - GIFTS

Name John Taylor Doolittle

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
See attached statements of the John T. Doolittle Legal Expense Trust	The trust has been approved by the Committee on Standards of Official Conduct.	$66,250

SCHEDULE VIII - POSITIONS

Name John Taylor Doolittle

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	J-T Investments (uncompensated)
Member, Board of Directors and Secretary	Oak Marr Courts Home Owners Association (uncompensated)

FOOTNOTES

Name John Taylor Doolittle

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Formerly named "Atlas Growth Opportunity Fund." Also previously named the "Evergreen Large Cap Equity Fund."	Evergreen Enhanced S&P 500 Fund
2	Schedule III	Formerly Scottish Power, PLC	Iberdrola
3	Schedule III	Disposition was due to a cash merger.	Inter-Tel
4	Schedule III	Bankrupcy declared in 2004. Proceeds are from sale of remaining shares and warrants .	Redback Networks, Inc
5	Schedule III	Returned to disclosure due to increased market value.	Sirius Sat Radio
6	Schedule III	Returned to disclosure due to increased market value.	Tivo

HAND DELIVERED

July 30, 2007

The Honorable Stephanie Tubbs Jones, Chairman
The Honorable Doc Hastings, Ranking Member
The Committee on Standards of Official Conduct
HT2, the Capitol
Washington, DC 20515

Dear Chairman Jones and Ranking Member Hastings,

Pursuant to the June 10, 1996 "Legal Expense Fund Regulations" memorandum, I am writing to comply with the quarterly requirement that I disclose any donation to the fund from a corporation or labor union, any contribution exceeding $250 in a calendar year from any other single sources, and any expenditures from the fund exceeding $250 in a calendar year.

Between April 1, 2007 and June 30, 2007, there were no donations or contributions to the John T. Doolittle Legal Expense Fund. There were no expenditures. As you know, this legal expense fund was just recently approved by the Committee on June 27, 2007.

Sincerely,

JOHN T. DOOLITTLE
United State Representative

HAND DELIVERED

RECEIVED
2007 OCT 30 PM 4:30
COMMITTEE on STANDARDS

October 30, 2007

The Honorable Stephanie Tubbs Jones, Chairman
The Honorable Doc Hastings, Ranking Member
The Committee on Standards of Official Conduct
HT2, the Capitol
Washington, DC 20515

Dear Chairman Jones and Ranking Member Hastings,

Pursuant to the June 10, 1996 "Legal Expense Fund Regulations" memorandum, I am writing to comply with the quarterly requirement that I disclose any donations to the fund from a corporation or labor union, any contribution in excess of $250 in a calendar year from any other single sources, and any expenditures from the fund in excess of $250 in a calendar year.

Between July 1, 2007 and September 30, 2007, contributions of $31,750 were received by the John T. Doolittle Legal Expense Trust (the Trust). During that same time period, the Trust made expenditures of $28,228.34. A detailed list of these transactions is attached.

Sincerely,

JOHN T. DOOLITTLE
United States Representative

Attachment

LAST NAME	FIRST NAME	ADDRESS	CITY	STATE	ZIP CODE	AMOUNT
BRADFORD	KENT & KAY	9622 COUNSELLOR DRIVE, NW	VIENNA	VA	22181	1000
POCOCK	THOMAS & ELEANOR	3120 HUNT ROAD	OAKTON	VA	22124	500
DWELLE	THOMAS A. & PEGGY	1420 SHADOW MOUNTAIN CT	AUBURN	CA	95602	1000
HIGGINBOTHAM	KEITH & WENDY	2022 WILLOW BRANCH COURT	VIENNA	VA	22181	1000
RAMPTON	MEL	P.O. BOX 21-4537	SACRAMENTO	CA	95821	1000
SNIDER EXECUTIVE OFFICE	PAUL H. & RENEE SNIDER	5150 MADISON AVENUE	SACRAMENTO	CA	95841	500
CALDERWOOD	KEVIN & SYDNEE	1236 TOTTENHAM COURT	RESTON	VA	20194	1000
RIGGS	LOUIS V.	P.O. BOX 15002	SACRAMENTO	CA	95851	1000
JACK	GORDON	3500 LYNNMAR WAY	CARMICHAEL	CA	95608	500
MARTINO	JAMES M. & NANCY	3088 BOEING ROAD	CAMERON PARK	CA	95682	1000
CREEDON	ROBERT J.	10660 WILTON ROAD	ELK GROVE	CA	95624	500
FORMAN	L. DEAN & LINDA M.	18505 SIERRA RANCH ROAD	COLFAX	CA	95713	250
CHARLES JOINER SIERRA TRUST	CHARLES A. & KATHLEEN H. JOINER	2055 NICOLAUS ROAD	LINCOLN	CA	95648	1000
HATCH ELECTION COMMITTEE	ORRIN HARCH	175 S. WEST TEMPLE, SUITE 650	SALT LAKE CITY	UT	84101	5000
ORRINPAC	ORRIN HARCH	175 S. WEST TEMPLE, SUITE 650	SALT LAKE CITY	UT	84101	5000
SALONITES	CARL & NADINE E.	1510 WEST STREET	WOODLAND	CA	95695	250
BURTON	NELSON A. & AMY B.	2907 HUNTING HILLS COURT	OAKTON	CA	22124	2500
WALLY HERGER FOR CONGRESS	WALLY HERGER	P.O. BOX 15002	CHICO	CA	95927	5000
ALLXANDER	RICHARD M. & JACKLYN	2811 LACY LANE	SACRAMENTO	CA	95821-6005	250
SMITH	KAREN B. & RICHARD JOSEPH	4281 JACOBUS ROAD	GARDEN VALLEY	CA	95633	250
CHY I MANAGEMENT	SETZER	2555 THIRD STREET #200	SACRAMENTO	CA	95818	250
13TH COLONY LEADERSHIP	JACK KINGSTON	P.O. BOX 2133	SAVANNAH	GA	31402	1500
GILBERT	JOHN J. & SANDRA J.	15 PLEASANT GROVE ROAD	WHEATLAND	CA	95692	250
THE CHANGING TIDE COMMITTEE PAC	A. WAYNE ALLARD	P.O. BOX 1174	LOVELAND	CO	80539	1000
GOINGS	BILL & SHARI	2025 DRUMM LANE	FALLON	NV	89406	250
Total Income						31750
WILLIAMS MULLINS		8270 GREENSBORO DRIVE, SUITE 700	MCLEAN	VA	22102	-986.4
WILLIAMS MULLINS		8270 GREENSBORO DRIVE, SUITE 700	MCLEAN	VA	22102	-10500
WILLIAMS MULLINS		8270 GREENSBORO DRIVE, SUITE 700	MCLEAN	VA	22102	-3000
MILES & STOCKBRIDGE		1751 PINNACLE DRIVE, SUITE 500	MCLEAN	VA	22102	-13741.9
Total Expenditures						-28228.3

January 30, 2008

The Honorable Stephanie Tubbs Jones, Chairman
The Honorable Doc Hastings, Ranking Member
The Committee on Standards of Official Conduct
HT2, the Capitol
Washington, DC 20515

Dear Chairman Jones and Ranking Member Hastings,

Pursuant to the June 10, 1996 "Legal Expense Fund Regulations" memorandum, I am writing to comply with the quarterly requirement that I disclose any donations to the fund from a corporation or labor union, any contributions in excess of $250 in a calendar year from any single sources, and any expenditures from the fund in excess of $250 in a calendar year.

Between October 1, 2007 and December 31, 2007, contributions of $34,500 were received by the John T. Doolittle Legal Expense Trust (the Trust). During the same period, the Trust made expenditures of $22,132. A detailed list of these transactions is attached.

Sincerely,

JOHN T. DOOLITTLE
United States Representative

Attachment

LAST NAME	FIRST NAME	ADDRESS	CITY	STATE	ZIP CODE	AMOUNT
HOOSIER PAC	DAN BURTON	P O BOX 77089	WASHINGTON	DC	20013	1000
ENLOW OSE & ASSOCIATES	ENLOW OSE	P.O. BOX 255543	SACRAMENTO	CA	95865	2000
OSE	DOUGLAS A	4013 PARK ROAD	SACRAMENTO	CA	95841	2000
MAUTZ	CARL & SHIRLEY	2803 RIFLE RIDGE ROAD	OAKTON	VA	22124	10000
CHEN	JONG I	3941 J STREET SUITE 250	SACRAMENTO	CA	95819	500
FRIENDS OF SAM JOHNSON	SAM JOHNSON	P.O. BOX 860096	PLANO	TX	75088	1000
COMMITTEE TO RE-ELECT CONGRESSMAN DANA ROHRABACHER	DANA ROHRABACHER	P.O. BOX 823	HUNTINGTON BEACH	CA	92648	5000
REPUBLICAN MAJORITY FUND	SAXBY CHAMBLIS	1155 21ST STREET, NW, SUITE 300	WASHINGTON	DC	20036	1000
BROWN	ROBERT H	1701 COUNTY ROAD, SUITE E3	MINDEN	NV	89423	1000
HOOSIER PAC	DAN BURTON	P O BOX 77089	WASHINGTON	DC	20013	1000
THE FREEDOM PROJECT	JOHN BOEHNER	424 C STREET, NE, BASEMENT UNIT	WASHINGTON	DC	20002	5000
21 CENTURY PAC	BUCK MCKEON	2052 LAKE AUDOBON COURT	RESTON	VA	20191	5000
					INCOME	34500
WILLIAMS MULLINS		8270 GREENSBORO DR SUITE 700	MCLEAN	VA	22102	($21,500)
MILES & STOCKBRIDGE		1751 PINNACLE DRIVE, SUITE 500	MCLEAN	VA	22102	-632
					EXPENSES	($22,132)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Michael F. Doyle, Jr.
(Full Name)

202-225-2135
(Daytime Telephone)

(Office Use Only)

MC

Filer Status: ☑ Member of the U.S. House of Representatives — State: PA — District: 14 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1164

SCHEDULE I - EARNED INCOME

Name Michael F. Doyle, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Eastgate Insurance Agency	Renewal Commission	$11,678.16
Doyle for Congress Committee	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael F. Doyle, Jr

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
1916 Monongahela Avenue	$50,001 - $100,000	RENT	$5,001 - $15,000	
Eastgate Insurance Agency (45% Owner)	$15,001 - $50,000	None	NONE	
SERS-Commonwealth of Pennsylvania	$100,001 - $250,000	None	NONE	

SCHEDULE V - LIABILITIES

Name Michael F. Doyle, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Allegheny Valley Bank of Pittsburgh	Mortgage on 1916 Monongahela Avenue	$50,001 - $100,000
	Sallie Mae	Parent Plus College Loans	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Michael F. Doyle, Jr

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Secretary/Treasurer	Eastgate Insurance Agency

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
CENTER
13 PM 2:48
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Thelma D. Drake
(Full Name)

202-225-4215
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: VA District: 02	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	HAmpton Roads Home Team	Spouse Salary	5,000.00
	Virginia Retirement System	Retirement	2,038.00
	HAmpton Roads Home Team	Divideads	5,406.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Thelma D. Drake

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P. S. E

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Examples	SP	Mega Corp. Stock				X									X			X							X							S (partial)
DC			Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY Accounts					X										X									X						
JT			3014-3018 E. Ocean View Av.							X								X										X					
JT			903 Westmont Av					X										X									X						
JT			414 Freshmeadow					X										X									X						
JT			9549 7th Bay St.					X										X									X						
JT			9537-9531 11th Bay St.						X									X										X					
JT			3004 E. Ocean View Av.						X									X									X						

For additional assets and unearned income, use next page.

1171

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thelma D. Drake

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	2143 E. Ocean View Av.						X									X							X								S
SP	9625 2nd Bay St.						X							X							X										S
JT	E6 4th Bay St.					X								X							X										
JT	WS 7th Bay St.						X							X							X					X					
JT	9635-9639 15th Bay							X								X									X						
SP	8428 Tidewater Dr.						X									X									X						
SP	Edward D. Jones Hampton Roads Brokerage			X											X							X									
	mass Mutual Guar Principal Act			X											X							X									
	mass Mutual mml managed Bond				X										X								X								
	mass Mutual mml Equity			X											X							X									
	mass Mutual mml managed Bond			X											X							X									
	mass Mutual OPP Aggressive Growth			X											X							X									
SP	Edward D. Jones Euro Pacific Growth			X											X							X									
SP	Edward D. Jones Fundamental Investors			X											X							X									
SP	Edward D. Jones Growth Fund of America			X											X							X									
SP	Edward D. Jones NEW Economy Fund			X											X							X									
SP	Edward D. Jones Home Depot			X											X							X									
SP	Edward D. Jones New Economy			X											X							X									
SP	Edward D. Jones Putnam Voyager Fund			X											X							X									
SP	Edward D. Jones Time Warner			X											X							X									
	Edward D. Jones Home Depot		X												X							X									

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thelma D. Drake

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	mass mutual	C	DIVIDENDS	II	
	ING Global Sec	C	DIVIDENDS	II	
	Edward D. Jones	B	DIVIDENDS	II	
	Oracle Co.	B	DIVIDENDS	II	
JT	Dominion Power	B	DIVIDENDS	III	
SP	Sun Trust	C	NONE	II	
SP	Bank of Hampton Roads	D	DIVIDENDS	II	
SP	Progress Energy	C	DIVIDENDS	III	
JT	Sun Trust (checking)	C	INTEREST	II	
JT	Sun Trust Money Mkt.	D	INTEREST	III	
JT	Wachovia Money Mkt	D	INTEREST	III	
JT	Wachovia checking	C	INTEREST	II	
JT	Bank of Hpt. Rds. Checking	C	INTEREST	II	
JT	Bank of Hpt Rds Swing	D	INTEREST	II	
	Virginia Retirement System	D	INTEREST	V	

This page may be copied if more space is required.

Name Thelma D. Drake

Page 7 of 9

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	2143 E. Ocean View Av.		X		2-9-07				X						
SP	9625 2nd Bay St.		X		2-9-07				X						

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Countrywide Home Loans	Mortgage 414 Fresh Meadow		X								
JT	Wachovia Mortgage	Mortgage 9527-9531 11th Bay Fresh meadow				X						
JT	Wachovia Bank	Lines Credit E. ocean view 15th Bay 11th Bay						X				

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

HEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
V. Pres.	Hampton Roads Home Team
officer	Ocean View Development Corp
Referral Agent	ReMat Allegiance

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2008 41-219 (rev)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2009 MAY 15 PM 2:00

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

David Dreier (Full Name)

909 575 6226 (Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representative — State: CA District: 26 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1177

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

BLOCK A **Asset and/or Income Source** *Identify (a) each asset held for investment or production of income with* a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
IRA Hewlett Packard	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
IRA Novell	None	None	NONE	S
Aegon NV Funding	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
Am Funds Washington Mutual	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
Am Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
Amgen, Inc.	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

Anheuser Busch COS, Inc.	None	CAPITAL GAINS	$2,501 - $5,000	S
Automatic Data	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Bakersfield Ca. CTFS	$50,001 - $100,000	None	NONE	
Bank of America	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
CBS Corp. (spin off from Viacom B)	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
Citigroup	None	INTEREST/CAPITAL GAINS	$201 - $1,000	S
Coca Cola	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$1 - $200	
CVS Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Deutsche Bank	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
Dow Chemical	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Eaton Vance Global Diversified	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
Eaton Vance Risk Managed	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
Eaton Vance Tax Managed	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

	Eaton Vance Tax Managed Global Buy Write Opportunities Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Eaton VanceTax Diversified Buy Write Opportunities fund	$15,001 - $50,000	DIVIDENDS/INTEREST	$2,501 - $5,000	
	Evergreen Income Advantage Fund	None	None	NONE	S
	Fiserv, Inc.	None	CAPITAL GAINS	$201 - $1,000	S
	Franklin Templeton Mutual Shares (RMA)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
	Gaylord Entertainment	$250,001 - $500,000	None	NONE	
	Genentech	$15,001 - $50,000	CAPITAL GAINS	$1 - $200	PS
	General Electric	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Hartford Fund	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Hugoton Royalty (aka Hugoton Turst)	None	INTEREST	$1 - $200	S
	ING Group	$15,001 - $50,000	None	NONE	P
	Int'l Business Mach	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	IRA American Funds Capitol World Growth & Income	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	IRA American Funds Euro Pacific	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

IRA American Funds Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
IRA Franklin Small Growth Fund Cap	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
IRA Seligman Communications	$15,001 - $50,000	None	NONE	
IRA UBS Bank Deposit Acct	$1 - $1,000	INTEREST	$1 - $200	
John Hancock Financial Inds Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
John Hancock Regional Bank	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
JP Morgan	None	CAPITAL GAINS	$5,001 - $15,000	S
Kellog	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
LM Ericsson Telefon Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Mass Mutual Life	$50,001 - $100,000	INTEREST	$201 - $1,000	
McMoran Exploration	$1 - $1,000	None	NONE	
Microsoft	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Mosaic	None	CAPITAL GAINS	$1,001 - $2,500	S
National City Cap	None	INTEREST	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

	Nicholas Applegate Conv & Income Fund	$1 - $1,000	DIVIDENDS	$1,001 - $2,500	S
	Nicholas Applegate Global	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS
	Novartes	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Nuveen Core Equity	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	OK Publishing Company	$500,001 - $1,000,000	DIVIDENDS	$2,501 - $5,000	
	Options - Put- Bank of America, Qty 10, Exp 11/07 Strike Price 47 1/2	None	None	NONE	PS
	Options - Call - Dow - Chemical, Qty 5, Exp 3/07, Strike Price 50	None	CAPITAL GAINS	$1 - $200	PS
	Options - Call - Dow Chemical Qty 5, Exp 7/07 Strike Price 50	None	CAPITAL GAINS	$1 - $200	S/Exp
	Options - Call - Gaylord Ent, Qty 20, Expires 7/07 Strike Price 60	None	CAPITAL GAINS	$2,501 - $5,000	S/Exp
	Options - Call - JP Morgan, Qty 5, Exp 5/07 Strike Price 50 (See Footnote)	None	None	NONE	S
	Options - Garmin - Put - Qty 10, Exp 12/07 Strike Price 85	None	CAPITAL GAINS	$2,501 - $5,000	PS
	Options - Put - Best Buy, Qty 10, Exp 12/07, Strike Price 40	None	CAPITAL GAINS	$201 - $1,000	PS
	Options - Put - JP Morgan, Qty 10, Exp 3/07 Strike Price 45, (Sold 8/29/06)	None	CAPITAL GAINS	$1,001 - $2,500	P

	Options - Put - Morgan Stanley - Qty 5, Exp 7/07 Strike Price 75	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Options - Put - Wachovia, Qty 10, Exp 11/07 Strike Price 45	None	None	NONE	PS
	Options - Put- Caterpillar, Qty 10, Exp 11/07 Strike Price 57 1/2	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Options - Put- Danaher Corp, Qty 5, Exp 3/07 Strike Price 70	None	CAPITAL GAINS	$201 - $1,000	PS
	Options - Put- Procter & Gamble - Qty 10, Exp 10/07 Strike Price 60	None	CAPITAL GAINS	$1,001 - $2,500	PS
	Options -Call - Dow Chemical, Qty 5, Exp 07/07 Strike Price 50	None	CAPITAL GAINS	$201 - $1,000	S/Exp
	Pfizer, Inc.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Pimco Ca. Mutual	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Proctor & Gamble	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Qualcomm	None	DIVIDENDS	$201 - $1,000	PS
	RMA Money Market Portfolio (aka) UBS Retirement Money Fund	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Roberthalf	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Royal Bank Of Scotland	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

	Seagate Tech	None	DIVIDENDS	$1 - $200	S
	Seligman Communications	$15,001 - $50,000	None	NONE	
	Short Options - Call - Gaylord, Qty 24, Exp 1/08 Strike Price 60	$1 - $1,000	None	NONE	S
	Short Options - Put - Bank of America, Qty 10, Exp 2/08 Strike Price 45	$1 - $1,000	None	NONE	S
	Short Options - Put -Garmin, Qty 10, Exp 4/08 Strike Price 80	$1 - $1,000	None	NONE	S
	Short Options - Put -Wachovia, Qty 10, Exp 4/08 Strike Price 42 1/2	$1 - $1,000	None	NONE	S
	Short Options -Put -American Express, Qty 10, Exp 4/08 Strike Price 50	$1 - $1,000	None	NONE	S
	Surewest Communications	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Taiwan Semi	None	CAPITAL GAINS	$1 - $200	S
	Tiffany Manor (Apartment Bldg KC, Mo)	$5,000,001 - $25,000,000	RENT/INTEREST	$50,001 - $100,000	
	Time Warner	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	UBS AG EAS 4/30/07	None	CAPITAL GAINS	$5,001 - $15,000	S
	UBS RTN Optmz	None	CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Dreier

Vallecitos Wtr	None	INTEREST	$1 - $200	S
Verisign	None	CAPITAL GAINS	$201 - $1,000	S
Viacom Inc.	$250,001 - $500,000	None	NONE	
Wachovia	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Western Assets	None	None	NONE	S
Western Assets II	None	None	NONE	S
XTO Energy	None	DIVIDENDS	$201 - $1,000	S

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hewlett Packard (IRA)	S	6-1-07	$15,001 - $50,000
	Novell (IRA)	S	5-18-07	$15,001 - $50,000
	American Funds Capitol World Growth & Income (IRA)	P	6-1-07	$1,001 - $15,000
	American Funds Growth Fund (IRA)	P	6-1-07	$1,001 - $15,000
	American Funds Euro Pacific (IRA)	P	5-18-07	$15,001 - $50,000
	Amgen Inc.	P	1-26-07	$1,001 - $15,000
	Genentech, Inc.	P	1/10/07	$1,001 - $15,000
	Genentech, Inc.	S	2-26-07	$15,001 - $50,000
	Genentech, Inc.	P	1-26-07	$15,001 - $50,000
	Genentech Inc.	P	4-16-07	$15,001 - $50,000
	Eaton Vance Global Diversified	P	2-22-07	$50,001 - $100,000
	Eaton Vance Risk Managed	P	7-26-07	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. *Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.* Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nuveen Core Equity	P	3-27-07	$15,001 - $50,000
	Deutsche Bank	P	7-13-07	$15,001 - $50,000
	ING Group	P	9-27-07	$15,001 - $50,000
	Royal Bank Of Scotland	P	6-25-07	$50,001 - $100,000
	Evergreen Income Advantage Fund	S	1/11/07	$1,001 - $15,000
	Nicholas Applegate Conv & Income Fund	S	7-31-07	$15,001 - $50,000
	Nicholas Applegate Global	P	9-25-07	$15,001 - $50,000
	Pimco Ca. Mutual	S	3-15-07	$1,001 - $15,000
	Automatic Data	S	3-7-07	$1,001 - $15,000
	Dow Chemical	S	8-22-07	$15,001 - $50,000
	Hartford Fund	S	3-7-07	$1,001 - $15,000
	Kellog	S	3-15-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Qualcomm	P	1-10-07	$1,001 - $15,000
	Qualcomm	S	3-15-07	$1,001 - $15,000
	Roberthalf	S	3-7-07	$1,001 - $15,000
	Seagate Tech	S	3-15-07	$1,001 - $15,000
	Citigroup	S	4-10-07	$15,001 - $50,000
	National City Cap	S	4-10-07	$15,001 - $50,000
	Vallecitos Wtr	S	3-21-07	$15,001 - $50,000
	Hugoton Royalty (aka Hugoton Turst)	S	3-15-07	$1,001 - $15,000
	Anheuser Busch COS, Inc.	S	1-19-07	$15,001 - $50,000
	Novartes	P	1-10-07	$1,001 - $15,000
	Fiserv, Inc.	*S*	*1-5-07*	*$1,001 - $15,000*
	JP Morgan	S	5-18-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Mosaic	S	3-15-07	$1,001 - $15,000
	Taiwan Semi	S	3-15-07	$1,001 - $15,000
	UBS AG EAS 4/30/07	S	1-4-07	$50,001 - $100,000
	UBS RTN Optmz	P	1-24-07	$50,001 - $100,000
	UBS RTN Optmz	S	9-24-07	$15,001 - $50,000
	Verisign	S	3-7-07	$1,001 - $15,000
	Western Assets	S	3-1-07	$1,001 - $15,000
	XTO Energy	S	3-15-07	$1,001 - $15,000
	Western Assets II	S	3-1-07	$1,001 - $15,000
	Short Options - Call - Gaylord- Qty 24, Exp 1/08 *Strike Price 60*	S	10-1-07	$1,001 - $15,000
	Short Options - Put- Bank of America - Qty 10, Exp 2/08, Strike Price 45	S	11-16-07	$1,001 - $15,000
	Short Options -Put - American Express - Qty 10, Exp 4/08 Strike Price 50	S	10/19/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Short Options - Put -Wachovia - Qty 10, Exp 4/08 Strike Price 42 1/2	S	11-16-07	$1,001 - $15,000
	Short Options - Put - Garmin - Qty 10, Exp 4/08 Strike Price 80	S	12-21-07	$1,001 - $15,000
	Options - Call - Dow Chemical - Qty 5, Exp 3/07, Strike Price 50	P	3-15-07	$1,001 - $15,000
	Options - Call - Dow Chemical - Qty 5, Exp 4/07 Strike Price 50	P/Expire	3-15-07	$1,001 - $15,000
	Options - Call - Gaylord Ent. - Qty 20, Expires 7/07 Strike Price 60	S/Exp	2-13-07	$1,001 - $15,000
	Options -Call - Dow Chemical - Qty 5, Exp 3/07 Strike Price 50	S/Exp	2/26/07	$1,001 - $15,000
	Options - Put- Danaher Corp - Qty 5, Exp 3/07 Strike Price 70	S	1-9-07	$1,001 - $15,000
	Options - Put - JP Morgan - Qty 10, Exp 3/07 Strike Price 45 (Sold 8/29/06)	P	1-23-07	$1,001 - $15,000
	Options - Put - Morgan Stanley - Qty 5, Exp 7/07 Strike Price 75	P	5/30/07	$1,001 - $15,000
	Options - Put- Procter & Gamble - Qty 10, Exp 10/07 Strike Price 60	S	5-1-07	$1,001 - $15,000
	Options - Put- Bank of America - Qty 10, Exp 11/07 Strike Price 47 1/2	S	10-19-07	$1,001 - $15,000
	Options - Put- Caterpillar - Qty 10, Exp 11/07 Strike Price 57 1/2	S	4-3-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Options - Put - Wachovia - Qty 10, Exp 11/07 Strike Price 45	S	10-19-07	$1,001 - $15,000
	Options - Put - Best Buy - Qty 10, Exp 12/07, Strike Price 40	S	5-16-07	$1,001 - $15,000
	Options - Put - Garmin - Qty 10, Exp 12/07 Strike Price 85	P	11/30/07	$1,001 - $15,000
	Options - Put - Danaher Corp - Qty 5, Exp 3/07 Strike Price 70	P	2-26-07	$1,001 - $15,000
	Options - Call - JP Morgan - Qty 5, Exp 5/07 Strike Price 50	S	4-10-07	$1,001 - $15,000
	Options - Call - Dow Chemical - Qty 5, Exp 7/07 Strike Price 50	S/Exp	5-30-07	$1,001 - $15,000
	Options - Put- Procter & Gamble - Qty 10, Exp 10/07 Strike Price 60	P	9-25-07	$1,001 - $15,000
	Options - Put Caterpillar - Qty 10, Exp 11/07m Strike Price 57 1/2	P	6/18/07	$1,001 - $15,000
	Options - Put- Wachovia - Qty 10, Exp 11/07 Strike Price 45	P	11-16-07	$1,001 - $15,000
	Options - Put - Best Buy - Qty 10, Exp 12/07 Strike Price 40	P	10-9-07	$1,001 - $15,000
	Options - Put - Bank of America - Qty 10, Exp 11/07 Strike Price 47 1/2	P	11/16/07	$1,001 - $15,000
	Options - Put - Morgan Stanley - Qty 5 Exp 7/07 Strike Price 75	S	1/9/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Dreier

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Options - Put - Garmin - Qty 10 Exp 12/07 Strike Price 85	S	11/1/07	$1,001 - $15,000
	IRA American Funds Euro Pacific	P	Various	$1,001 - $15,000
	IRA American Funds Capitol World Growth & Income	P	Various	$1,001 - $15,000
	IRA Franklin Small Growth Fund Cap	P	Various	$1,001 - $15,000
	Nicholas Applegate Global	P	Various	$1,001 - $15,000
	Nuveen Core Equity	P	Various	$1,001 - $15,000
	Am Growth Fund	P	Various	$1,001 - $15,000
	Am Funds Washington Mutual	P	Various	$1,001 - $15,000
	Franklin Templeton Mutual Shares (RMA)	P	Various	$1,001 - $15,000
	John Hancock Regional Bank	P	Various	$1,001 - $15,000
	John Hancock Financial Inds Fund	P	Various	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name David Dreier

Report all positions, compensated or uncompensated, *held during the current calendar year* as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Secretary (Uncompensated)	The Dreier Family Foundation
Board Of Trustees (Uncompensated)	Claremont McKenna College
Board of Visitors (Uncompensated)	Claremont Graduate University School of Politics and Economics
Board of Advisors Member (Uncompensated)	Los Angeles Mission
Member (Uncompensated)	Advisory Council, Center for Strategic & International Studies
Member (Uncompensated)	Council On Foreign Relations
Trustee (Uncompensated)	H. Edward Dreier, Jr. Trust

FOOTNOTES

Name David Dreier

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Footnote: Capitol Gains on Sale of JP Morgan and related option is entirely reported on the JP Morgan listing on page 5	JP Morgan

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 13 PM 4:30

HC

John J. Duncan, Jr. (Full Name)

202-225-5435 (Daytime Telephone)

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State TN District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. *Did you, your spouse, or a dependent* child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. *Did you, your spouse, or a dependent* child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS– *Details regarding* "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

**The "excepted trusts" noted on the 2006 report has been dissolved.

1195

Name	John J. Duncan, Jr.	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Tennessee-Board of Probation and Parole	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	John J. Duncan, Jr.	Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	NONE			

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name John J. Duncan, Jr.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an *active business* that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

		Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP Mega Corp Stock					X									X			X						X								S (partial)
DC	Examples	Simon & Schuster			Indefinite																Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X									X									X							
Smith-Barney-IRA Fidelity Value Fund						X										X							X										
Smith-Barney-IRA Fidelity Fund Inc.					X											X							X										
Smith-Barney-IRA Income Fund of America						X										X							X										
Smith-Barney-IRA BB&T Stock							X									X										X							
DC- Cracker Barrel-Stock					X											X							X										
State of TN. Pension Fund					X													X					X										

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John J. Duncan, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Smith-Barney/Citibank-MM			X													X					X											
	Congressional CU-Check			X										X							X											
	BB&T Stock					X									X										X							
	Bank North-Stock			X											X							X											S
	Toronto Dominion-Stock			X											X							X											
	Gap Inc. - Stock			X											X							X											
	Home Depot - Stock			X											X							X											
	Citigroup Cap.VIII-Stock				X										X									X									
	Exxon- Stock				X										X								X										
	Southern Company-Stock				X										X									X									
	Westernbank of PR- CD					X											X							X									S
	BankEast Corp. - Stock				X									X							X												
	Citibank So.Dakota-IRA				X												X						X										P
	Bank of Baroda-NY				X												X						X										P
	Lowes Companies-Stock			X											X							X											P
	Pfizer Inc. - Stock			X											X							X											P
	BankEast-CD						X										X						X										P
*	Coca-Cola Co. - Stock				X										X							X											
*	Nuveen Multst Tr-Stock			X											X							X											
*	Knox Co. Tn Hlth-Bonds				X												X						X										

This page may be copied if more space is required. ** These assets acquired from the estate of Lois Duncan.

Name John J. Duncan, Jr.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	Bank North Stock		X		4-23-07	X									
	Western Bank of PR.		X		9-28-07		X								
	Citibank So. Dakota IRA	X			9-26-07		X								
	Bank of Baroda NY	X			9-26-07		X								
	Lowes Companies - Stock	X			11-29-07	X									
	Pfizer, Inc. - Stock	X			11-29-07	X									
**	BankEast-CD	X			11-06-07				X						
**	This asset acquired from the estate of Lois Duncan.														

This page may be copied if more space is required.

1200

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed *during the year.* **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

Name	John J. Duncan, Jr.	Page 1 of 1

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. *Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense.* Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel *that is required to be reported under* the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

This page may be copied if more space is required.

1202

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
	NONE

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

GPO: 2006 41-219 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:02
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Thomas Chester Edwards	(202) 225-6105
(Full Name)	(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: TX — District: 17 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $308 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $308 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1204

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Chester Edwards

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Vanguard 500 Index Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Wright Patman Federal Credit Union Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Central National Bank Freedom Account	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Central National Bank Checking	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Congressional Federal Credit Union, Checking	$1,001 - $15,000	None	NONE	
JT	Congressional Federal Credit Union, Checking	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Chester Edwards

JT	Sun Trust, Checking	$1,001 - $15,000	INTEREST	$1 - $200	
	Vanguard 500 Index Fund Roth IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Employees Retirement System of Texas	$1,001 - $15,000	None	NONE	
SP	Vanguard 500 Index Fund Roth IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Vanguard 500 Index Fund IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Regions Financial Corporation	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Nationwide Financial Services	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Hartford Life and Annuity Insurance Company	$50,001 - $100,000	None	NONE	P
DC	Vanguard 500 Index Fund Education Savings	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Vanguard 500 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Vanguard 500 Index Fund Education Savings	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Vanguard 500 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Sun Trust Savings	$1 - $1,000	INTEREST	$1 - $200	
DC	Sun Trust Savings	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Thomas Chester Edwards

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Hartford Life and Annuity Insurance Company	P	10-29-07	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:47 ML
(Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Vernon J. Ehlers (Full Name)

202-225-3831 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MI District: 3	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1208

SCHEDULE I - EARNED INCOME

Name Vernon J. Ehlers

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more *during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding* $1,000.

Source	Type	Amount
State of Michigan	Pension	$37,195
State of California	Pension	$4,691
Kent County, Michigan	Pension	$2,007

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Vernon J. Ehlers

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Calvin College (CALL)	Speech	April 19, 2007	$150
LaGrave Avenue CRC	Speech	May 13, 2007	$100
Wyoming-Kentwood Chamber of Commerce	Speech	October 8, 2007	$50

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Vernon J. Ehlers

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Lake Michigan Credit Union IRA	$1,001 - $15,000	DIVIDENDS	N/A	
	Lake Michigan Credit Union	$100,001 - $250,000	DIVIDENDS	$2,501 - $5;000	
	Northern Trust Bank	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Macatawa Bank	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Chase Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	TIAA CREF Retirement Account TIAA Traditional Fund	$100,001 - $250,000	combination	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Vernon J. Ehlers

	TIAA CREF Retirement Account CREF Stock Fund	$100,001 - $250,000	combination	N/A	
	TIAA CREF Retirement Fund CREF Real Estate Fund	$100,001 - $250,000	combination	N/A	
	TIAA CREF Retirement Fund CREF Bond Market Fund	$50,001 - $100,000	combination	N/A	
	TIAA CREF Retirement Fund CREF Inflation Linked Bond Fund	$50,001 - $100,000	combination	N/A	
	TIAA CREF SRA Retirement Fund TIAA Traditional Fund	$1,001 - $15,000	combination	N/A	
	TIAA CREF SRA Retirement Fund CREF Stock Fund	$1,001 - $15,000	combination	N/A	
	IRA DFA International Core Equity Fund	$15,001 - $50,000	combination	N/A	
	IRA DFA US Core Equity Fund	$100,001 - $250,000	combination	N/A	
	IRA Dimensional Advisor Five Year Govt Portfolio	$15,001 - $50,000	combination	N/A	
	IRA DFA Emerging Markets Core Equity Portfolio	$15,001 - $50,000	combination	N/A	
	IRA Dimensional Advisor One Year Fixed Income Portfolio	$15,001 - $50,000	combination	N/A	
	IRA DFA Invt Two Year Global Fixed Income Fund	$15,001 - $50,000	combination	N/A	
	IRA Dimensional Advisor Real Estate Securities Portfolio	$15,001 - $50,000	combination	N/A	
	IRA Dimensional Adv Global Fixed Income Portfolio	$15,001 - $50,000	combination	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Vernon J. Ehlers

	IRA Fidelity Cash Reserves	$1,001 - $15,000	combination	N/A	

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 3:17

MC

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

KEITH M. ELLISON
(Full Name)

202-225-4755
(Daytime Telephone)

1629 BRYANT AVENUE NORTH, MINNEAPOLIS MN 55411-3259

Filer Status: [X] Member of the U.S. House of Representatives — State: MN, District: 5 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	GUADALUPE ALTERNATIVE PROGRAMS	SPOUSE'S EMPLOYMENT	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	ST. JOAN OF ARC	SPEECH	JUNE 3, 2007	$ 200

This page may be copied if more space is required.

Name KEITH M. ELLISON | Page 4 of 7

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, *even if not exercised*, to select the specific investments), *provide* the value and income information on *each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Excludes: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets *including all IRAs*, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, *including all IRAs*, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P
S
E

	Block A	Block B	Block C	Block D	Block E
SP	Mega Corp. Stock				S (partial)
DC, Examples:	Simon & Schuster	Indefinite		Royalties	
JT	1st Bank of Paducah, KY Account				
	HARTFORD ADVISORS FUND				
	HARTFORD DIVIDEND/GROWTH FUND				
	HARTFORD INTL OPP FUND				
	HARTFORD MID CAP VALUE FUND				
	HARTFORD SMALL CO. FUND				
	HARTFORD STOCK FUND				

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name KEITH M. ELLISON

Page 5 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	BUSINESS OWNERSHIP	Other Type of Income (Specify)	I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	P, S, E
	HARTFORD US GOVT SEC FUND	X																		X										

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CONGRESSIONAL FEDERAL CREDIT UNION	CHECKING READY RESERVE (LINE OF CREDIT)	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Fund	Aug 11-19	Minneapolis - Tel Aviv - Minneapolis	Y	Y	Y	none
	Tavis Smiley Presents	Feb 9-10	Mpls - Hampton, VA → Mpls	Y	Y	N	none
	Girls Inc of Omaha i John Morgan	Oct. 22	Mpls → Omaha → Mpls	N	N	N	none

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY -6 PM 12: 11
HC
U.S. (Office Use Only)

John Bradley "Brad" Ellsworth (Full Name)

202-225-4636 (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: Indiana District: 8	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name "Brad" John Bradley Elksworth | Page 1 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Charles Kendall, Jr. DDS	Spouse Salary	N/A
	Anthony Klein DDS LLC	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name "Brad" John Bradley Ellsworth

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A		Block B	Block C						Block D										Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples	SP Mega Corp Stock					X									X			X						X								S (partial)
		Simon & Schuster		Indefinite																	Royalties									X			
		1st Bank of Paducah, KY Accounts						X									X										X						
Sp		Fidelity Cash Reserves (IRA Rollovers)	X													X							X										S
SP		Aim Constellation Funds Simple IRA	X																X					X									E
SP		Venture Annuity CGTC Income+Value Fund	X												X							X											E
SP		Royce Penn Mutual Fund Consultant - IRA			X														X					X									
SP		Europacific Growth Fund IRA				X										X			X					X									
SP		Davis New York Venture Fund IRA			X											X							X										

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Bradley Ellsworth

Page 3 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	America Growth Fund IRA				X																			X								
SP	America Income Fund IRA				X																			X								
SP	Franklin Small Midcap Growth Fund IRA			X														X						X								
DC	Fidelity Cash Reserves money market	X															X					X										S
	457(b) Deferred Compensation Plan																															
	- JPM Midcap Value				X									X							X											
	- Fidelity Contra Fund				X													X								X						E
	- Fidelity Equity Income			X														X				X										
	- Investment Co. of America				X													X						X								E
	- Neuber Genesis Fund				X													X								X						E
	- NW International Index				X													X						X								
	- NW Midcap Market Index A Fund				X													X					X									
	- Cartmore International Index Fund	X																X					X									E
	- Cartmore Nationwide Fund B	X																X				X										E
	- Cartmore Midcap Market Index Fund	X																X					X									E
	- Putnam Voyager Cl A	X																X				X										E
	America Growth Fund Roth IRA			X											X			X					X									
	Aim Constellation Fund Roth IRA		X											X							X											
SP	Davis New York Venture Fund Roth IRA			X											X							X										P
SP	Investment Co. of America Roth IRA			X											X			X					X									
SP	Aim Constellation Fund Cl A Roth IRA			X										X							X											

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Bradley Ellsworth | Page 4 of 7

SP / DC / JT	Block A: Asset and/or Income Source	Block B: Year-End Value of Asset											Block C: Type of Income						Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, or E
	Fidelity Cash Reserves (held IRA Rollovers)				X										X							X										S
	Europacific Growth Fund IRA			X											X			X					X									P
	America Growth Fund CIC IRA			X											X			X					X									P
	Davis New York Venture CIC			X											X							X										P
JT	BP PLC Spons. ADR	X																X						X								S
JT	Citigroup Inc.	X																X						X								S
JT	Fidelity Growth + Income Fund	X													X			X						X								S
JT	Europacific Growth Fund			X											X			X					X									P
JT	Growth Fund of America			X											X			X					X									P
JT	Davis New York Venture Fund			X											X							X										P
JT	Wachovia Securities Money Market Fund			X													X					X										
JT	First Federal Savings Bank			X													X					X										
JT	Evansville Teachers FCU			X													X					X										
	Centurion FCU		X														X					X										
DC	Old National Bank			X													X					X										

This page may be copied if more space is required.

Name "Brad" John Bradley Elksworth

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	Fidelity Cash Reserves (Held IRA Rollover Assets)		X		2-12-07		X								
SP	Europacific Growth Fund: Traditional IRA	X			2-12-07	X									
SP	America Growth Fund: Traditional IRA	X			2-12-07	X									
SP	Davis New York Venture Fund Traditional IRA	X			2-12-07	X									
SP	Franklin Small Midcap Growth Fund Traditional IRA	X			2-12-07	X									
SP	Royce Penn Mutual Fund Consultant Traditional IRA	X			3-6-07	X									
SP	Aim Constellation Fund Cl A Simple IRA			X	12-11-07	X	Rollover to Traditional IRA								
SP	Aim Equity Funds Constellation Fund Class B Simple IRA			X	12-11-07	X	Rollover to Traditional IRA								
SP	Europacific Growth Fund: Traditional IRA	X			12-10-07	X									
SP	American Growth Fund: Traditional IRA	X			12-10-07	X									
SP	Venture Annuity CGTC Income + Value Fund			X	11-26-07		X	Rollover to Traditional IRA							
SP	America Income Fund: Traditional IRA	X			12-18-07		X								
DC	Fidelity Cash Reserves Money Market		X		8-8-07 12-4-07	X									
	457(b) Deferred Compensation Plan Previous Employment - Vanguard Group Inc														
	- Gartmore Nationwide Fund			X	1/17/07	Less than 1000.00	Reallocation of 457(b) funds								
	- Gartmore International Index Fund			X	1/17/07		X	Reallocation of 457(b) funds							

This page may be copied if more space is required.

Name John "Brad" Bradley Elksworth | Page 6 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	457(b) continued - Gartmore Midcap Market Index Fund			X	1-17-07		X	Reallocation of 457(b) funds							
	- Putnam Voyager Cl A			X	1-17-07	X		Reallocation of 457(b) funds							
	- JPM Midcap Value fund			X	1-17-07	X		Reallocation of 457(b) funds							
	- Neu Ber Genesis Fund			X	1-17-07	X		Reallocation of 457(b) funds							
	- NW International Index A			X	1-17-07		X	Reallocation of 457(b) funds							
	- NW Midcap Market Index Fund A			X	1-17-07		X	Reallocation of 457(b) funds							
SP	Davis New York Venture - IRA	X			3-6-07	X									
	Fidelity Cash Reserves (Held IRA Rollover Assets)		X		2-15-07		X								
	America Growth Fund Cl C IRA	X			2-12-07	X									
	Davis New York Venture Fund IRA	X			2-12-07	X									
	Europacific Growth Fund Cl C IRA	X			3-6-07	X									
JT	BP PLC SPONS ADR		X		1-8-07	X									
JT	Citigroup INC		X		1-8-07	X									
JT	Growth + Income Portfolio Fund		X		9-14-07		X								
JT	Europacific Growth Fund	X			9-17-07	X									
JT	America Growth Fund Class C	X			9-17-07	X									

This page may be copied if more space is required.

Name "Brad" John Bradley Ellsworth

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP DC JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
JT	Davis New York Venture Fund	X			2-12-07	X									

This page may be copied if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 3:31

U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Rahm I Emanuel
(Full Name)

773-267-5926
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: IL, District: 5	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑	No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1229

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Rahm I Emanuel

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Maher Live, Inc.	guest panelist	September 28, 2007	$825 (donated to Greater Chicago Food Depository)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rahm I Emanuel

	BLOCK A **Asset and/or Income Source** *Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.* Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Advisory Research Large Cap Equity Fund, LP (2 Prudential Plaza, 180 N Stetson, Chicago: Invests in widely diversified large cap equity holdings)	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	1
	Advisory Research Small Mid Cap Value Equity Fund, LP (2 Prudential Plaza, 180 N Stetson, Chicago; Invests in widely diversified small cap equity holdings)	$500,001 - $1,000,000	DIVIDENDS/CAPITAL GAINS	$50,001 - $100,000	1
SP	American International Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rahm I Emanuel

	Chilton New Era Partners, LP (1266 E Main St., Stanford, Ct; Invests in widely diversified S&P 500 equities)	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$100,001 - $1,000,000	1
SP	Comcast Corp--IRA	$1,001 - $15,000	None	NONE	
SP	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Gentex Corp--IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Good Hope Limited Partnership (holds commercial real estate located at 7830 W Goodhope Rd., Milwaukee, Wi)	$15,001 - $50,000	RENT	$2,501 - $5,000	1
SP	Health Management Associates--IRA	None	DIVIDENDS	$1 - $200	S
	Janklow & Nesbitt Associates	Indefinite	Royalties	$2,501 - $5,000	2
JT	LaSalle Bank	$1,001 - $15,000	INTEREST	$1 - $200	
SP	LaSalle Bank	$1,001 - $15,000	INTEREST	NONE	
	LaSalle Bank Trustee, Managed IRA (Not self directed)	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	
	Loan Receivable--Friends of Rahm Emanuel for Congress	$250,001 - $500,000	None	NONE	
SP	Pershing--MMA--IRA	$1,001 - $15,000	INTEREST	$1 - $200	E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rahm I Emanuel

	Qualified Blind Trust	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$100,001 - $1,000,000	
DC	Qualified Blind Trust	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	
DC	Qualified Blind Trust	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	
DC	Qualified Blind Trust	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	
	S & P 500 Index Equally Weighted Fund, LP (2 Prudential Plaza, 180 N Stetson, Chicago; Invests equal amounts in each of S&P 500 equities)	$500,001 - $1,000,000	DIVIDENDS/CAPITAL GAINS	$50,001 - $100,000	1
SP	S F Investments--MMA	None	INTEREST	$1 - $200	S
SP	SF Investments-MMA--IRA	None	INTEREST	$1 - $200	E
SP	Unumprovident Corp Senior Notes--IRA	None	INTEREST	$201 - $1,000	S
	Vision Optical Partners, LLC (CBIZ Accounting & Tax Advisory Svcs, 1 S Wacker Dr, #1800, Chicago, Il; Invests in widely diversified debt and equity holdings)	$1 - $1,000	CAPITAL GAINS/INTEREST	NONE	1
SP	Wal Mart Stores, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Rahm I Emanuel

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	SF Investments--MMA	S	8/31/07	$1,001 - $15,000
SP	SF Investments--IRA--MMA (clearing operations transferred to Pershing)	E	8/31/07	$1,001 - $15,000
SP	Health Management Associates, Inc.	S	2/12/07	$1,001 - $15,000
SP	Unumprovident Corp	S	11/30/07	$1,001 - $15,000
SP	American International Group	P	8/14/07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rahm I Emanuel

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Maher Live, Inc.	September 28, 2007	Chicago-Los Angeles-Chicago	N	N	N	None
Aspen Institute	June 30-July 7, 2007	Chicago-Aspen, Co-Chicago	Y	Y	Y	3 days

SCHEDULE VIII - POSITIONS

Name Rahm I Emanuel

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Rahm Emanuel & Amy Rule Charitable Trust

SCHEDULE IX - AGREEMENTS

Name Rahm I Emanuel

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
March 10, 2006	Rahm Emanuel and Bruce Reed-co authors and Public Affairs, LLC--publisher	copyright royalties based on a percentage of sales of book--"The Plan-Big Ideas for America" (all royalties to be donated to charity)
October 4, 2005	Rahm Emanuel and Bruce Reed--co authors and Janklow & Nesbit Associates--Literary Agents	compensation of 15% of gross proceeds from all royalties and other consideration received from above book--"The Plan-Big Ideas for America"

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	All items with a "1" reference in block E are held by LaSalle Bank in a non-qualified blind trust	
2	Schedule III	All royalties donated to Rahm Emanuel and Amy Rule Charitable Trust)	

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 5:25
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Jo Ann Emerson
(Full Name)

202-225-4404
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MO District: 8	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1239

SCHEDULE I - EARNED INCOME

Name Jo Ann Emerson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Bartley Goffstein, LLC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jo Ann Emerson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	7720 Building Corp. (Law Firm Building) 4399 Laclede St. Louis, MO 63108	$100,001 - $250,000	None	NONE	
SP	Bank of Salem SEP	$100,001 - $250,000	None	NONE	
SP	Bartley Goffstein, LLC (1/6 partner) 4399 Laclede St. Louis, MO 63108	$250,001 - $500,000	Other: Partnership Value	NONE	
SP	Pershing Money Market Fund	None	INTEREST	$1 - $200	S
SP	First Commercial Bank of Southeast Missouri (CD)	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jo Ann Emerson

SP	Business Bank of St. Louis SEP	$100,001 - $250,000	None	NONE	
SP	Sirius Communications	$1,001 - $15,000	None	NONE	P
SP	Talisman Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Marsh & McClennan	$1,001 - $15,000	None	NONE	
SP	Fosters Brewery Group	None	CAPITAL GAINS	$2,501 - $5,000	S
SP	Home Depot	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Great Plains Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Grabill Bank (CD)	None	INTEREST	$201 - $1,000	PS
SP	Gold Fields Limited	$1,001 - $15,000	None	NONE	P
SP	United Natural Foods	$1,001 - $15,000	None	NONE	P
SP	Morgan Stanley Trust (CD)	None	INTEREST	$1 - $200	PS
SP	Kaupthing HF	$15,001 - $50,000	None	NONE	P
SP	ING Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	AGE Bank Deposit	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jo Ann Emerson

SP	Canadian Natural Resources	None	CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE IV - TRANSACTIONS

Name Jo Ann Emerson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Gold Fields Limited	P	5-18-07	$1,001 - $15,000
SP	United Natural Foods	P	5-18-07	$1,001 - $15,000
SP	Kaupthing HF	P	10-12-07	$1,001 - $15,000
SP	Kaupthing HF	P	11-30-07	$15,001 - $50,000
SP	Sirius Communications	P	11-30-07	$1,001 - $15,000
SP	Canadian Natural Resources	S	4-30-07	$1,001 - $15,000
SP	Fosters Brewery Group	S	10-5-07	$15,001 - $50,000
SP	ING Money Market Fund	P	1-17-07	$1,001 - $15,000
SP	AGE Bank Deposit Program	P	1-29-07	$1,001 - $15,000
SP	Grabill Bank (CD)	P	4-30-07	$1,001 - $15,000
SP	Morgan Stanley Trust (CD)	P	8-30-07	$1,001 - $15,000
SP	Morgan Stanley Trust (CD)	S	11-5-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Jo Ann Emerson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Grabill Bank (CD)	S	9-7-07	$1,001 - $15,000
SP	Pershing Money Market Fund	S	10-5-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Jo Ann Emerson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Bank of Salem	Line of Credit	$50,001 - $100,000
SP	Enterprise Bank, St. Louis, MO	Mortgage on office building (16.67%)	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Jo Ann Emerson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary and Lifetime Trustee	Westminster College, Fulton, MO
Co-Chair, Board of Directors	Congressional Hunger Center
Co-Chair	America's Trust
Advisory Board	Rock and Wrap it Up

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CEN
2008 MAY 15 PM 2:4
(Office Use Only)

Eliot L. Engel
(Full Name)

202-225-2464
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: NY District: 17 — ☐ Officer Or Employee — Employing Office:

Termination Date:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I - EARNED INCOME

Name Eliot L. Engel

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Issue Dynamic Inc	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eliot L. Engel

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Congressional Federal Credit Union	$15,001 - $50,000	Interest	$201 - $1,000	
Israeli Bonds	$1,001 - $15,000	INTEREST	$1 - $200	
State of New York	$1,001 - $15,000	Other: Retirement Pension	NONE	
US Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name Eliot L. Engel

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Credit Union	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Eliot L. Engel

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
IMDI	February 17-25	DC-Hamburg-Oslo-Vaduz-DC	Y	Y	Y	n/a
Schusterman Foundation, Alpha Epsilon Pi Foundation, Alpha Epsilon Pi Fraternity	August 10-17	NY-CA-DC	Y	Y	Y	4 days

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY 13 PM 3:48
HOUSE OF REPRESENTATIVES

MC

Philip S. English (Full Name)	202-225-5406 (Daytime Telephone)
	(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: PA District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1253

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Philip S. English

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Merrill Lynch Cash Money Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Merrill Lynch Cash Money Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Merrill Lynch IRA - N/A IRA			N/A	
SP	Bank Deposit w/in spouse IRA	$1,001 - $15,000	DIVIDENDS	N/A	
SP	Cerificate of Deposit w/in spouse IRA	$1,001 - $15,000	INTEREST	N/A	
SP	Merrill Lynch Global Fund w/in spouse IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Philip S. English

SP	American Funds Capital Income Builder w/in spouse IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	
SP	ML Basic Value Fund w/in spouse IRA	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	N/A	
SP	ING Principal Protection Fund w/in spouse IRA	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	N/A	
SP	ML Fundamental Growth w/in spouse IRA	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Phil English Blind Trust The Philadelphia Trust Co., Trustee	$100,001 - $250,000	QUALIFIED BLIND TRUST/DIVIDENDS/CAPITAL GAINS/INTEREST	$1,001 - $2,500	

SCHEDULE VI - GIFTS

Name Philip S. English

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
David E. Barensfeld, Ellwood City, PA	Phil English Legal Expense Trust	$2,000
Curtis H. Barnette, Bethlehem, PA	Phil English Legal Expense Trust	$500
American College of Radiology Association, Reston, VA	Phil English Legal Expense Trust	$2,500
Samuel P. Black, Erie PA	Phil English Legal Expense Trust	$5,000
Harvey Childs, Greenville, PA	Phil English Legal Expense Trust	$500
J.D. Crane, Erie, PA	Phil English Legal Expense Trust	$5,000
Kevin H. Jones, Fombell, PA	Phil English Legal Expense Trust	$5,000
Louis J. Porreco, Erie, PA	Phil English Legal Expense Trust	$1,000
Genesis Healthcare Corporation, Kennett Square, PA	Phil English Legal Expense Trust	$2,500
Triangle Tech, Inc., Pittsburgh, PA	Phil English Legal Expense Trust	$2,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Philip S. English

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. *Indicate whether a family member accompanied the traveler at the sponsor's expense*, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	May 29-June 3	DC-Munich, Germany-Ljubljana, Slovenia-DC	Y	Y	Y	4 days

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

[illegible] RESOURCE CENTER
2008 MAY 14 AM 11:31
[illegible] REPRESENTATIVES
(Office Use Only) MC

Anna Georges Eshoo
(Full Name)

650- 323-2984
(Daytime Telephone)

Filer Status	☐ Member of the U.S. *House of Representatives* State: ____ District: ____	☐ Officer or Employee	Employing Office: ____
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination Termination Date: ____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

Name Anna Georges Eshoo | Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	County of San Mateo, California	Legislative Pension San Mateo County Board of Supervisors	$10,179

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	-None-			

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC Examples		Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X									X									X							
Hartford Group/Deferred Comp. Plan								X							Deferred Comp. Plan							X											n/a
Cantor Sen Mateo/CA.					X										Retirement Plan											X							n/a
UBS Financial Services																																	
Templeton Dragon Fund					X											X							X										n/a
Pace Intl. Equity Fund					X											X							X										n/a

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Hunt Georges Echos | Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Wells Fargo Bank Checking Acct.			X													X						X								n/a
	Fidelity Investments																														
	John Hancock Adj. Income						X								X									X							P
	Fidelity CA Muni Money Mrkt								X						X										X						P
	First Republic Bank Checking Acct.			X													X					X									n/a
	Congressional Fed. Credit Union Checking Acct.			X													X					X									n/a

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	Wells Fargo Portfolio Mgmt Account (Transferred to Fidelity Investments)														
	- Vanguard Money Mrkt Acct		X		8-23-07	X									
	- Blackrock Preferred Income		X		8-23-07					X					
	Wells Fargo Checking Acct (Transferred to Fidelity Investments)														
	- Nuveen Quality Preferred Income Fund			X	8-20-07						X				
	- Pimco Corp. Opportunity			X	8-20-07					X					

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	n/a											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. *Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.*

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on *personal friendship received from Committee on Standards*)	$325
-NONE-		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute (Congressional) Washington D.C. Conference on U.S. China Relations	March 31 to April 8 2007	San Francisco - Shanghai - Nanjing in Beijing - San Francisco $9928.80 for round trip each for Eshoo and niece, Deanne Purcell Plus $125.00 each for Visas + emergency health ins.	Y $915.00 each for Eshoo & niece	Y $680 each for Eshoo & niece	Y	none
	Aspen Congressional Institute Washington, D.C. Conference on Politics Islam + Challenges to U.S. Policy	May 28 – June 3 2007	San Francisco - Frankfurt - Ljubljana, Slovenia - San Francisco $2767.00	Y $960	Y $240	N	none
	Aspen Congressional Institute Washington, D.C. Conference on U.S. Policy - Latin America	Nov 27 - Dec 2 2007	San Francisco - Liberia, Costa Rica - San Francisco $1584.08 each (Eshoo + Georges)	Y $2625 each	Y $425 each	Y sister - Veronica Georges	none

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
	- NONE -

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- NONE -	

Use additional sheets if more space is required.

GPO: 2008 41-218 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:37
(Office Use Only) REPRESENTATIVES

Anna Georges Eshoo
(Full Name)

650-323-2984
(Daytime Telephone)

Filer Status	☒ Member of the U.S House of Representatives	State California District: 14th C.D.	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?*	Yes ☐	No ☒

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Page 1 of 10
For use by Members, officers, and employees

HAND DELIVERED

DISCLOSURE CENTER
2008 MAY 14 AM 9:44
MC (Office Use Only)
HOUSE OF REPRESENTATIVES

Bob Etheridge
(Full Name)

202-225-4531
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative	State: NC District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I - EARNED INCOME

Name Bob Etheridge

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of North Carolina	State/Legislative Pension	$11,000
Harnett Board Of Education	Spouse Retirement	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Etheridge

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1108 Mamers-Summerville Rd., Lillington, NC	$15,001 - $50,000	Rent	$2,501 - $5,000	
JT	Cameron Intn Corp	$1,001 - $15,000	None	NONE	
JT	Coca Cola	$1,001 - $15,000	None	NONE	
JT	Cornerstone Bank	$1,001 - $15,000	None	NONE	
JT	Dean Witter Money Market Trust	$1,001 - $15,000	None	NONE	
JT	Farm, McArthur Road, Broadway, NC	$250,001 - $500,000	Rent	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Etheridge

JT	General Electric	$1,001 - $15,000	Dividends	$1 - $200	
JT	Intel Corporation	$1,001 - $15,000	Dividends	$1 - $200	
	IRA (B.B.&T)	$1,001 - $15,000	None	NONE	P
	IRA (B.B.&T)	$1,001 - $15,000	None	NONE	P
	IRA (Bank of America)	$1,001 - $15,000	None	NONE	P
	IRA (Bank of New York)	$1,001 - $15,000	None	NONE	
	IRA (CitiGroup Inc)	$1,001 - $15,000	None	NONE	
SP	IRA (CitiGroup Inc)	$1,001 - $15,000	None	NONE	
SP	IRA (Citizens Commonwealth)	$1,001 - $15,000	None	NONE	
	IRA (Coho Energy Stock)	$1 - $1,000	None	NONE	
SP	IRA (Dow Chemical)	$1,001 - $15,000	None	NONE	
SP	IRA (Duke Energy)	$1,001 - $15,000	None	NONE	
	IRA (Honeywell International)	$1,001 - $15,000	None	NONE	
	IRA (Ishares D&P Europe Fund)	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Etheridge

SP	IRA (Ishares MSCIEAFE Fund)	$1,001 - $15,000	None	NONE	
SP	IRA (Ishares MSCIEAPE Fund)	$1,001 - $15,000	None	NONE	
	IRA (Ishares S&P Europe)	$1,001 - $15,000	None	NONE	
	IRA (Ishares Trust Lehman)	$1,001 - $15,000	None	NONE	
SP	IRA (Ishares Trust Lehman)	$1,001 - $15,000	None	NONE	P
	IRA (Morgan Stanley Dean Witter)	None	None	NONE	S
	IRA (NYSE Euronext)	$1,001 - $15,000	None	NONE	
	IRA (Progress Energy)	$1,001 - $15,000	None	NONE	
	IRA (RBC Centura)	$1,001 - $15,000	None	NONE	
SP	IRA (Schulumberger Ltd)	None	None	NONE	S
	IRA (Scotiabank DECD)	$1,001 - $15,000	None	NONE	
SP	IRA (ScotiaBank DECD)	$1,001 - $15,000	None	NONE	
	IRA (ScotiaBank DEPR)	$1,001 - $15,000	None	NONE	
SP	IRA (Tendris)	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Etheridge

JT	Ishares MSCIEAPE Fund	$1,001 - $15,000	None	NONE	
JT	Microsoft	$1,001 - $15,000	None	NONE	
	NC State deferred compensation (401K)	$100,001 - $250,000	None	NONE	
SP	NC State deferred compensation (401K)	None	None	NONE	
JT	New Century Bank	$15,001 - $50,000	None	NONE	
	New Century Bank	$15,001 - $50,000	None	NONE	
	Oppenheimer Equity Income fund	$1,001 - $15,000	Dividends	$1 - $200	
	Pfizer, Inc.	$1,001 - $15,000	None	None	
JT	RBC Centura Bank	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Bob Etheridge

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA (Morgan Stanley Dean Witter)	S	9-24-07	$1,001 - $15,000
SP	IRA (Schulumberger Ltd)	S	9-19-07	$1,001 - $15,000
	IRA (Bank of America)	P	9-24-07	$1,001 - $15,000
	IRA (B.B.&T)	P	12-12-07	$1,001 - $15,000
	IRA (B.B.&T)	P	12-12-07	$1,001 - $15,000
SP	IRA (Tendris)	P	9-19-07	$1,001 - $15,000
SP	IRA (Ishares Trust Lehman)	P	12-12-07	$1,001 - $15,000
SP	IRA (Schulumberger Ltd)	S	9-19-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Bob Etheridge

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. *Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.*

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Farm Credit	Mortgage on McArthur Road, Broadway, NC	$100,001 - $140,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Bob Etheridge

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	Feb 20-25	Raleigh, NC - San Juan, PR - Raleigh	Y	Y	Y	None
Crop Life America	Sept 22-23	Lillington, NC - White Sulphur Springs, WV - Lillington, NC	Y	N	N	None
Center for Democracy in the Americas	May 27 - June 1	Raleigh, NC - Miami, FL - Havana, Cuba - Miami, FL - Raleigh, NC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Bob Etheridge

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Ochoneeche Boy Scout Council
Honorary Board Member	General Hugh Shelton Scholarship Endowment
Advisory Board Member	North Carolina Heroes Fund

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 3:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

R. TERRY EVERETT
(Full Name)

(202) 225-2901
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: AL District: 2nd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. House of Representatives - Congressman	U.S. Representative	$147,093

For payments to charity in lieu of honoraria, use Schedule II.

Name R. TERRY EVERETT	Page 3 of 13

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a *charitable* organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples.	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	NONE	NONE		

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution *holding the* account and its value at the end of the reporting period. For *an active business* that is not publicly traded, state the name of the business, the nature of *its activities*, and *its geographic* location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, *please specify the method used.*

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check *all columns that apply. For* retirement plans or accounts that do *not* allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box *below.* **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had *purchases* (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an *asset is sold,* please indicate as follows: (S) (partial) See below for example.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
SP.	Examples:	SP	Mega Corp. Stock					X									X			X						X									S (partial)
DC.			Simon & Schuster		Indefinite																	Royalties									X				
JT			1st Bank of Paducah, KY Accounts						X									X									X								
			400 Acre Farm																																
			Houston County, AL								X							X									X								
			On Farm																			Grain & Hay	X												
			On Farm																			FSA				X									
			On Farm																			Rents					X								

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name R. TERRY EVERETT

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Merrill Lynch - 16632																														
	CMA		X														X					X									
	PFL Endeavor Var. Annuity							X							X												X				
	Merrill Lynch - 16288																														
	CMA			X													X						X								
	University of Alabama @																														
	Birmingham Hospital				X												X							X							S
	University of Alabama @																														
	Birmingham Hospital						X										X									X					S
	University of Alabama @																														
	Birmingham Hospital					X											X								X						S
	Amer. Income Fund						X								X											X					
	Amer. Capital Income						X								X											X					
	Amer. Bond Fund						X								X											X					
	BLJWH Strat. Alloc. Fd.					X									X						X										
	Fidelity Adv. Diversified						X								X								X								
	M.F.S. International						X								X											X					

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name R. TERRY EVERETT

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Merrill Lynch - 24252																														
	CMA						X										X							X							
	Municipal Bonds																														
	N. Richland Hills, TX						X										X									X					S
	Jefferson Co., TX						X										X									X					S
	West VY City, UT						X										X									X					
	Sequin TX Indpt						X										X									X					S
	Laredo TX Comm Clge						X										X									X					
	Washoe Co., Nev. Reno Sparks						X										X									X					S
	Penn State Higher Ed						X										X									X					S
	Little Elm TX Indpt						X										X									X					
	King Co. Wa. Swr Rev						X										X									X					
	New Waverly TX Indpt						X										X									X					
	Bellwood, IL						X										X									X					
	Birmingham, AL Wtrwks						X										X									X					
	Indianapolis IN LCL PWD						X										X									X					S
	Honolulu Hi Cy Co Bd						X										X									X					
	Central Puget Sound, WA						X										X									X					
	Hobart, IN Bldg. Corp.						X										X									X					

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name R. TERRY EVERETT

SP. DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT/ED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Merrill Lynch - 24252 (Continued)																															
	University of Utah							X									X									X						P
	Missouri JT Man El Utl						X										X									X						P
	Auburn Univ. AL Gen. Fd.					X											X								X							P
	Oregon St. Bd. Ed.						X										X									X						P
	Elmore Co. Al. Pub. Ed.						X										X									X						P
	Birmingham Al. WTS						X										X									X						P
	Illinois FIN Auth. Rev.						X										X									X						P
	DCH Health Care Auth. Al.						X										X									X						P
	South FL Water Mgmt. Dist.						X										X									X						P
	Thrift Savings (401k)							X									X									X						
	SunSouth Bank - Investment Account						X										X									X						
	IRA (H) - SunSouth				X												X							X								
	IRA (W) - SunSouth				X												X							X								

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name R. TERRY EVERETT

Page 8 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Merrill Lynch IRA (H)					X											X									X							
	2 Notes receivable on																																
	personal residences in																																
	Coffee and Houston Co., AL					X											X								X								
	SunSouth Bank - Checking			X													X						X										
	SunSouth Bank - Checking							X									X									X							
	Congressional Federal CU																																
	Checking				X												X							X									
	Savings			X													X						X										
	Money Market Account						X										X									X							
	Community Capital Bankshares				X										X								X										

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	North Richland Hills Tex.		X		05/10/07				X						
	Jefferson Co. Tex.		X		03/15/07				X						
	Washoe Co., Nev.		X		03/15/07				X						
	Penn St. High Ed.		X		03/29/07				X						
	Sequin TX Indpt		X		07/23/07				X						
	Indianapolis IN LCL PW B		X		03/29/07				X						
	University of Alabama at Birmingham Hospital		X		09/05/07		X								
	University of Alabama at Birmingham Hospital		X		09/05/07				X						
	University of Alabama at Birmingham Hospital		X		09/05/07			X							
	Univeraity of Utah	X			03/18/07					X					
	Missouri JT MUN El UTL	X			09/13/07				X						
	Auburn Al. Gen Fee	X			08/21/07			X							
	Oregon St. Bd. Ed.	X			03/30/07				X						
	Elmore Co. Al. Pub. Ed.	X			07/23/07				X						
	Birmingham, Al WTS	X			05/10/07				X						

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	Illinois Fin. Auth. Rev.	X			03/29/07				X						
	ACH Health Care Auth. Al.	X			10/09/07				X						
	South FL Water Mgmt. Dist.	X			01/05/07				X						

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples.	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:10 MC
(Office Use Only)
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

Eni F. H. Faleomavaega (Full Name)

202-225-8577 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: AS, District: AS	☐ Officer Or Employee	Employing Office: U.S. HOUSE OF REPRESENTATIVES
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel (in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1291

SCHEDULE I - EARNED INCOME

Name Enl F. H. Faleomavaega

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Contiental Airlines, Inc.	Spouse Retirement Benefit	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eni F. H. Faleomavaega

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	929 Alta Oaks Drive, Henderson, NV (Rental Property converted from residence)	$250,001 - $500,000	RENT	NONE	
SP	American Express Bank, FSB, Salt Lake City, UT	$1 - $1,000	INTEREST	$1 - $200	
SP	Ameriprise Financial Strategic Portfolio Advantage - IRA: AIM Multi-Sector A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S (part)
SP	Ameriprise Financial Strategic Portfolio Advantage - IRA: Ameriprise Insured Money Market	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Eni F. H. Faleomavaega

SP	Ameriprise Financial Strategic Portfolio Advantage - IRA: Goldman Sachs Mid Cap Value Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Ameriprise Financial Strategic Portfolio Advantage - IRA: RVS Diversified Equity Income-A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Ameriprise Financial Strategic Portfolio Advantage - IRA: Threadneedle Global Equity-A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Ameriprise Financial Strategic Portfolio Advantage - IRA: Wells Fargo Advantage Capital Growth Inv	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Ameriprise Insured Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Ameriprise Trust Company, Minneapolis, MN 55474 - IRA: RVS Diversified Equity Income Fund CL-A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Banque de Tahiti, Papeee, Tahiti: IXIS Euractive 4DEC (FR0000003188)	$1,001 - $15,000	Other: Capital appreciation only.	NONE	
SP	Banque de Tahiti, Papeee, Tahiti: Sonic Euro PEA.C FCP 4DEC (FR0000993529)	$1,001 - $15,000	Other: Capital appreciation only.	NONE	
SP	Capital One Bank, Houston, TX Accounts	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
SP	IDS Life - Retirement Advisor Variable Annuity	$15,001 - $50,000	N/A	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eni F. H. Faleomavaega

SP	IDS Life - RVS Retirement Advisor Advantage Plus VA (variable annutiy)	$100,001 - $250,000	N/A	N/A	
SP	Societe Linda, Papeete, Tahiti	None	DIVIDENDS/CAPITAL GAINS	$50,001 - $100,000	S
SP	U S Bank NA, St. Paul, MN Checking account	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Eni F. H Faleomavaega

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Societe Linda, Papeete, Tahiti	S	04-10-07	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Eni F. H. Faleomavaega

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: *Any mortgage on your personal residence (unless all or part of it is rented out)*; loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Federal Credit Union	Personal loan	$15,001 - $50,000
	Congressional FCU Visa credit card	Revolving charge	$10,001 - $15,000
	USAA MasterCard	Revolving charge	$10,001 - $15,000
JT	Aurora Home Loans (transfered from GMAC Mortgage)	Mortgage loan: 1092 South Cinnamon Ridge Drive, Provo, UT	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name Eni F. H. Faleomavaega

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President & Director	The Faleomavaega Community Service Foundation

FOOTNOTES

Name Eni F. H. Faleomavaega

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule VIII	This nonprofit organization is dormant and will be dissolved during 2008.	President & Director
2	Schedule V	The member and his wife co-signed this note for their daughter on 11/6/2006.	GMAC Mortgage
3	Schedule III	This is a Euro denominated mutual fund.	Sonic Euro PEA.C FCP 4DEC
4	Schedule III	This is a Euro denominated mutal fund.	IXIS Euractive 4DEC
5	Schedule III	Former residence converted to rental property on 12/27/2007.	929 Alta Oaks Drive, Henderson, NV
6	Schedule IV	Former residence purchased in 2001 and converted to rental on 12/27/07.	929 Alta Oaks Drive, Henderson, NV
7	Schedule III	This mutual fund (IGLGX) was previously named RVS Global Equity A.	Threadneedle Global Equity A
8	Schedule III	Partial sale proceeds were less than $1,000.	AIM Multi-Sector A

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
DISCLOSURE CENTER
2008 MAY -8 PM 3:25
(Office Use Only)

Mary C. Fallin
(Full Name)

202-225-2132
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Oklahoma District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1300

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Oklahoma	Salary	$1,679.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Mary C. Fallin Page 5 of 5

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT FED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC, Examples:	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	Union Bank				X												X						X									
	T. Rowe Price Emerging Market			X											X							X										
	T. Rowe Price Blue Chip Growth			X											X							X										
	American Century Vista Advantage			X											X							X										
	Columbia Acorn Fund			X											X							X										

For additional assets and unearned income, use next page.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. *Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.*

Exclude: *Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.*

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleve'and	Y	Y	Y	2 Days
	American Israel Education Foundation	Aug 5-12 2007	DC-Israel-DC	Y	Y	Y	none

This page may be copied if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 14 AM 11:24
RECEIVED
(Office Use Only)

MC

Sam Farr
(Full Name)

(202) 225-2861
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 17	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Sam Farr

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Partners for Transition (formerly called Financial Transitions Institute)	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sam Farr

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Boeing Company Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Chevy Chase Land Company	$50,001 - $100,000	DIVIDENDS	$15,001 - $50,000	
	Colgate Palmolive Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Congressional Federal Credit Union (IRA account)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Congressional Federal Credit Union (Savings and Checking Accounts)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Devil's Flat Association	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sam Farr

	General Electric Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Hartford Financial Services Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Hewlett-Packard Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	IBM Corp. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Intel Corp. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Interest - CA State Retirement System (includes CA Legislative Retirement)	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
	Lots 7,9, 10 (SE corner of Santa Lucia & Camino Real), Carmel, CA	$250,001 - $500,000	None	NONE	
	Morgan Stanley Bank Deposit Program	None	INTEREST	$1 - $200	
	Morgan Stanley Money Morgan Stanley Strategist Fund A (held in IRA Retirement Account)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS (Reinvested)	$5,001 - $15,000	
	Motorola Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Pfizer Inc. Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Point 16, Big Sur, CA LLC Membership Interest	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
	Sharlands Investment Company (assumed interest in Columbia Channel Gold Mine in 2007)	$1,001 - $15,000	None/DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sam Farr

	Sorensen's Resort Partnership Interest	$15,001 - $50,000	Other: Partnership distribution	$2,501 - $5,000	
	Tahoe Cabin	$15,001 - $50,000	RENT	$2,501 - $5,000	
	Vista Lobos Apartments (Interest held throught the Farr Children's Trust and the Delores Farr Trust. Filer is 50% beneficiary.)	$250,001 - $500,000	RENT	$50,001 - $100,000	
	Washington Mutual Stock	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Sam Farr

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute (Conference on No Child Left Behind)	Feb. 20-25	DC-San Juan PR-DC	Y	Y	Y	None
Carlos Albizu University (Accept reward for public service)	Apr. 20-22	DC-Miami-DC	Y	Y	N	None
Association of Defense Communities (Meetings with leaders in BRAC-related communities. Received award.)	Jul. 27-30	DC-Miami-DC	Y	Y	N	2 Days
The Aspen Institute (Congressional Progam)	Nov. 27-Dec. 2	Monterey-Liberia-Costa Rica-DC	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC

Chaka Fattah (Full Name)	202.225.4001 (Daytime Telephone)
	(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: PA District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $308 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

SCHEDULE I - EARNED INCOME

Name Chaka Fattah

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
GE	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Chaka Fattah

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	GE Common Stock	$100,001 - $250,000	Other: 401K	NONE	N/A
S	PA State Employee Retirement System	$50,001 - $100,000	Other: Pension reported as income	$2,501 - $5,000	N/A

SCHEDULE V - LIABILITIES

Name Chaka Fattah

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
S	Wachovia	Line of Credit	$15,001 - $50,000
S	Wright Patman Federal Credit Union	Line of Credit	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Chaka Fattah

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Philadelphia Futures

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:55
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Thomas C. Feeney III
(Full Name)

202-225-2706
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: FL — District: 24 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

1315

SCHEDULE I - EARNED INCOME

Name Thomas C. Feeney III

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Space Gateway Support	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas C. Feeney III

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). *For all IRAs and other* retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is *that of your spouse (SP) or dependent child (DC) or is jointly held (JT)*, in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	12063 Gray Birch Circle Orlando, FL	$250,001 - $500,000	RENT	$15,001 - $50,000 (gross)	
	50% share of Beach Partners II (owns rental property at 8600 Ridgewood Ave #2308, Cape Canaveral FL)	$50,001 - $100,000	RENT	$1,001 - $2,500 (gross)	
	FedEx Corp. (IRA Account)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	General Dynamics Corp. (IRA Account)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	LifePoint Hospitals, Inc. (IRA Account)	$1 - $1,000	None	NONE	
	Northrop Grumman Corp. (IRA Account)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

	TriQuint Semiconductor, Inc. (IRA Account)	$1,001 - $15,000	None	NONE	
	Fidelity Cash Reserves (IRA Account)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Fidelity China Region Fund (IRA Account)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
	Fidelity Contrafund (IRA Account)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Fidelity Worldwide Fund (IRA Account)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
	Fidelity Magellan Fund (IRA Account)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Fidelity Growth Company Fund (IRA Account)	$1 - $1,000	DIVIDENDS	$1 - $200	
	Fidelity Select Consumer Staples Portfolio (IRA Account)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Alger Midcap Growth Institutional Fund (Class I shares) (401(k) Account)	$100,001 - $250,000	None	NONE	
SP	American Funds – The Growth Fund of America R4 (401(k) Account)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
SP	Ivy Small Cap Growth (Y shares) (401(k) Account)	$15,001 - $50,000	None	NONE	
SP	American Funds – EuroPacific Growth Fund R3 (401(k) Account)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
SP	AllianceBernstein International Value Fund (Class K shares) (401(k) Account)	$50,001 - $100,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas C. Feeney III

DC	Fidelity Dividend Growth Fund (Thomas C Feeney III as Custodian for Sean P. Feeney)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Fidelity Dividend Growth Fund (Thomas C Feeney III as Custodian for Thomas S Feeney)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Fidelity Cash Reserves (Thomas C Feeney III as Custodian for Thomas S Feeney)	$1 - $1,000	DIVIDENDS	$1 - $200	PS(part)
DC	Fidelity Cash Reserves (Thomas C Feeney III as Custodian for Sean P Feeney)	$1 - $1,000	DIVIDENDS	$1 - $200	PS(part)
	U.S. Savings Bonds	$1 - $1,000	INTEREST	$2,501 - $5,000	S(part)

SCHEDULE V - LIABILITIES

Name Thomas C. Feeney III

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. *Exclude: Any mortgage on your personal residence (unless all or part of it is rented out)*; loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Countrywide Home Loans CA	Mortgage on 12063 Gray Birch Circle, Orlando, FL	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name Thomas C. Feeney III

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AllianceBernstein International Value Fund (Class K shares) (401(k) Account)	P	27 regular 401(k) contributions	$15,001 - $50,000
SP	American Funds -- The Growth Fund of America R4 (401(k) Account)	P	12-18-07	$1,001 - $15,000
SP	American Funds -- EuroPacific Growth Fund R3 (401(k) Account)	P	12-12-07	$1,001 - $15,000
DC	Fidelity Dividend Growth Fund (Thomas C Feeney III as Custodian for Sean P. Feeney)	P	04-17-07, 04-18-07, 06-18-07, 09-07-07	$1,001 - $15,000
DC	Fidelity Dividend Growth Fund (Thomas C Feeney as Custodian for Thomas S Feeney)	P	04-17-07,04-18-07, 09-07-07	$1,001 - $15,000
DC	Fidelity Cash Reserves (Thomas C Feeney III as Custodian for Thomas S Feeney)	PS(part)	04-16-07, 04-17-07, 08-02-07, 09-07-07	$1,001 - $15,000
DC	Fidelity Cash Reserves (Thomas C Feeney III as Custodian for Sean P Feeney)	PS(part)	04-16-07, 04-17-07, 08-02-07	$1,001 - $15,000
	Triad Hospitals, Inc. (IRA Account)	S	07-26-07	0-$1,000
	U.S. Savings Bonds	S	03-13-07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Thomas C. Feeney III

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
International Management & Development Institute	Feb. 17-24	Orlando-Munich-Hamburg-Oslo-Orlando	Y	Y	N	2 Days

SCHEDULE VIII - POSITIONS

Name Thomas C. Feeney III

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Central Florida Children's Home, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:17
MC
U.S. (Office Use Only)

MICHAEL A. FERGUSON
(Full Name)

202 225-5361
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: NJ District: 07 ☐ Officer Or Employee — Employing Office:

Report Type (Annual (May 15)) ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against *anyone* who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL A. FERGUSON

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a *fair market* value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans *(such as 401(k) plans)* that are *self directed* (i.e., plans in which you have the power, even if not *exercised, to select the specific investments*), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not *self-directed, name the institution holding the account* and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its *geographic location* in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is *rental income*); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, *please specify the* method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed *categories*, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D ***Amount of Income*** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the *category of income* by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	AMERICAN CAPITAL WORLD GROWTH AND INCOME FUND	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$2,501 - $5,000	S(part)
JT	AMERICAN INC FUND OF AMERICA	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$5,001 - $15,000	S(part)
JT	CALAMOS GROWTH AND INCOME FUND	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	S(part)
JT	COLUMBIA MARSICO FOCUSED EQUITY	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$2,501 - $5,000	S(part)

DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlackRock Large Cap Core Fund; BR Int. Value Fund; BR Fundamental Growth Fund; BR Basic Value Fund; BR Global Allocation Fund; BR Global Small Cap Fund; BR High Income Fund; BR National Municipal Fund)	$100,001 - $250,000	CAPITAL GAINS AND DIVIDENDS	$5,001 - $15,000	P(part); S(part)
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Large Cap Core Fund; BR Int. Value Fund; BR Fundamental Growth Fund; BR Basic Value Fund; BR Global Allocation Fund; BR Global Small Cap Fund; BR High Income Fund; BR National Municipal Fund)	$100,001 - $250,000	CAPITAL GAINS AND DIVIDENDS	$5,001 - $15,000	P(part)
DC	DEPENDENT CHILD ACCOUNT NO. 3 (BlackRock Large Cap Core Fund; BR Int. Value Fund; BR Fundamental Growth Fund; BR Basic Value Fund; BR Global Allocation Fund; BR Global Small Cap Fund; BR High Income Fund; BR National Municipal Fund; Pimco Total Return)	$50,001 - $100,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	P(part)

DC	*DEPENDENT CHILD* ACCOUNT NO. 4 (BlackRock Large Cap Core Fund; BR Int. Value Fund; BR Fundamental Growth Fund; BR Basic Value Fund; BR Global Allocation Fund; BR Global Small Cap Fund; BR High Income Fund; BR National Municipal Fund)	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	*P*(part)
JT	JP MORGAN MID CAP VALUE	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	S(part)
SP	MERRILL LYNCH IRA (American Inc. Fund of America; American New Perspective Fund; Calamos Growth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	
JT	MERRILL LYNCH MONEY MARKET	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	MERRILL LYNCH ROTH IRA (American Inc. Fund of America; American New Perspective Fund; Calamos Growth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL A. FERGUSON

	MERRILL LYNCH ROTH IRA (American Inc. Fund of America; American New Perspective Fund; Calamos Growth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	
JT	PIMCO TOTAL RETURN FUND	$50,001 - $100,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	S(part)
JT	WACHOVIA CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	WACHOVIA MONEY MARKET	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Large Cap Core Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock High Income Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Fundamental Growth Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Basic Value Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Global Allocation Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Global Small Cap Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 2 (BlackRock Fundamental Growth Fund)	P	01-03-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlackRock Large Cap Core Fund)	P	01-24-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlackRock High Income Fund)	P	01-24-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlacRock Fundamental Growth Fund)	P	01-24-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlackRock Basic Value Fund)	P	01-24-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlackRock Global Allocation Fund)	P	01-24-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	DEPENDENT CHILD ACCOUNT NO. 1 (Global Small Cap Fund)	P	01-24-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (Fundamental Growth Fund)	P	01-03-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 1 (BlackRock Basic Value Fund)	S(part)	01-03-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 3 (BlackRock Large Cap Core Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 3 (BlackRock High Income Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 3 (BlackRock Fundamental Growth Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 3 (BlackRock Global Allocation Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 3 (BlackRock Global Small Cap Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 4 (BlackRock Large Cap Core Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 4 (BlackRock High Income Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 4 (BlackRock Fundamental Growth Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 4 (BlackRock Basic Value Fund)	P	01-04-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	DEPENDENT CHILD ACCOUNT NO. 4 (BlackRock Global Allocation Fund)	P	01-04-07	$1,001 - $15,000
DC	DEPENDENT CHILD ACCOUNT NO. 4 (BlackRock Global Small Cap Fund)	P	01-04-07	$1,001 - $15,000
JT	JP MORGAN MID CAP VALUE FUND	S(part)	02-09-07	$1,001 - $15,000
JT	JP MORGAN MID CAP VALUE FUND	S(part)	03-07-07	$1,001 - $15,000
JT	JP MORGAN MID CAP VALUE FUND	S(part)	10-09-07	$1,001 - $15,000
JT	JP MORGAN MID CAP VALUE FUND	S(part)	11-02-07	$1,001 - $15,000
JT	JP MORGAN MID CAP VALUE FUND	S(part)	12-03-07	$1,001 - $15,000
JT	AMERICAN CAPITAL WORLD GROWTH AND INCOME FUND	S(part)	11-20-07	$1,001 - $15,000
JT	CALAMOS GROWTH AND INCOME FUND	S(part)	02-09-07	$1,001 - $15,000
JT	CALAMOS GROWTH AND INCOME FUND	S(part)	03-07-07	$1,001 - $15,000
JT	CALAMOS GROWTH AND INCOME FUND	S(part)	10-09-07	$1,001 - $15,000
JT	CALAMOS GROWTH AND INCOME FUND	S(part)	11-02-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	CALAMOS GROWTH AND INCOME FUND	S(part)	12-03-07	$1,001 - $15,000
JT	PIMCO TOTAL RETURN FUND	S(part)	02-09-07	$1,001 - $15,000
JT	PIMCO TOTAL RETURN FUND	S(part)	03-07-07	$1,001 - $15,000
JT	PIMCO TOTAL RETURN FUND	S(part)	10-09-07	$1,001 - $15,000
JT	PIMCO TOTAL RETURN FUND	S(part)	11-02-07	$1,001 - $15,000
JT	PIMCO TOTAL RETURN FUND	S(part)	12-03-07	$1,001 - $15,000
JT	COLUMBIA MARSICO FOCUSED EQUITY FUND	S(part)	11-20-07	$1,001 - $15,000
JT	AMERICAN INCOME FUND OF AMERICA	S(part)	02-09-07	$1,001 - $15,000
JT	AMERICAN INCOME FUND OF AMERICA	S(part)	03-07-07	$1,001 - $15,000
JT	AMERICAN INCOME FUND OF AMERICA	S(part)	10-09-07	$1,001 - $15,000
JT	AMERICAN INCOME FUND OF AMERICA	S(part)	11-20-07	$1,001 - $15,000
JT	AMERICAN INCOME FUND OF AMERICA	S(part)	12-03-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	MERRILL LYNCH MONEY MARKET	P	01-03-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name MICHAEL A. FERGUSON

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	ROBERTA AND THOMAS FERGUSON FAMILY FOUNDATION

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CEN
2008 MAY 15 PM 4:07
U.S. HOUSE OF REPRESENTATIVES
MC (Office Use Only)

BOB FILNER
(Full Name)

225-7933
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 51	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1335

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$5,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SELF-EMPLOYED CONSULTANT	SPOUSE SALARY	NA
	PUBLIC EMPLOYEES RETIREMENT SYSTEM	PENSION	$13,018

For payments to charity in lieu of honoraria, use Schedule II.

Name BOB FILNER

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (*i.e.,* plans in which you have the power, *even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets *including all IRAs,* indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, *including all IRAs,* indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Examples:	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP		SP Mega Corp. Stock					X									X			X						X								S (partial)
DC		Simon & Schuster		Indefinite																	Royalties								X				
JT		1st Bank of Paducah, KY Accounts						X									X									X							
		GUARDIAN MUTUAL FUND (NEUBERGER + BERMAN)				X										X			X							X							

For additional assets and unearned income, use next page.

1337

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BOB FILNER

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	GREAT AMER. INS. TSA#1			X													X						X										
	OCTUS, INC.		X											X							X												

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BOB FILNER Page 6 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	BANK of AMERICA (Checking)	C	INTEREST	II	
	CONGRESSIONAL FCU	C	INTEREST	II	
	GREAT AMER. INS. TSA #2	C	INTEREST	V	
	FRESNO SCHOOL DIST. BOND	D	INTEREST	IV	
	RESERVE MONEY FUND (Unearned)	C	NONE	I	
	G.E. LIFE COMMUNICATION ANN	F	NONE	I	
	ROBLA CA. SCHOOL DIST. BOND	D	INTEREST	IV	
	FRESNO, CA. SWM BOND	D	INTEREST	IV	
	LONG BEACH, CA BOND	D	INTEREST	III	
	CALIF. HFFA BOND	D	INTEREST	IV	

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BOB FILNER Page 7 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FRANKLIN FLEX CAP GWTH				X										X			X								X						S (partial)
	FRANKLIN FED TAX FREE				X										X			X					X									S (partial)
	EATON VANCE INVT. TR.				X										X			X							X							
	FRANKLIN TAX FREE TR.				X										X			X					X									
IRA - MESABAW	COLUMBIA FUNDS SER. TR.				X										X			X								X						
	PIMCO FDS. PAC. INVT.				X										X			X							X							
	ALLIANCE BNSTEIN MON. FD	X													X								X									
IRA - [illegible]	SUN AMERICA CASH TR. ACT				X												X							X								P (partial)
	AMER. NATL. INS. TSA						X										X								X							

This page may be copied if more space is required.

Name BOB FILNER | Page 8 of 9

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	FRANKLIN FLEX. CAP. GWTH. (Partial)		X		11-6-07	X									
	FRANKLIN FED. TAX FREE (partial)		X		9-12-07	X									
	SUN AMERICA CASH TR. ACCT. (partial)	X			4-12-07	X									

This page may be copied if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	COLORADO IRANIAN-AMER. COUN.	JUNE 29-JULY 1	DC - PARIS - DC	Y	Y	N	NONE

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 50 MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Jeffry Lane Flake
(Full Name)

202.225.2635
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: AZ District: 06 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet *all three tests for exemption*? — Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeffry Lane Flake

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business *that is not publicly traded, state the name of the business, the nature of* its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
/	326 West 4620 North, Provo, Utah 84604	$250,001 - $500,000	Gross rent/NET loss	$13,925/$513	
	3352 N Alpine Vista Way, Lehi, Utah 84043	$250,001 - $500,000	Gross rent/Net loss	$7,400/$581	
JT	APPLE (210SH)	None	CAPITAL GAINS	$15,001 - $50,000	S
JT	Equitable Tax Sheltered Anuity Alliance Common Stock AXA Premier	$50,001 - $100,000	Retirement	$1,001 - $2,500	
JT	RIMM (80SH)	None	CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE IV - TRANSACTIONS

Name Jeffry Lane Flake

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	APPLE (50SH)	S	07-11-07	$1,001 - $15,000
JT	APPLE (50SH)	S	08-07-07	$15,001 - $50,000
JT	APPLE (50SH)	S	10-11-07	$1,001 - $15,000
JT	APPLE (60SH)	S	12-11-07	$15,001 - $50,000
JT	RIMM (80SH)	S	02-0507	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Jeffry Lane Flake

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo	Mortgage on 326 West 4620 North, Provo, Utah 84604	$250,001 - $500,000
JT	Net Bank	Mortgage on 3352 N. Alpine Vista Way, Lehi, Utah 84043	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jeffry Lane Flake

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Club for Growth	Mar. 29-Apr. 1	DC - Palm Beach - PHX	Y	Y	Y	none
Heritage	Feb.1 - 3	DC - Baltimore - PHX	Y	Y	N	none

FOOTNOTES

Name Jeffry Lane Flake

Number	Section / Schedule	Footnote	This note refers to the following item
4	Schedule III	This asset, Equitable TSA, was previously reported as two assets: Alliance Common Stock and AXA Premier VIP	Equitable TSA Alliance Common Stock AXA Premier

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

HAND DELIVERED

2008 MAY 15 PM 3:51

HOUSE OF REPRESENTATIVES

Jeffrey L. Fortenberry
(Full Name)

402/438-1598
(Daytime Telephone)

MC
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: NE District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name Jeff Fortenberry | Page 3 of 10

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500

This page may be copied if more space is required.

Name Jeff Fortenberry

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

		Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction
SP		Mega Corp Stock					X									X			X						X								S (partial)
DC	Examples:	Simon & Schuster		Indefinite																	Royalties								X				
JT		1st Bank of Paducah, KY Accounts						X									X									X							
JT		St. Claire Communications, LLC Lincoln, NE – Business Consultation, Marketing + Investments			X													X					X										
* JT		Gloria Deo, Inc., Lincoln, NE Retail Book + Gift Stores						X													S-Corp Income							X					
JT		Union Bank Checking Acct. Lincoln, NE			X													X					X										
SP		TIAA CREF Retirement Fund CREF Global Equities			X																NA	X											
SP		TIAA CREF Retirement Fund CREF Stock Fund			X																NA	X											

* Book Value Basis

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jeff Fortenberry

Page 6 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Papex Biomedical LLC (Medical device co)	B X	NONE X	I X	
	Smith Barney Citigroup Smith's apple 401K Plan Assets:				E (401K Plan distribution)
	Lifeco Private Lodge				
	Jeffrey L Fortenberry 401K Plan				P (Cash 401K Plan)
	Assets:				
	Citigroup Inc. Stock	A X	DIVIDENDS X	II X	S
	Costco Wholesale Corp Stock	A X	DIVIDENDS X; CAPITAL GAINS X	IV X	S
	Duke Energy Corp Stock	A X	DIVIDENDS X; CAPITAL GAINS X	II X	S
	EFJ, Inc. Stock	A X	NONE X	I X	S (Value less than $1000)
	Exxon Mobile Corp Stock	A X	DIVIDENDS X; CAPITAL GAINS X	III X	S
	Ford Motor Co Stock	A X	DIVIDENDS X	II X	S
	Intel Corp Stock	A X	DIVIDENDS X; CAPITAL GAINS X	III X	S
	Plains All American Pipeline LP	A X	DIVIDENDS X; CAPITAL GAINS X	III X	S
	Sara Lee Corp Stock	A X	DIVIDENDS X	II X	S
	Ventas Inc Stock	A X	DIVIDENDS X; CAPITAL GAINS X	IV X	S
	Thornberg Mortgage Stock	C X	DIVIDENDS X	II X	P
	Citibank Deposit Program	A X	INTEREST X	II X	S
	Premier Money Market Reg Shares Government Securities Portfolio	E X	DIVIDENDS X	IV X	P

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example. Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
	Smith Barney Citigroup Super Simple 401K Plan Assets: (rollover)			X	3-8-07										
	Linsco Private Ledger Jeffrey L Fortenberry 401K Plan Assets:	X			3-8-07										
	Citigroup Inc Stock		X		10-15-07	X									
	Costco Wholesale Corp Stock		X		10-15-07	X									
	Duke Energy Corp Stock		X		10-15-07	X									
	Exxon Mobile Corp Stock		X		10-15-07	X									
	Ford Motor Co. Stock		X		10-15-07	X									
	Intel Corp Stock		X		10-15-07	X									
	Plains All American Pipeline LP		X		10-15-07	X									
	Sara Lee Corp Stock		X		10-15-07	X									
	Ventas Inc Stock		X		10-15-07	X									
	Citibank Deposit Program		X		3-8-07		X								
	Thornburg Mortgage Stock	X			10-22-07	X									
	Thornburg Mortgage Stock	X			10-25-07	X									
	Premier Money Market Shares - Government Securities Portfolio	X			3-9-07		X								

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6-11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2006 44-219 (rev)

LUIS G. FORTUÑO
PUERTO RICO

WASHINGTON OFFICE
126 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2615 FAX: (202) 225-2154

SAN JUAN OFFICE
P.O. BOX 9023958
SAN JUAN, PR 00902-3958
(787) 723-6333 FAX: (787) 729-7738

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 JUN -5 AM 10: 12
HOUSE OF REPRESENTATIVES

Congress of the United States
House of Representatives
Washington, DC

COMMITTEES:
FOREIGN AFFAIRS
EDUCATION AND LABOR
NATURAL RESOURCES

RANKING MEMBER OF
SUBCOMMITTEE ON INSULAR AFFAIRS

CHAIRMAN, CONGRESSIONAL
HISPANIC CONFERENCE

REPUBLICAN POLICY COMMITTEE

CO CHAIRMAN, CONGRESSIONAL
FRIENDS OF SPAIN CAUCUS

June 4, 2008

MC

BY HAND

The Clerk
U.S House of Representatives
Legislative Resources Center
B-106 Cannon House Office Building
Washington, DC 20515

Dear Sir:

I would like to clarify some questions that were brought to my attention regarding my Financial Disclosure Statement for 2007.

In Schedule I, the Internal Revenue Service refers to the reimbursement of taxes charged in excess of tax obligation. In Schedule III, the UBS Financial Services refers to the Pathfinder Treasury and Growth Series 2008. The Westernbank Educational IRA, also found in Schedule III, refers to a certificate of deposit.

Should you have any questions with respect to the foregoing, please do not hesitate to contact me.

Sincerely,

Luis G. Fortuño
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
2008 MAY 13 PM 2:32
(Office Use Only)

Luis G. Fortuño
(Full Name)

202-225-2615
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: PR District: AL	Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1359

SCHEDULE I - EARNED INCOME

Name Luis G. Fortuño

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Partners Legal Service, PSC	Spouse Professional Service Fee	N/A
F.P.R First Cancellation PSC	Spouse Professional Service Fee	N/A
Mortgage Cancellation Services	Spouse Professional Service Fee	N/A
Cancio, Covas, and Santiago LLC	Spouse Professional Service Fee	N/A
Cancellation Services	Spouse Professional Service Fee	N/A
Eurobank	Spouse Professional Service Fee	N/A
Internal Revenue Service	Interest	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Luis G. Fortuño

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Doral Bank Money Market Account	$1 - $1,000	INTEREST	$1 - $200	
DC	Doral Bank Savings Account	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Doral Bank Savings Account	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Doral Bank Savings Account	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Western Bank IRA Account (CD)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Western Bank IRA Account (CD)	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Luis G. Fortuño

	Cash Value-Equitable Life Insurance	$15,001 - $50,000	Capital Appreciation	NONE	
JT	Eastern Builders, Inc. (Stock)	$500,001 - $1,000,000	DIVIDENDS	NONE	
JT	Eastern Builders (Note)	Amount covers stock& note	INTEREST	NONE	
JT	AVDOGT Corp. (Stock)	$15,001 - $50,000	DIVIDENDS	NONE	
SP	Martinez Odell & Calabria Retirement Plan	$15,001 - $50,000	DIVIDENDS	NONE	
	Correa Collazo Herrero & Fortuño (Liquidation Pending)	$1,001 - $15,000	Capital Appreciation	NONE	
	Law PR Closing & Title Services, Inc. (Liquidation Pending)	$1 - $1,000	Capital Appreciation	NONE	
	Lease of former home: W-3 Loma Alta St. Garden Hills Guaynabo, PR 00966	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
DC	UBS Financial Services	$1,001 - $15,000	DIVIDENDS	NONE	
DC	UBS Financial Services	$1,001 - $15,000	DIVIDENDS	NONE	
DC	UBS Financial Services	$1,001 - $15,000	DIVIDENDS	NONE	
JT	BB&T (Money MKT-Account)	$1 - $1,000	INTEREST	$1 - $200	
DC	Westernbank Educational IRA	$1 - $1,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Luis G. Fortuño

DC	Westernbank Educational IRA	$1 - $1,000	INTEREST	NONE	
DC	Westernbank Educational IRA	$1 - $1,000	INTEREST	NONE	
	Thrift Savings Plan	$15,001 - $50,000	Investment Gain	NONE	

SCHEDULE V - LIABILITIES

Name Luis G. Fortuño

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Banco Popular de Puerto Rico San Juan, Puerto Rico	Mortgage on W-3 Loma Alta St., Garden Hills, Guaynabo, Puerto Rico	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Luis G. Fortuño

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Republican Party of Florida	Oct. 25-26	DC-Orlando-SJ	Y	Y	N	None

SCHEDULE IX - AGREEMENTS

Name Luis G. Fortuño

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/2004	Correa Collazo Herrero Jiménez & Fortuño and Luis G. Fortuño	Diffrences in accounting and valuation, in addition to completion of litigation case handled while at law firm have prevented determination of amount of final payment (if any).

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 15 AM 9:42

HC

George William (Bill) Foster
(Full Name)

630-208-1490
(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representatives — State: IL — District: 14 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1367

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George William (Bill) Foster

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Ownership Interest in ETC Inc. (sold Sept 2007 for cash and promissory note for payments over time)	None	CAPITAL GAINS	$1,000,001 - $5,000,000	S
Promissary note for payments over time arising from sale of interest in Electronic Theatre Controls, Inc.	$5,000,001 - $25,000,000	None	NONE	Other
Ownership Interest in ETC Holdings (company that owns factory building used by ETC, Inc.) 3031 Pleasant View Rd PO Box 620979 Middleton WI 53562-0979	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$100,001 - $1,000,000	
HSBC Bank Savings Account	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George William (Bill) Foster

TIAA Traditional Retirement Annuity	$100,001 - $250,000	None	NONE	
CREF Stock	$250,001 - $500,000	None	NONE	
Harris Bank Batavia (savings, checking, and money market accounts)	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
Russell Select Value Fund CL S	$15,001 - $50,000	None	NONE	
Russell Select Growth Fund CL S	$1,001 - $15,000	None	NONE	
Russell Multistrategy Bond Class S	$1,001 - $15,000	None	NONE	
Russell Emerging Markets Fund CL S	$1,001 - $15,000	None	NONE	
Russell Real Estate Secs Fund Class S	$1,001 - $15,000	None	NONE	
Russell International Secs Class S	$1,001 - $15,000	None	NONE	
Russell Tax Managed Mid & Small Fund Class S	None	CAPITAL GAINS	$1,001 - $2,500	S
Russell Tax Managed Large Cap Fund Class S	None	CAPITAL GAINS	$5,001 - $15,000	S
Russell Emerging Markets Fund Class S	None	CAPITAL GAINS	$2,501 - $5,000	S
Russell Tax-Exempt Bond FD Class S	None	CAPITAL GAINS	$1 - $200	S
Russell International Secs	None	CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE IV - TRANSACTIONS

Name George William (Bill) Foster

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Ownership Interest in Electronic Theatre Controls, Inc. (Sold Sept 2007). Payment was partially cash and partially payment over time via promissory note below.	S	9-28-2007	$5,000,001 - $25,000,000
	Promissory note for payment over time received from sale of interest in Electronic Theatre Controls, Inc.	Other	9-28-2007	$5,000,001 - $25,000,000
	Russell Tax Managed Mid & Small Fund Class S	S	09-27-2007	$15,001 - $50,000
	Russell Emerging Markets Fund Class S	S	09-27-2007	$1,001 - $15,000
	Russell Tax Managed Large Cap Fund Class S	S	09-27-2007	$100,001 - $250,000
	Russell Tax-Exempt Bond FD Class S	S	09-27-2007	$50,001 - $100,000
	Russell International Secs	S	09-27-2007	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name George William (Bill) Foster

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partial Owner (24%) and Board of Directors	Electronic Theatre Controls, Inc. (ETC Inc.), Middleton,WI (Position terminated upon sale of interest in Sept. 2007)
Partial Owner (24%) and Board of Directors	ETC Holdings, LLC. (Partnership that owns factory building rented to Electronic Theatre Controls, Inc., Middleton,WI)
Program Advisory Committee	Heavy Ion Fusion Virtual National Laboratory (LBNL, Princeton,LLNL, GA)
Editorial Board	Physical Review, Special Topics: Accelerators and Beams, American Physical Society

SCHEDULE IX - AGREEMENTS

Name George William (Bill) Foster

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
5/3/06	Fermi National Accelerator Laboratory	Unpaid Leave of Absence (5/3/06 - 5/2/07). No subsequent relationship with Fermi National Laboratory.
2/22/07 - 4/1/08	CIGNA Insurance (Fermilab Medical Plan)	Continuation of Fermilab Medical and Dental insurance (COBRA) at cost of $470/month (ended 4/1/08)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 13 PM 4:49
(Office Use Only) HOUSE OF REPRESENTATIVES

Virginia Foxx
(Full Name)

202-225 2071
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: NC District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1373

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

			Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; F $50,001 – $100,000; G $100,001 – $250,000; H $250,001 – $500,000; I $500,001 – $1,000,000; J $1,000,001 – $5,000,000; K $5,000,001 – $25,000,000; L $25,000,001 – $50,000,000; Over $50,000,000)	Block C: Type of Income (NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP		SP	Mega Corp. Stock	X	X			S (partial)
DC	Examples		Simon & Schuster	indefinite	Royalties	X		
JT			1st Bank of Peducah, KY Accounts	X	X			
T			Mortgage, Wachovia Bank (Place Sold but being paid to them monthly)	X	X			
T			Wachovia Bk. Boone NC	X	X			
T			Rental 1183 Hwy 105 Banner Elk	X	X			
T			For Sale 169 Crystal Dew Banner Elk	X	X	X		
T			For Sale 166 Crystal Dew Banner Elk	X	X	X		
T			For Sale 189 Crystal Dew Banner Elk	X	X	X		

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virginia Foxx

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	McDonalds	D	DIVIDENDS	III	
JT	Proctor & Gamble	D	DIVIDENDS	III	
JT	Smucker	C	DIVIDENDS	V	
JT	Verizon	C	DIVIDENDS	III	
JT	Wal Mart	B	DIVIDENDS	II	
JT	Wash REIT	C	DIVIDENDS	III	
JT	First Charter Bank Acct	C	NA	I	
	Growth FD AM	C	CAPITAL GAINS	III	
SP	Growth FD AM	C	CAPITAL GAINS	III	
SP	Lifestyles NY Life (Roth IRA)	D	CAPITAL GAINS	V	
	Lifestyles NY Life (Roth IRA)	D	CAPITAL GAINS	V	
JT	Tax Ex Bond Fund	E	INTEREST	IV	
JT	Van Kempen High Yield MF	E	INTEREST	V	
JT	Microsoft	C	DIVIDENDS	III	
JT	Walgreen	C	DIVIDENDS	III	P
JT	Wachovia	C	DIVIDENDS	III	
JT	Mairs Fund	C	CAPITAL GAINS	III	
JT	Meridian Fund (Fidelity Equity Income)	C	DIVIDENDS; CAPITAL GAINS	III	
JT	RS Global Fund	C	DIVIDENDS; CAPITAL GAINS	III	
JT	Matthews Asian Fund (Transamerica Annuity)	C	DIVIDENDS; CAPITAL GAINS	III	P
JT	6 acres land Hwy 105 Boone NC	E	NONE	I	

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virginia Foxx Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Rental 11470 Hwy 105 Banner Elk, NC					X										X									X						
JT	Farm 1140 S Hwy 105 Banner Elk, NC									X						X		X						X							
JT	3 acres 1140 S Hwy 105 Banner Elk, NC				X															n/a	X										
JT	Bank of America				X										X			X					X								
JT	Bristol Myers Squibb			X											X			X							X						
JT	Chevron				X										X								X								
JT	GE					X									X			X						X							
JT	Hartford				X										X								X								
JT	Home Depot			X											X								X								
	NC Def. Comp. Plan					X									X			X							X						Rolled
	50% Templeton foreign	Fund																													
	50% Artisan Trust	Fund																													
	RiversourceAnnuity						X								X			X						X							Rolled
JT	CSX			X											X								X								P
JT	Kraft (spin off of Philip Morris)			X										X									X								Spin off
JT	Idcare (spin off of Verizon)			X														X						X							spin off
JT	Transamerica Annuity						X								X			X							X						rolled
	34% Davis Fund																														
	33% Fidelity Equity Income																														
	33% MFG Total Ret																														

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virginia Foxx

Page 5 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Pro Sol [illegible] Capital Gains Exempt E/4					X								X							X											
JT	Workman Securities					X											X								X							
JT	Foxx Family Inc	X													X											X						other
JT	IDEARC	X																X					X									5
JT	Altria			X											X			X					X									
JT	Philip Morris			X											X			X			X											Gen Off
JT	Fox Point Comm		X															X			X											Gen Off
JT	Trulicant W. Salem (Cert of Deposit)						X										X							X								

This page may be copied if more space is required.

Name Virginia foxx | Page 6 of 7

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
JT	Matthews Asian Fund	X			7-12-07	X									
JT	Walgreen	X			1-24-07	X									
JT	CSX	X			1-22-07	X									
JT	IDEARC		X		2-21-07	X (Less than threshold)									
JT	Foxx Family Inc. (Family Corp paid final dividend for final closeout)		X		9-17-07	X									

This page may be copied if more space is required.

Name Virginia Foxx | Page 7 of 7

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by *you, your spouse, or a dependent child* during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and *local governments, or* by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a *spouse or dependent child* that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Legatus Org.	2/1-2/3	DC-Naples, FL, CLT	Y	Y	N	

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2002 MAY 14 PM 1:09
HC
(Office Use Only)
HOUSE OF REPRESENTATIVES

U.S. Rep. Barney Frank
(Full Name)

202-225-5931
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: MA District: 4 — ☐ Officer Or Employee — Employing Office: — Termination Date:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

1380

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Barney Frank

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Citizens Bank Savings & Checking Accounts	$1,001 - $15,000	INTEREST	$201 - $1,000	
Mass. Housing Finance Agency (bonds)	$1,001 - $15,000	INTEREST	$201 - $1,000	
Mass. Industrial Finance Agency (bonds)	$1,001 - $15,000	INTEREST	$201 - $1,000	
Mass. State Bonds (consolidated loan)	$15,001 - $50,000	INTEREST	$1 - $200	
Calvert Social Investment Fund	$100,001 - $250,000	CAPITAL GAINS/DIVIDENDS	$5,001 - $15,000	
Mass. Tunrpike Authority	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Barney Frank

Citibank Deposit Program	$15,001 - $50,000	INTEREST	$1,001 - $2,500
Nuveen Mass. Dividend Advantage Municipal Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
Marshfield, MA FGIC Insured Bonds	None	INTEREST	$201 - $1,000
Univ. Of Mass. Building Authority	$15,001 - $50,000	INTEREST	$201 - $1,000
Mass. Water Resources Authority Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500
Mass. State Bonds (consolidated loan)	$1,001 - $15,000	INTEREST	$201 - $1,000
Mass. State Special Obligation Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500
Mass. General Obligation State Bonds (Series C)	$50,001 - $100,000	INTEREST	$2,501 - $5,000
Mass. State Bonds (Federal Highway Grant)	$50,001 - $100,000	INTEREST	$2,501 - $5,000
Mass. State Bonds (Series B; consolidated loan)	$15,001 - $50,000	INTEREST	$1,001 - $2,500
Mass. State Bonds (Series C; consolidated loan)	$100,001 - $250,000	INTEREST	$1,001 - $2,500
Mass. State Bonds (Series A; consolidated loan)	$100,001 - $250,000	INTEREST	$1,001 - $2,500
Mass. School Building Authority (Bonds)	$50,001 - $100,000	INTEREST	$1,001 - $2,500
Mass. General Obligation State Bonds (Series C)	$100,001 - $250,000	INTEREST	$2,501 - $5,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Barney Frank

Mass. Health & Education Facilities Authority	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
Univ. Of Mass. Building Authority Note – This asset, purchased in 2004, was listed in error as being in the $15,001 - $50,000 category; and also listed in error as sold in 2005. Required amendments have been submitted in separate correspondence.	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name U.S. Rep. Barney Frank

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Mass. Health & Educational Facilities Authority	P	06/13/07	$50,001 - $100,000
	Marshfield, MA FGIC Insured Bonds	S	6-15-07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name U.S. Rep. Barney Frank

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Queens Lesbian/Gay Pride Committee [speech]	Jan . 19 - 22	Wash., DC - New York - Wash., DC (by train)	Y	N	N	1
Real Time With Bill Maher [television appearance]	March 2 - 4	Wash., DC - Los Angeles - Wash., DC	Y	Y	N	1
National Constitution Center [speech]	March 18 - 19	Wash., DC - Philadelphia - Wash., DC (Phila. - DC by train)	Y	N	N	None
Equality Virginia [speech]	April 14 - 15	Wash., DC - Richmond, VA - Wash., DC (by car)	Y	Y	N	None
Congress for the New Urbanism [speech]	May 19 -- 21	Wash., DC - Philadelphia - New York - Wash., DC (by train; New York to Washington paid for with personal funds)	Y	N	N	1
Deutsche Bank [speech]	June 8 - 9	Wash., DC - New York - Boston (DC - New York by train)	N	Y	N	1
Florida Red & Blue [speech/meeting]	Oct. 6 - 7	Wash., DC - Tampa - Wash., DC	Y	Y	N	None
National Lesbian & Gay Chamber of Commerce [speech/acceptance of award]	Dec. 3 - 4	Boston - New York - Wash., DC (New York - DC by train)	Y	Y	N	None

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 5:42

(Office Use Only)

CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Trent Franks
(Full Name)

202-225-4576
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: AZ District: 02 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1386

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Trent Franks

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Note payable from Providence Petroleum for Liberty Petroleum Stock	$1,000,001 - $5,000,000	CAPITAL GAINS	$100,001 - $1,000,000	
Note Payable From Trinity Petroleum	$1,000,001 - $5,000,000	CAPITAL GAINS	NONE	
Providence Trust	$1,000,001 - $5,000,000	CAPITAL GAINS	NONE	
Trinity Petroleum Stock	$1,000,001 - $5,000,000	CAPITAL GAINS	NONE	
U.S. Patents For LP 1000 Life Pager	$100,001 - $250,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Trent Franks

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Providence Petroleum Stock exchanged for Trinity Petroleum Note and Stock Partially Designated to Providence Trust	PS&E	09-14-07	$1,000,001 - $5,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Trent Franks

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Aug. 5-12	DC-Tel Aviv-DC	Y	Y	Y	None
Club For Growth	Mar. 29 - Apr. 1	DC-Florida-DC	Y	Y	Y	None
The Heritage Foundation	Feb. 1-3	DC-Maryland-DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Trent Franks

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Americans For Military Readiness
Board Member	Heartline Ministries
Board Member	Children's Hope Scholarship Foundation

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

2008 MAY 14 PM 2:34
CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Rodney P. Frelinghuysen
(Full Name)

(202) 225-5034
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: New Jersey District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [X]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1391

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

		Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT ED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction
SP	Examples	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC		Simon & Schuster		Indefinite																	Royalties								X			
JT		1st Bank of Paducah, KY Accounts						X									X									X							
		See Continuation Sheets Pages 3 thru 26																															

For additional assets and unearned income, use next page.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Johnson & Johnson	H	DIV	VII	
Pall Corp.	G	DIV	V	
Peapack Gladstone Financial Corp.	F	DIV	V	
Bank of America	F	DIV	VI	
Procter & Gamble Co.	I	DIV	VIII	
International Business Machines	H	DIV	VI	
Eli Lilly & Co.	H	DIV/GAIN	IX	S
Brocade Communications Systems, Inc. (IRA) (Formerly, McData Corp. CL 'A' (IRA)	C	NONE	I	
Peapack Gladstone Bank (CKG)	H	INT	III	P
JP Morgan Chase Bank (SVGS)	E	INT	III	
Congressional Federal Credit Union	C	INT	I	
Aetna, Inc. NEW	E	DIV	II	
Merck & Co., Inc.	F	DIV/GAIN	VII	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Pfizer, Inc.	F	DIV/GAIN	VII	S
American Express	A	DIV/GAIN	VII	S
Bank of America - Svgs	C	INT	II	
JP Morgan Funds	C	DIV	II	
Tamarack Investment Fund (IRA)	C	DIV	II	
Medco Health Solutions, Inc.	D	NONE	I	
Abbott Labs	F	DIV	V	
Emerson Electric Co.	E	DIV	IV	
Exxon Mobil Corp.	G	DIV	V	
General Dynamics Corp.	D	DIV	IV	
Hospira, Inc.	F	NONE	I	P
McGraw Hill Cos., Inc.	E	DIV	IV	
Medtronic, Inc.	F	DIV	III	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2007 INCOME	2007 TRANSACTION
SLM Corp.	D	DIV/GAIN	VIII	S
Schlumberger LTD	F	DIV	IV	P
Service Master Co.	A	DIV/GAIN	VII	S
Wells Fargo & Co. (NEW)	E	DIV	IV	
B G Group PLC	F	DIV	IV	
Nestle SA Sponsered ADR	E	DIV	IV	
Noble Corp.	D	DIV	II	
General Electric Co.	F	DIV	V	P
Manpower, Inc.	E	DIV/GAIN	VI	P/S
Pogo Producing Co.	A	DIV/GAIN	VI	S/E
Fidelity Nat'l Financial Corp.	A	DIV	IV	S
White Mountain Insurance Group	E	DIV	IV	P
Fedex Corp.	E	DIV	III	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Chicago Bridge & Iron Co.	G	DIV	III	
Exelon Corp.	E	DIV	IV	
Aqua Amer., Inc.	E	DIV	VI	
RMA US Gov't Money Fund	A	DIV	III	S
Comcast Corp. CL 'A' new	E	NONE	I	P/S
Home Depot, Inc.	A	DIV	III	S
Honeywell International, Inc.	F	DIV	IV	
Microsoft Corp.	E	DIV	IV	
Walt Disney Co.	E	DIV	III	
Conoco Phillips	D	DIV	III	
U.S. Treasury Bill due 6/28/07	A	INT/GAIN	V	S
Schwab U.S. Treasury Money Fund	F	DIV	VI	P
U.S. T-Bill Due 2/14/2008	G	INT	I	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Siemans AG Spons. ADR	E	NONE	I	P
United Health Group, Inc.	E	DIV	II	P/S
Varian Medical Systems, Inc.	F	NONE	I	P
Encore Acquisition Co.	E	NONE	I	P
Hugoton Royalty Trust	D	DIV	III	P
Brookfield Asset Mgmt. Inc. CL'A'	E	DIV	III	P
Union Pacific Corp.	E	DIV	I	P
American Superconductor Corp.	D	NONE	I	P
Plains Exploration & Production Co.	F	DIV	I	E
U.S. Treasury Note 3.125% due 10/15/08	E	INT	I	P
17.923 Acres unimproved land, Frelinghuysen Twp., NJ (Commercial Value 2005)	G	NONE	I	
1/5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est.Value)	F	NONE	I	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Marital Trust U/W Rodney Procter, Peter H. B. Frelinghuysen, Trustee (1/10th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2007 Income received VI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Non-Marital Trust U/W Rodney Procter, Peter H. B. Frelinghuysen, Trustee (1/10th income interest)*

All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2007 Income received IX

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : A.H. Frelinghuysen 1950 Trust,
Peter H. B. Frelinghuysen, Trustee
(1/5 income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2007 Income received VIII

CONTINUATION SHEET - SCHEDULE III

Identity : Peter H.B. Frelinghuysen Trust U/D dated 1/17/57, Peter H.B. Frelinghuysen and George L. K. Frelinghuysen, Trustees (1/8th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2007 Income Received VII

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : B. P. Frelinghuysen Trust U/A
4/3/73 Deutsche Bank, New York, NY
Trustee (discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Merck & Co., Inc.	F	DIV	VI	
Procter & Gamble Co.	I	DIV	VII	
Deutsche Bank Trust Co. Tax Free Income Fund	F	DIV	VI	
Deutsche Bank Trust Money Market Account	D	INT	V	P
American International Group	D	DIV	III	
Cisco Systems, Inc.	C	NONE	I	
Intel Corp.	C	DIV	II	
American Express Co.	D	DIV	III	
Microsoft Corp.	C	DIV	II	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee. Neither Rodney, his spouse or any dependents is consulted nor gives advice regarding these investments. However, Rodney does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Pfizer	E	DIV	V	
Home Depot	C	DIV	III	
Comcast Corp. CL 'A'	C	NONE	I	
CitiGroup, Inc.	C	DIV	III	
Bank of America	C	DIV	III	
Texas Instruments, Inc.	C	DIV	II	
General Electric Co.	C	DIV	III	
Noble Drilling Corp.	D	DIV	II	
Medco Health Solutions	D	NONE	I	
McClatchy Newspaper, Inc	D	DIV	III	
Ishares Tr. MSCI EAFE Index Fd	D	DIV	III	
SPDR Tr. Unit Ser I Standard & Poors Dep. Receipt	F	DIV	V	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
U.S. Treasury Bill due 3/01/07	E	INT	III	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Abbott Laboratories	F	DIV	V	
Bristol Myers Squibb Co.	E	DIV	V	
IMS Health, Inc.	D	DIV	II	
Moody's Corp.	D	DIV	III	
R.H. Donnelley Corp.	C	NONE	I	
Gannett Co., Inc.	D	DIV	IV	
H. J. Heinz Company	D	DIV	III	
Pepsico, Inc.	F	DIV	V	
Procter & Gamble Co.	J	DIV	IX	
Electronic Data System Corp.	C	DIV	II	
Loews Corp.	G	DIV	IV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Motorola, Inc.	D	DIV	III	
CSX Corporation	F	DIV	IV	
A T & T, Inc. new	D	DIV	IV	
Alcatel-Lucent ADR	B	DIV	III	
R & T Short Term Income Money Market Fund	I	INT	VIII	S
American Express Co.	E	DIV	IV	
Rohm & Haas Co.	D	DIV	III	
McGraw-Hill Cos., Inc.	E	DIV	IV	
Sherwin Williams Co.	F	DIV	V	
Citigroup, Inc.	D	DIV	IV	
Dun & Bradstreet Corp., NEW	D	DIV	III	
Zimmer Holdings, Inc.	D	NONE	I	
Smucker, J.M. Co.	E	DIV	IV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
DelMonte Foods Co.	C	DIV	II	
Comcast Corp. New CL 'A'	C	NONE	I	
Hospira, Inc.	C	NONE	I	
Ameriprise Financial, Inc.	D	DIV	II	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : V. T. Robinson Trust U/A dated
4/10/80, Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Passaic Cty NJ Ref - Gen Imp	A	INT	V	S
New Jersey St. Trans. Tr. Fd Auth. Bond	A	INT	VI	S
Port Auth. NY & NJ Cons.	F	INT	VII	
Deptford Twp.NJ School District	A	INT	V	S
Home Depot, Inc.	F	DIV	V	
Coca Cola Co.	E	DIV	IV	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Pepsico, Inc.	G	DIV	VI	
Altria Group, Inc.	F	DIV	VI	
Procter & Gamble Co.	F	DIV	IV	
Aetna, Inc., NEW	G	DIV	II	
American Int'l Group	A	DIV	VII	S
General Electric Co.	G	DIV	VI	
Emerson Electric Co.	F	DIV	IV	
Parker - Hannifin Corp.	G	DIV	V	
Hewlett - Packard	F	DIV	IV	
Intel Corp.	D	DIV	III	
International Business Machines	D	DIV	III	
Microsoft Corp.	G	DIV	VIII	S
BP PLC Sponsored ADR	F	DIV	VI	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Exxon Mobil Corp	G	DIV	V	
Royal Dutch Petroleum Co.	E	DIV	V	
Union Pacific Corp.	F	DIV	IV	
J P Morgan Chase & Co.	D	DIV	III	
Dreyfus Tax Exempt Int'l Shares	G	INT	VII	P
Bergen Cty NJ Ref -Gen. Imp	F	INT	V	
Zimmer Holdings, Inc.	D	NONE	I	
Oakmark Int'l Fund CL 'I'	G	DIV	VIII	P
Yum Brands, Inc.	E	DIV	III	
Equity Residential SH Ben Int.	D	DIV	IV	
Public Storage, Inc.	E	DIV	IV	
Regency Centers Corp.	E	DIV	IV	
Simon Property Group, Inc. (new)	E	DIV	IV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Wells Fargo & Co. (new)	F	DIV	V	
Johnson & Johnson	E	DIV	IV	
3M Company	F	DIV	IV	
Stericycle, Inc.	F	NONE	I	
Galloway Twp. New Jersey - Ref.	F	INT	V	
Colgate-Palmolive Co.	F	DIV	IV	
Diageo PLC ADR	E	DIV	IV	
Apache Corp.	E	DIV	III	
Bank of America Corp.	E	DIV	V	P
Amgem, Inc.	A	GAIN	II	S
Sussex Cty NJ - Cty College 3.75% due 9/01/2013	F	INT	I	P
Kraft Foods, Inc. CL'A'	E	DIV	III	Spin-off

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

ROOMEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
VIMAC IT Fund III LP	E	NONE	I	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income beneficiary)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
J P Morgan Chase & Co.	E	DIV	V	
Coca Cola Co.	E	DIV	IV	
General Mills	F	DIV	V	
Altria Group, Inc.	F	DIV	VI	
Abbott Labs	E	DIV	IV	
Johnson & Johnson	F	DIV	V	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Bell South Corp.	A	DIV	I	Exchange
American Int'l Group	D	DIV	III	
Emerson Electric	F	DIV	IV	
General Electric Co.	F	DIV	VI	
Parker - Hannifin Corp.	F	DIV	IV	
Hewlett - Packard	E	DIV	III	
Intel Corp.	A	DIV	VII	S
Microsoft Corp.	G	DIV	V	
Exxon Mobil Corp.	G	DIV	V	
Montclair NJ Gen. Oblig.	E	INT	V	
Dreyfus Tax Exempt Int'l Shares	F	INT	VI	S
Burlington Cty NJ OID	F	INT	V	
NJ St. EDL FACS ATH REV Princeton Univ.	F	INT	V	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Wells Fargo & Co. (new)	E	DIV	V	
Avery Dennison Corp.	A	DIV	IV	S
A T & T, Inc. new	F	DIV	VI	Exchange
Federal Nat'l Mtg. Bond	D	INT	IV	
Petsmart, Inc.	A	DIV	III	S
Staples, Inc.	E	DIV	III	
3M Company	E	DIV	IV	
Oakmark Int'l Fund CL 'I'	F	DIV	III	P
Plum Creek Timber Co., Inc	E	DIV	V	
East Orange NJ- Ref	E	INT	IV	
Templeton Global Bond Fund	F	INT	VI	
Diageo PLC ADR	E	DIV	IV	
Procter & Gamble Co.	E	DIV	III	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2007 INCOME	2007 TRANSACTION
Nat'l Oilwell Varco., Inc.	E	NONE	I	
Total SA ADR	E	DIV	IV	
Amgem, Inc.	A	NONE	I	S
Genentech, Inc.	A	NONE	I	S
Novartis AG ADR	E	DIV	IV	
Stryker Corp.	F	DIV	III	
Armeka ADR	A	GAIN	III	S
Julius Baer Int'l Equity II CL I	F	DIV	III	P
Kinetics Small Cap. Opportunities - Int'l	E	DIV	IV	P
Absolute Strategies Fund Int'l	E	DIV	IV	
Kraft Foods, Inc. CL'A'	D	DIV	IV	Spin-off
Bank of America	E	DIV	I	P

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	See Continuation Sheets														
	Pages 28 thru 37														

This page may be copied if more space is required.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	RMA U.S. Gov't Money Fund	S	D
Various	Schwab U.S. Treasury Money Fund	P	D
01/11/07	Schlumberger LTD	P	C
01/12/07	U.S. T-Bill due 6/28/07	S	C
01/19/07	U.S. T-Bill due 6/28/07	S	D
01/19/07	General Electric Co.	P	D
01/24/07	United Health Group	P	D
01/25/07	U.S. T-Bill due 6/28/07	S	D
03/01/07	Manpower, Inc.	S	B
05/08/07	Comcast Corp. CL'A' new	P	D
05/08/07	U.S. T-Bill due 6/28/07	S	D
06/07/07	Hospira, Inc.	P	B
06/28/07	U.S. T-Bill due 6/28/07	S	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
06/28/07	SLM Corp.	S	D
07/05/07	Hugoton Royalty Trust UBI	P	C
07/05/07	Merck & Co., Inc.	S	C
07/05/07	Pfizer, Inc.	S	C
07/11/07	Eli Lilly & Co.	S	E
07/11/07	Varian Medical Systems	P	D
07/24/07	Eli Lilly & Co.	S	E
07/24/07	Merck & Co., Inc.	S	C
07/26/07	Service Master Co.	S	C
08/02/07	Siemens AG ADR	P	D
08/02/07	Varian Medical Systems	P	B
08/02/07	Pogo Producing Co.	S	C
08/02/07	Encore Acquisitions Co.	P	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
08/10/07	White Mtn Insurance Group	P	C
08/14/07	Home Depot, Inc.	S	D
08/21/07	U.S. T-Bill due 2/14/08	P	F
08/30/07	Fidelity Nat'l Financial	S	D
09/07/07	Brookfield Asset Mgmt. 'A'	P	D
09/20/07	Union Pacific Corp.	P	D
09/26/07	Comcast Corp. CL'A' new	P	B
10/11/07	United Health Group	S	C
10/18/07	American Express Co.	S	C
11/13/07	Pogo Producing Co. (Exchanged for Plains Exploration & Production Co.)	E	D
11/13/07	Plains Exploration & Production Co. (Rec'd in exchange for Pogo Producing Co.)	E	D
11/19/07	American Superconductor	P	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
11/20/07	Comcast Corp. CL'A' new	P	C
12/06/07	Manpower, Inc.	P	C
12/17/07	Eli Lilly & Co.	S	C
12/19/07	U.S. T-Note 3.125% due 10/15/08	P	D
12/24/07	Comcast Corp. CL'A' new	S	C
Various	Peapack Gladstone Bank (Ckg)	Deposit	E

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : B. P. Frelinghuysen Trust U/A
4/3/73 Deutsche Bank, New York, NY
Trustee (discretionary income interest)*

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Deutsche Bank Trust Money Market Acct	P	C
3/1/2007	U.S. Treasury Bill Due 3/01/07	S	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	R & T Short Term Income Money Market Fund	S	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Dreyfus Tax Exempt Int'l Shares (Formerly, Scudder Tax Free Money Market)	P	C
01/26/07	VIMAC IT Fund III LP	P	D
02/01/07	Deptford Twp. NJ School District	S	D
03/16/07	Amgen, Inc.	S	D
04/04/07	Kraft Foods CL'A' (Spin-off from Altria Group, Inc.)	Spinoff	B
05/01/07	Passaic Cty NJ Ref - Gen. Imp.	S	C
06/15/07	New Jersey St. Trans. Trf. Fd Auth. Bond	S	E
09/24/07	Sussex Cty NJ - Cty College 3.75% due 9/01/13	P	E
10/25/07	Microsoft Corp.	S	D
11/27/07	VIMAC IT Fund III LP	P	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
12/14/07	Oakmark Int'l Fund CL'I'	P	D
12/31/07	Bank of America	P	C
12/31/07	American Int'l Group	S	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Dreyfus Tax Exempt Int'l Shares (Formerly, Scudder Tax Free Money Market)	S	C
01/03/07	AT&T, Inc. new (Rec'd in exchange for Bellsouth)	Exchange	C
01/03/07	Bellsouth Corp. (Exchanged for AT&T, Inc. new)	Exchange	C
03/16/07	Amgen, Inc.	S	D
03/30/07	Kraft Foods, Inc. CL'A' (Spin-off from Altria Group, Inc.)	Spin-off	B
12/14/07	Oakmark Int'l Fund CL 'T	P	C
12/28/07	Kinetics Small Cap. Opportunities - Int'l	P	Under $1,000
12/31/07	Julius Baer Int'l Equity II CL 'T'	P	B
12/31/07	Bank of America Corp.	P	D
12/31/07	Genetech, Inc.	S	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
12/31/07	Intel Corp.	S	C
12/31/07	Petsmart, Inc.	S	C
12/31/07	Arkema Spons. ADR	S	B
12/31/07	Avery Dennison Corp.	S	D

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Member, Advisory Board	El Primer, LTD Morristown, NJ

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

Continued in House Document 110-129, Volume 2 of 4

BOSTON PUBLIC LIBRARY
3 9999 06352 952 1